Nonlinear Optics '98

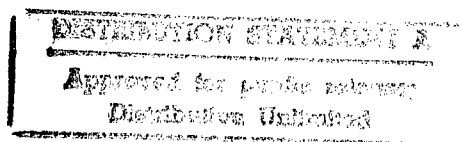## Materials, Fundamentals and Applications Topical Meeting

10 - 14 August 1998
Princeville Hotel
Princeville, Kauai, Hawaii

OSA
Optical Society of America

LEOS
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19990104 007

REPORT DOCUMENTATION PAGE

AFRL-SR-BL-TR-98-

38

0851

1. AGENCY USE ONLY (Leave Blank)	2. REPORT DATE August 1998	3. REPORT TITLE AND DATES COVERED Final Technical

4. TITLE AND SUBTITLE
Technical Digest of the 1998 Nonlinear Optics: Materials Fundamentals and Applications
Topical Meeting
NLO'98

5. FUNDING NUMBERS
G
N00014-98-1-0547

6. AUTHORS
Multiple

7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES)
Institute of Electrical and Electronics Engineers, Inc.
445 Hoes Lane, P.O. Box 1331
Piscataway, NJ 08855-1331

8. PERFORMING ORGANIZATION REPORT NUMBER

9. SPONSORING / MONITORING AGENCY NAME(S) AND ADDRESS(ES)
AFOSR/NE
110 Duncan Avenue
Room B115
Bolling AFB, DC 20332-8055

10. SPONSORING / MONITORING AGENCY REPORT NUMBER

11. SUPPLEMENTARY NOTES

12a. DISTRIBUTION / AVAILABILITY STATEMENT
APPROVED FOR PUBLIC RELEASE

12b. DISTRIBUTION CODE

13. ABSTRACT (Maximum 200 words)

Nonlinear Optics 98 Conference (Materials, Fundamentals and Applications
Topical Meeting) on 10-14 August 1998 at Princeville Hotel in Princeville,
Kauai, Hawaii

14. SUBJECT TERMS
Nonlinear Optics, Nonlinearities, Optical

15. NUMBER OF PAGES
478

16. PRICE CODE

17. SECURITY CLASSIFICATION OF REPORT Unclassified	18. SECURITY CLASSIFICATION OF THIS PAGE Unclassified	19. SECURITY CLASSIFICATION OF ABSTRACT Unclassified	20. LIMITATION OF ABSTRACT UL

DTIC QUALITY INSPECTED 3

Nonlinear Optics'98

Materials, Fundamentals
and Applications
Topical Meeting

10 – 14 August 1998

Princeville Hotel
Princeville, Kauai, Hawaii

The papers in this book make up the digest of the Nonlinear Optica'98 Materials, Fundamentals and Applications Topical Meeting. They reflect the author's opinions and are published as presented and without change in the interest of timely dissemination. Their inclusion in this publication does not necessarily constitute endorsement by the editors, the Institute of Electrical and Electronics Engineerings, Inc.

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Steve Chu, Stanford University, Stanford, CA

Information Storage and Retrieval from a Single Atom

C. R. Stroud, Jr.
Institute of Optics, University of Rochester, Rochester, NY 14627-0186

In atomic physics and nonlinear optics we generally assume that a valence electron travels in an orbit with a radius of a few Angstroms, and that it has a binding energy of a few electron volts. Recently in a number of laboratories atomic states of a very different nature have been excited: Rydberg eigenstates and wave packets with principal quantum numbers in the range n = 50-1000. These highly excited atoms have electronic orbital radii ranging from a fraction of a micron up to nearly a millimeter. The corresponding atomic volumes then range up to $10^{18} \, \text{Å}^3$.

What sort of new physics and even applications might result from these remarkable atomic states? From the point of view of conventional nonlinear optics the most interesting possibility may be the enormous dipole matrix elements for transitions between Rydberg states. These matrix elements scale as the square of the principal quantum number, so they can be as large as $10^6 \, ea_0$. Of course, transitions between Rydberg levels have resonance frequencies in the radio frequency range, so they would not appear to be very interesting in nonlinear optics. However, with dipole matrix elements this large even a modest laser intensity will produce Rabi frequencies that are in the optical frequency range. With such large Rabi frequencies transitions detuned by optical frequencies are power broadened into resonance – the optical field can drive the rf transitions. High order nonlinear processes can occur with corresponding high harmonic generation and stabilization of the highly excited states against ionization. We will review these phenomena that were described in a paper recently published,[1] and discuss possible applications.

An even more intriguing possible application of the unique nature of these atomic states is the possibility of information storage and perhaps even quantum computing within one atom. As we have seen, the volume of the atom becomes extremely large at high Rydberg levels, there is a corresponding increase in the state space of the atom. There are n^2 angular momentum states associated with the level with principal quantum number n, thus with $n = 1000$ we have 10^6 states. If we form an angular wave packet which is a superposition of these million states we can write the wave function as

$$\Psi(r) = \Sigma_{l,m} \, a_{l,m} \, \psi_{l,m}(r)$$

where $\psi_{l,m}(r)$ are the angular momentum eigenstates, and the $a_{l,m}$ are arbitrary complex amplitudes which are constrained only by overall normalization. We have then a million complex numbers which can be specified to encode the state. If we could write these amplitudes at will, and read them out without noise, we would have a rather interesting information storage medium.

Of course, shot noise is a problem with reading out information from a single quantum system. In principle one could prepare the atomic electron in any of the million different angular momentum eigenstates, and then by measuring the square of the angular momentum, and the z component of that angular momentum, determine in which of the million states the system was

---

[1] J. D. Corless and C. R. Stroud, Jr., Phys. Rev. Letters **79**, 637-640 (1997).

prepared. This corresponds to approximately 20 bits of information stored and read out without introducing quantum noise by collapsing the wave packet. If we make measurements on an entire ensemble of atoms similarly prepared, then the information storage capacity is much larger. While the information is stored in the atom unitary transformations can be carried out on the state of the atom allowing the possibility of quantum computing within this single atom. The potentiality of this scheme and its strengths and weaknesses in comparison with other schemes for quantum computing will be discussed.

Nonlinear Optical Spectroscopy
for Surfaces, Interfaces, and Films

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Lawrence Berkeley National Laboratory, Berkeley, CA 94720

Summary

Structural symmetries of the surface and bulk of a condensed medium generally are different. They can be exploited to develop optical techniques for surface and interfacial studies. Sum-frequency generation (SFG) spectroscopy, in particular, has been proven to be an effective and versatile surface analytical tool. It can be used to study any interfaces accessible by light, including liquid/liquid, liquid/solid, and solid/solid interfaces. Quite a few unique applications have been found that could open up new areas of research in various disciplines. A selected few will be described in this talk. The possibility of using SFG spectroscopy for thin-film studies will also be discussed.

MONDAY, 10 August

MA Fundamentals
MB Metals
MC Poster Session I
MD Monday Night Session

Monday Papers Not Available

MA2   The Cavity QED Circus: Juggling Atoms, Flying Photons, and Fantastics Fienesse
H. J. Kimble, California Institute of Technology, Pasadena, CA

MD1   From Nanosecond to Femtosecond Science
Nicolaas Bloembergen, Harvard University, Cambridge, MA

Quantum Optics with large $\chi^{(3)}$ nonlinearities

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SUMMARY

Recently Imamoglu and Schmidt [1] have proposed a scheme to generate large $\chi^{(3)}$ non-linearities utilising electromagnetically induced transparency [2] in an ensemble of four level atoms. It relies on quantum interference effects to minimise absorption while retaining a large $\chi^{(3)}$. This is related to lasing without inversion [3] which also relies on quantum coherence effects to reduce absorption while maintaining laser gain. For applications in quantum optics it is necessary that the quantum noise resulting from spontaneous emission by the atoms be small. The reduction of absorption in these systems effectively reduces the spontaneous emission.

The use of quantum coherence effects to reduce quantum noise in atomic systems was first proposed by Dalton, Reid and Walls [4]. An analysis of quantum noise in three level atoms interacting with 2 light fields by Gheri *et al* [5] demonstrated that a nonlinear phase shift could be imposed on the probe beam due to the signal. This particular configuration utilised a "ghost transition" where the population in one transition was nearly zero, thus the quantum noise due to spontaneous emission was negligible and the conditions for a good QND measurement were satisfied. This was verified in a recent experiment by Roch *et al* [6] who using cold trapped atoms and the "ghost transition" scheme, obtained the best QND correlation scheme to date.

9

Dynamics of Photon-Photon Scattering in Rb Vapor

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1 Motivation

Processes such as self-focusing and four-wave mixing, which classically can be described in terms of an intensity-dependent refractive index (the optical Kerr effect), appear at the microscopic level to involve momentum exchange among photons, as if there were a photon-photon interaction potential. A simple example of this is self-focusing of a collimated beam by a medium with a positive Kerr coefficient. Outside of the medium, the photons propagate freely, without interactions. Within the medium, the photons of the beam are drawn together *as if* there were an attractive potential between the photons. The goal of this research is to determine the domain of validity of a description in terms of a photon-photon interaction potential[1].

2 Criteria

At the most fundamental level, photons do not directly interact, but can interact indirectly via intermediaries. This is analogous to the interaction of electrons in QED, which is mediated by exchange of virtual photons. In non-relativistic situations, this photon-mediated electron-electron interaction can be reduced to a direct electron-electron interaction, the Coulomb interaction. An example of a mediated interaction which cannot be reduced to a direct interaction is a slow optical nonlinearity such as thermal blooming or the photorefractive effect. In these effects, some photons are absorbed, leaving an imprint on the medium which affects the behavior of photons which arrive later. Neither the delay nor the inherent loss of particles is characteristic of an interaction potential.

Thus our minimal criteria for validity of the description as a direct photon-photon interaction are: One, that the process not require the consumption of photons, and two, that the interaction be approximately local, i.e., that the photons only interact if they are in nearly the same place at nearly the same time. One way to test for this is to observe time and momentum correlations of photons which have interacted. To observe this, a DFWM setup is constructed, as shown in Figure 2, and photons pairs which suffer spontaneous large-angle

scattering are observed. The signature of a direct photon-photon interaction will be tight time and momentum correlations of the scattered photons.

3   Microscopic Description

To examine the microscopic physics most clearly, we choose a clean system which shows a strong optical Kerr effect, namely rubidium vapor. We model the vapor as non-interacting atoms in a thermal distribution of hyperfine and momentum states. The total system is described by a hamiltonian which we break into an unperturbed part and a perturbation:

$$H_0 = \sum_{\mathbf{k},\alpha} \hbar c k (a_{\mathbf{k},\alpha}^\dagger a_{\mathbf{k},\alpha} + \frac{1}{2}) + \sum_{n,\mathbf{p}} (\hbar \omega_n + \frac{\hbar^2 \mathbf{p}^2}{2M}) c_{n,\mathbf{p}}^\dagger c_{n,\mathbf{p}} \tag{1}$$

$$
\begin{aligned}
H' &= -\int d^3x\, \mathbf{E}(\mathbf{x}) \cdot \mathbf{d}(\mathbf{x}) \\
&= -\sqrt{\frac{2\pi\hbar c}{V}} \sum_{\mathbf{k},\alpha} \sqrt{k} \sum_{n,m} \sum_{\mathbf{p}} (i\mathbf{e}_{\mathbf{k},\alpha} \cdot \boldsymbol{\mu}_{nm} c_{n,\mathbf{p}+\mathbf{k}}^\dagger c_{m,\mathbf{p}} a_{\mathbf{k},\alpha} + \text{h.c.})
\end{aligned}
\tag{2}
$$

Here $\mathbf{e}(\mathbf{x})$ and $\mathbf{d}(\mathbf{x})$ are the electric and dipole field operators ($\mu_{n,m}$ is the transition dipole matrix element), $\mathbf{k}$ and $\mathbf{p}$ are the photon and atomic momenta, α and n are indices of photon polarization and internal atomic state, and $\hbar\omega_n$ is the energy of the internal atomic state. V is the quantization volume and $a^\dagger$ and $c^\dagger$ are photon and atom creation operators.

The photon-photon scattering is then calculated as a fourth-order process in time-dependent perturbation theory. Two sorts of processes can produce photons propagating in a given direction, those which leave a trace on the medium (by changing the momentum of an atom or an atom's internal state) and those which do not. For those processes which leave the medium unchanged, it is impossible to tell which atom participated, and scattering amplitudes must be summed coherently *over the atoms*. This gives rise to a scattering rate which scales as N_{Atoms}^2, and dominates except for low atomic densities. One such process is shown diagrammatically in Figure 1.
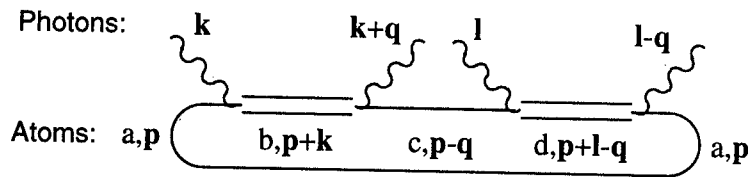
Figure 1: A fourth-order process which contributes to photon-photon scattering.

From the diagram, we see that the atom acts as an intermediary, absorbing momentum q from one photon and then giving that momentum to the other photon. At the end of the process the atom is returned to its original state. Only processes which conserve photon momentum will leave the medium unchanged, thus the strong, coherent effect will produce tight photon-momentum correlations. What is perhaps less obvious is that the photons must interact with the atom in quick succession or else leave a trace on the medium. Roughly speaking, if the atom carries the momentum q for long enough that it is displaced by more than the atom's thermal coherence length, the state of the medium has been changed, not by a momentum kick, but by a displacement. Thus the coherent process also produces tight time correlations.
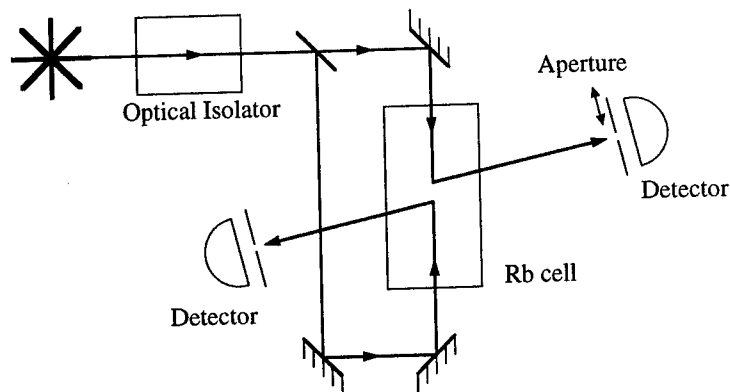
Figure 2: Experimental setup.

4 Experiment

Experiments are in progress at the time of this writing. A schematic of the experiment is shown in Figure 2. We use a diode laser to produce counterpropagating beams tuned near, but not on, the D2 resonance of rubidium. The scattering products are detected by single-photon counting modules (silicon avalanche photodiodes run in Geiger mode), and their time correlations are registered by a time-interval counter with an accuracy of ~ 100ps. Momentum correlations are determined by scanning an aperture in front of one of the detectors.

References

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Photon number squeezing of optical pulses using a simple asymmetric fiber loop

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Generation of amplitude-squeezed states using the Kerr nonlinearity in optical fibers has been recently demonstrated in a novel scheme employing soliton propagation followed by spectral filtering [1,2]. The possibility of significant noise reduction in direct detection of optical pulses can have important applications for soliton communication systems. Recently, it has been proposed that amplitude-squeezed pulses can be produced by interference between the counter-propagating fields in an asymmetric fiber Sagnac loop [3,4]. Though optimal for soliton pulses, the theory predicted a significant noise reduction for Gaussian pulses as well. In the present paper we experimentally demonstrate this approach

The experimental setup is shown in Fig. 1. A Spectra-Physics OPO is used as a source of 200-fs (FWHM) Gaussian optical pulses at repetition rate of 82 MHz, and centered at 1550 nm. For the Gaussian pulses, the corresponding dispersion length in a standard polarization maintaining (PM) fiber ($\beta'' = -19$ ps^2/km) is about 76 cm, or in soliton terms, to a 1.2m soliton period. The average power required to produce a fundamental (N=1) is 26mW. We use an asymmetric Sagnac loop configuration, where the light is split by an 82/18 free space beamsplitter and then coupled into the two ends of a 3.5m standard PM optical fiber. The experiment is not critically sensitive to the splitting ratio, so the coupling was varied until

the optimal squeezing was observed for the input splitting of 88/12.
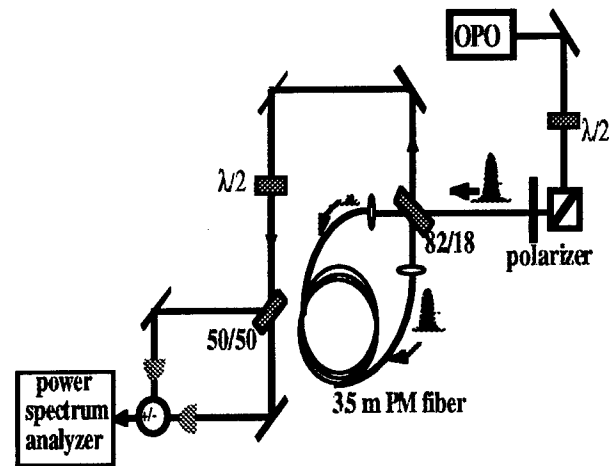
Fig. 1. Experimental Setup.

With such highly asymmetric splitting, most of the energy propagates in the 88% reflection arm. The optical pulse acquires a significant nonlinear phase shift propagating through nearly three soliton periods, and its noise properties are modified in accordance with the quantum nonlinear Schrödinger equation [3,4]. The field in the 12% transmission arm is a dispersive wave which propagates linearly in the fiber loop. Polarization is carefully controlled at all stages to assure optimal interference. The photocurrent fluctuations associated with the pulse, resulting from the interference of the two counter-propagating fields in the loop, are measured by a balanced receiver followed by a power spectrum analyzer. The subtraction mode of the receiver is utilized for shot noise calibrations. The summing mode is used for direct detection of the amplitude fluctuations. Using a balanced receiver is a convenient way of keeping the maximum power falling on the photodiodes (Epitaxx ETX-1000T) below saturation values. In order to avoid the saturation of electronics, the overall bandwidth

of the receiver is limited to 35 MHz. Noise measurements were performed with an HP3588A power spectrum analyzer operated in the 'zero span' regime in a narrow-band interval centered around 5 MHz with the resolution bandwidth of 17 kHz.

Several calibrations were performed to accurately establish relevant noise levels. Subtracting the photocurrents eliminates classical fluctuations present in the laser signal with an extinction ratio of about -25dB, and the measured noise levels following this subtraction accurately represent the shot noise level. These values were confirmed to within 0.1 dB by plotting the noise levels versus incident optical power for the above experimental setup as well as in free space. The sum of the photocurrent fluctuations as a function of incident power measured with free space propagation represents the classical noise inherent in the laser signal which can be more than 3 dB above the shot noise levels.

The results of the squeezing experiment are shown in the Fig. 2. Figure 2(a) shows the shot noise level, the classical noise, and the noise variations due to squeezing and anti-squeezing all as a function of the incident optical power. Figure 2(b) shows only the two latter noise levels normalized to the shot noise. We observe three squeezing resonances (at 27mW, 46mW, and 60mW) with the larger amounts of squeezing corresponding to larger incident powers. The largest resonance occurs at the input power into the loop of 60mW, which corresponds to an approximate N=1.52 in soliton units. The reduction below shot noise is measured to be 3.7 dB (57%). Taking into account 88% overall detection efficiency including detector quantum efficiencies an the

beam overlap, and 8% reflection losses at the beamsplitters, this corresponds to 5.3 dB (70%) reduction.
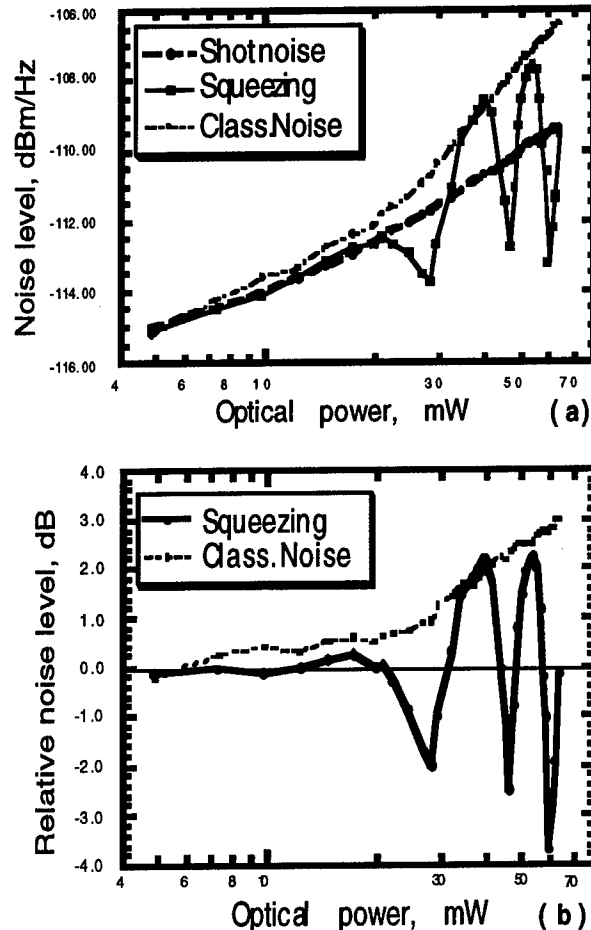
Fig. 2. Absolute (a) and relative (b) noise levels vs. optical power incident into the 88% reflection port.

This result is in very good agreement with numerical simulations done by Werner for N=1.55 Gaussian pulse propagating through 3 soliton periods in a loop with a 90/10 splitting ratio [5]. We also note that an important practical advantage of this squeezing scheme is the removal of classical noise inherent in the laser signal. For the largest squeezing resonance at 60 mW incident power, we observe more than 3 dB of classical noise reduction. Our experiment demonstrates the removal of

classical noise in addition to the reduction in quantum photon number fluctuations.

The Raman effect in fibers has been predicted to limit the amount of squeezing observed for longer propagation lengths [4]. We performed additional experiments with different fiber lengths (2.5 and 9 meters). The maximum amount of squeezing measured with the shorter 2.5m loop was approximately 1.7 dB and with the longer 9m loop, the squeezing saturated at 2.5 dB. These measurements demonstrate that the squeezing indeed increases with the propagation distance but is reduced for longer fiber lengths as the Raman effect plays a more dominant role. This phenomenon is currently under further theoretical and experimental investigation.

The amount of photon number quantum noise reduction measured is within 0.5 dB (10%) of the theoretically predicted value for Gaussian pulses. These results lead us to expect even greater squeezing with soliton sech-shaped pulses which is predicted to exceed 10-dB levels.

In conclusion, we have experimentally demonstrated the new scheme to produce non-classical states of light in a highly asymmetric Sagnac loop and measured a 3.7-dB (5.3 dB accounting for losses) reduction in photon-number fluctuations of Gaussian pulses. The scheme is also useful in removing classical noise from the signal, and appears to be limited by the Raman effect for longer propagation distances.

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Pattern formation and competition in nonlinear optics: multiscaling and complex behavior

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And
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Pattern formation results from the combination of a local nonlinear dynamics with space gradient terms. In biology and chemistry these latter ones are due to diffusion. In optics, a crucial role is played by diffraction.

We focus our attention on a passive system consisting of a thin Kerr cell in an optical feedback configuration. As the intensity of an impinging plane wave is increased, the transverse pattern on the cell entrance bifurcates from a uniform front to patterns of different symmetries depending on the type of feedback. We call "phases" the various symmetries, by analogy with liquid mixtures.

For standard Kerr media, the threshold intensities are of the order of kW/cm^2. Using a LCLV (Liquid Crystal Light Valve), the threshold reduces to some milliwatts/cm^2.

In the past we have explored the instabilities close to threshold, corresponding to the onset of a single phase covering the whole transverse domain of linear size $\ell = 2.5\ cm$ [1] . Since the characteristic scale of diffraction ruled patterns is $\ell_1 = \sqrt{\lambda L}$, where $\lambda \cong 0.5\ \mu m$ is the laser wavelength and $L \cong 10 - 10^2$ cm is the free propagation length within the feedback loop, the aspect ratio $(\ell / \ell_1)^2$ can be of the order of 10^2 to 10^4. Notice that $(\ell / \ell_1)^2$ is the Fresnel number of the optical configuration, and intuitively it is the number of separate bright spots visible in the pattern.

This way, we have shown evidence of optical 2D periodic and quasi-periodic patterns (crystals and quasi-crystals) [2].

When many phases are simultaneously above threshold, three scenarios can occur, namely:

i) space-time chaos, whereby many phases compete [3];
ii) stationary single phase configuration due to mode locking, that is, to locking in phase of the Fourier components relative to different phases [4];
iii) stationary coexistence, whereby different phases are localized in different regions of the available space, with stable interfaces [5].

The third scenario is the space equivalent of the heteroclinic connection which rules periodic and chaotic alternation in time [6].

A laboratory implementation of the third scenario is realized by a LCLV system with a lateral shift in the feedback loop. This shift is equivalent to introducing into the dynamical equations a drift term with a velocity V along the direction x. The most elementary phase competition is made of two phases of amplitude a and b, ruled by the following equations [5]

$$\left(\partial_t - V\partial_x\right)a = \left(\lambda - \alpha|a|^2 - \beta|b|^2\right)a + D\nabla^2 a$$
$$\left(\partial_t - V\partial_x\right)b = \left(\lambda - \alpha|b|^2 - \beta|a|^2\right)b + D\nabla^2 b.$$

Within the time ℓ/V it takes for the lateral drift to span the whole available space, diffusion D spreads a point-like excitation to a spot of size $\ell_D = \sqrt{2D\ell/V}$. The figure shows a numerical solution of the equations and the corresponding experimental results.
The number of different domains of one phase increases with the length ratio

$$\eta = \frac{\ell}{\ell_D} = \sqrt{\frac{\ell V}{2D}}$$

For $\eta \gg 1$ we observe many domains of different size s. The number distribution N(s) scales as $s^{-\alpha}$ where α is close to 1. This is a case of space intermittency which suggests analogies with other physical situations going under the general name of self organized criticality (SOC) [7].

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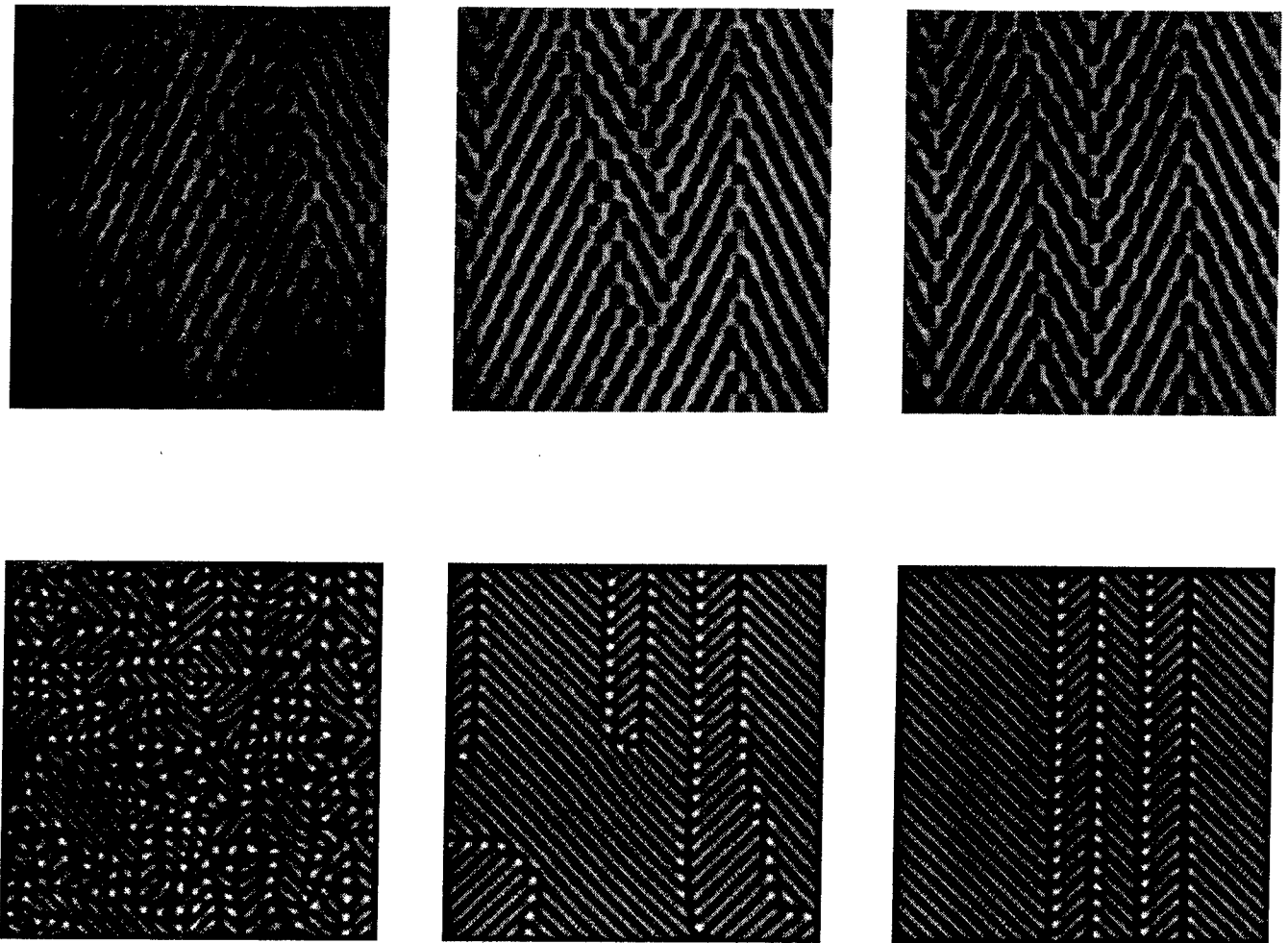
Fig. 1. Transient build up of a two phase pattern in a LCLV system with a lateral drift Δx (vertical) in the feedback loop.

Upper row: experimental; lower row: numerical solution starting from random initial conditions.

From left to right: increasing times toward the stationary states (rightmost pictures)

Technically, the stripe tilt corresponds to an angle $\pm\Phi=\arccos\left(q\Delta x\right)$ where $q = 2\pi / \ell_1$ is the wavenumber associated with the stripe separation.

Ultrafast Optical Nonlinearities of Metal Colloids

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The optical properties of metal nanoparticles have been the subject of intensive research due to the electric field enhancement associated with the excitation of surface plasmons (SP) [1]. These field enhancement effects determine the linear and nonlinear optical properties of the metal nanoparticles and can be used to manipulate the optical properties of molecules located in their near-field. The most prominent example is the dramatic enhancement of molecular Raman signals (surface enhanced Raman scattering: SERS) [2].
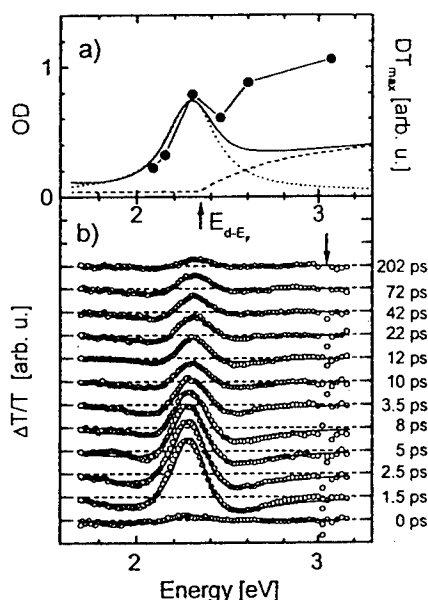
Fig.1: a) Absorption spectrum of a thin dielectric film containing gold colloids together with the maximum DT-signal. b) DT-signal versus probe photon energy for various time delays. The arrow indicates the central photon energy of the pump pulse.

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I will address various aspects of the nonlinear optical response of metal nanoparticles. Femtosecond white-light pump and probe experiments performed on gold colloids embedded in a dielectric thin film show that a spectral broadening of the SP resonance is the dominant optical nonlinearity [3]. The experimentally determined differential transmission (DT) spectra are shown in Fig.1. This enhanced damping of the plasmon oscillation is a direct consequence of optically induced heating of the electron distribution. The Fermi edge smearing associated with this heating process results in an enhanced probability for electron scattering between states in the vicinity of the Fermi energy. The dots in the linear absorption spectrum (Fig.1a) show the dependence of the DT-signal on the pump photon energy providing important insights into the heating mechanism [3].
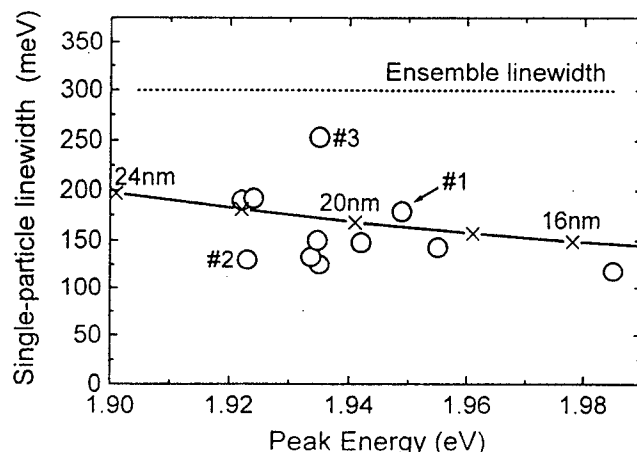
Fig.2: Linewidth versus peak energy determined from the near-field spectra of individual nanoparticles (circles). Solid line: theoretical results for gold spheres of different radii. Dashed line: ensemble linewidth (300 meV) as determined from the far-field extinction spectrum.

The nonlinear optical transmission spectra of Fig.1 show an increased broadening of the homogeneous linewidth, i.e. an optically induced shortening of the SP dephasing time T_2. In order to draw any conclusions from the change in T_2 it is necessary to know the homogeneous linewidth of the SP resonance in the linear optical spectrum. This dephasing time

is also the limiting factor for the electric field enhancement achievable in the near-field of a metal nanoparticle. Using a near-field optical antenna effect, we recently managed to observe SP resonances of single gold colloids [4]. The individually measured homogeneous linewidths are plotted as hollow circles in Fig.2 for eleven gold colloids versus the individually observed photon energy of the SP resonance. The dotted line indicates the overall ensemble linewidth (300 meV) determined by far-field transmission spectra. The solid line shows the result of a Mie-theory calculation using the bulk dielectric function of gold. As indicated the energy dependence of the calculated homogeneous linewidth is due to varying sizes of the spherical particles. The gradual increase in the linewidth with growing particle size is caused by an increase in radiation damping. For the majority of particles, the measured linewidths are relatively close to the results of the calculation. Larger deviations, like that of particle #3, are interpreted by assuming particle-to-particle variations in the local nanoenvironment [4].

Finally, I will present recently obtained results on the nonlinear optical interplay between different plasmons present in silver nano-ellipsoids.

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Nonlinear Optics of Random Metal-Dielectric Films

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The optical properties of random metal-dielectric thin films (referred also to as semicontinuous metal films) are of great interest, in large part because of their high potential for various applications [1,2]. Two-dimensional ($2d$) semicontinuous metal films are usually produced by thermal evaporation or sputtering of metal onto an insulating (dielectric) substrate. In the growing process small metallic grains are formed on the substrate first. As the film grows, the metal concentration increases and coalescences occur, so that irregularly shaped clusters are formed on the substrate eventually resulting in $2d$ fractal structures. At the percolation threshold, the sizes of the fractal structures diverge and a continuous conducting path of metal appears between the ends of the sample. At higher surface coverage, the film is mostly metallic, with voids of irregular fractal shapes. As further coverage increases, the film becomes uniform.

For most of metals the real part, ε'_m, of the complex dielectric constant, $\varepsilon_m = \varepsilon'_m + i\varepsilon''_m$, is negative (and large in modulus) in the visible and infrared spectral ranges, whereas the losses are small, $\kappa = \varepsilon''_m/|\varepsilon'm| \ll 1$. At the percolation, the effective dielectric constant $\varepsilon_e = \sqrt{\varepsilon_m \varepsilon_d}$, is purely imaginary, if we neglect the metal losses and possible small losses in the dielectric substrate with the dielectric constant ε_d. Therefore, a film consisting of a loss-free metal and dielectric grains is absorptive. The effective absorption in a loss-free film means that the electromagnetic energy is stored in the system and thus the local fields could increase unlimitedly; in reality, the local fields in a metal film are, of course, finite because of the small losses. From this simple consideration, one anticipates very strong field fluctuations in random metal film with small losses [3].

We calculated the field distributions on a semicontinuous film at the fundamental and generated (in nonlinear optical processes) frequencies using a very effective numerical method based on the real space renormalization group (RSRG) approach. Our RSRG calculations demonstrate the large fluctuations for the intensity of the local electric fields. For example, for silver semicontinuous films the field intensity $I(x,y)$ is up to $\sim 10^5$ (the applied field $E_0 = 1$) in the peaks and $\sim 10^2$, on average, for the wavelength $\lambda = 20\mu m$, at the percolation threshold, $p = p_c$, where p is the film fraction filled by metal (see Fig. 1a). The local intensity, $I(x,y)$, at the fundamental frequency is highly inhomogeneous in space and concentrated in sharp well-separated peaks. The local fields are enhanced because of the excitation of the semicontinuous film eigenmodes. With increase of λ, both the peak heights and their spatial separations increase.

The spatial distribution of the local second-harmonic-generation (SHG) enhancement is shown in Fig. 1b. One can see that in the "hot" spots the enhancement can reach values up to 10^{15} (whereas the average enhancement is by many orders of magnitude less). This makes possible to perform the local SHG-based nanospectroscopy of single molecules and nanoparticles on a semicontinuous metal film.

To theoretically describe the field distributions over the system at small losses ($\kappa = \varepsilon''_m/|\varepsilon'm| \ll 1$), we apply the renormalization procedure based on dividing a system into squares of size l and considering each square as a new renormalized element. At the percolation, the effective dielectric constant of a "conducting" square, $\varepsilon^*_m(l)$, decreases with increasing its size, l, as $\varepsilon^*_m(l) = (l/a)^{-t/\nu_p}\varepsilon_m$, whereas the effective dielectric constant of a "dielectric" square, $\varepsilon^*_d(l)$, increases with increasing l as $\varepsilon^*_d(l) = (l/a)^{s/\nu_p}\varepsilon_d$, where t, s, and ν_p are the critical exponents for the static conductivity, dielectric constant, and the percolation correlation length, respectively, ($t \approx s \approx \nu_p = 4/3$, for $d = 2$), and a is the grain size [1]. We set now the square size, l, to be equal to $l = l^* = a\kappa^{\nu_p/(t+s)}$. Then, in the renormalized system, where each square of the size l^* is considered as a single element, the ratio of the dielectric constants of these new elements is equal to $\varepsilon^*_m(l^*)/\varepsilon^*_d(l^*) \cong \varepsilon_m/|\varepsilon'_m| = -1 + i\kappa$. Thus, for the renormalized elements, we have the "resonance" situation that can be thought of as a resonance of the $L - R$ and C elements (associated with the conducting and dielectric clusters, respectively) in the effective LRC-contours corresponding

to the renormalized l^* elements [3]. Accordingly, the local electric fields, $E^*(l^*)$, are significantly enhanced in comparison with the applied field, E_0, in the renormalized system. The local fields $E^*(l^*)$ for the resonance ratio $\varepsilon_m^*(l^*)/\varepsilon_d^*(l^*) \approx -1$ are approximated as $E^* \cong E_0 \kappa^{-\gamma/2} \gg E_0$, where $\gamma \approx 1$ [3].
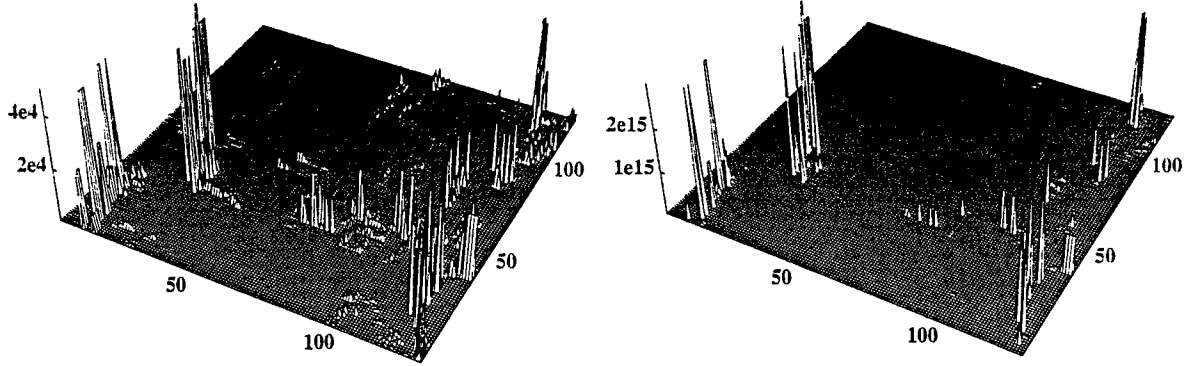
Fig. 1. Distributions of the local enhancements on the semicontinuous silver film **a)**: for the fundamental wave and **b)**: for the SHG signals at the incident wavelength $\lambda = 20\mu m$. One unit is 10 nm so that the film size is $\sim 1\mu m$.

In the renormalized system the local fields $\mathbf{E}^*(\mathbf{r})$ are still strongly inhomogeneous. The spatial scale ξ_e^* for the field fluctuations in the renormalized (resonance) system has been estimated in [3] as $\xi_e^* \propto \kappa^{-\nu_e}$, where the critical exponent $\nu_e \approx 0.5$. Therefore, the field distribution in the renormalized system might be thought of as a set of peaks with amplitudes $E_m^* \cong E^*(\xi_e^*/a)$ separated by the distances ξ_e^* so that $E^{*2} \cong \left\langle |\mathbf{E}^*(\mathbf{r})|^2 \right\rangle \cong E_m^{*\,2}/(\xi_e^*/a)^2$.

Now we can estimate the fields in the original system. The average distance ξ_e between the field maxima in the original system is $\xi_e \cong \xi_e^* l^*/a \cong \kappa^{-\nu_e} l^* \cong a(|\varepsilon_m'|/\varepsilon_m'')^{\nu_e}(|\varepsilon_m'|/\varepsilon_d)^{\nu_p/(t+s)}$, which is much larger than the grain size, $\xi_e \gg a$.

We consider now a resonant square containing two conducting clusters of the size $\sim l^*$. The potential drop across the gap between the clusters is $U^* \sim E_m^* l^*$, and the local field concentrates in the points of the closest approach of the clusters where the gap shrinks to a. In these points the local field acquires the largest values $E_m \cong E_m^*(l^*/a) \sim E^{(0)}(|\varepsilon_m'|/\varepsilon_m'')^{\gamma/2+\nu_e}(|\varepsilon_m'|/\varepsilon_d)^{\nu_p/(t+s)}$. The points of the closest approach determine the gap capacity conductance $\Sigma(l^*)$ that depends on the cluster size l^*. Therefore, the number $n_c(l^*)$ of the points of the closest approach scales with size l^* in the same way as the conductance of the resonant cluster $\Sigma(l^*)$, namely $n_c(l^*) \sim \Sigma(l^*) \sim \varepsilon_d^*(l^*) \sim l^{*s/\nu_p}$.

The following pattern of the local field distribution emerges from these speculations: The largest local fields are concentrated in resonant clusters of the size l^*; because of the interaction between the resonance clusters, the areas with high local fields are separated in distance by the field correlation length $\xi_e \gg a$, which also exceeds l^*. Within each resonant area l^* there are $n_c(l^*)$ of sharp peaks with the amplitude $E_m(l^*)$. This field pattern can be tracked in Fig. 1a.

From this pattern of the local field distribution we obtain the following estimate for the high-order moments of the local fields in semicontinuous metal films: $\langle |\mathbf{E}(\mathbf{r})|^n \rangle \sim E_m^n n_c(l^*)/\xi_e^2$. Substituting in this equation the above expressions for the field amplitude E_m, the field correlation length ξ_e, and the number of maxima in one resonance cluster $n_c(l^*) \sim l^*$, we obtain

$$G_n = \langle |\mathbf{E}(\mathbf{r})|^n \rangle \Big/ \left| \mathbf{E}^{(0)} \right|^n \simeq \left(|\varepsilon_m'|/\varepsilon_d \right)^{n\nu_p/(t+s)-(2\nu_p-s)/(t+s)} \left(|\varepsilon_m'|/\varepsilon_m'' \right)^{n(\gamma/2+\nu_e)-2\nu_e}.$$

The quantity G_n characterizes enhancement of an optical nonlinearity of the n-the order due to the high local fields on a semicontinuous metal film. For a $2d$ system, $t \approx s \approx \nu_p = 4/3$ [1].

Using these critical indices and $\gamma = 1$, $\nu_e = 0.5$, we find $G_n \simeq (|\varepsilon'_m|/\varepsilon_d)^{(n-1)/2} (|\varepsilon'_m|/\varepsilon''_m)^{n-1}$. For the Drude metal and sufficiently small frequencies, $\omega \ll \omega_p$ the above formula simplifies to $G_n \simeq \varepsilon_d^{(1-n)/2} (\omega_p/\Gamma)^{n-1}$, where ω_p is the plasmon frequency and Γ is the relaxation rate. From this equation it follows that for frequencies $\omega \ll \omega_p$ the local field moments are extremely large and independent of the frequency. For silver semicontinuous films ($\omega_p = 9.1$ eV, $\Gamma = 0.021$ eV) on a glass substrate ($\varepsilon_d = 2.2$), we obtain the following estimate $G_n \simeq 3 \cdot 10^2$, $8 \cdot 10^4$, $2.5 \cdot 10^7$, $7 \cdot 10^9$ and $2 \cdot 10^{12}$ for $n = 2, 3, 4, 5$ and 6, respectively. Note that the local enhancements in the peaks, $|E_m/E^{(0)}|^n$, are by many orders of magnitude larger than the above average values for the enhancement.

In Fig. 2, results of our numerical calculations of the high-order field moments for silver semicontinuous films are compared with predictions of the above scaling formula. We see that there is excellent agreement between the scaling theory and numerical simulations.
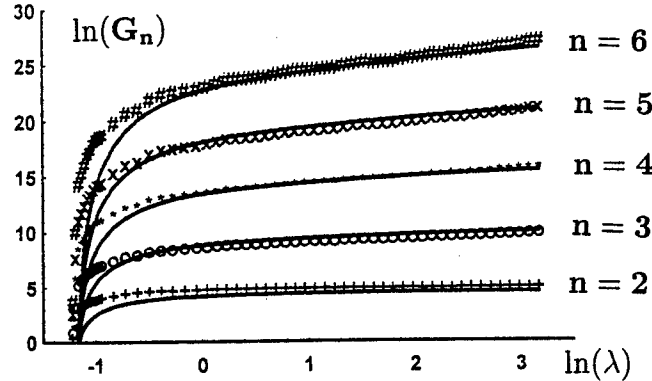
Fig. 2: The average enhancement of the n-th order optical nonlinearity, G_n, in a silver semicontinuous film as a function of the frequency at $p = p_c$ (λ is given in μm.)

The above estimates for the field moments can be used, for example, for Raman scattering. Although Raman scattering is a linear process, its enhancement for small Stokes shifts is proportional to $|\mathbf{E}|^4$ [3] (in this sense RS is similar to nonlinear processes), i.e., $G_{RS} = G_4 \sim |\varepsilon'_m|^{9/2}/\varepsilon_d^{3/2}\varepsilon''^3_m$. The above scaling formula for the average SERS from random metal dielectric films describes well the recent experimental observation of the French group from Versailles [3].

The above estimations for enhancements were found at the percolation threshold, i.e., at $p = p_c$. Indeed, they are also valid in some interval $\Delta p = p - p_c$ in a vicinity of p_c, when the size l^* is smaller than the percolation correlation length, $\xi_p \cong a|\Delta p|^{-\nu_p}$. Equating the values of l^* and ξ_p, we obtain the estimation for the concentration range where the estimations are valid: $\Delta p \leq \Delta^* = (\varepsilon_d/|\varepsilon'_m|)^{1/(t+s)}$. Using the optical constants for silver and glass we find that $\Delta p \approx 0.5$ for $\lambda = 0.5\mu m$; the interval Δp where the enhancement occurs shrinks toward the infrared.

To summarize, the strongly fluctuating fields associated with the sharp peaks in various random parts of a percolating film, result in giant enhancements of nonlinear optical processes since they are proportional to the enhanced local fields raised to some high power higher. Because the "hot" spots are localized in nm-sized areas and provide giant enhancement in their locations, a fascinating possibility of *nonlinear* nano-spectroscopy of single molecules becomes feasible.

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Effect of Percolation on the Cubic Susceptibility
of Metal Nanoparticle Composites

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Summary:

It is well known that one of the most unique and interesting properties of metal colloids arises at the surface plasmon resonance where the dipole moment of the particle becomes large and the local field is enhanced in magnitude in comparison to the applied field. Less discussed is the fact that the local field may also be shifted in phase with respect to the applied field. Previously, we demonstrated that a counterintuitive consequence of these local field effects occurs in metal colloids at the surface plasmon resonance[1]. Remarkably, although $\chi^{(3)}$ is positive for each component by itself, $\chi^{(3)}$ may be negative for the colloid as a whole. There are thus two metal volume fill fractions where $\chi^{(3)} = 0$.

This counterintuitive consequence arises because at the surface plasmon resonance the optical properties of the insulating host and the metal inclusions are linked by the relation

$$\varepsilon_i'(\omega_s) = -2\varepsilon_h' \tag{1}$$

where ω_s is the surface plasmon resonance frequency, primed quantities are real, and double primed quantities are imaginary. This resonance condition modifies the local field factor making it mainly imaginary. The sign reversal in $\chi^{(3)}$ is therefore a result of the fact that at the surface plasmon resonance the local field factor has an imaginary component corresponding to a phase shift between the applied field **E** and the local field inside the particle **e**.

This phase shift is partly determined by the selective coupling of *p*-polarized photons into plasmons at the surface and partly by refraction at the metal interface, which in turn is determined by absorption in the host and the conductivity of the surface. Thus, to the extent that percolation affects the conductivity of the surface it also determines the phase shift between **e** and **E**.

Our hypothesis is that the sign reversal in $\chi^{(3)}$ that occurs at higher fill fractions is associated with the percolation threshold. We apply generalized two- and three-dimensional Maxwell Garnett and Bruggeman geometries[2,3,4] to the case of these metal nanoparticle composites and use complex linear and nonlinear constituent susceptibilities to determine the complex composite nonlinear susceptibility. Because the nonlinear response in small metal particles arises primarily from absorptive mechanisms[5] the sign reversal is most evident in the imaginary part of $\chi^{(3)}$.

The generalized Bruggeman theory predicts a sign reversal in the imaginary part of $\chi^{(3)}$ at fill fractions of $f = 0.25$ and $f = 0.42$ for three- and two-dimensional systems, respectively, as shown in Figure 1. These values increase when the real part of the cubic susceptibility of the metal inclusions is made to be significant in comparison to the imaginary part. Similarly the generalized Maxwell Garnett theory predicts a sign reversal at $f = 0.27$ and $f = 0.51$ for three- and two-dimensional systems, respectively, as shown in Figure 2. Bond percolation has been shown to yield percolation threshold volume fractions of $p_c = 0.25$ on a 3D cubic lattice and $p_c = 0.5$ on a 2D square lattice[6]. The sign reversal in the imaginary part of $\chi^{(3)}$ as predicted from these theories therefore occurs in the vicinity of the critical volume fraction as determined from percolation theory for both two- and three-dimensional systems.
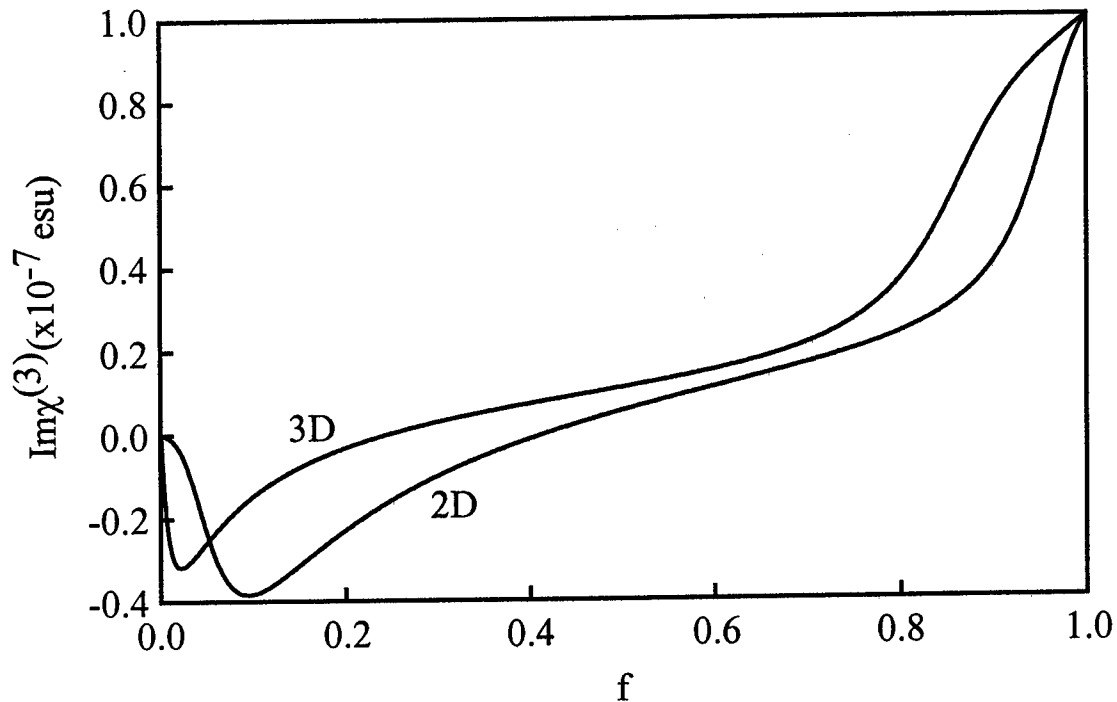
Figure 1. Imaginary part of the effective cubic susceptibility determined from the nonlinear Bruggeman model for two- and three-dimensions.
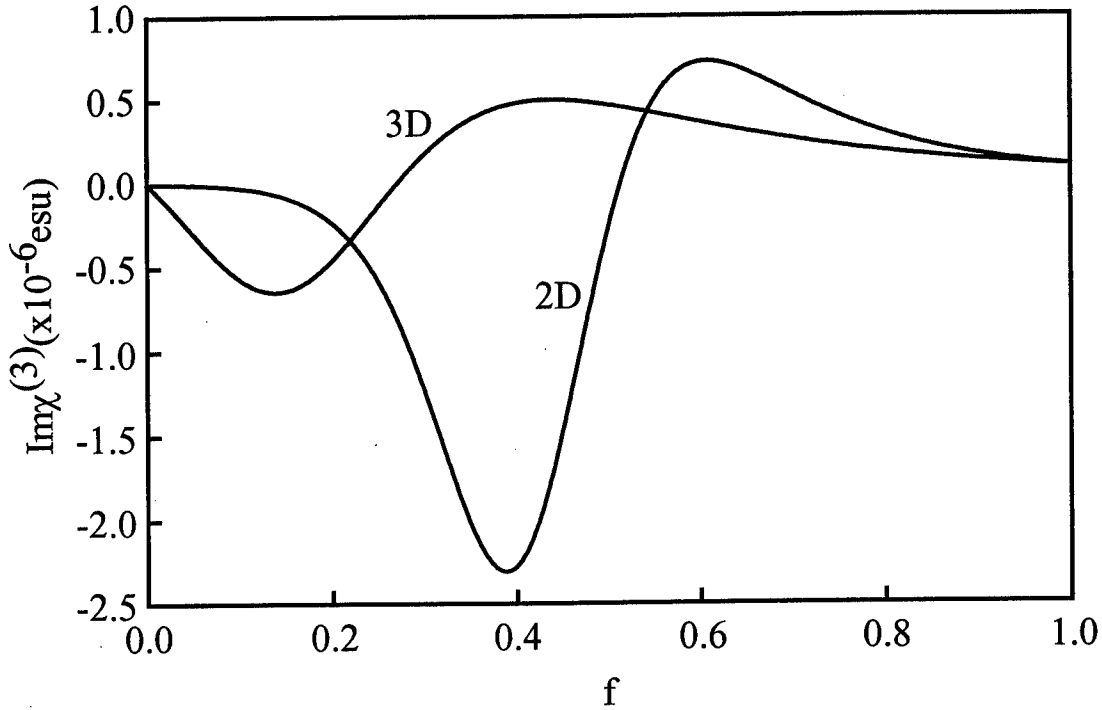
Figure 2. Imaginary part of the effective cubic susceptibility determined from the nonlinear Maxwell Garnett model for two- and three-dimensions.

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Surface plasmon and off electron Dynamics in metal nanocrystals and films

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The study of electron dynamics in metals in bulk or confined space has been of central importance not only regarding the understanding of their conductivity properties and the applications they engender but also because they constitute a key example for irreversible dynamics in a system that in many respects can be considered[1,2] as ideal. Recently with the progress in femtosecond laser techniques one can address[3] their dynamics in time scales close to the very early off equilibrium stages and single out the processes that lead to their thermalization. These aspects although of key importance in testing the Fermi liquid theory were only indirectly addressed[1,2] before the advent of ultrashort laser pulse techniques. Furthermore with the growing interest for the electron behavior in nanometer size structures the interplay between temporal and special aspects in the thermalization process has moved into the forefront of these studies coupled with the study of the optical nonlinearities of the metallic nanostructures, in particular the metal nanocrystals in glasses.

Here we summarize the most recent studies of the photoinduced nonlinearities in noble metal nanostructures in glasses which establish the key role played by the surface plasmon resonance (SPR) through the dielectric confinement and that of the hot electron mechanism[4]. We exploit this sensitivity to these features to address the evolution of the off electron dynamics as well as that of the surface plasmon, a collective dipolar oscillation of the electrons in the nanocrystal which incorporates their interaction with the interface.

A two-color femtosecond pump-probe technique with 18-25 fs resolution was used to study both the on and off surface plasmon resonance regimes and also the relevance of the interband transitions. In this respect the case of silver was singled out because there the SPR is well separated from the interband transitions and the transient behavior of both can be studied in well defined conditions. A transient shift and broadening of the SPR is observed and related

to the optical Kerr effect and the modification of the electron-electron scattering mechanisms respectively. Furthermore the electron-phonon coupling through the deformation potential is clearly evidenced.

This intrinsic modification of the electron electron scattering time and in particular a transient acceleration of the electron-electron interactions during the electron gas internal thermalization were also strikingly evidenced[5] in thin metal films using the same pump probe technique.

This acceleration of the effective electron gas-lattice coupling is a direct consequence of the non instantaneous intermal thermalization of the electron gas and can also be quantitatively accounted for by computing the electron gas relaxation dynamics using the Boltzman equation for electrons in noble metals and including electron-electron and electron-phonon scattering

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Investigations of GaP for Terahertz Wave Generation
Using Quasi-phasematched Difference Frequency Mixing

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1.0 Introduction

There is considerable interest in the scientific community in developing compact, solid-state, far-infrared sources. A technique to produce terahertz sources that has been regaining interest is the use of nonlinear crystals[1,2]. In our studies, undoped, high-resistivity GaP crystal is being investigated as a nonlinear element in a difference frequency generation (DFG) scheme to generate a tunable, narrow-band, FIR idler wave using near-infrared (NIR) pump and signal sources[3].

2.0 Difference Frequency Generation

In the following analysis we are considering a first-order quasi-phasematched (QPM) nonlinear interaction involving a *pump* wave at frequency ω_1, a *signal* wave at frequency ω_2 and an *idler* wave at frequency ω_3, and where $\omega_3 = \omega_1 - \omega_2$. The pump and signal waves are incident upon a QPM stack of diffusion-bonded GaP plates. For a first order QPM interaction[4], the intensities of the signal and idler waves after traversing the crystal of length L are given by,

$$I_2 = I_{20} \cosh^2(\Gamma L)$$
$$I_3 = I_{20} \sinh^2(\Gamma L)$$

(1)

where I_{20} is the incident signal intensity and $L = N \cdot l_c$ is the length of the QPM stack, N is the number of layers in the stack and $l_c = \pi/\Delta k$ is the coherence length, which is given by $\Delta k = k_1 - k_2 - k_3$. Γ is the gain and is given by,

$$\Gamma^2 = \frac{8\pi^2 (\frac{2d_{eff}}{\pi})^2}{c\varepsilon_0 n_1 n_2 n_3 \lambda_2 \lambda_3} I_{10}$$

(2)

We calculated l_c to be ~1.5 millimeters for the interaction involving pump and signal wavelengths of 1.064 and 1.072 micrometers, respectively, using Sellmeier equations fit to experimental values for GaP taken in both the NIR and FIR[5]. The generated FIR power at 1.9 THz was calculated assuming a GaP crystal of 12.5 millimeters length ($N \cong 8$) and a constant signal intensity of 12kW/cm^2.
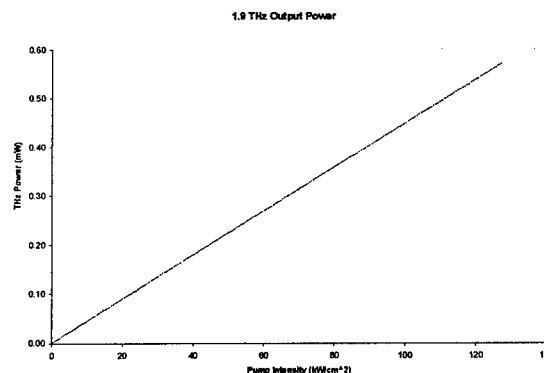
Figure 1. FIR Output Power as a function of Pump Intensity

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3.0 GaP Optical Properties

GaP is a cubic, III-V semiconductor crystal with a large nonlinear susceptibility ($d_{14} = 37$ pm/V) and a high transmission in the NIR. High Resistivity GaP is available in cylindrical boules that are 50 mm diameter x 75 mm long. In this paper, we report new measurements of the FIR transmission, damage threshold and optical quality of undoped, high-resistivity GaP single crystal.

3.1 FIR Transmission

We measured the FIR absorption coefficient of two samples of GaP cut from opposite ends of the same ultrahigh purity GaP boule. The resistivity of the boule varied from 10^9 Ohm-cm to greater than 10^{12} Ohm-cm end-to-end. The absorption coefficient was calculated using low-resolution FTS transmission spectra using the full multiple reflection formula. As was expected, samples of GaP with larger resistivity showed superior FIR transmission, especially at the longer wavelengths.
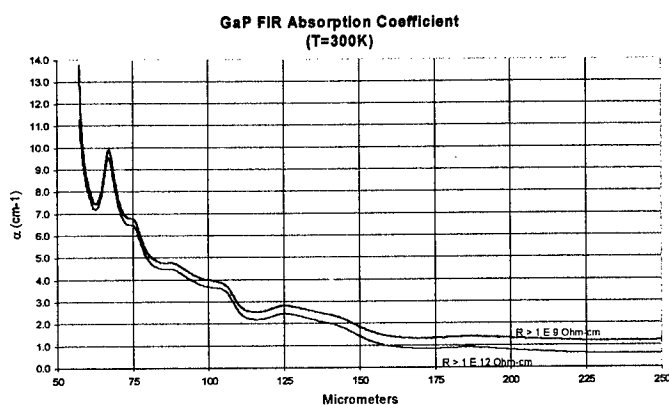
Figure 2. GaP FIR Absorption Coefficient

3.2 Damage Threshold

Optical damage experiments were carried out on GaP using three different sources; a continuous-wave CO_2 laser, a single-frequency Nd:YAG laser with a 3ns-pulse width, and a multi-mode Nd:YAG with a pulse width that could vary from 10 to 50 ns. All lasers had fairly Gaussian beam profiles and the crystal samples were all finely polished with very parallel faces.

The crystal did not damage when subjected to the cw CO_2 laser, even at maximum power using the shortest focal length lens available. The damage threshold for the pulsed Nd:YAG lasers was defined by whether the crystal withstood 3 hours of operation at a 10 Hz repetition rate. Damage always occurred on the front face. In the tests with the single-frequency 3ns Nd:YAG laser, although the incident beam had a TEM_{00} spatial mode, the damage pattern appeared as an interference (fringe) pattern similar in form to the beam exiting the crystal sample. We believe that the GaP is acting as a cavity with a Finesse of $\mathcal{F} \sim 2$ in the test with the single-frequency Nd:YAG laser. Therefore, we believe this test underestimates the real damage threshold by a factor of ~ 2.

	Pulse width	Energy	Peak Power	Beam Diameter (cm)	Damage Threshold (MW/cm^2)
[1]Nd:YAG	3 ns	6 mJ	2 MW	0.6	14.1
Nd:YAG	10 ns	25 mJ	2.5 MW	0.6	17.7
Nd:YAG	50 ns	50 mJ	1 MW	0.6	7.1
[2]CO_2	--	--	20 W	0.007	> 1

[1] Value is suspected low, [2] Crystal not damaged

Table 1. Optical damage thresholds for various lasers and laser pulse widths.

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3.3 Optical Quality

To the naked eye, the GaP samples had little visible defects or scattering centers. The GaP was placed between crossed polarizers designed for use with Nd:YAG and the sample was illuminated with a Nd:YAG laser whose beam had been blown up to a 15 mm diameter. In the figures below, light colored areas indicate regions of the GaP crystal with a slight birefringence, thus indicating a region of internal strain. Although the strain is clearly visible, measurements of the extinction ratio of the crossed polarizer system with and without the GaP in place showed that the extinction dropped from 5000:1 to 100:1 so that the crystal is near strain-free.
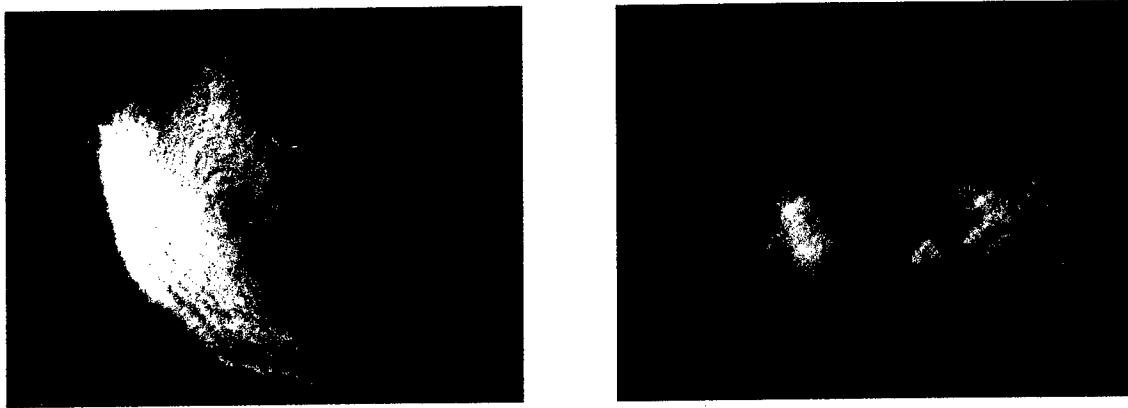
Figure 3. Strain in GaP

4.0 Conclusions

Standard models predict that GaP can produce terahertz waves using near-IR pump and signal sources. Materials analysis results on samples of undoped, high resistivity GaP support this assertion and show room for improvement. Current work includes creating the quasi-phasematched structure with the GaP crystal, a technique that has already been developed by Stanford University for GaAs[6], and performing a proof of concept demonstration using 3ns pulsed laser and OPPO sources. Following that work, a cw source will be developed using a pump- and signal-resonant system[3] and the GaP diffusion-bonded stacked structure.

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Z-Scan Measurements on Au/SiO$_2$ Composite Films

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Summary:

In order to evaluate the validity of effective medium theories for describing two-phase metal cluster systems at appreciable volume fractions, we performed Z-scan measurements on composite films consisting of small gold particles in SiO$_2$. The samples ranged in volume fraction from 4% to 75% Au. We resolve the real and imaginary parts of $\chi^{(3)}$ to confirm previous results and compare with effective medium theories which consider the full complex nature of $\chi^{(3)}$.

These samples were the subject of a previous study conducted by Liao *et al.*[1]. Films of 150 nm thickness were fabricated by cosputtering gold and SiO$_2$ onto a quartz substrate using a multitarget magnetron sputtering system. With the target surfaces inclined at 45° with respect to the substrate, the concentration of gold varied continuously across the resulting sample. Following thermal annealing at 850 °C, the electrical

conductivity of the films was measured and found to dramatically increase near the percolation threshold (60% fill fraction). UV-VIS spectrometry revealed that at volume fractions greater than the percolation threshold the spectrum displays a transmission window rather than an absorption maximum due to the onset of long-wavelength absorption as the material begins to behave like the bulk metal. In their study the magnitude of $\chi^{(3)}$ was measured by forward degenerate four-wave mixing and found to have a maximum value of 2.5×10^{-6} esu near 40% Au.

In this study we resolve the real and imaginary parts of $\chi^{(3)}$ using the z-scan technique. Z-scan is a sensitive technique for obtaining the complex third-order susceptibility by translating a sample through the focus of a Gaussian beam and measuring the changing transmittance in the far field[2]. In general this technique can be used to measure either refractive or absorptive nonlinearities, depending on whether or not an aperture is placed in the far field.

Z-Scans were performed at 532 nm on samples ranging in concentration from 4% to approximately 62% using a frequency-doubled Nd:YAG laser having a pulse duration of 70 ps and a repetition rate of 76 MHz. The focal length of the lens was 12.5 cm and the beam waist was $w_0 = 36$ µm corresponding to a Rayleigh diffraction length of $z_0 = 7.9$ mm. The on-axis peak intensity at the focus for this experiment was $I_0 = 3$ MW/cm^2. Open-aperture and closed-aperture data was gathered simultaneously by employing a beam splitter. As shown in Figure1 the imaginary part of $\chi^{(3)}$ was found from a fit of the open-aperture experimental data to the expression for the normalized transmittance

$$T(z) = \sum_{m=0}^{\infty} \frac{\left[\dfrac{-\beta I_0 L_{eff}}{1 + z^2 / z_0^2} \right]^m}{(m+1)^{3/2}} \tag{1}$$

where β is the nonlinear absorption coefficient and L_{eff} is the effective interaction length[2]. The real part of $\chi^{(3)}$ was determined from the peak to valley normalized transmittance of the resulting closed-aperture z-scan.

Above the percolation threshold scattering increases dramatically as the material begins to behave like the bulk metal, making proper determination of the nonlinear susceptibility difficult. Nonetheless, the maximum in the modulus of $\chi^{(3)}$ near 40%, as reported by Liao et al.[1] is confirmed. We compare our results to effective medium theories which take into account the nonlinear response of the clusters and treat the cubic susceptibility as complex[3,4]. Two-dimensional effective medium theories, particularly the Maxwell Garnett model, more suitably represent the data, presumably due to fact that the samples are thinner than the applied wavelength.
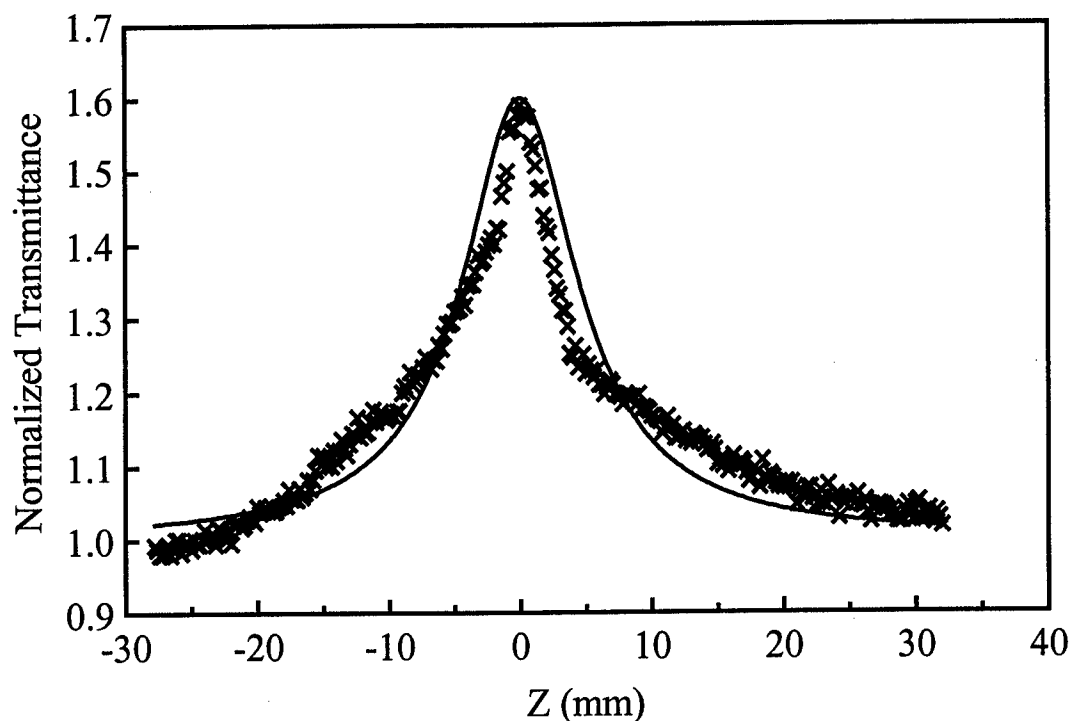
Figure 1. Open Aperture Z-Scan of 22% Au in SiO$_2$

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Symmetry Breaking in Condensed Phases: The Interaction-Induced Optical Kerr Response of Liquid CCl₄

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Interpretation of the intermolecular Raman scattering spectrum of carbon tetrachloride, and its corresponding ultrafast nonlinear-optical response, represents a challenging problem in the study of ultrafast dynamics in molecular liquids.[1-6] For this spherical top molecular species, single-molecule intermolecular Raman activity is symmetry forbidden. The intermolecular spectrum in solution, therefore, is purely interaction induced. The origin of the intermolecular scattering intensity in CCl₄ liquid typically is discussed in terms of collisional models of which, for simplicity, the binary collision model is most commonly invoked.[1-4,6]

In this paper we investigate in detail the intermolecular spectrum of CCl₄. It is demonstrated that, in addition to the 200 fs relaxation that previously had been identified with a collision-induced intensity,[3-6] the intermolecular dynamics of this liquid exhibit a 1.9 ps exponential decay, and also evidence for a third, intermediate-timescale relaxation. This temporal signature is analogous to that observed for numerous liquids composed of anisotropic molecular species[5], and can not be accounted for solely in terms of a collisional model. The data presented below suggest that the intermolecular Raman activity of CCl₄ liquid is a consequence of a solvent-induced molecular symmetry breaking phenomenon[7] in which the RIKES dynamics more closely resemble those expected for weakly anisotropic species than for a collision-induced process. These findings are possible because of increased sensitivity afforded by the femtosecond optical heterodyne detected Raman-induced Kerr effect (OHD RIKES) technique[3-5] when coupled with Fourier-transform data reduction procedures, which are described elsewhere[5].

Fig. 1a shows the OHD RIKES response measured for CCl₄ liquid at 23C using the output of a mode-locked Ti:sapphire laser; fig. 1b shows the corresponding frequency response function, $Im \chi_{eff}^{(3)}$. Figure 1b is obtained from fig. 1a using standard Fourier transform/deconvolution relationships,[5] and is free from the spectral filter effects of the finite-duration optical pulses. For transform-limited pump and probe pulses, with a properly optimized experimental setup, this procedure is effectively exact. The amplitude of the intermolecular contribution to the dynamics, which is clearly separated in the spectral data in fig. 1b, is two orders of magnitude smaller than that of the electronic response.
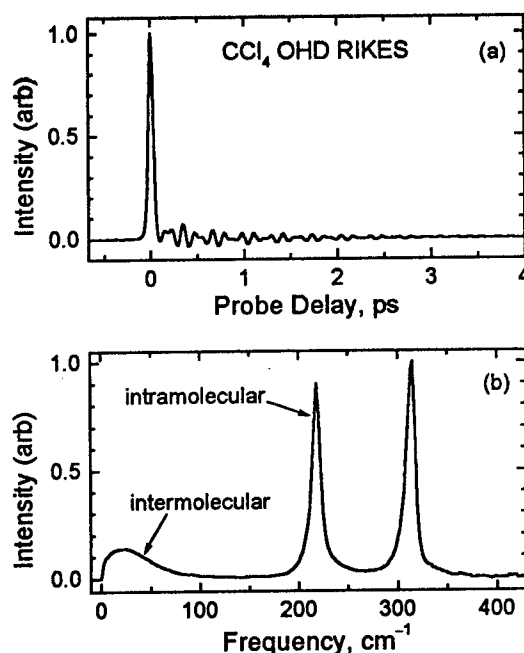
Figure 1. Femtosecond OHD RIKES of CCl₄ liquid at 23° C: (a) dynamics and (b) imaginary part of the frequency response function.

A powerful feature of the OHD RIKES technique is the ability to separate uniquely the nuclear and electronic parts of the measured transients, as is illustrated in fig. 2 for the CCl₄ data. Details of the Fourier transform procedures are presented elsewhere[5]. The

complexity of the nuclear response is evident in the data of fig. 2a, and the intermolecular part is given in figs. 2b and 2c. Evident in the logarithmic plot of fig. 2c is the markedly non-exponential relaxation of the subpicosecond dynamics followed by a 1.9 ps exponential decay. This temporal signature is analogous to
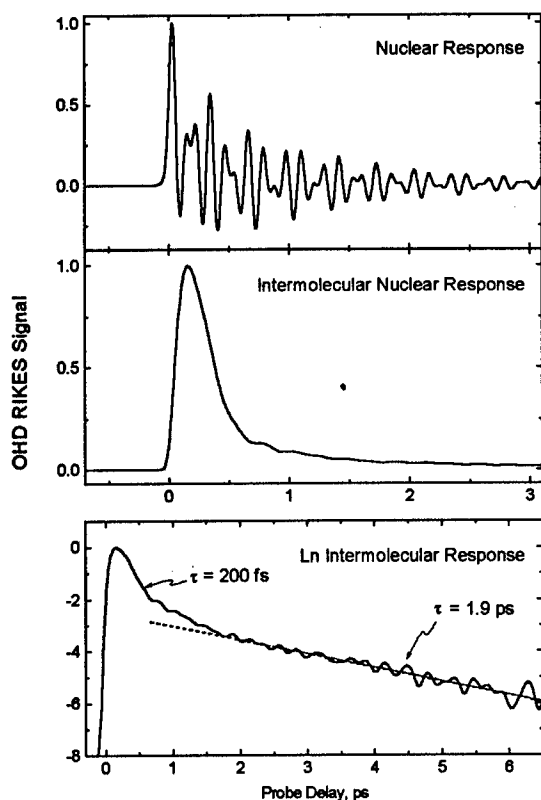
Figure 2. Nuclear part of the fs OHD RIKES response of CCl_4 liquid: (a) nuclear response function; (b) intermolecular part of nuclear response function; and (c) log plot of intermolecular response.

that observed for numerous weakly interacting anisotropic liquids, including CS_2, $CHCl_3$, and acetonitrile. The 1.9 ps time constant determined from the data of fig. 2 agrees well with the 1.8 ps decay time deduced from Raman line-broadening studies and attributed to diffusive reorientation[8].

Additional insight is gained from the data of fig. 3. Fig. 3 reproduces the 23C intermolecular dynamics of fig. 2, together with those measured at 75C. While the changes in the

transients are not large, the observed trends are significant. As the temperature is varied, the femtosecond and picosecond dynamics evolve in opposite directions. In particular, as the temperature is increased, the RIKES transient exhibits: (i) a broadening (slowing down) at short times; and (ii) a more rapid decay in the picosecond regime. This behavior, which gives rise to the curve crossing that is evident in fig. 3, is typical of that for liquids of weakly inter-acting, anisotropic molecular species.

The temperature dependence of the pico-second relaxation is in accordance with that expected for diffusive reorientational relaxation. This behavior is illustrated more clearly in the inset, in which the two curves are normalized at 1.5 ps. The femtosecond behavior is consistent with that of intermolecular vib-rational modes as the intermolecular potentials are relaxed.
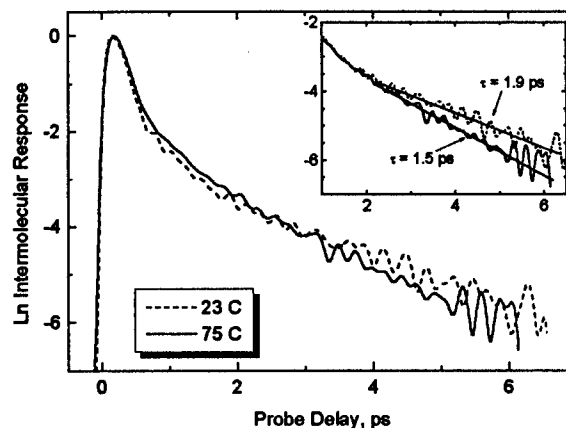
Figure 3. Log plot of the intermolecular OHD RIKES response at two different temperatures. The inset shows the two transients normalized at 1.5 ps.

The data presented suggest that the picosecond relaxation evident in the CCl_4 OHD RIKES response is associated with diffusive reorientation of weakly anisotropic molecular species. The most likely origin of this aniso-tropy lies in a solvent-induced symmetry break-ing phenomena, although other possibilities are under investigation. Further, the temporal sig-nature of the subpicosecond dynamics is con-

sistent with that observed for anisotropic molecular liquids, suggesting that a significant fraction of the subpicosecond response arises from anisotropic intermolecular degrees of freedom.

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Time Delayed Beam Splitting in Nonlinear Polymeric Waveguides Induced by Low Power Upconverted Photobleaching

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INTRODUCTION

Optical beam splitting has been first reported for photorefractive waveguides built by titanium diffusion in $LiNbO_3$ [1,2]. The effect has been qualitatively explained as an appearance of spatial dark solitons in self-defocusing nonlinear medium [3]. Optical splitting has also been observed in pure self-defocusing Kerr media in a two dimensional configuration [4,5] similar to that of a slab waveguide. The splitting effect in this case is apparently associated with dark spatial solitons. This paper presents theoretical and experimental data and discusses a theoretical model developed to study optical beam splitting induced by photobleaching a dye-doped polymeric waveguide, where instant refractive index reaction to light intensity redistribution is replaced by permanent index decrease associated with dye photobleaching.

MODEL THEORY

The index response to an optical field amplitude E is given by the rate equation

$$\frac{\partial \tilde{n}(E)}{\partial t} = -C \frac{|E|^2}{2} (\tilde{n}(E) - \tilde{n}_s) \tag{1}$$

for $\tilde{n} = 0$ at $t = 0$, where $\tilde{n}(E)$ is the electric field dependent component of the refractive index $\tilde{n}(E) = n(E) - n_0$, where n_0 is the initial unperturbed refractive index; E is the electrical component of a TE mode propagating in a single mode slab waveguide; C is the efficiency of light induced index modification; and $\tilde{n}_s$ is the saturation index change. Equation (1) applies to nonstationary and nonuniform optical fields. According to experimental data the absorption coefficient and dependent on it propagation loss rate remain constant. The light propagation in a single mode slab waveguide is given by a two dimensional nonlinear Schrödinger type equation

$$-2i\beta \frac{\partial E}{\partial x} + \frac{\partial^2 E}{\partial y^2} - i\gamma\beta E + \frac{\beta^2 \tilde{n}(E)}{n_0} = 0 \tag{2}$$

where x and y are coordinates in the plane of the waveguide along and across the propagation direction respectively; β is the mode wave number; and γ is the propagation loss factor. The light beam injected into the waveguide was assumed to have a uniform phase plane wavefront and a Gaussian profile of the optical field given by $E = E_0 \exp(-y^2/2\sigma^2)$ at $x = 0$, where E_0 is the beam amplitude, and σ is the beam width. Equations 1 and 2 were solved by integrating along the dimensions

x and time t using central difference method for the second order partial derivative in the y direction.

RESULTS

Figure 1 shows results obtained from numerical simulations of light propagating in the waveguide at various times after the light was launched. The time scale shown in Figure 1 is based on a normalized time parameter given by $\tau = t/CI E_0 I^2/n_0$. One can see apparent primary beam splitting into two secondary beams with separation between them growing in time. This process can be understood qualitatively in terms of light escaping out of bleached regions with low refractive index to unbleached regions with high index of refraction.

(a)

(b)

(c)
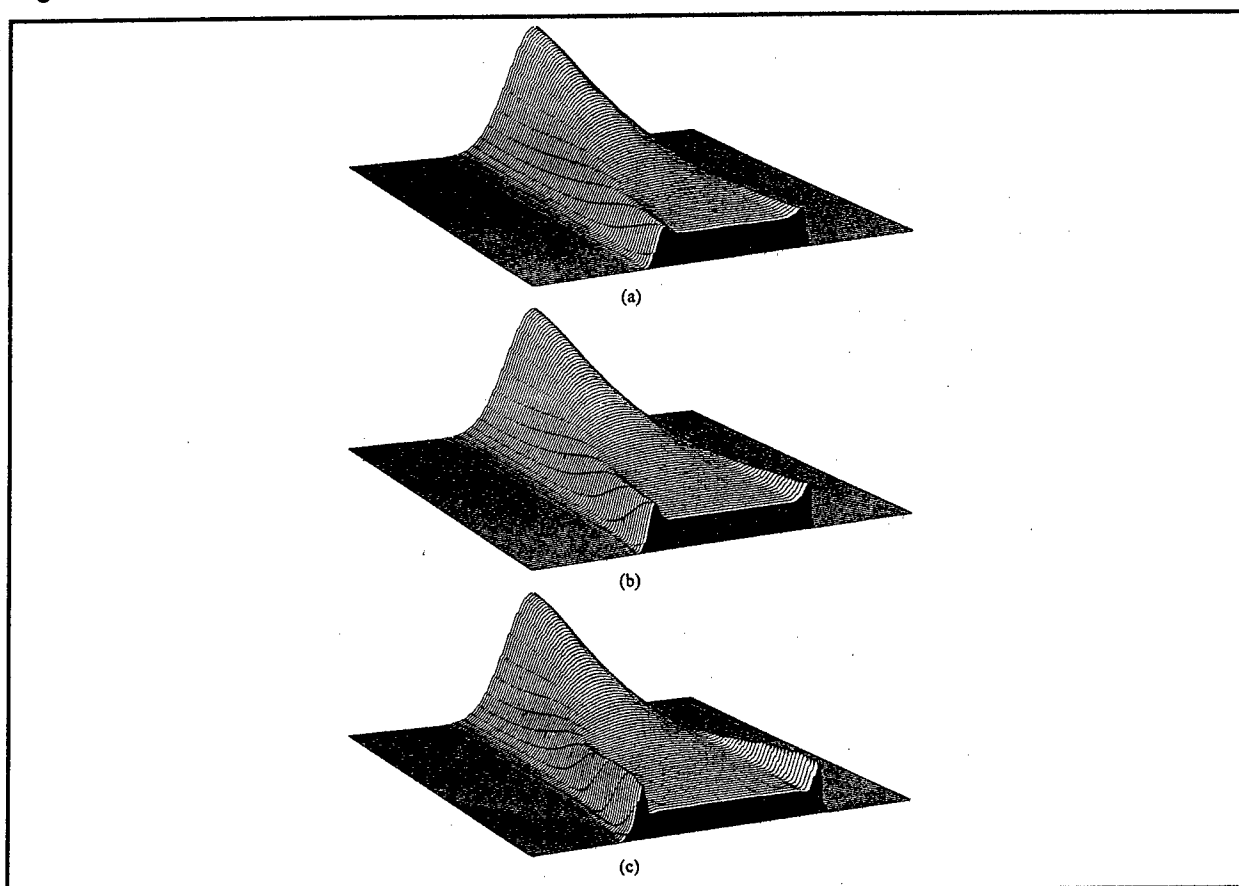
Figure 1. Numerical simulation of the evolution of the beam intensity profile along the propagation direction at a) $\tau = 1.5$, b) $\tau = 2.0$, and c) $\tau = 3.0$.

The experimental waveguide was fabricated by spin-coating preoxidized silicon wafer with SiO_2 layer with a thickness of 1.5 μm and a refractive index of 1.46. The coat was made of poly(methyl methacrylate) (PMMA) solution in chlorobenzene doped with the dye 4-(Dicyanomethylene)-2-methyl-6-(p-dimethylaminostyryl)4H-pyran (DCM) and spin coated. This produced a dual mode slab waveguide with thickness of 1.2 μm and a refractive index of 1.496 at 633 nm. The Gaussian beam width of 0.35 mm from a He-Ne cw laser (633 nm) with TE polarization was injected into the waveguide using a

prism coupler. Figure 2 shows the transverse intensity profiles of experimental results taken at different times. The features of the branch structures are similar to those predicted by the computer model. The appearance of the central branch at 323 seconds in Figure 2 coincides with simulation results shown in Figure 1. In conclusion, optical branching has been modeled and experimentally observed with very close agreement between theory and experiment.
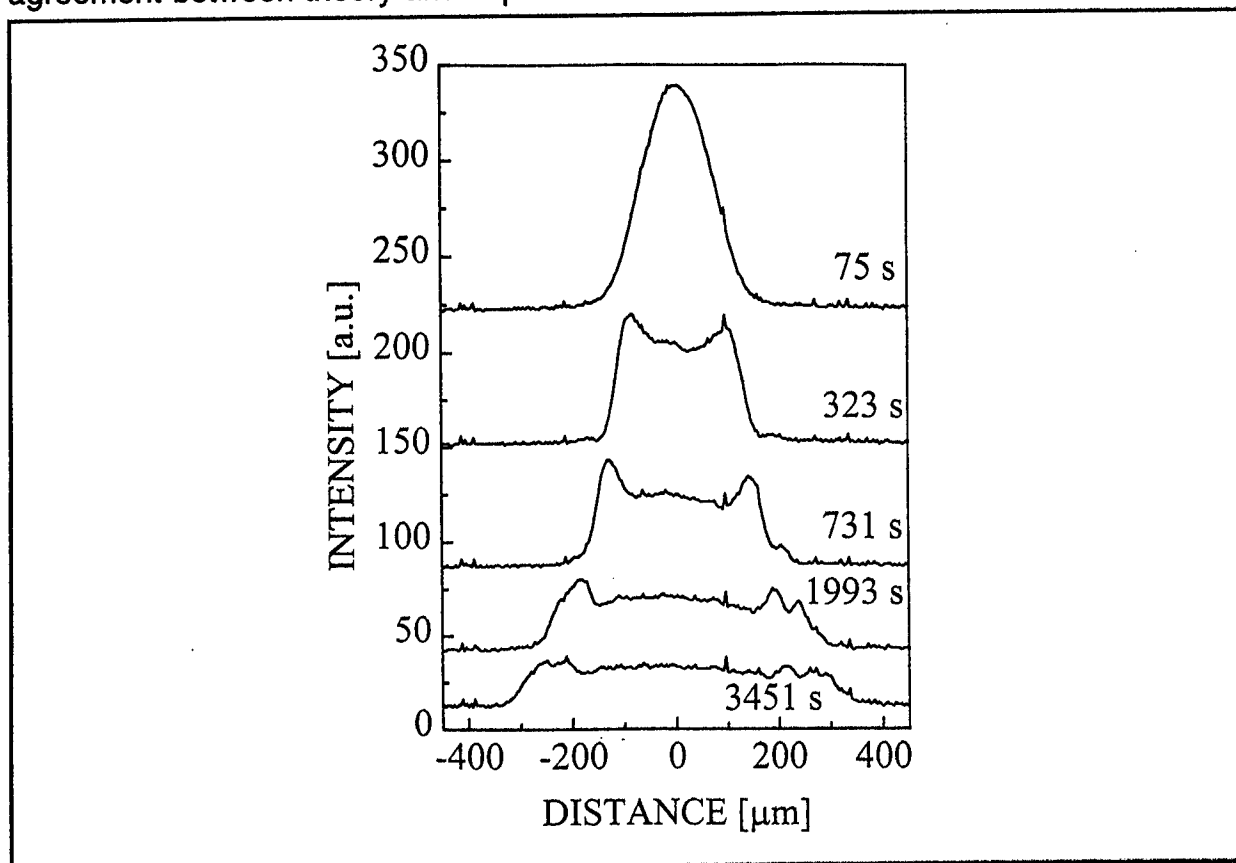
Figure 2. Experimental measurements showing the evolution of the light beam profile at various times after beam injection.

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Size-dependent Many-body Effects in the Non-linear Optical Dynamics of Metal Nanoparticles

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The absorption of light by metal nanoparticles is dominated by the surface plasmon (SP) resonance. Although, the linear absorption has been extensively studied [1], very little is known about the SP non-linear optical dynamics. Recent pump-probe experiments [2–6] have revealed that many-body correlations play an important role in the subpicosecond dynamics.

A very interesting observation was recently made by Bigot and collaborators [3]. By studying the temporal evolution of the differential transmission lineshape in small Cu nanoparticles, they found that the hot electron relaxation to the lattice appears to be significantly *slower* at the SP frequency. Such a strong frequency dependence is absent in metal films [7,8]. Here we explain this by considering size-dependent dynamical screening effects on the electron relaxation.

In bulk metals, the scattering rate of a quasiparticle with energy E close to the Fermi energy E_F is $\gamma_e \propto [1 - F(E)][(E - E_F)^2 + (\pi T)^2]$, where T is the temperature and $F(E)$ is the Fermi distribution. For sufficiently high energies, the quasiparticle lifetime is largely determined by plasmons. The scattering rate in this case is much higher and can be calculated from the dynamically screened interaction, $U_\omega(\mathbf{r} - \mathbf{r}') = U(\mathbf{r} - \mathbf{r}')/\epsilon_b(\omega)$, where $U(\mathbf{r} - \mathbf{r}')$ is the Coulomb potential and $\epsilon_b(\omega) = 1 - \omega_p^2/\omega^2 + \delta\epsilon_d(\omega)$, is the dielectric function, whose zeros determine the (volume) plasmon frequency (ω_p is the plasmon frequency of free electrons). We include the d-band polarization, $\delta\epsilon_d(\omega)$, which plays an important role in the optical properties of noble metals.

In nanoparticles, dynamical screening is strongly modified by the confinement. In addition to the bulk-like plasmons, a positively charged hole *inside* a nanoparticle will excite surface charge oscillation modes. We find a surface-induced interaction potential of he form

$$\delta U_\omega(r, r') \propto \frac{e^2 rr'}{R^3} \frac{1}{\epsilon_s(\omega)}. \tag{1}$$

$\epsilon_s(\omega)$ is the effective dielectric function whose zero determines the frequency of the SP resonance,

$$\epsilon_s(\omega) = 1 - \omega_p^2/\omega(\omega + i\gamma_s) + \delta\epsilon_d(\omega) + 2\epsilon_0. \tag{2}$$

Here $\gamma_s \sim v_F/R$ is the SP width due to surface scattering [1] and ϵ_0 is the dielectric constant of the surrounding medium. This new dynamically screened interaction strongly depends on the nanoparticle size and *sharply peaks* at the SP frequency. This leads to a new channel for quasiparticle decay mediated by the SP.

This mechanism strongly affects the optical properties of noble-metal nanoparticles. Here we focus on Cu nanoparticles, where the interband threshold is close to the SP frequency.

Consider the SP-mediated decay of the d–hole into the conduction band. If E_e and E_h are the conduction electron and d-hole energies, respectively, (assuming dispersionless d-band), we derive the following expression for the d-hole scattering rate γ_h

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$$\gamma_h(E_h, E_e) = -\frac{A}{R^3}F(E_e)\text{Im}\frac{1}{\epsilon_s(E_e - E_h)}. \tag{3}$$

Here $A = 9e^2P^2/m^2(E_e - E_h)^2$, where P is the interband dipole matrix element. Since $1/\epsilon_s(\omega)$ has a pole at the SP frequency, ω_{sp}, the scattering rate Eq. (3) peaks at $E_e - E_h = \omega_{sp}$. Such a sharp energy dependence of γ_h results from the fact that transitions caused by the surface potential are dipole transitions and involve no momentum transfer. One can see that γ_h depends strongly (as R^{-3}) on the nanoparticle size.

Consider now the absorption. For small nanoparticles, the experimentally measurable extinction cross-section is determined by the SP resonance, $\sigma(\omega) \propto -\omega\text{Im}[1/\epsilon_s(\omega)]$, with $\epsilon_s(\omega)$ as in Eq. (2). For noninteracting electrons, the interband polarization is

$$\delta\epsilon_d(\omega) = \frac{4\pi e^2 P^2}{m^2}\int\frac{dE_e\,g(E_e)}{[E_e - E_h]^2}[1 - F(E_e)]\frac{2(E_e - E_h)}{[E_e - E_h]^2 - (\omega + i\gamma)^2}, \tag{4}$$

where $g(E_e)$ is the density of states of conduction electrons (γ is infinitesimal).

Let us include in $\delta\epsilon_d(\omega)$ the corrections due to the above many0body mechanism. A photon with frequency ω creates a virtual electron-hole pair. The conduction electron decays into the Fermi sea, while the d-hole can decay to conduction band via emitting a SP. Since the energy of a virtual d-hole is $E_e - \omega$, the scattering rate of such a hole,

$$\gamma_h \propto F(E_e)\text{Im}[1/\epsilon_s(\omega)], \tag{5}$$

is determined by the frequency of the incident light. So, as ω approaches the SP frequency, the lifetime of the optically excited d-hole sharply decreases. This affects strongly $\delta\epsilon_d(\omega)$ and, in turn, the effective dielectric function Eq. (2). Since the latter determines the SP resonance, which mediates the d-hole decay, $\epsilon_s(\omega)$ must be calculated self-consistently. In the numerical calculations we also included the real part of the d-hole self-energy.

The calculations of differential transmission spectra for Cu nanoparticles were performed with the parameters of Ref. [3]. During the first picosecond following the pump excitation, the initial nonequilibrium electron distribution relaxes due to the fast e-e scattering processes towards a hot Fermi distribution with electron temperature $\sim$1000 K. At the same time, the lattice temperature remains basically unaffected. To determine the temperature changes due to the energy exchange with the phonon bath, we adopt the two-temperature model [9].

The sharp frequency dependence of γ_h implies that it affects the absorption only in the frequency region close to ω_{sp}. In Fig.1 we compare the differential transmission spectra with and without γ_h at time delay 1 ps, using parameters that give the same linear absorption spectrum. The main effect of γ_h is a considerably steeper decrease in the differential transmission for frequencies above the SP resonance $\omega_{sp} \simeq 2.2$ eV. Although this difference may be hard to observe in the spectra at given time delay, it leads to a rather pronounced effect in the *temporal evolution* of the differential transmission (Fig.2) that can be detected experimentally. With γ_h, the differential transmission exhibits a significantly stronger frequency dependence above ω_{sp}, in a very good agreement with the experiment [3].
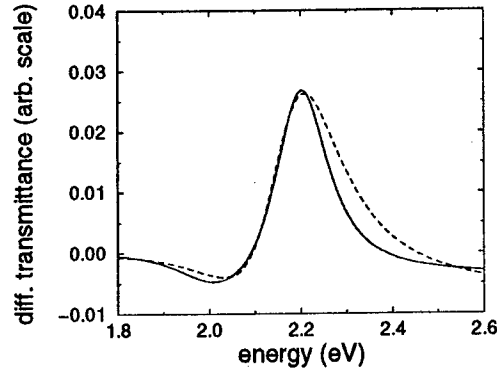
FIG. 1. Differential transmittance spectra for time delay 1.0 ps with (solid line) and without (dashed line) γ_h.
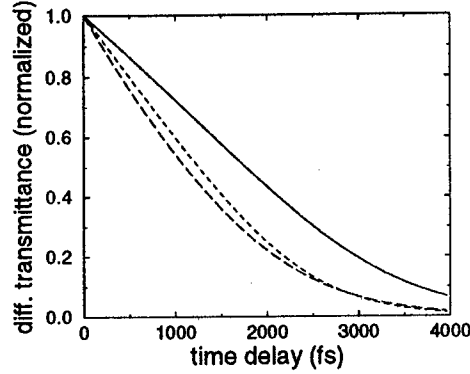
FIG. 2. Temporal evolution of differential transmittance with γ_h for $\omega = 2.2$ eV (solid line), $\omega = 2.13$ eV (long-dashed line), and $\omega = 2.26$ eV (dashed line).

In conclusion, we have shown that the time evolution of the differential transmission spectra in metal nanoparticles is strongly influenced by dynamic many-body correlations. This indicates a need for more systematic experimental studies of the size-dependence of the nanoparticle nonlinear optical dynamics.

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Low-Threshold Periodic Optical Parametric Oscillator

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We describe here numerical simulations in three spatial dimensions of a device which could achieve low-threshold singly resonant optical parametric oscillation at pump powers easily reached by diode lasers. This device, which we call the periodic optical parametric oscillator (POPO), utilizes $2N_c$ interactions in N_c 5-cm periodically poled lithium niobate (PPLN) crystals. Except for the input coupler, all mirrors are highly reflecting for the pump, signal, and idler waves. The crystals are arranged in a fan-fold configuration. Concave mirrors with focal length f refocus the light after each interaction, and retroreflect the light after N_c interactions. Here we present the calculated behavior of a POPO with $N_c = 2$, $f = 1.25$ cm, and 1 cm gaps between the mirrors and the ends of the crystals, as shown in Fig. 1.

Except for the first interaction region, where the nonresonant generated field (NGF) grows from zero, the relative phases of the three fields entering each interaction region, together with the phase ϕ_G of the $\chi^{(2)}$ grating in the PPLN, determine whether the parametric amplification process proceeds constructively or whether destructive interference occurs. Among other things, the relevant phase $\phi_p - \phi_s - \phi_i - \phi_G$ depends on the amounts of lithium niobate at the end of one crystal and the beginning of the next relative to the placement of the domain inversion, as illustrated in Fig. 2. In a periodic device the phase shifts between consecutive gratings should all be made equal to some optimal value. The relation between the phase of a grating on the forward and reverse trips is not a property of that grating per se, but depends on phase shifts between the intermediate gratings. However, an optimal phase shift between gratings on a forward trip is also optimal on the reverse trip. While it should be possible to construct a device with optimally phased gratings if the phase shifts at the mirrors and antireflection coatings, as well as the resonator geometry, are known, an alternative is to use PPLN wafers with a number of gratings in parallel, all with the same grating period, but with different phases, and to select the combination of gratings which minimizes the oscillation threshold. Another alternative is to use wafers with a single wide grating, but with the end surfaces slightly nonparallel to the grating planes. Since air dispersion is small, precise longitudinal positioning of the PPLN wafers in the cavity is unnecessary.

In both the limits of very small N_c and very large N_c, loss in the POPO dominates over small-signal gain at low pump power. However, in the intermediate regime the net small-signal gain per pass G_0 can be substantial. We model losses by discrete photon flux attenuations T_s, T_i, and T_p after each interaction. The most important losses in practice are due to Fresnel reflections at the crystal surfaces. The reflected light is not mode-matched to the resonator, and any effects on the POPO dynamics are not included in the present simulations. It is easy to design anti-reflection (AR) coatings to operate well at two wavelengths, but more difficult to obtain coatings which operate well at all three OPO wavelengths. For the simulations presented here we choose $T = 0.99$ for the resonant generated field (RGF). We choose either $T = 0.99$ or $T = 0.74$ (lossy field) for the pump and the NGF.

We have numerically integrated the three-dimensional three-wave mixing equations to simulate a POPO with $N_c = 2$ in the configuration of Fig. 1. The calculations do not

take longitudinal cavity modes into account, though we anticipate single-mode operation over short times and possible mode hopping caused by thermal or mechanical drifts over longer times. The pump wavelength is $\lambda_p = 0.8$ μm and the grating period is chosen to generate signal and idler wavelengths $\lambda_s = 1.0723$ μm and $\lambda_i = 3.15$ μm. The fundamental transverse modes are slightly elliptical because of crystal birefringence. The radii (w_Y, w_Z) in micrometers are $(54.2, 55.1)$ for the signal, $(90.8, 96.6)$ for the idler, and $(47.1, 49.0)$ for the pump. We present results for the two cases when the signal is the RGF and when the idler is the RGF. We take the incident pump radiation to be mode-matched to the fundamental resonator mode. Aside from the effects of nonlinear interaction and linear attenuation, the slowly varying amplitudes for radiation in the fundamental mode are unchanged after a period, except for a phase eigenvalue $\exp(-i\Phi)$ determined by the resonator geometry and crystal refractive indices. Since there is dispersion in Φ, the optimal phase relation between the fields is maintained in the simulations by multiplying the NGF field by $\exp[i(\Phi_s + \Phi_i - \Phi_p)]$ after each interaction. This accomplishes the same objective as experimentally optimizing the grating phase. When the gain per period is small, the RGF remains in the fundamental mode with essentially perfect beam quality, so one can predict steady-state operating characteristics of the POPO using single-pass calculations with varying amounts of input pump and RGF. The results of our simulations are summarized in Table 1. The first column identifies which field is the RGF. The second column identifies which field, if any, has $T = 0.74$. The third column is the net small-signal gain G_0 of the RGF after four periods at a pump power of 400 mW. By reducing the pump power until $G_0 = 1$, we calculate the threshold power of the POPO when there is no useful RGF outcoupling, given in the fourth column. The final four columns are calculated for an input pump power of 400 mW and the input RGF power which most completely depletes the pump. Column 5 gives the net strong-signal gain G of the RGF. The output mirror reflectivity for the RGF should be $R = 1/G$ to have a steady state which optimally depletes the 400 mW pump. Column 6 gives the fraction of the incident pump energy which is undepleted. Columns 7 and 8 give the quantum efficiencies η_n and η_r for the NGF and RGF respectively, defined as generated photon flux minus incident photon flux, expressed in units of incident pump photon flux.

Although the beam radii are dramatically different for the signal and idler, we see that the results in Table 1 are rather insensitive to whether the signal or the idler is the RGF. We also see that η_n is large compared to η_r. In applications where only one of the generated fields is desired, it should be the NGF. Resonating the idler would result in less intracavity power, less non-phase-matched generation of short-wavelength radiation, and less thermal and photorefractive distortion. These considerations suggest that it may be possible to operate the POPO at room temperature. When there is a lossy field, η_r is rather small and G is close to unity. An alternative would be to not outcouple the RGF and to deplete the pump at lower input pump power. Our results indicate that remarkably good pump depletion is possible in the POPO, much better than is generally found in either experiments or simulations of ordinary OPO's. We suspect that this is related to the excellent NGF beam quality produced by the POPO. This beam quality is understandable, since the modal eigenvalues for higher-order modes correspond to different phase shifts than that of the fundamental, so that the NGF contributions to higher-order modes from different periods do not add constructively. For the strong-signal simulation on line 2 of the table, the idler

beam quality is $M^2 = 1.28$ after the first period, but this improves to $M^2 = 1.04$ by the end of the fourth period. Figure 3 shows the quantum efficiencies and residual pump fraction as a function of period number for this case. We see from the table that having a lossy pump results in higher η_n, but in smaller G_0, smaller η_r, and a higher threshold, than having a lossy NRF.

In conclusion, we have presented simulations of an POPO with two crystals which can down-convert diode or Ti:sapphire radiation at a threshold power as low as 105 mW. Further threshold reductions and efficiency improvements have been seen in simulations with $N_c > 2$ and high transmission per period for all three fields.

Table 1.   Tabulated Simulation Results

RGF	lossy field	G_0	threshold power [mW]	G	residual pump	η_n	η_r
signal	none	1.1166	105	1.0215	0.00187	0.9588	0.3384
signal	pump	1.0627	156	1.0104	0.00059	0.6288	0.1314
signal	idler	1.0924	124	1.0097	0.00199	0.4563	0.1891
idler	none	1.1157	105	1.0222	0.00055	0.9600	0.3455
idler	pump	1.0619	159	1.0105	0.00021	0.6202	0.1306
idler	signal	1.0905	128	1.0100	0.00047	0.4640	0.1940
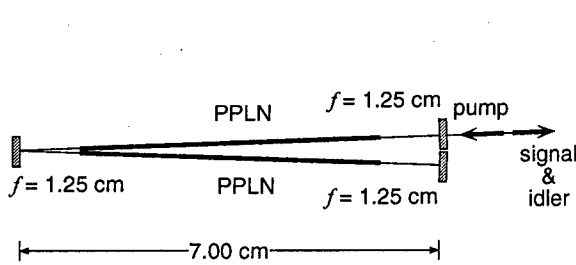
Fig. 1. POPO configuration.

$$\phi = \phi_p - \phi_s - \phi_i$$
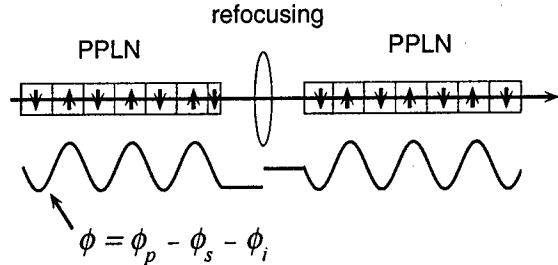
Fig. 2. Compensation of shift in relative phases of fields by shift in grating phase.
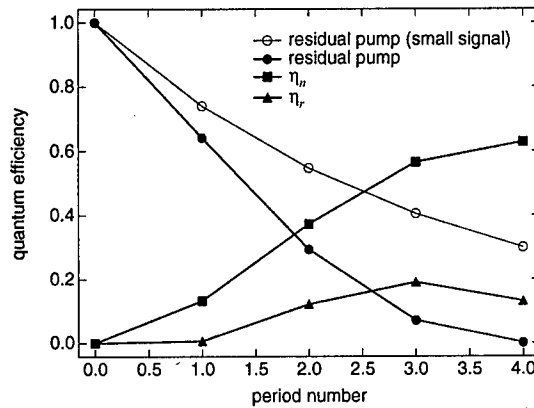
Fig. 3.   Efficiencies and residual pump with resonant signal, lossy 400 mW incident pump, and maximal pump depletion after four periods.

Singly Resonant Cavity-Enhanced Frequency Tripling

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There are a number of applications for short-wavelength radiation. Nonlinear optical frequency conversion represents an effective alternative to producing such radiation. Single-pass configurations of nonlinear conversion elements are simple and relatively efficient when pumped with high-peak-power pulsed lasers. However, single-pass configurations are insufficient for producing higher harmonics with low-peak-power lasers. The technique of resonant cavity enhancement has been successfully applied to frequency doubling [1] and quadrupling [2] of cw and low-energy pulsed lasers. In this paper we analyze the design and performance of a singly resonant cavity-enhanced frequency tripler. A schematic of the device is shown in Fig. 1. The device consists of a cavity that resonates the pump laser. The cavity contains a second-harmonic generation (SHG) interaction that generates the second harmonic of the pump laser. This is followed by a sum-frequency generation (SFG) interaction that frequency sums the resonating fundamental frequency with second-harmonic frequency to produce the third-harmonic of the pump laser. The second- and third-harmonic of the pump laser are coupled out of the cavity. We model intracavity loss by a single-point attenuation R_0.

From the perspective of energy flow, energy flows into the system at the pump frequency ω and flows out of the system through four possible channels: (1) pump energy rejected by the cavity, (2) pump energy lost through linear intracavity losses, (3) second-harmonic of the pump frequency that is unconverted in the SFG interaction, and (4) third-harmonic of the pump frequency. When the reflectivity of the input coupler is chosen appropriately, the incident pump light reflected from the coupler can be equal in amplitude to, but opposite in phase from the resonating pump light transmitted by the input coupler. This eliminates unuseful energy flow into channel (1). Energy flow into channel (2) can be minimized through improved anti-reflection coatings and low loss materials. Energy flow out the unuseful channel (3) can be eliminated by choosing the ratio of the nonlinear drive between the SHG and SFG stages, so that all the second harmonic of the pump frequency is converted to third harmonic in the SFG stage. This approach maximizes the flow of energy into channel (4). We use a plane-wave analysis similar to our previous work on intracavity frequency conversion [3–4] to examine the system and derive expressions for the optimum input coupler reflectivity and the optimum ratio of nonlinear couplings between the SHG and SFG stages, as well as expressions for the second- and third-harmonic conversion efficiencies.

To illustrate the device performance we consider frequency tripling a 350-mW, 1319-nm, continuous-wave laser in periodically poled lithium niobate (PPLN). Using Sellmeier relations from the literature [5], the quasi-phasematched periods for the SHG and SFG interactions at 170° C are 12.325 μm and 3.866 μm, respectively. Since 3.866 μm is beyond current state-of-the-art fabrication techniques, we also consider third-order quasi-phasematching (QPM) for the SFG stage; in that case the QPM period is 11.598 μm. We limit the total interaction length to 5 cm. We choose the confocal parameter of the beam equal to 1.76 cm, which corresponds to a 41 μm spot size. In Figs. 2(a) and (b) we plot the third-harmonic power

conversion as a function of the SHG interaction length L_a for a series of input coupler reflectivities for first- and third-order QPM. We find that the conversion is maximized for a SHG length $L_a = 1.85$ cm for first-order SFG QPM and $L_a = 0.53$ cm for third-order SFG QPM. In Figs. 3(a) and (b) we plot the second- and third-harmonic power-conversion efficiencies as a function of incident pump power for both the first- and third-order SFG QPM. The third-harmonic conversion efficiency is 95.3% (81.1%) for first(third)-order SFG QPM.

In addition to the plane-wave analysis, we have performed numerical simulations that model the SHG and SFG interactions in three spatial dimensions. This numerical model accounts for the effects of diffraction, birefringent walkoff, and cavity focusing. Using the parameters from the plane-wave example discussed above as a starting point, we reoptimized the design and found for the case of first-order SFG QPM the optimum SHG length $L_a = 1.89$ cm and the input coupler intensity reflectivity is $R = 0.92$. For the case of third-order SFG QPM the three-dimensional simulation found the optimum SHG interaction length $L_a = 0.85$ cm and input coupler cavity reflectivity $R = 0.97$. In both cases, the third-harmonic beam is predicted to be essentially diffraction limited. The higher reflectivity determined from the three-dimensional analysis has been seen in previous examples of multi-stage intracavity frequency conversion devices. In the three-dimensional simulation the input beam is mode matched to the resonant cavity. The cavity beam waist is in the center of the 5-cm nonlinear interaction length. The large difference between plane-wave and the numerical simulation for the optimum interaction length can be accounted for by the increased spot size in the SHG interaction, which effectively reduces the plane-wave interaction length. In Fig. 4 we plot the results of the three-dimensional simulation for the third-harmonic power efficiency as a function of input power for the cases of first- and third-order SFG QPM. The plot indicates a moderate range of pump intensities will still produce good efficiency.

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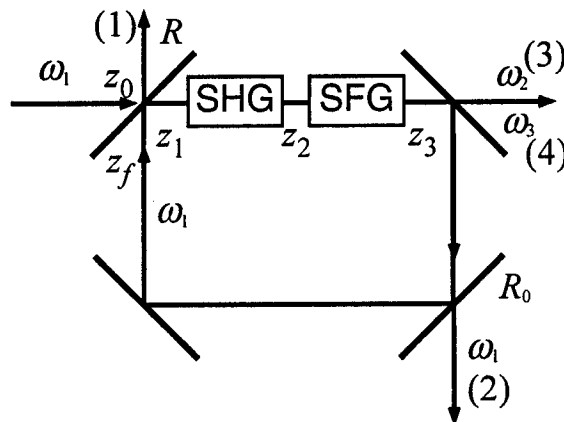
Fig. 1. Schematic diagram of the singly resonant cavity-enhanced frequency tripler.
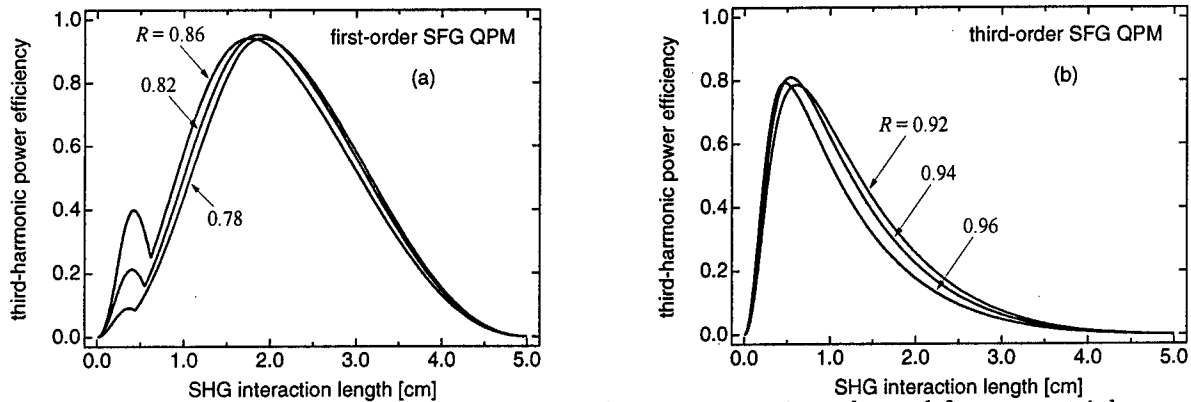
Fig. 2. Power conversion efficiency of the singly resonant cavity-enhanced frequency tripler as a function of SHG interaction length for a series of input coupler reflectivities R. The total interaction length is held constant, $L_a + L_b = 5$ cm. (a) first-order SFG QPM (b) third-order SFG QPM.
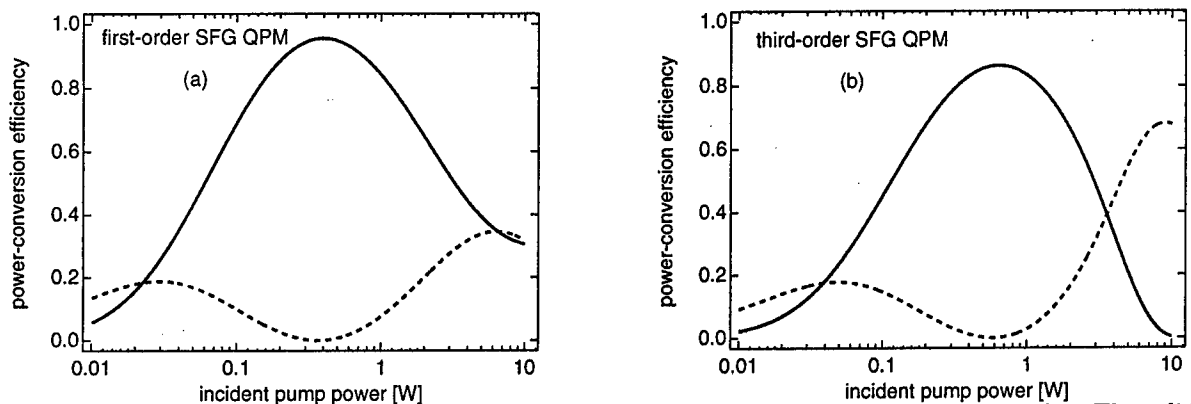
Fig. 3. Power conversion efficiency of the singly resonant cavity-enhanced frequency tripler. The solid (dashed) lines show third-harmonic (second-harmonic) power-conversion efficiency as a function of incident pump power for the example of (a) first-order and (b) third-order sum-frequency generation quasi-phasematching.
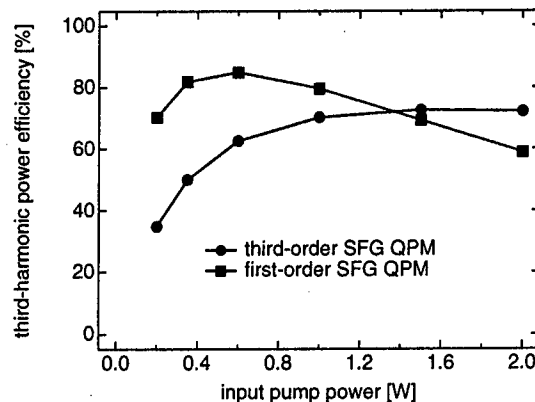
Fig. 4. Power dependence of third-harmonic power-conversion efficiency of PPLN resonant tripler for $L_a = 1.89$ cm and $R = 0.92$ (first-order SFG QPM) and $L_a = 0.85$ cm and $R = 0.97$ (third-order SFG QPM). The intracavity attenuation is $R_0 = 0.99$.

Nonlinear Interactions of Excitons in Conducting Polymers: Femtosecond Transient Absorption and Holography Studies

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The nonlinear optical (NLO) properties of luminescent conducting polymers from the poly(*para*-phenylene vinylene) (PPV) family are studied using femtosecond (fs) transient absorption (TA) and holography to address several issues: what are the primary photoexcitations; how do they interact at high excitation densities; what are the signatures of biexcitons; how can these materials be harnessed for ultrafast holographic signal processing? We have measured solutions and films of the PPV oligomer MEH-DSB, and several soluble PPV derivatives, with similar results. MEH-DSB results are representative of the entire family. The fs TA spectra of MEH-DSB show: (1) a positive band due to stimulated emission (SE) around 2.4 eV; (2) a photoinduced absorption (PA) band extending from 1.3–2.0 eV. In solutions at low intensities, both features show exponential decay ($\tau \sim 650$ ps), indicating that both originate from singlet excitons. In films, the decay is much faster and strongly nonexponential, with a second PA band at $\sim$1.8 eV. The 1.4-eV band (PA$_1$) shows *pronounced intensity-dependent* dynamics, while PA$_2$ exhibits slower *pump-independent* decay, indicating the creation of two species. The matching PA$_1$ and SE features both arise from singlet excitons. We assign PA$_2$ to interchain excitons (geminate electron-hole pairs on adjacent chains [1].

The intensity-dependent decay of PA$_1$ shows a fast component ($\tau \sim 450$ fs) which grows quadratically with respect to the slower, nonexponential decay [2]. We infer that A$_f$ originates from intrachain two-exciton states generated within the pump pulsewidth. The decay of the doubly-excited molecules leads to interchain species (PA$_2$), with a complementary 500 fs build-up time. This nonlinear *generation* process occurs in parallel with for exciton-exciton annihilation, a nonlinear *decay* process which also leads to generation of interchain states [3].

Biexcitons have been predicted in organic π-conjugated systems,[4] although not documented experimentally. In thin films, doubly-excited molecules relax rapidly via charge separation to adjacent chains. These "hot" biexcitons should be stabilized in dilute solutions. Indeed, we find that at pump levels exceeding 1–2 mJ cm^{-2}, the solution SE decay grows superlinearly, showing a $\sim$ 150 ps component which grows quadratically with fluence. The shorter lifetime of doubly-excited excitons is indicative of coherent interactions due to biexciton formation. Another signature is the superlinear increase of the SE at high pump levels, indicating a new species with enhanced oscillator strength. We estimate the enhancement factor for the biexciton oscillator strength by simultaneously modeling the SE pump-dependence and dynamics, obtaining $S = 4.5$ (Fig. 1). The model predicts a contribution to the SE signal $SE \propto n_x + S * n_{bx}$, where n_x and n_{bx} are the exciton and biexciton populations, respectively. The PA signal is given by $PA \propto n_x + 0.4 * n_{bx}$. This simple model reproduces the major experimental features observed in solution: the SE shows superlinear growth with intensity, along with the appearance of a fast decay; the PA shows a slightly sublinear intensity-dependence due to saturation of the excited-state population,

and a rounding of the initial decay due to regeneration of excitons at later times due to
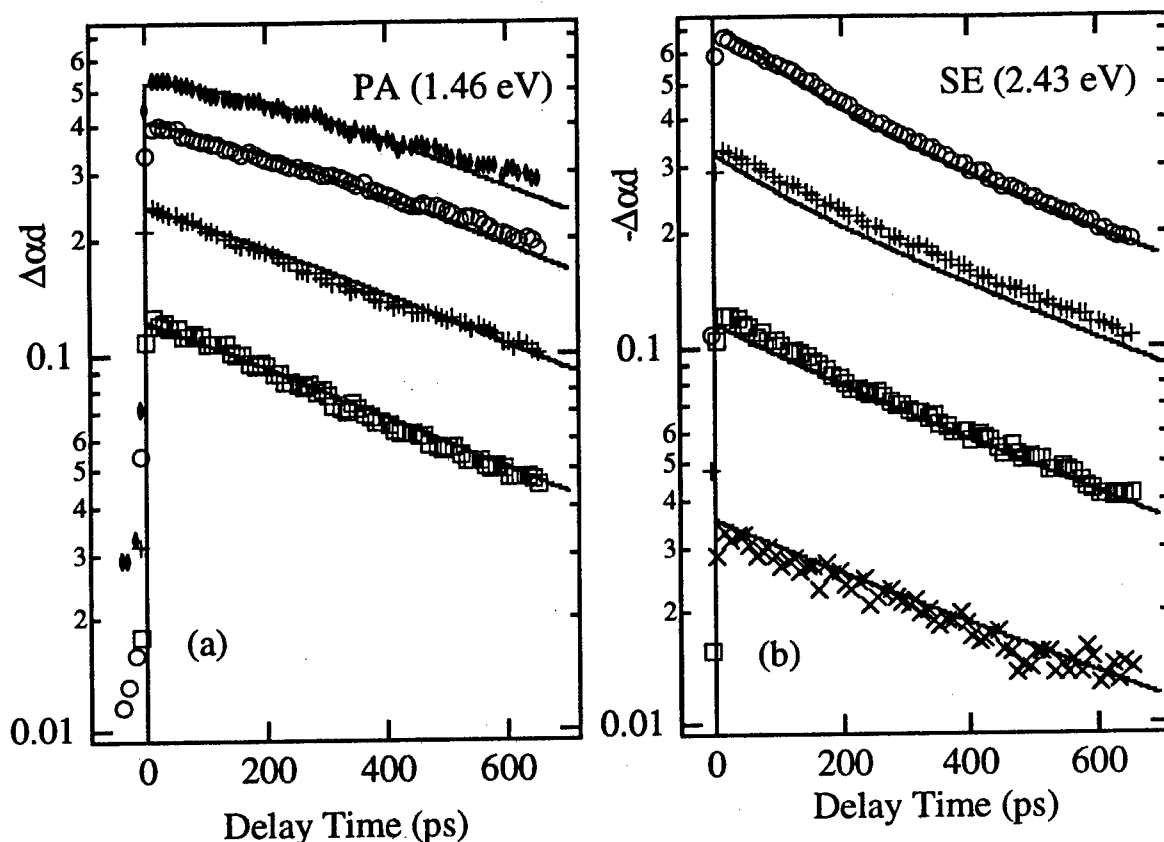
Figure 1. Intensity-dependent dynamics for MEH-DSB oligomer solutions for two spectral features: (a) photoinduced absorption at 1.46 eV; (b) stimulated emission at 2.43 eV. Solid lines are fits using a model for nonlinear generation of biexcitons with enhanced SE oscillator strength. Excitation fluences are 0.05 mJ/cm^2 (xxx), 0.15 mJ/cm^2 (squares), 0.36 mJ/cm^2 (crosses), 0.76 mJ/cm^2 (circles), 1.52 mJ/cm^2 (diamonds).

radiative relaxation of biexcitons.

In thin films, in addition to generation of doubly-excited excitons during the pump pulse at high intensities, the presence of adjacent chains leads to other nonlinear interactions. The efficient formation of nonemissive interchain excitons is one effect observed in films which is absent in solutions. Another nonlinear interaction present only in films is bimolecular annihilation of excitons due to long-range interactions within the polymer films. It has been demonstrated by fitting to an intensity-dependent decay of the form $dN/dt = -N/\tau - \beta N^2 t^{-1/2}$ that above an excitation density of $\approx 10^{18}$ cm^{-3}, annihilation effects are responsible for the nonlinear decay observed subsequent to the pump pulse arrival [3]. Unlike the direct excitation of biexcitons, this annihilation process is dispersive, so that polarization memory of the excitations on quasi-one-dimensional chains is lost during the annihilation process. A direct measurement of the annihilation is thus offered by monitoring the photoinduced

dichroism, defined as $D = \Delta T_{\parallel}/\Delta T_{\perp}$, where $\Delta T_{\parallel}$ and $\Delta T_{\perp}$ are the differential transmission signals for pump and probe beams polarized parallel and perpendicular with respect to each other, respectively. The intensity-dependent dichroism is shown in Fig. 2 for the SE signal of a thin film of a soluble PPV derivative.

We also present detailed studies of femtosecond transient holography in conjugated polymer blends and superlattices, using tunable non-degenerate four-wave mixing. Combined with spectral transient absorption studies, these experiments allow determination of the complex nonlinear index of refraction over the photon energy range from 1–3 eV. In addition to luminescent polymers, we have studied charge transfer blends with soluble methanofullerenes, and donor-acceptor superlattices formed by ionic self-assembly. By controlling the degree of charge transfer, one can achieve diffaction efficiencies of several percent for a single femtosecond pulse, with a time constant tunable over the range from 1–100 ps. This represents a potential holographic information processing density up to 12 orders of magnitude higher than other holographic nonlinear optical materials [5].
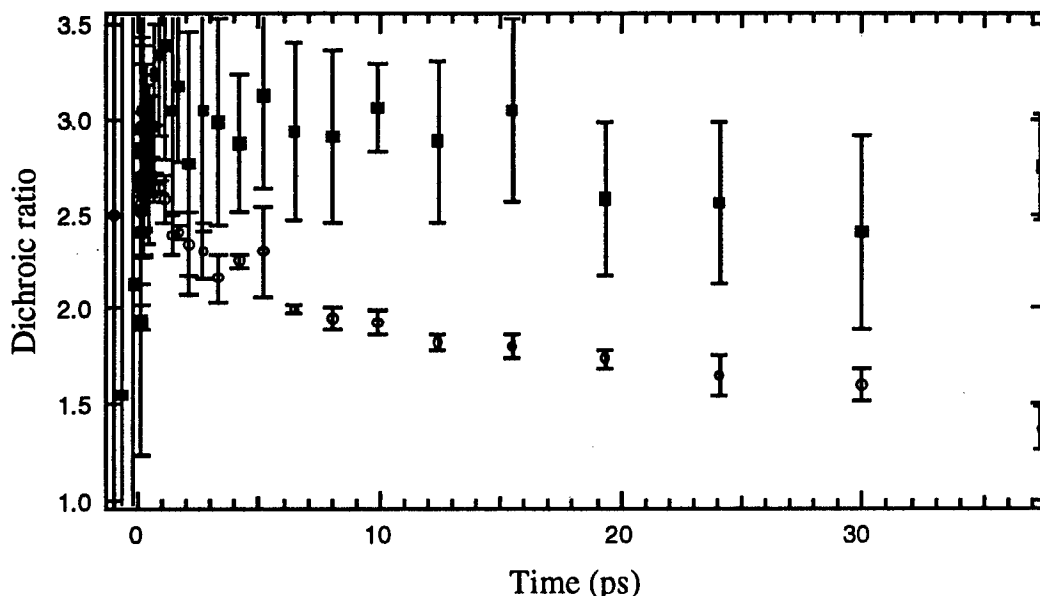
Figure 2. Dichroic ratio for low fluence (squares) and 8× higher fluence (circles) in a thin film of a PPV polymer derivative. The rapidly decaying dichroism indicates loss of polarization memory due to exciton-exciton annihilation.

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High Optical Gain In Iron Doped Lithium Niobate by Contradirectional Two Beam Coupling.

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Two beam coupling is the process whereby 2 beams of light at similar frequencies are coupled together resulting in energy transfer from one beam to the other. The mechanism responsible for the coupling is a diffraction grating written within a photorefractive crystal.

Most Two Beam Coupling experiments to date, have been carried out using codirectional geometry's as indicated in Figure 1. Contradirectional geometries have been used recently to study samples of $Fe:LiNbO_3$ [1] and also $Rh:BaTiO_3$ [2]. Use of a contradirectional coupling geometry allows the formation of much finer diffraction gratings and should make higher gain coefficients possible due to increased diffusion fields.

Gain measurements of up to $106cm^{-1}$ were made on a Brewster prism of .08mol% $Fe:LiNbO_3$ (path length 0.7mm) using the experimental set-up shown in Figure 2, at a wavelength of 523.5nm. Figure 3 shows measurements of gain coefficients versus signal Intensity for a pump beam intensity of 1kW/cm2 and an absorption coefficient of $16cm^{-1}$. Previously reported results have shown the maximum gain coefficient to be $26cm^{-1}$ for counterpropagating beams [1].

A practical application of two beam coupling is demonstrated by image amplification. This was carried out using codirectional beams as shown in Figure 1, but with a resolution chart in the path of the pump beam. Amplification occurs in a .05mol% Brewster Slice of $Fe:LiNbO_3$.

Figure 4(a) shows the transmitted signal image with the pump beam blocked. After the

pump is unblocked the image is amplified as shown in Figure 4(b).

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BEAM
SPLITTER   RESOLUTION   Fe:LiNbO$_3$
             CHART   BREWSTER SLICE

SIGNAL
BEAM

DETECTOR
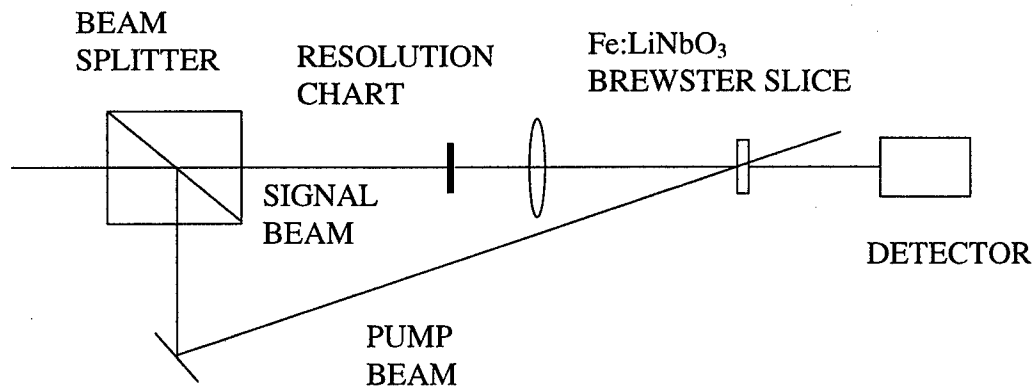
PUMP
BEAM

FIGURE 1: Codirectional Two Beam Coupling
used to demonstrate image amplification

523.5nm Laser

BEAM
SPLITTER

ND FILTERS

PHOTO
DETECTOR   PHOTO
                                                           DETECTOR

SIGNAL →   ← PUMP

BEAM   BEAM
SPLITTER   Fe:LiNbO3   SPLITTER
                  BREWSTER PRISM
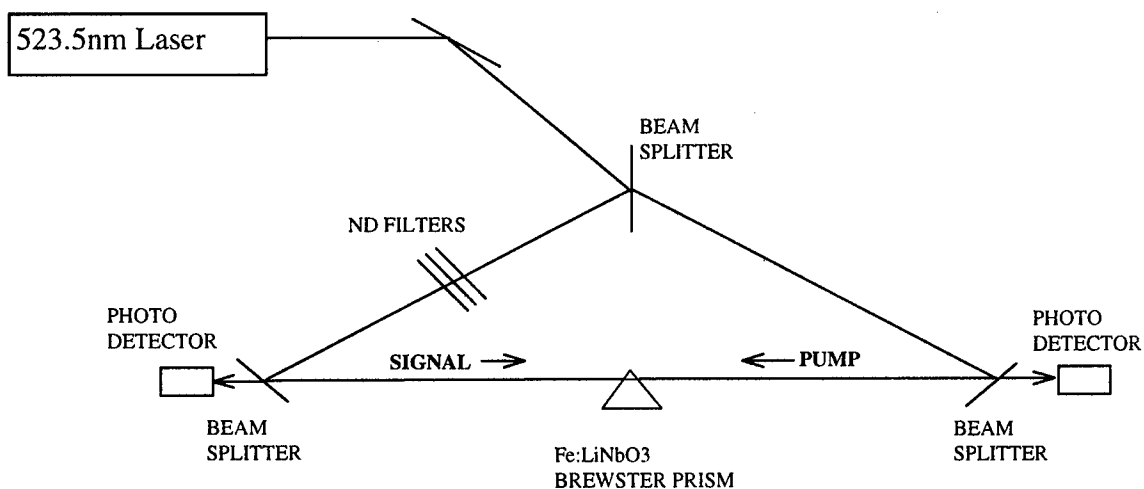
FIGURE 2: Experimental Set-up used to measure
coupling gain of Fe:LiNbO3 Brewster prisms.

.08 mol% Fe:LiNbO₃ optical gain at 523.5nm 1kW/cm² pump intensity, 0.7mm path Length
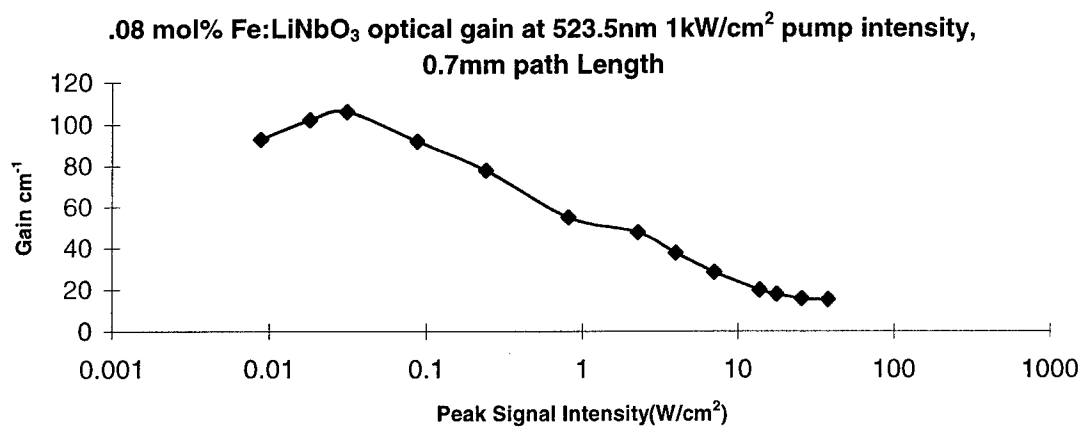
FIGURE 3: Fe:LiNbO3 optical gain at 523.5nm pump intensity 1.18kW/cm²
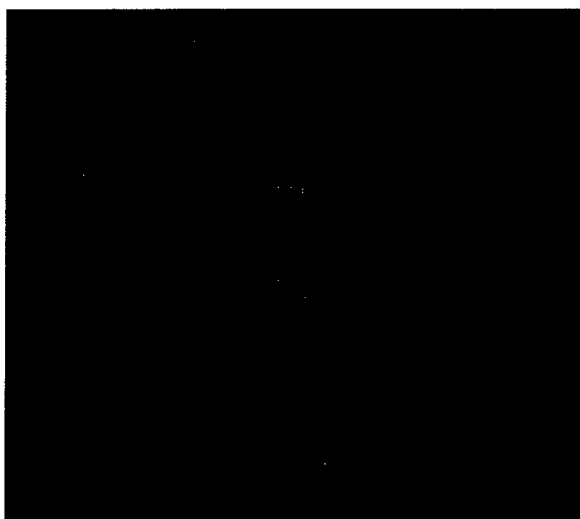
FIGURE 4(a): Image transmitted with pump beam blocked.
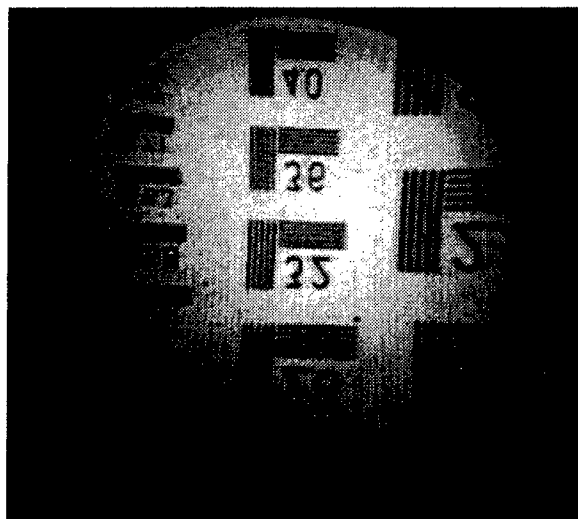
FIGURE 4(b): Amplified Image after unblocking pump beam

Recording of high harmonic gratings in photorefractive media

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Summary

Volume index gratings can be recorded in photorefractive crystals.[1] These gratings can be employed for applications in optical memory and spectral filters. It is known that a minimum grating period can be obtained by using a pair of counterpropagating beams. This leads to a grating period of $\Lambda = \lambda/2n$, where n is the refractive index of the recording medium. It is also known that the photo-induced index gratings in photorefractive media are not exactly sinusoidal, even though the optical intensity is sinusoidal. In other words, higher harmonic index gratings exist.[2,3] In this paper, we propose and demonstrate a novel double exposure scheme which removes the fundamental component and all higher odd-orders of the index grating. As a result, a volume index grating is obtained with a grating period of $\Lambda/2$. The recording scheme can be further extended to produce index gratings with periods of $\Lambda/4$, $\Lambda/8$, etc. We present the experimental results of our investigation in a KNSBN:Mn photorefractive crystal.

Referring to Fig.1, we consider the recording of a volume index grating by using a Mach-Zehnder interferometer. The phase of the recorded index grating is either 0 or π, depending on the input port of the laser beam. This interferometric arrangement has been employed previously for parallel image subtraction and optical implementation of perceptron learning[4,5]. In our investigation, the same interferometric arrangement is employed to remove the fundamental component of a volume index grating. The idea is described briefly as follows.

Let the intensity of the first set of recording beams be written.

$$I = I_0 + I_1 \cos(K \cdot x) \tag{1}$$

where I_0 and I_1 are constants, K is the grating wavenumber ($K = 2\pi/\Lambda$, with Λ being the period of the grating). As a result of the photorefractive effect in a pure diffusion-driven case, a photo-induced space-charge field is produced which can be written,

$$E = E_1 \sin(K \cdot x) + E_2 \sin(2K \cdot x) + E_3 \sin(3K \cdot x) + ... \tag{2}$$

where E_1, E_2, and E_3 are the amplitudes of the space harmonics (Fourier components) of the space charge field. These amplitudes are increasing functions of time before reaching saturation. By controlling the shutters in Fig.1, we are able to control the phase (either 0 or π) of the intensity pattern. In the second exposure, a phase shift of π is introduced. This is equivalent to replacing Kx by Kx + π in equation (2). As a result, all odd space harmonics (K, 3K, 5K, ...) of the space charge field are reversed in sign. Under the appropriate exposure times, we are able to eliminate the fundamental harmonics and, possibly all the odd harmonics. Consequently, the net space charge field of the volume index grating becomes

$$E = E_2 \sin(2K \cdot x) + E_4 \sin(4K \cdot x) + \dots \qquad (3)$$

We note that the resultant index grating has a grating period of $\Lambda/2$, where Λ is defined as the period of the fundamental index grating.

In our experimental investigation, we used a $(K_{0.5}Na_{0.5})_{0.2}(Sr_{0.75}Ba_{0.25})_{0.9}Nb_2O_6$(KNSBN) crystal doped with Mn of 0.075wt.%. The crystal($5 \times 5 \times 5 mm^3$) was grown by pulling method with Crystalox MCGS-3 system in the State Key Laboratory of Crystal Materials in Shandong University, China. With a total beam intensity of 135mw($I_A = I_B = 67.5mw$) at $\lambda = 514.5nm$ and a beam angle of $2\theta = 12°$, we obtained five orders of harmonic gratings. The measured diffraction efficiencies with self-enhancement are shown in Fig.2. Two He-Ne laser beams with beam intensity of $I_{read1} = 6.2mw$ and $I_{read2} = 4.9mw$ at $\lambda = 632.8nm$ are employed to monitor the diffraction intensity of the fundamental grating and the second harmonic grating, respectively.

The measured results are shown in Fig.3. In our experiment, the first exposure time was $t_1 = 36s$, and the second exposure process began at $t = 36s$. We note that the first order grating(1K) decreases to zero rapidly during the second exposure, and the second order grating(2K) maintains the same strength. The time evolution of these gratings can be described as:

$$E_1 = \left\{ \left[1 - \exp(-t_1/\tau)\right]\exp(-t_2/\tau) - \left[1 - \exp(-t_2/\tau)\right] \right\}E_{10} \qquad (4)$$

$$E_2 = \left\{ \left[1 - \exp(-t_1/\tau')\right]\exp(-t_2/\tau') + \left[1 - \exp(-t_2/\tau')\right] \right\}E_{20} \qquad (5)$$

where τ, τ' are the time constants of the first and second order gratings, t_1, t_2 are the exposure time in the double exposure process, and E_{10}, E_{20} are space charge fields for first and second order gratings at steady state. By choosing a suitable first exposure time t_1, depending on the response properties of first and second order grating, the fundamental grating can be eliminated, according to Eq.(4).

In summary, we have proposed and demonstrated a novel scheme for the recording of high harmonic index gratings in photorefractive crystals. By using a double exposure in a Mach-Zehnder interferometer with a dual input port, we demonstrated the recording of a second harmonic index grating with a period of $\Lambda/2$ in a photorefractive KNSBN:Mn crystal. The technique can be employed to record index gratings with a grating period less than $\lambda/2n$.

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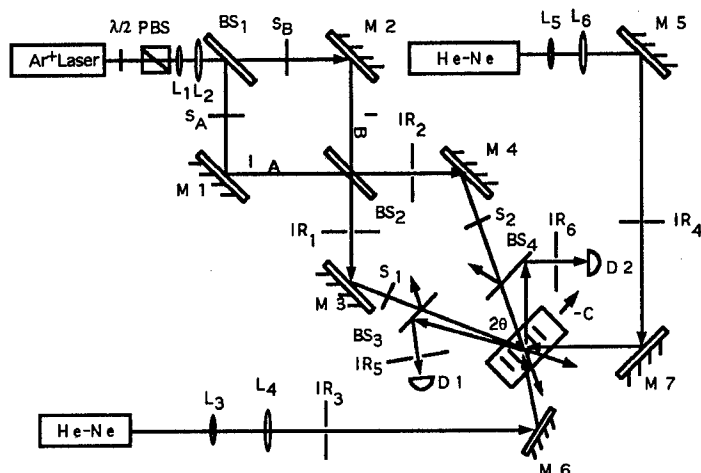
Fig.1 The experimental setup for the double exposure scheme
PBS: polarization beam splitter, BS: Beam splitters,
M: mirrors, S; shutters, IR: irises, D: power meters
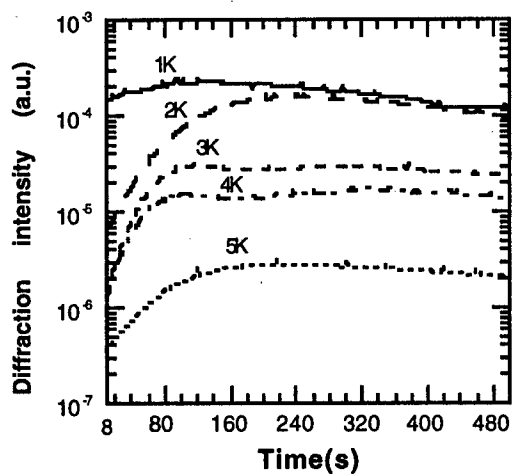
Fig.2 The measured diffraction efficiencies of
five orders of harmonic index gratings
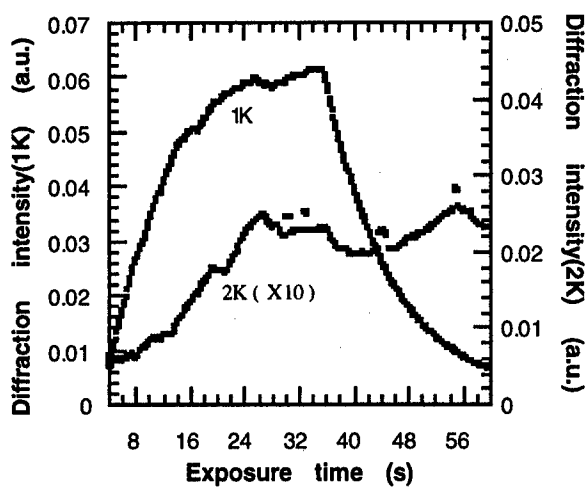
Fig.3 The measured diffraction efficiencies
of the fundamental(1K) and the second
harmonic(2K) index gratings.

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Stable Generation of Ultrashort Tunable Pulses using a Soliton Fiber Laser

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1. Introduction

Mode-locked erbium-doped fiber (EDF) lasers in soliton regime are attractive sources of ultrashort optical pulses. The pulse will propagate with constant shape and amplitude over essentially unlimited distance, limited only by linear absorption in the medium. However, the generated pulses over a wide wavelength tuning range are not so stable. Noise mainly results from spontaneous emission of the gain medium and from fluctuations of the optical path length in the cavity. Even small variations in the length of the cavity can lead to large fluctuations in timing. Another problem to operating in the soliton regime is the resonant instability which occurs for solitons periodically perturbed.[1] We describe stable generation in a passively mode-locked tunable EDF ring laser, in soliton regime.

2. Experiments

A schematic diagram of the experimental setup is shown in Fig. 1. It uses a 1.48 μm laser diode as a pump source. The pump power from a laser diode is fed into one end of the EDF using a bulk wavelength division multiplexer (WDM). Bulk WDM couplers are used instead of fused couplers to obtain wide wavelength operation. Since the gain bandwidth can be extended by increasing Er concentration and codoping Al,[2] generation is possible over a wide wavelength range. The erbium gain bandwidth allow for substantial wavelength tuning. The EDF was doped with 3200 ppm Er / 8000 ppm Al and had a 2.5 m length. In the negative group velocity dispersion (GVD) region, for normal telecommunication fibers, there exists a condition in which the propagation of a short pulse is stable. The pulse duration of the laser is determined by the total cavity dispersion.[3] A normal single-mode fiber and a dispersion-shifted fiber are included in the cavity to control the total dispersion. These fibers have nonlinear polarization rotation and converts purely reactive Kerr nonlinearities into fast saturable absorber action. The nonlinear polarization rotates the polarization ellipse, and the mechanism to a point where higher intensities experience lower loss.

Before inserting a band-pass filter (BPF) in the cavity, the waveplates were rotated to obtain mode-locking. In general, mode-locked oscillation was most reliable in the centers from spontaneous emission of the gain medium. The output spectrum shown by the solid curve in Fig. 2 has a sidelobe appears in the main component, but no continuous wave light. Such sidelobes are commonly observed in soliton lasers. From the spectral width and the sidelobe positions, we can determine the net GVD of the cavity and the pulse duration. The pulse duration is 270 fs assuming a sech^2 shape at center wavelength of 1.558 μm. By inserting the BPF, a typical output spectrum and the peak intensities are shown by the dashed curve and the solid circles, respectively. The ratio of the peak sidelobe to the main peak of the pulse spectrum was less than 2%. Continuous operation at wavelengths from 1.532 to 1.594 μm is obtained by rotating the BPF. The spectral width is 9.2-9.8 nm and the pulse duration is 270-325 fs for all wavelengths. No adjustment of the pump power and the setting point of the waveplates was required for tuning. The total length of the cavity is 4.4 m, which leads to a 45.4 MHz repetition rate.

A problem to operating in the soliton regime is the resonant instability which occurs for

solitons periodically perturbed at periods 8Zsp, where Zsp $\propto t_0^2$ is the soliton period, and t_0 is the pulse duration. The instability limits t_0 since it requires Zc / Zsp$\gg$8, where Zc is the cavity length. In tunable oscillations, one may measure the dispersion by measuring the change in repetition frequency as a function of wavelength (Fig. 3).[4] The linear fitting has a slope of 0.049 ps/nm which is a measure of cavity dispersion. This yielded the dispersion parameter β_2 = -0.0143 ps^2/m at 1.558 μm which is in reasonable agreement with the value -0.013 ps^2/m determined from the material parameters. These measurements indicate the wavelength dependence of the total cavity round-trip in actual operation. The soliton period Zsp is then 2.58 m, thus Zc/Zsp$\fallingdotseq$2.5.

Noise properties are important with respect to applications of the sources. The fluctuations in pump intensity changes the group velocity, the change in the gain profile of the EDF, also changes its index profile. However, owing to the excited states of the erbium ions having long relaxation times, the output pulses is not sensitive directly to the gain fluctuations except at low frequencies. To reduce the amplitude fluctuations of the pump source, and to maintain a constant cavity length, it is essential to reduce the influence of airflow and temperature fluctuations. Using an external feedback loop, the intensity is stabilized to within a fluctuation limit of less than 0.1%. Simultaneously, the airflow and the temperature fluctuations in the cavity are closely controlled by using a double-sealed box with a temperature controller. By this controller, the temperature fluctuation in the cavity is reduced to less than 0.01 degrees.

Timing jitter (fluctuations in the arrival time of the pulses) is a type of phase noise that can occur in a short time scale. Amplitude noise and timing jitter in the laser, relative to a radio frequency (RF) reference was determined from the power spectrum of the pulse intensity.[5,6] From the fundamental frequency power spectrum, the noise represents amplitude fluctuations less than 0.12% of the output pulse energy. To evaluate the timing jitter, we measured the higher harmonics of the noise spectral density. The noise increases with an increasing harmonic number n because of the contribution from the jitter (n^2 dependence). The measurements are made using a fiber coupled, high-speed pin photodiode and a RF spectrum analyzer. Figure 4 plots the normalized noise against wavelength, covering an offset frequency span from 30 Hz to 3 kHz. The resolution bandwidth of the spectrum analyzer is 30 Hz and the measurement time is 35 ms. The rms jitter is estimated to be below 34 parts in 10^6 of the round-trip time (0.8 ps) at center wavelength, and to be below 86 parts in 10^6 (1.8 ps) over a wavelength tuning range of 25 nm. The noise components of the laser are majored at lower frequencies, it should be possible to reduce the noise by feedback controlling of path length in the cavity.

3. Conclusions

We have stable generation optical pulses with durations of 270 - 325 fs using a soliton fiber laser. The lasing wavelength is tuned continuously over a wavelength range of 60 nm from 1.532 to 1.594 μm by rotating a bulk band-pass filter inserted in the resonator with a repetition rate of 45.4 MHz. The rms jitter is estimated to be below 34 parts in 10^6 of the round-trip time at center wavelength, and to be below 86 parts in 10^6 over a wavelength tuning range of 25 nm. Since the noise density of the laser is predominated at lower frequencies, the cavity phase locking technique should be possible.

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Power fluctuation < 0.1 %

Temperature fluctuation < 0.01 deg.

EDF, erbium-doped fiber (3200 ppm Er / 8000 ppm Al); SMF, single-mode fiber; DSF, dispersion-shifted fiber; WDM, wavelength division multiplexer; BPF, band-pass filter; PC, polarization controller; OC, output coupler.
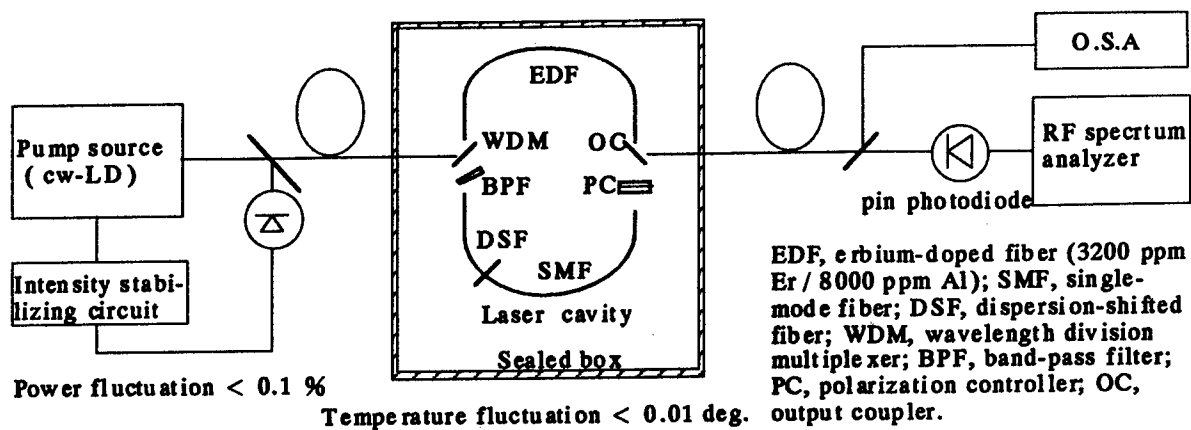
Fig. 1. A schematic diagram of the experimental setup.
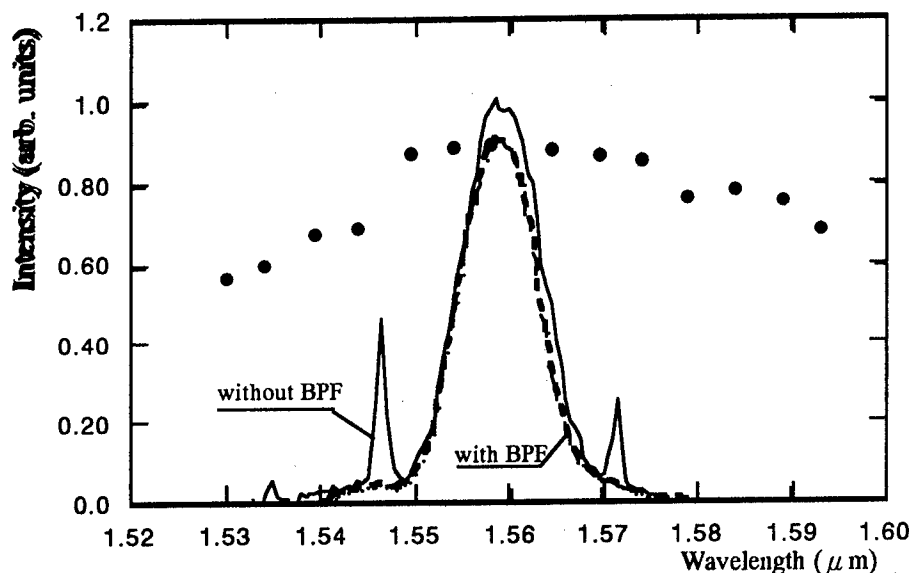
Fig. 2. Typical output spectrum and the peak intensities.
Solid curve shows a typical output pulse obtained with no filtering. The dashed curve shows an output pulse obtained using the BPF. The solid circles show the peak intensities of tunable lasing wavelength.
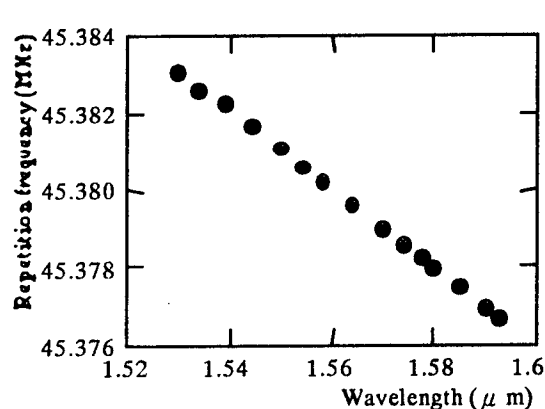
Fig. 3. Change in repetition frequency versus wavelength.
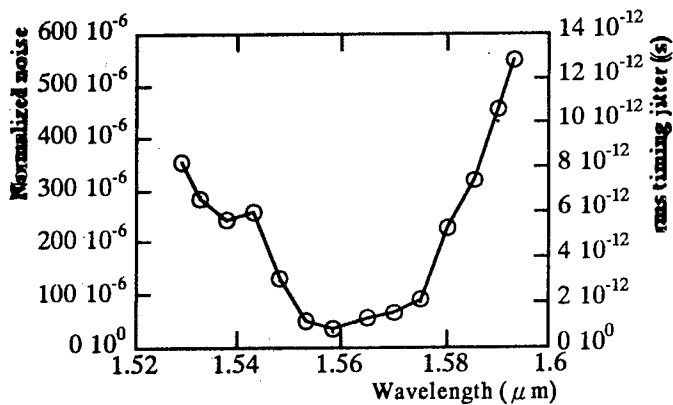
Fig. 4. Normalized noise and timing jitter against wavelength, covering an offset frequency span from 30 Hz to 3 kHz.

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Effect of Fe Doping on Optical Properties in KTiOAsO$_4$ Crystals

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Potassium titanyl arsenate KTiOAsO$_4$ (KTA) is a new nonlinear optical material, like the well-known KTiOPO$_4$ (KTP), but with a larger nonlinear coefficient and figure of merit [1]. It has the potential to be a superior material to KTP for second-harmonic-generation (SHG) and optical parameter oscillation. It has also been reported [2] that the addition of a small amount of Fe$_2$O$_3$ into the melt during the growth promotes the crystallization of single-domain KTA crystals, and an unusually strong optical birefringence increase with Fe$_2$O$_3$ dopant concentration, which leads to blue shift in the SHG cutoff wavelength of as much as 37 nm. In this study, optical absorption properties of KTA crystals doped with Fe$_2$O$_3$ are reported compared with undoped KTA crystals in UV-visible and infrared ranges. The effect of Fe-doping on the optical absorption properties is discussed.

The crystals used for this work were tungstate flux-grown undoped KTA and KTA doped with 0.1 wt % Fe$_2$O$_3$ (Fe:KTA). The optical transmission of undoped and Fe-doped KTA crystals was measured at room temperature in UV-visible range. The forbidden energy gaps E_g of undoped KTA and Fe:KTA are obtained to be 3.60 and 3.32 eV, respectively. The absorption coefficient α was determined from the transmittance using Mclean's formula. Figure 1 shows the absorption coefficient α as a function of photon energy, as determined for undoped KTA and Fe:KTA, which indicates that doping with Fe$_2$O$_3$ makes the absorption edge of Fe:KTA move towards infrared compared with that of undoped KTA. The $(\alpha h\nu)^{1/2}$ versus photon energy hν curves for undoped KTA and Fe:KTA exhibit good linear relations as shown in Figure 2. Based on the indirect transition process, the theoretical $\alpha^{1/2}$–hν curve fit to the experimental data is also given. Analysis reveals that the absorption edges of undoped and Fe-doped KTA are indirect allowed transitions. The indirect energy gaps E_g' for undoped KTA and Fe:KTA were calculated to be 3.24 and 2.88 eV, respectively, and the energy E_p of phonons taking part in the indirection transition were also estimated to be 0.105 and 0.106 eV, respectively. The Raman spectra of the samples confirm the existence of phonons corresponding to the E_p.

The infrared spectra of undoped and Fe-doped KTA crystals were also measured as compared with that of KTP, as shown in Figure 3. The infrared cutoff of undoped KTA is shifted to 1885 cm^{-1} as compared to 2200 cm^{-1} for KTP, and Fe-doping seems not to make obvious movement of the infrared cutoff for KTA. The strong absorption in the 2700-3100 cm^{-1} region is observed in KTP, which is identified [3] as the second overtone of the tetrahedral phosphate fundamental vibrations. In comparison, the corresponding absorption in undoped KTA and Fe:KTA is shifted to the lower frequency 2300-2600 cm^{-1} region due to the frequencies for the arsenate fundamental vibrations lower than that for the phosphate ones.

The OH$^-$ absorption bands of undoped KTA and Fe:KTA were displayed in the infrared spectra. Three bands at 3444, 3465 and 3601 cm^{-1} were found in the region of OH-group stretching vibrations in undoped KTA sample, where the 3465 band is the most intensive one.

In Fe:KTA sample, the most intensive band is moved to 3584 cm^{-1}, whose intensity is much larger than that for undoped KTA, and the positions of two other bands are the same as those in undoped KTA. This strong effect on the position and intensity of the OH$^-$ absorption band in Fe:KTA is attributed to the doping with Fe_2O_3, which can be interpreted as being associated with the formation of Fe-O-H-type complexes. The position difference between the OH$^-$ absorption band in Fe:KTA and undoped KTA indicates a different environment for OH$^-$ in Fe:KTA from that in undoped KTA. This also implies that interstitual OH$^-$ located in the proximity of the Ti-O bond in Fe:KTA should be responsible for the range of frequency doubling efficiencies of the crystals since the short Ti-O bond determines the magnitude of the nonlinear polarizability in KTP-type crystals [4]. Other research about the effect of Fe doping on nonlinear optical properties in KTA crystals is in progress.

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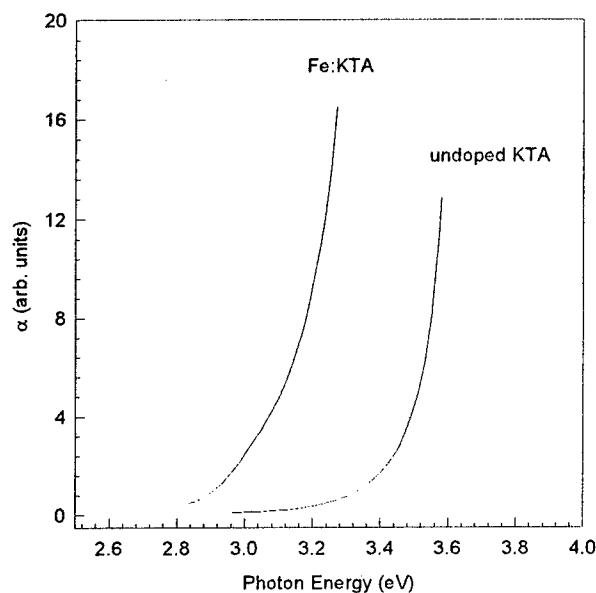
Fig. 1 Absorption coefficient vs photon energy for undoped and Fe-doped KTA
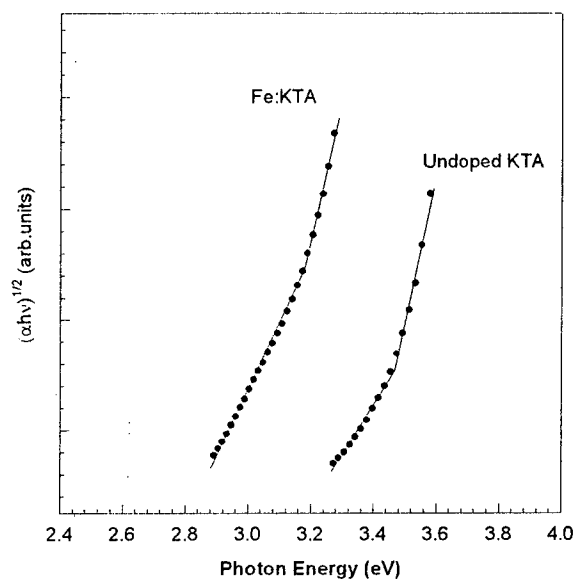
Fig. 2 $(\alpha h\nu)^{1/2}$ vs hν for undoped and Fe-doped KTA. The solid circles are representative experimental points deduced from transmission spectra and the solid lines are the theoretical fits.
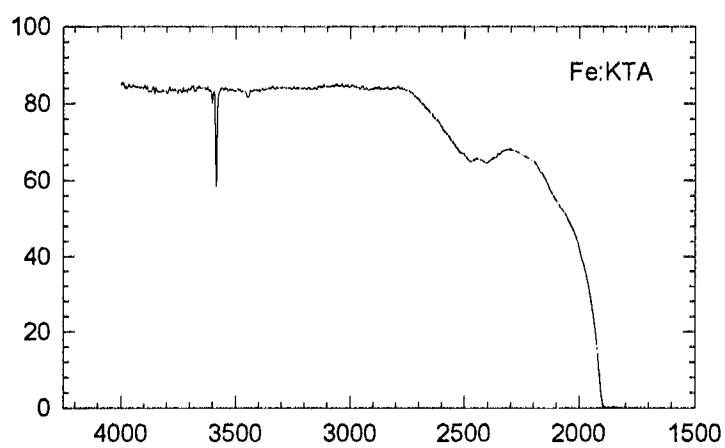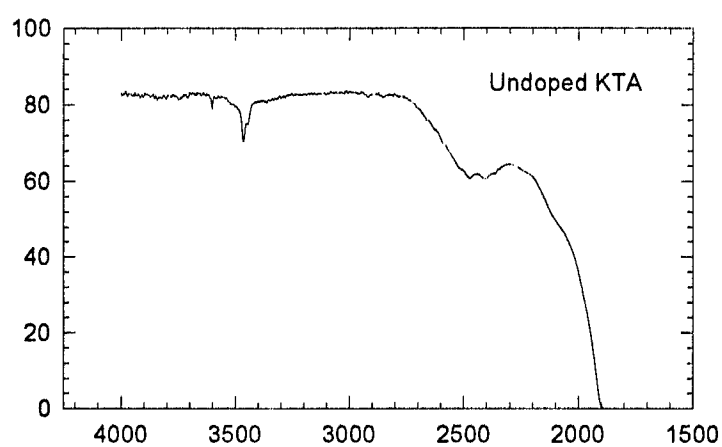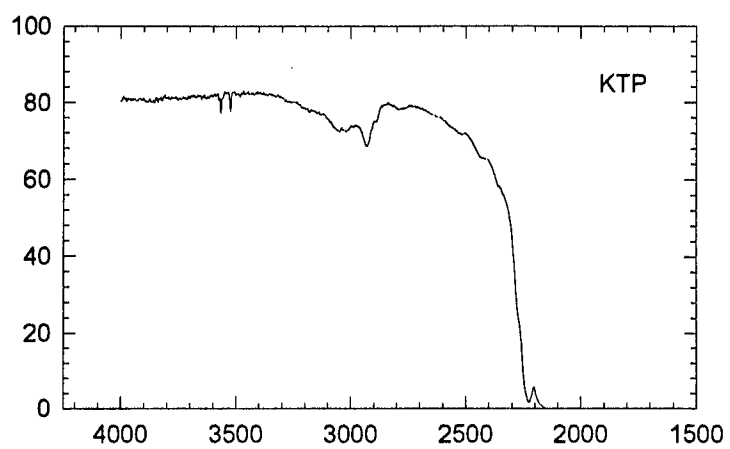
Fig.3 Infrared spectra of KTP, undoped KTA and Fe:KTA crystals between
4000 and 1600 cm^{-1}.

Microscopic Spectral Imaging of Defect Centers in KDP

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The development of short-pulsewidth, high-power laser sources requires new nonlinear materials or the improvement of existing ones in order to take full advantage of the enhanced nonlinear optical performance these new laser systems can offer. The nonlinear optical properties of KH_2PO_4 (abbreviated as KDP) have made it one of the most well studied materials. The ability of KDP to grow at a fast rate (10-20 mm/day) and as large crystals (40-55 cm)[1] has made it a unique candidate for large-aperture laser systems such as the National Ignition Facility (NIF)[2]. Important problems that need to be examined are the behavior of the crystal under high power laser irradiation and the mechanism for localized damage at relatively low laser fluence.

The photophysics associated with laser induced damage in dielectric crystals is still not well understood.[3-6] It is currently believed by many researchers that bulk damage in wide-gap optical materials is initiated by defect clusters or foreign particles where free carriers are generated which stimulate additional absorption that leads to irreversible cascade processes.

In this work, the presence of defect clusters in the bulk of large KDP crystals is revealed using a spectral imaging technique and a microscopic optical system allowing for 1 micron spatial resolution. The observed particle concentration varies between 10^4-10^6 per mm^3 depending on the crystal growth method and sector of the crystal. Exposure in high power 355 nm, 3 ns laser irradiation leads to a significant reduction of the number of observed defect centers.

The experimental setup consists of a Q-switched Nd:YAG laser operating at 100 Hz, a CW argon laser, a microscopic optical imaging system and a liquid nitrogen cooled CCD detector to capture the images. The 514 nm CW output of the Argon laser was expanded to a diameter of 10 mm and focused into the sample using a 10 cm focal length cylindrical lens. The 3 ns, 355 nm third harmonic of the YAG laser is overlapped with the argon beam and focused into the sample using the same cylindrical lens. The imaging optics are located at 90 degrees with respect to the illumination beam. The imaging plane of the microscope objective coincides with the focal plane of the illumination beam. In this arrangement, a 30 μm in depth (z axis) volume of the crystal is illuminated and imaged. The field of view of the imaging system is 500 X 500 $μm^2$, its spatial resolution (x,y plane) is 2 CCD detector pixels per μm of the sample imaged. A 700 nm long pass filter is incorporated into the imaging system so that the image is formed by near IR photons emitted following absorption of the 514 nm laser light. The samples used were fast-grown as well as conventionally grown KH_2PO_4 crystals. The experiments were performed with the sample held at room temperature.

Fig. 1a shows the image captured using a conventionally grown KDP crystal. The image shows the presence of light emitting "particles" inside the KDP crystal. The characteristic o-ring around the central point is due to the imaging microscope objective lens which was kept slightly out-of-focus in order to easily discriminate the presence of the "particles" from the noise signal (cosmic ray) of the CCD detector. Fig. 1a indicates that the concentration of emitting "particles" is approximately 10^4 per mm^3. Fig. 1b shows the image captured using a fast grown KDP crystal. This image shows the presence of a larger number of "particles" in the bulk of the crystal. The intensity of the "particles" as recorded by the CCD detector varies widely within the image field. Most of the "particles" have a recorded intensity of about 10 counts/pixel above background level (10 minutes exposure under 2 W cw laser illumination at 514 nm) but a small percentage ($\approx$5%) have intensities of 50 counts or higher.

Fig. 2a shows the spectral image of a fast grown crystal at the boundary between prismatic and pyramidal sectors. This image shows that the concentration of the "particles" is very different in the two sectors of the crystal. The effect that the 355 nm, 3 ns high power pulsed laser irradiation has on these "particles" is shown in fig. 2b. The same part of the crystal (shown in fig.2a) was illuminated with

Figure 1: Emission images originating from a 200X200X30 μm^3 section of KDP crystals grown using a) conventional and b) fast grown method.

the third harmonic of the Q-switched laser with average power on the order of 1 J/cm^2 for 10 minutes. The result is that the number of particles is reduced significantly with respect to the image shown in fig. 2a and the sector boundary is no longer clearly distinguishable.

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It has been reported previously that 355 nm pulsed laser illumination of KDP crystals at sub-damage laser threshold intensities leads to increased laser damage threshold[7] (laser annealing). Fig. 2b shows a dramatic change in the particle concentration which could be described as laser conditioning. The reason for this change may be the diffusion of the defect cluster into the lattice following intense heating of the "particles" by the high power laser pulse. We have not yet demonstrated that the emitting "particles" are associated with laser induced damage in KDP. However, their presence may contribute to laser induced heating, enhancement of absorption, lowering of nonlinear optical performance and damage of the crystal. The role of the observed emitting "particles" in laser induced damage and the origin of these particles is the focus of this investigation.

This work was supported under the auspices of the U.S. Department of Energy by the Lawrence Livermore National Laboratory under contract No. W-7405-ENG-48 through the Institute for Laser Science and Applications.

Figure 2: Emission images originating from a 500X500X30 μm^3 section of fast grown KDP crystal at the boundary between prismatic and pyramidal sectors a) prior and b) after .irradiation with 355 nm, 3 ns laser pulses.

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Statistical analysis of polymer grating distortions in volume holographic digital storage.

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Summary

Holographic digital data storage (HDDS) offers the potential for high capacity, and fast transfer rates. The performance of a HDDS system is practically limited by the effects of noise upon the BER. There are several noise processes introduced in the recording, reconstruction, and detection of volume information gratings. The capacity of a HDDS system is predominantly limited by postrecording fluctuations, because the associated signal-to-noise ratio (SNR) scales as $\sim 1/N^2$ with the number of multiplexed holograms N (data pages). Fluctuations during reconstruction may arise due to optical scattering, and deviations from the Bragg condition. In the widely investigated HDDS in photorefractive crystals, the system is typically limited by the scattering of the reconstruction beam. This optical scattering noise has been modeled as a sum of random phasors imposed onto a known phasor corresponding to the optical signal I_b [1, 2]. In that case, the fluctuations of the intensity incident on the photodetector (I) are described by Rician statistics [3], and the probability density function (pdf) distribution is:

$$\mathcal{P}_I(I) = \frac{1}{2\sigma_{sc}^2} exp\left(-\frac{I + I_b}{2\sigma_{sc}^2}\right) \mathcal{I}_0\left(\frac{\sqrt{II_b}}{\sigma_{sc}^2}\right) \tag{1}$$

for $I \geq 0$ and zero otherwise, where $\mathcal{I}_0$ is the modified Bessel function of the first kind of zero order. The mean of the received intensity is $\langle I \rangle = I_b + 2\sigma_{sc}^2$, and $2\sigma_{sc}^2$ essentially corresponds to the mean scattering noise component; where σ_{sc}^2 is the variance of the random phasors. The analysis (eq.1) assumes that the optical signal does not fluctuate, and the digital signal intensity levels corresponding to ON and OFF SLM pixels ($I_b = I_h$ and $I_b = I_l$ respectively) are deterministic.

There are systems, however, in which significant fluctuations of the optical signal (I_b) may arise during grating reconstruction. In the recent prominent development of HDDS utilizing a photopolymer recording medium, system performance is significantly limited by grating distortions, arising mainly due to postexposure anisotropic changes in the dimensions of the material. In the promising cationic-ring opening photopolymerization process, measurements of more than 1% shrinkage in the transverse direction (Δz_{max}), and slight expansion ($\leq$ 0.5%) in lateral direction (Δr_{max}), have been reported, while in previous materials utilizing free radical photopolymerization, volume contractions may be an order of magnitude more pronounced [4]. The distortion of the compositional volume gratings results to deviations from the Bragg condition during reconstruction, and gives rise to Bragg mismatch χ. Bragg mismatch reduces the grating diffraction efficiency (η_i), affecting the strength of diffracted signal during reconstruction,

$$I_b \sim \eta_i \sim sinc^2(\chi_i), \tag{2}$$

where i refers to the ith grating, in the typical transmission phase grating case. $|\chi_i| \leq \chi_{max} = \mathcal{F}(\Delta z_{max}, \Delta r_{max}, \theta_{max}, \lambda)$, where the maximum mismatch is proportional to the induced distortions, and the angular spectrum (θ_{max}, λ) of the recorded information gratings. Depending on the degree of anisotropy, and the ability to accommodate the corresponding

deviations from the Bragg condition, grating distortions may result in significant grating strength (diffraction efficiency) fluctuations ($\geq 10 - 20\%$) .

In such a system, the intensity incident on the detector is most accurately described by a double stochastic process, where the Rician distribution is conditional on the optical signal distribution, for the optical signal intensity fluctuates. The pdf of the intensity received on the photodetector becomes

$$\mathcal{P}_I(I) = \int_0^\infty \mathcal{P}_I(I|I_b)\mathcal{P}_{I_s}(I_b) \, dI_b, \qquad (3)$$

where $\mathcal{P}_I(I|I_b)$ accounts for the optical scattering (eq.1), and $\mathcal{P}_{I_s}(I_b)$ generally depends on the physical process responsible for the optical signal fluctuations .

In polymer HDDS, $\mathcal{P}_{I_s}(I_b)$ describes the intensity fluctuations due to the distortions of the multiplexed volume gratings, for a typical pixel (or bit channel) of the system. As the capacity of the system increases (large N), signal intensity fluctuations ($\mathcal{P}_{I_s}$) approach a gaussian distribution with variance σ_s^2 proportional to the grating distortions. The variance (σ_s^2) for a particular grating record is evaluated for the specific material, according to the grating strength fluctuations (eq.2) due to corresponding the Bragg mismatch (χ_{max}). Figure 1 shows the resulting intensity pdfs (eq.3) for the different grating distortion (signal noise) regimes. For low signal intensity fluctuations (Fig.1b), the system may still quite accurately be described by Rician statistics (Fig.1a), and is practically limited by scattering. As grating strength fluctuations increase to the levels of scattering noise (Fig.1c), the intensity distributions change from the Rician ones. When signal noise becomes dominant (Fig.1d), the gaussian eventually prevails. In figure 1, we also show the scattering-noise-equivalent distributions (dashed curves), which are evaluated by incorporating signal noise contributions into the scattering noise. It is important to notice that as signal noise increases (mainly in Fig.1 c and d), the intensity distributions resulting from the double stochastic process analysis become significantly different from the scattering-noise-equivalent description. This is particularly important in the calculation of the bit-error rates (BER), which evaluates the overlapping tails of the digital intensity distributions (I_h and I_l). Therefore, signal noise analysis becomes essential for the accurate evaluation of system BER performance. Figure 2 shows an example of BER evaluation for threshold encoding in a system with relatively high volume grating distortions (SNR_s =13.5 dB) and different levels of scattering noise ($10 \geq SNR_{sc} \leq 30$ dB). As volume grating distortions become dominant in this system, differential encoding provides better (lower) BER performance compared to threshold encoding at constant capacity levels, contrary to the established threshold encoding superiority for scattering noise.

In summary, we discuss the statistical properties of the polymer grating strength fluctuations due to postrecording distortions in HDDS. The present study further provides a formalism for incorporating signal noise in the analysis of a HDDS system, and for evaluating, in a unified framework, the system performance characteristics.

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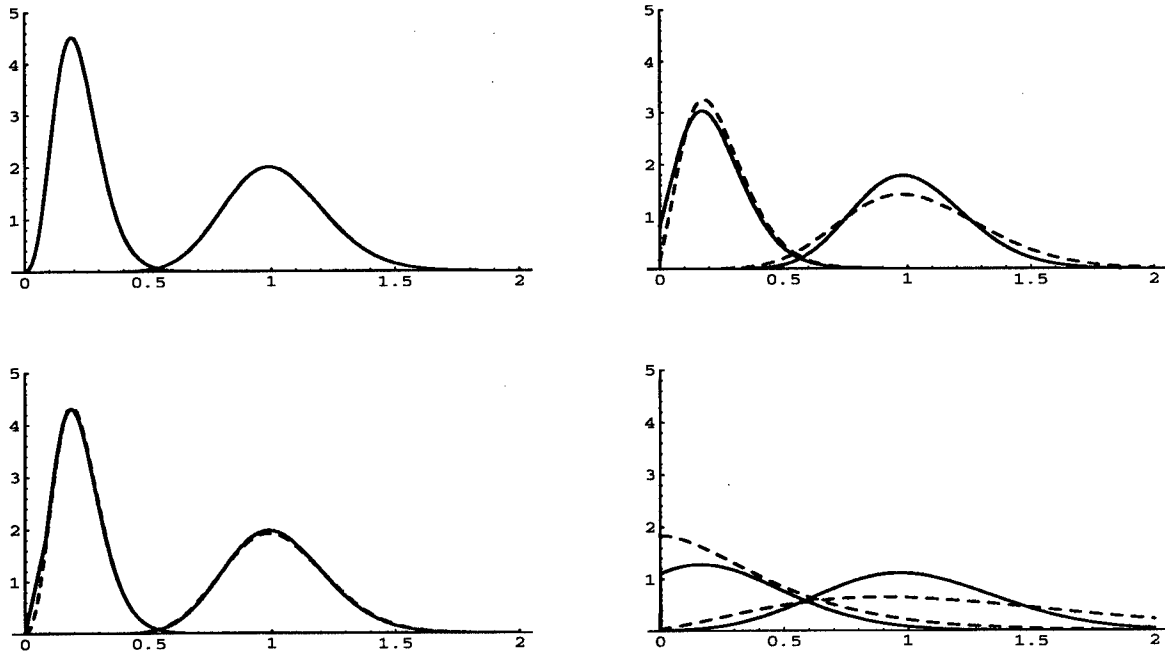
Figure 1: Probability density functions for the received normalized intensities, with constant scattering noise ($\sigma_{sc} = 0.1$), and for $\sigma_s = 0.0$ (upper left), $\sigma_s = 0.03$ (lower left), $\sigma_s = 0.1$ (upper right), and $\sigma_s = 0.3$ (lower right).
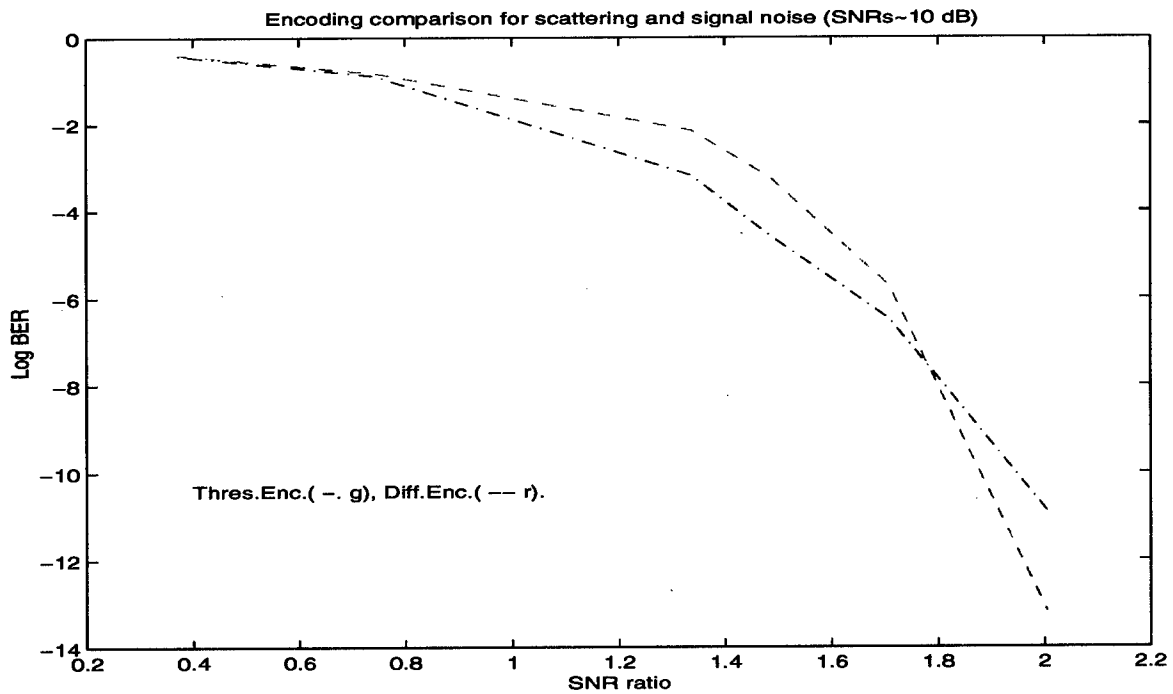
Figure 2: BER for threshold and differential encoding, at constant capacity, in a system with signal noise at different scattering noise levels.

Properties of compositional volume grating recording in photopolymers.

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Summary

Photoinitiated formation of compositional volume gratings in polymers provides an attractive recording mechanism for holographic storage, allowing for relatively large permanent refractive index modulation ($\Delta n \sim 10^{-2}$) in an inexpensive medium with high recording sensitivity [1, 2]. During recording, polymerization initiated by the illumination interference pattern gives rise to diffusion induced compositional variations which eventually modulate the medium refractive index, corresponding to volume phase gratings. Nonlinearities, however, may arise during recording and post-recording, limiting the performance of a holographic data storage system. Previous studies have investigated the diffusion and reaction processes under single exposure recording in the free-radical polymerization [2, 3]. The present study evaluates the diffusion and reaction processes under multi-exposure recording, mainly in the promising cationic ring opening photoinitiated polymerization (CROP) [1], and identifies the important exposure and material characteristics that allow for efficient grating formation.

Efficient information recording calls for minimal nonlinearity in the response of the medium to illumination, and allows for the maximum possible refractive index modulation (or grating strength), for grating strength defines the recording medium dynamic range that determines the capacity of a holographic data storage system. We investigate the physical properties of the grating formation process, by evaluating the the polymer (C_p) and monomer (C_m) concentrations, obtained from the standard equations for polymerization and monomer diffusion

$$\frac{\partial C_p}{\partial t} = \mathcal{P}C_m \tag{1}$$

$$\frac{\partial C_m}{\partial t} = \frac{\partial}{\partial x}\left[D\frac{\partial C_m}{\partial x}\right] - \frac{\partial C_p}{\partial t} \tag{2}$$

During cw recording in this process, the evolution of the compositional grating spatial profile (Fig.1), within a grating period Λ, is determined by the competition between the diffusive transport (D) and the polymerization (with rate $\mathcal{P}$). More specifically, for the CROP process, $\mathcal{P} = \mathcal{R}I$ [1], where $\mathcal{R}$ corresponds physically to the polymerization cross section per incident photon. We identify the characteristic time scales for diffusion $\tau_d = (\Lambda^2/D)$, and polymerization $\tau_p = (\mathcal{P})^{-1}$, as the principal quantities for comprehensive evaluation of holographic recording. We further define a recording quality parameter,

$$R_{cw} = \frac{\tau_d}{\tau_p} = \frac{\mathcal{R}}{D} \cdot I\Lambda^2, \tag{3}$$

that uniquely characterizes the cw grating formation process described by eqs.(1,2), and also incorporates the important material (left term) and illumination (intensity and geometry, right term) characteristics for holographic recording analysis. Linear response in grating recording calls for balance between photoreaction and diffusive transport, which corresponds to $R \sim 1$ (Fig.1 left). For $R_{cw} \ll 1$, gratings are linear but recording is slow or weak (underexposure), while as $R_{cw} > 1$ the resulting gratings become highly nonlinear (Fig.1

right), as diffusion can not follow polymerization. The refractive index modulation during compositional volume grating recording corresponds to the amplitude of the first harmonic of the grating profile (Fig.2 middle). For sinusoidal illumination (Fig.1 middle), nonlinearities arise mainly due to the amplitude of the second order harmonic (absolute values in Fig.2 bottom), as higher harmonics are negligible for $R < 10^2$.

Under interrupted illumination, as during multiple hologram recording, the exposure times (τ_{rec}^i) and the times between exposures (τ_w^i) also influence the grating formation process. During exposure, both the photoinduced polymerization and the monomer diffusion transport are present. On the other hand, in between exposures photoinitiated polymerization ceases, ignoring the contributions of dark reactions for the moment, while diffusion may still be present. For a given total exposure $(\tau_{rec} = \sum \tau_{rec}^i)$, as interexposure time (τ_w) increases, R decreases, for as long as diffusion continues, thus providing us with the ability to scale R closer to unity, and so allowing for efficient holographic recording. In this sense, in every polymer, there is an optimal recording schedule for hologram multiplexing, that scales with the illumination and material characteristics identified in eq.(3). We calculate (Fig. 2) the grating strength and grating nonlinearity resulting from the grating formation process (eq.1,2) in two different recording situations and for four different schedules (Fig.2 top), with constant total exposure $\tau_{rec} = 5$. (The dimensionless time t/τ_P has units of exposure, and uniform illumination eventually polymerizes any remaining monomer after diffusion terminates.) Significantly improved grating recording is achieved through appropriate illumination schedule that allows for balanced polymerization and diffusion processes in the material under consideration. Specifically, in the nonlinear material (right), grating strength is increased relative to cw recording by almost 40% for an illumination schedule with $\tau_w^i = 2\tau_{rec}^i = 2$, and 30% for an illumination schedule with $\tau_w^i = \tau_{rec}^i = 1$. At the same time, grating nonlinearity is suppressed by about 35% and 25% respectively. For the linear material (left), the improvements in dynamic range are less pronounced, about 10%, and it is also interesting to notice that they arise for illumination schedules with smaller inter-exposure times than before. Nevertheless, grating nonlinearities are significantly suppressed for the linear material too, between 40% and 30% (although much less in absolute values), when an inter-exposure time is introduced in the recording schedule $(\tau_w^i > 0)$.

In conclusion, the use of an appropriate recording schedule enhances recording in photopolymers. Eventually, the recording characteristics are also determined by the dependence of D and $\mathcal{P}$ (essentially $\mathcal{R}$), and the corresponding time scales $(\tau_d$ and $\tau_P)$, on polymer concentration. Moreover, grating recording is affected by the dependence of polymerization on the photo-initiator dye concentration, as well as by the effects of post-exposure continued polymerization (dark reaction). We evaluate the importance of these contributions, and incorporate these phenomena into the physical model (eq.s 1,2) describing grating formation. In polymer holographic recording media, the concentration dependence is typically closely related also with the pre-exposure illumination schedule. At the same time, reduced dye concentration after initial recording or pre-exposure, may account for the reduced recording sensitivity observed. On the other hand, dark reaction contributes to the final polymer composition, consuming part of the recording medium dynamic range, and should be accounted for in the optimal recording time and exposure schedules. In summary, the present analysis discusses a more complete, than previously presented, evaluation of efficient photopolymer grating recording in a holographic storage system.

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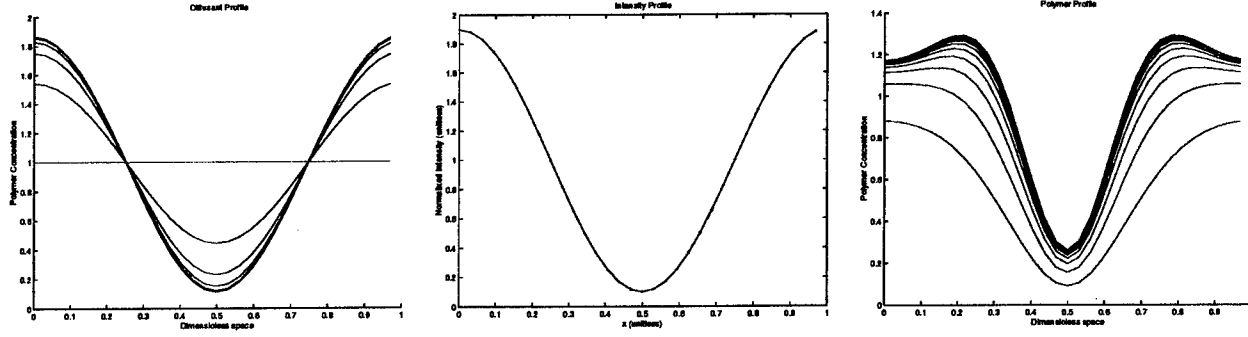
Figure 1: The compositional polymer grating spatial profile (growing with exposure time) under cw illumination (middle), for two different recording situation; $R = 1$ (left), and $R = 10^2$ (right).
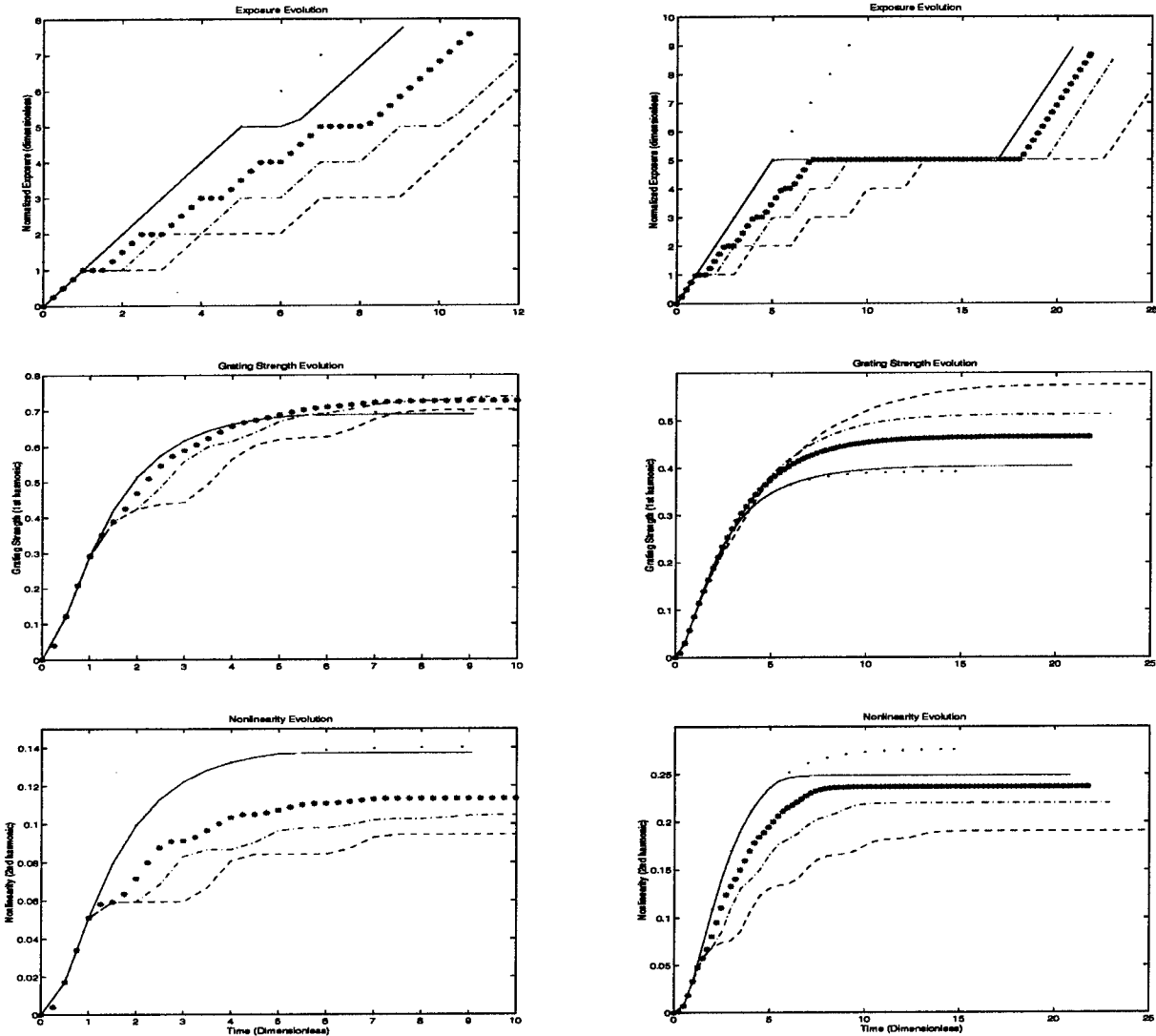
Figure 2: Grating strength (middle) and nonlinearity (bottom) evolution (in exposure time) under different exposure schedules (top), for $R = 20$ (left) and $R = 10^2$ (right).

The Optical Properties of Gold Nanocluster Composites in Silica Formed by Ion Beam Assisted Deposition

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INTRODUCTION

This report will describe the linear and nonlinear optical (NLO) properties of thin films of gold nanoclusters in silica matrices, produced by a technique called ion beam assisted deposition (IBAD). IBAD has achieved a significant amount of application in the optical thin-film industry due mainly to the fact that IBAD films are denser than evaporated or sputtered films. We have used the technique in recent years to produce metal and semiconductor nanoclusters embedded in dielectric matrices.[1,2] Metal nanocluster thin film consisting of clusters 5-30 nm in size embedded in an active metal oxide matrix were deposited by co-evaporation of two metals, one more highly reactive toward oxygen than the other, with O_2^+ ion bombardment. We have also produced nanoclusters in dielectrics by co-evaporation of a metal and a dielectric. Here the ion beam (e.g. argon) is used to control the microstucture of the nanoclusters and to densify the films. Values of $\chi(3)/\alpha$ (where α is the absorption coefficient) for the IBAD films were as good as or even superior to those reported for ion implanted films[3]. The advantages of the IBAD method for fabricating films with NLO properties include the ability to deposit films with greater active region thicknesses, higher nanocluster densities and more uniformly sized nanoclusters.

EXPERIMENTAL PROCEDURE

The thin films were deposited by IBAD in a multihearth vacuum chamber with a base pressure of 5×10^{-9} mm Hg. Silica films containing Au nanoclusters were prepared in two different ways: Si and Au were evaporated from separate hearths in the presence of an O_2^+ ion beam; and, silica and Au were evaporated from hearths with and without the presence of an A^+ ion beam. The substrate temperatures ranged from room temperature to 730 °C. Post deposition anneals were conducted in air between 500 and 1000 °C. We experimentally explored the processing parameters, such as atom/ion arrival rates and ion energies to examine the effects on nanocluster size and shape and ultimately on linear and NLO properties. We will report on these results at Nonlinear Optics '98.

Linear optical properties were measured using standard optical spectrometers. The NLO properties were probed experimentally using the degenerative four-wave mixing (DFWM) technique and non-linear transmission. A tuneable Ti-sapphire laser with an optical parametric amplifier was used for these measurements. The system had a pulse width less than 120 fs.

Nanocluster sizes and distributions were determined from transmission electron micrographs (TEM) of cross-sectional samples on Si. Cross-sectional samples were thinned to electron transparency by ion milling on a liquid nitrogen-cooled stage.

RESULTS

The bright field TEM images from two films, prepared identically except for the ion beam treatment, show variation in cluster size, cluster density and matrix morphology. These films were annealed at 600 °C in air for 10 minutes. The arrival rate of the Au/silica was 0.2. The film grown without the ion beam (Fig. (1a)) shows clusters 6-40 nm in diameter that are irregularly shaped. The ion beam treated film (Fig. (1b)) shows clusters that are ~ 30% smaller, 4-28 nm, and more spherical. The ion beam treated film also shows a lower density of clusters than the untreated film, resulting is less impingement of neighboring clusters. Between the clusters, the SiO_2 matrix shows a mottled contrast in the case of the untreated film. This may be due to incorporation of amorphous Au in the matrix.
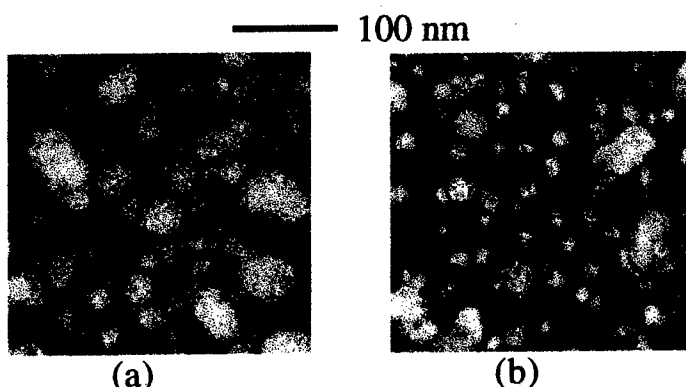
Figure 1. TEMs of Au nanoclusters in silica. (a) is the film grown without IBAD; film (b) has an ion assist of 135 $\mu A/cm^2$ of A^+.

Figure 2 shows the dependence of the linear absorption coefficient as a function of wavelength for two films of Au nanoclusters, one prepared by IBAD and one prepared with no beam. These films were annealed in air at 1000 °C for 2 hours. The Au/silica arrival rates were indentical for the two films and the same as those shown in Fig. 1. The IBAD film was prepared with an O_2^+ ion beam (150 eV, 135 $\mu A/cm^2$). Au fill factor for the two films was 0.09. The linear absorption peak is very large and is due to Mie scattering. The effect of the microstructure on the absorption peak is very apparent. The nanoclusters in the IBAD film are more uniform in size and are more spherical, giving a narrower absorption peak.
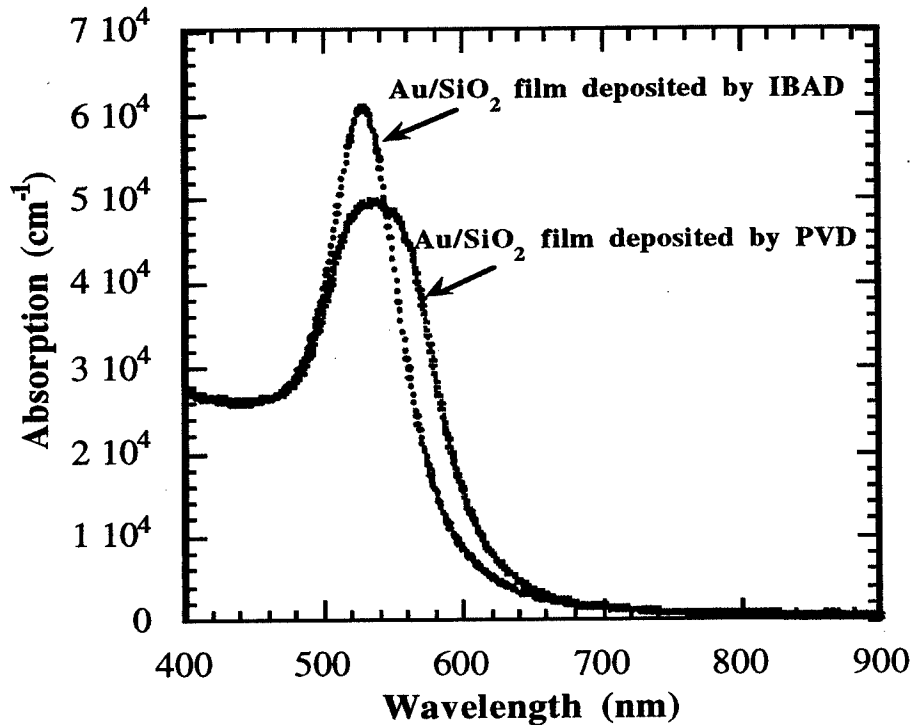
Fig.2 The absorption spectra for films with Au nanoclusters in silica. The films were post-annealed at 1000°C for 2 hours in air. The film prepared with IBAD has a narrower, higher absorption peak than the one prepared with physical vapor deposition.

We have measured the NLO properties of similarly prepared IBAD films (Au nanoclusters in Nb_2O_5)[4]. They were characterized near the peak of the Mie absorption curve for that system (600 nm). The value of $|\chi^{(3)}_{xxxx}|$ was as high as 7.8×10^{-8} esu, and the value of the figure of merit for device application $|\chi^{(3)}_{xxxx}|/\alpha$ was 3.3×10^{-13} esu-cm. Measurements of $Im\chi^{(3)}_{xxxx}$ show small values that indicate a significant fraction of the response is refractive despite excitation near the peak of the plasmon resonance. We plan to report on the NLO properties about the peak shown in Fig. 2 for Nonlinear Optics'98. Our main goal is to characterize the dispersion of $|\chi^{(3)}_{xxxx}|$ from 530-600 nm for the Au/silica system.

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Fourier treatment of Nonlinear Optics

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As the spatial angular bandwidth and temporal frequency bandwidth of nonlinear optical interactions is increased it becomes necessary to fully account for the effects of diffraction, anisotropy, and dispersion upon propagation through the volume of the nonlinear optical media. This increased bandwidth is required in order to increase the peak intensities through focusing or the use of ultrashort pulses and thereby increase the strength and efficiency of nonlinear interactions, or in order to increase the information capacity of the optical fields. The increased bandwidth appears to significantly complicate the simple plane wave coupled mode analytic formulation, however in this paper we will show that in the Born regime of weak scattering a simple Fourier decomposition mechanism can be employed that fully accounts for the spatial, temporal, and polarization structure of the nonlinearly generated fields.[1] This momentum space approach allows simple visualizations of the process, allows optimizations of the efficiency, resolution, and bandwidth of the nonlinearity, and enables the design and interpretation of novel interaction geometries.

The momentum space solution begins with a 3-D spatio-temporal Fourier transformation of the vector field applied to the planar boundary of the nonlinear optical medium.

$$\mathcal{E}_p(\omega, \vec{k}_T, z=0) = \mathcal{F}_{txy}\left\{\vec{E}(t,x,y,0)\right\} \cdot \vec{\sigma}_p^{E*}(\omega, \vec{k}_T).$$

where $\mathcal{F}_{txy}$ represents the 3-D spatio-temporal Fourier transform, $\vec{k}_T$ represents the transverse wavevector and ω is the radian frequency. The eigenpolarizations associated with $\vec{k}_T$ and ω ($\{\hat{e}_1, \hat{e}_2\}$) are not necessarily orthogonal as in the spherical solution, so are orthogonalized with the Gram Schmidt process to give $\vec{\sigma}_1^E = \frac{[\hat{e}_1 - (\hat{e}_1 \cdot \hat{e}_2^*)\hat{e}_2]}{1 - |\hat{e}_1^* \cdot \hat{e}_2|^2}$ and $\vec{\sigma}_2^E = \frac{[\hat{e}_2 - (\hat{e}_2 \cdot \hat{e}_1^*)\hat{e}_1]}{1 - |\hat{e}_1^* \cdot \hat{e}_2|^2}$ which are used to uniquely decompose the total input field as $\vec{E} = \sum_p \hat{e}_p \left(\vec{E} \cdot \vec{\sigma}_p^E\right)$ The unperturbed source field at any position within the volume of the

NLO medium is then given by

$$\vec{E}^0(t,\vec{r}) = \mathcal{F}_{txy}^{-1}\left\{\sum_{p=1}^{2} \hat{e}_p(\omega, \vec{k}_T)\, \mathcal{E}_p(\omega, \vec{k}_T)\, e^{-jk_{p_z}(\omega, \vec{k}_T)z}\right\}$$

where the x component of the momentum vector associated with the pth polarization can be found from either the roots of the spherical coordinate characteristic equation, or more conveniently for the planar boundary value problem as the roots of the Booker quartic equation[2]

$$a_4 n_N^4 + a_3 n_N^3 + a_2 n_N^2 + a_1 n_N + a_0 = 0$$

with $\vec{k} = \vec{k}_T + \vec{k}_N = k_0(\vec{n}_T + n_N \hat{n}_N)$. The coefficients of the quartic can be found in a coordinate free representation for an arbitrarily anisotropic media at each frequency ω by specifying $\bar{\bar{\epsilon}}(\omega)$.

$$
\begin{aligned}
a_4 &= \left[\bar{\bar{\epsilon}} : \hat{n}_N \hat{n}_N\right] \\
a_3 &= \left[\bar{\bar{\epsilon}} : (\vec{n}_T \hat{n}_N + \hat{n}_N \vec{n}_T)\right] \\
a_2 &= \left[(n_T^2 \bar{\bar{\epsilon}} - \bar{\bar{I}} \overset{\times}{\times} \bar{\bar{\epsilon}}^{(2)T}) : \hat{n}_N \hat{n}_N\right] + \left[\bar{\bar{\epsilon}} : \vec{n}_T \vec{n}_T\right] \\
a_1 &= \left[(n_T^2 \bar{\bar{\epsilon}} - \bar{\bar{I}} \overset{\times}{\times} \bar{\bar{\epsilon}}^{(2)T}) : (\vec{n}_T \hat{n}_N + \hat{n}_N \vec{n}_T)\right] \\
a_0 &= \left[(n_T^2 \bar{\bar{\epsilon}} - \bar{\bar{I}} \overset{\times}{\times} \bar{\bar{\epsilon}}^{(2)T}) : \vec{n}_T \vec{n}_T\right] + \det(\bar{\bar{\epsilon}})
\end{aligned}
$$

The dyad notations is defined as $(\vec{a}\vec{b}) : (\vec{c}\vec{d}) = (\vec{a} \cdot \vec{c})(\vec{b} \cdot \vec{d})$ and $(\vec{a}\vec{b}) \overset{\times}{\times} (\vec{c}\vec{d}) = (\vec{a} \times \vec{c})(\vec{b} \times \vec{d})$ The roots n_N yield the z component of the momentum vector $k_{p_z}(\omega, \vec{k}_T) = k_0 n_N$. The roots must be sorted and the two selected modes $p = 1, 2$ must have positive Poynting vector with respect to the planar surface normal, and for each of these modes the eigenpolarizations $\hat{e}_p$ must be calculated. This formulation is illustrated for one transverse dimension in Figure 1, and it rigorously solves the linear part of the propagation problem for arbitrarily anisotropic, gyrotropic, dispersive, lossy media including the contribution of the evanescent modes ($k_T > k_{max}$) and
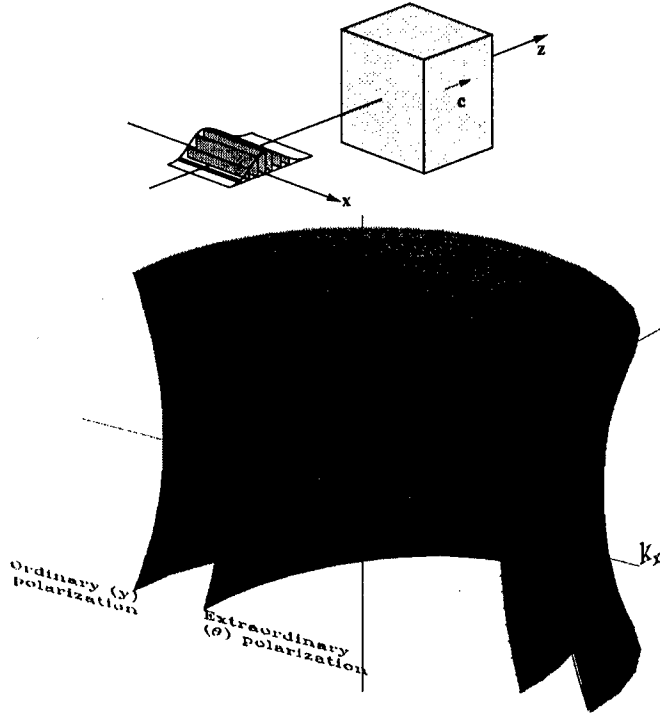
Figure 1: Pulsed spatially apertured field incident on nonlinear anisotropic dispersive media. Representation of the Fourier space boundary condition and anisotropic dispersive momentum surfaces (with group velocity removed) onto which it is projected in order to describe diffractive and dispersive propagation.

including all vectorial effects. We will find it convenient to represent the solution in spatio-temporal Fourier space as

$$\vec{\mathcal{E}}^0(\omega, \vec{k}) = \mathcal{F}_{txyz}\{\vec{E}^0(t, x, y, z)\} = \sum_{p=1}^{2} \hat{e}_p(\omega, \vec{k}_T)\mathcal{E}_p^0(\omega, \vec{k})$$

$$= \sum_{p} \mathcal{E}_p(\omega, \vec{k}_T, z = 0)\, \hat{e}_p(\omega, \vec{k}_T)\, \delta\left(k_z - k_{p_z}(\omega, \vec{k}_T)\right),$$

where the Fourier energy is localized on the dual sheeted hyper cone defined by $k_{p_z}(\omega, \vec{k}_T)$. This solution is then used as the basis for expansion of the nonlinear problem.

The nonlinear polarization that is generated by the diffracting and dispersing incident field (which can consist of a multiplicity of spectrally or angularly distinct beams) contains the usual expansion terms consisting of the inhomogeneous dielectric perturbation (possibly time varying such as that produced by an acoustic wave), the second order nonlinear polarization (assumed to be instantaneous), and the third

order nonlinear terms;

$$\vec{P}^{NL}(t, \vec{r}) = \epsilon_0 \bar{\bar{\epsilon}}(t, \vec{r})\vec{E}^0(t, \vec{r}) + \epsilon_0 \bar{\bar{\chi}}^{(2)} : \vec{E}^0(t, \vec{r})\vec{E}^0(t, \vec{r})$$

$$+\epsilon_0 \int_0^\infty d\tau_1 \int_0^\infty d\tau_2 \int_0^\infty d\tau_3 \bar{\bar{\chi}}_d^{(3)}(\tau_1, \tau_2, \tau_3, \vec{r})$$

$$\vec{E}^0(t - \tau_1, \vec{r}) : \cdot\vec{E}^0(t - \tau_2, \vec{r})\vec{E}^0(t - \tau_3, \vec{r}).$$

Explicit dependence on position of the nonlinear tensors is included to represent periodic poling. The spatio-temporal Fourier representation of the unperturbed propagating fields $\vec{\mathcal{E}}_0$ is inserted in these equation and then used as the source term of an angularly and spectrally rich SVAA evolution equation. As an example, the second order sum frequency source term can be represented in Fourier space as the convolution of the 4-D spatio-temporal spectra of the diffracting and dispersing fields, additionally convolved with the Fourier transform of any spatial variations of $\bar{\bar{\chi}}^{(2)}$. We will show that out of this spectrally rich distribution of source polarization the phase matching conditions select the tensorially allowed contributions that fall on the momentum hypersurfaces.

$$\vec{P}^{(2)}(\omega, k_x, k_y, z) = \int dt \int d\vec{\rho}\, e^{-j(\omega t - (\vec{k}_T \cdot \vec{\rho}))} \vec{P}^{(2)}(t, \vec{r})$$

$$= \epsilon_0 \bar{\bar{\chi}}^{(2)}(\vec{k}_t, z) * \sum_{p,q=1}^{2} \hat{e}_p(\omega, \vec{k}_T)\mathcal{E}_p^0(\omega, \vec{k}_T, 0)e^{-jk_{p_z}(\omega, \vec{k}_T)z}$$

$$*\hat{e}_q(\omega, \vec{k}_T)\mathcal{E}_q^0(\omega, \vec{k}_T, 0)e^{-jk_{q_z}(\omega, \vec{k}_T)z} + \text{other terms}$$

where $*$ represents 3-D spatiotemporal convolution in ω, k_x, and k_y, and the other terms refer to the difference frequency contributions.

This polarization vector field is the source term for the radiating waves at all frequencies and angles. To account for the polarizations launched by the source vector field we must introduce the vectors

$$\vec{b}_p = \begin{bmatrix} 1 & 0 & -\frac{k_x}{2k_{p_z}} \\ 0 & 1 & -\frac{k_y}{2k_{p_z}} \\ -\frac{k_x}{2k_{p_z}} & -\frac{k_y}{2k_{p_z}} & 0 \end{bmatrix} \hat{e}_p$$

The vectors $\vec{b}_1$ and $\vec{b}_2$ are not necessarily orthogonal, so again a set of Gram-Schmidt projection vectors must be defined for the electric field polarization. These projection vectors are $\vec{\sigma}_1^P(\omega, \vec{k}_T) = \frac{[\hat{b}_1 - (\hat{b}_1 \cdot \hat{b}_2^*)\hat{b}_2]}{1 - |\hat{b}_1^* \cdot \hat{b}_2|^2}$

and $\vec{\sigma}_2^P(\omega, \vec{k}_T) = \frac{[\hat{b}_2 - (\hat{b}_2 \cdot \hat{b}_1^*)\hat{b}_1]}{1 - |\hat{b}_1^* \cdot \hat{b}_2|^2}$, where $\hat{b}_p = \vec{b}_p/|\vec{b}_p|$. Using these vectors, the coupled, vector differential equations can be separated into two scalar, ordinary, constant coefficient, inhomogeneous differential equations which in the SVAA limit yield the first-order spectral set of evolution equations

$$2jk_{p_z}\frac{d\mathcal{E}_p}{dz} = \frac{k_0^2}{\epsilon_0}\mathcal{F}_{txy}\left\{\vec{P}^{NL}(t, x, y, z)\right\} \cdot \vec{\sigma}_p^{P*}e^{jk_{p_z}z}.$$

Since this is a first-order, constant-coefficient equation, it can be directly integrated to find the Born approximation to the spectral amplitudes, $\mathcal{E}_p$:

$$\mathcal{E}_p(\omega, \vec{k}_T, z) = \mathcal{E}_p^0(\omega, \vec{k}_T, z=0)e^{-jk_{p_z}z} +$$

$$\int_0^z j\frac{k_0^2}{2\epsilon_0 k_{p_z}}\mathcal{F}_{txy}\left\{\vec{P}^{NL}(t, x, y, z')\right\} \cdot \vec{\sigma}_p^{P*}e^{jk_{p_z}z'}dz'.$$

The boundary condition has been used to set the constant of integration as the original, unperturbed propagating field (0th order solution) and the scattered field is the first order perturbation. By multiplying the function inside the integral by a window function, the limits of integration can be extended to infinity and the right-hand side becomes a forward spatial Fourier transform wrt z evaluated at the particular longitudinal spatial-frequency, k_{p_z}:

$$\mathcal{E}_p(\omega, \vec{k}) = \mathcal{E}_p^0(\omega, \vec{k})$$

$$+j\frac{k_0^2}{2\epsilon_0 k_{p_z}}\mathcal{F}_{txyz}\left\{\vec{P}^{NL}(t, \vec{r})\Pi\left(\frac{z}{L} - \frac{1}{2}\right)\right\}\delta(k_z - k_{p_z}) \cdot \vec{\sigma}_p^{P*}.$$

Thus we can interpret the nonlinear propagation problem as the nonlinear generation of vectorial polarization in spatiotemporal Fourier space followed by the selection of the allowed propagating modes by intersecting with the double sheeted momentum surface weighted by the inner product with the eigenvectors at each position on the momentum surface.[1,3]

The momentum space solution is only valid in the limit that one scattering event accurately describes the problem (the Born or undepleted-pump limit). An obvious extension of this method is to continue the perturbation series by substituting the momentum-space result back into the transcendental equation. The second order accounts for the depletion of the pump as well as cascaded $\overline{\overline{\chi}}^{(2)}$ contributions to third order nonlinearities (including all spatiotemporal phase matching effects), and the third

order contributes corrections to the first order scattering as well as higher order terms. Successive terms are generated by iteration.

$$\mathcal{E}_p^{i+1}(\omega, \vec{k}) = j\frac{k_0^2}{2k_{p_z}}\delta(k_z - k_{p_z})\vec{\sigma}_p^{P*}(\omega, \vec{k}_T) \cdot \epsilon_0\overline{\overline{\chi}}^{(2)}(\vec{k}) *$$

$$\sum_{q',q=1}^{2}\left[\hat{e}_{q'}(\omega, \vec{k}_T)\sum_{n=0}^{i}\mathcal{E}_{q'}^n(\omega, \vec{k}) * \hat{e}_q(\omega, \vec{k}_T)\sum_{m=0}^{i}\mathcal{E}_q^m(\omega, \vec{k})\right]$$

where i represents the iteration number; for example the momentum-space prediction is given by $\vec{\mathcal{E}}_p^1$. After each iteration, the scattered field distribution, $\mathcal{E}_p^i(\omega, \vec{k})$, can be transformed back to the real-space vector electric field via the four-dimensional inverse Fourier transform.

$$\vec{E}(t, \vec{r}) = \mathcal{F}_{\omega\vec{k}}^{-1}\left\{\sum_p\hat{e}_p(\omega, \vec{k}_T)\sum_{i=0}^{i'}\mathcal{E}_p^i(\omega, \vec{k})\right\}$$

This perturbative solution approaches the exact solution (in the SVAA limit) for the fields in all space and time.

In this paper we have presented the generalized Fourier space approach as a basis for the solution of spectrally rich nonlinear and inhomogeneous propagation in arbitrary anisotropic, gyrotropic, dispersive media. The linear solutions are used as a basis for the expansion of the nonlinear problem. The nonlinearly generated polarization distributions are considered as source terms in spatiotemporal Fourier space. The momentum matching conditions are interpreted as slicing through the polarization source terms with the dual sheeted hypersurfaces of allowed propagating modes with the vector projections onto the eigenmodes of propagation. Examples of this technique applied to problems in inhomogeneous and nonlinear optical propagation will be presented.

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Hyper-Rayleigh Scattering of Polymers with Orientationally Correlated Chromophores

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Conjugated organic chromophores capped with electron donor and acceptor groups are known to have good electro-optical (E-O) properties such as large second order susceptibility. Much research activity has been focused on these molecules to search for possible applications in second harmonic generation, frequency conversion, and E-O modulation. Organic chromophores used in second order nonlinear devices must be noncentrosymmetric such that their first hyperpolarizabilities (β) are nonvanishing. Furthermore, in macroscopic scale organic chromophores should be arranged into a noncentrosymmetric distribution with a non-zero second order susceptibility. This is usually achieved by poling organic chromophores with a static electric field. The second order susceptibility of poled nonlinear devices are proportional to $\mu\beta$, where μ is the permanent dipole moment of the chromophores and β is the vectorial part of the first hyperpolarizability. Consequently, the second order nonlinear response of a poled nonlinear device can be enhanced by increasing either μ and/or β of the constituent chromophores. Recently, Kauranen et al. have shown that organic chromophores can be organized as orientationally correlated side groups of the polymers with a rigid backbone. In such organization, each chromophore contributes coherently to both μ and β of the polymers and hence the second order nonlinear responses of the polymers are enhanced.[1-2]

In this paper, the hyperpolarizabilities of the push-pull chromophores and the poly-norbornenes that contain the push-pull chromophores as side groups are measured and compared using the long wavelength hyper-Rayleigh scattering (HRS) technique. Figure 1 shows the chemical structures of the four organic molecules studied in this work. Structures 1 and 3 are the push-pull chromophores, and 2 and 4 are the chromophore-substituted poly-norbornenes. Polymers 2 and 4, respectively, have n_c of 13 and 27, where n_c represents the degree of polymerization, i.e the number of nonlinear chromophores attached to the rigid polymer backbone.

HRS technique has been shown to be useful for characterizing the chromophore β values.[3-5] It is more straightforward than the electric field induced second harmonic generation technique. Recently, HRS from some molecules excited by the 1064nm radiation has been found to be accompanied by the two-photon absorption (TPA) induced fluorescence.[4-6] Consequently, the β value, that is determined by the usual HRS technique without making appropriate corrections for contribution from the TPA induced fluorescence, could be too large. To obtain the correct β value, the TPA induced fluorescence must be discriminated against, and this can be done using, as excitation source, a long wavelength laser. For the latter we use the 1480nm output of the optical parametric oscillating laser (Continuum Mirage 500), which is tunable from 400nm to 2000nm and generates 8ns pluses at a 10 Hz repetition rate.

The set-up for this long wavelength HRS experiment is similar to that for the 1064nm experiment,[4-5] except that the 1064nm half-wave plate and the 532nm band-pass interference filter are replaced with an achromatic half-wave plate and a 740nm interference filter,

respectively. To ensure that no TPA induced fluorescence contributes to the HRS signal, an interference filter centered at 780nm instead of 740nm was placed in front of the photomultiplier tube (PMT), and no signal was registered by the PMT for all the samples studied in this work. This indicates that no TPA induced fluorescence occurred in the downstream vicinity of the HRS $\lambda/2$ spectral position using the $\lambda = 1480$nm fundamental excitation.

In this HRS experiment, the solution of disperse red 1 (DR1) in $CHCl_3$ is used as external reference for the determination of the β values of samples included in Table 1 below. The β value of DR1 in $CHCl_3$ at 1480nm is calculated to be 75×10^{-30} esu using the un-damped two-state model as well as the dispersion free β value $(\beta_o = 38 \times 10^{-30}$ esu) of DR1 in $CHCl_3$ obtained from the literature.[7]

Summarized in Table 1, for all molecules studied, are the molecular weight (M_W), n_c, linear absorption maximum (λ_{max}), and the first hyperpolarizability (β_c) measured with the 1480nm fundamental wavelength, and its dispersion-free counterpart (β_o). The β_o

Figure 1 Chemical structures of the substituted norbornenes (1 and 3) and the substituted poly-norbornenes (2 and 4).

values of polymers 2 and 4 are larger than those of chromophores 1 and 3 by factors of 5.7 and 8.8, respectively. Since the nonlinearity of the polymer backbone is negligible, the β_o enhancement is due to the chromophore arrays attached to the backbone. The enhancement indicates that the chromophores in the arrays are orientationally correlated, and that each chromophore contributes coherently to the hyperpolarizability of the polymer. No enhancement on β_o value have been reported for chromophores incorporated into other type of polymer with a flexible backbone.[8] Consequently, the rigidity of the poly-norbornene backbone is essential to the observed increase in hyperpolarizability. When the β_o value of polymer 2 is compared with that of polymer 4, the effect of increasing n_c on the hyperpolarizability is clear. As showed in Table 1, the β_o value of the polymer is proportional to the degree of polymerization, even though polymers 2 and 4 contain different chromophores.

Table 1 Linear and nonlinear optical properties of molecules 1, 2, 3, and 4.

Molecules	M_w (g/mol)	n_c	λ_{max} (nm)	β_c (10^{-30}esu)	β_o (10^{-30}esu)
1	313		475	59	31
2	4228	13	469	329	178
3	432		495	65	32
4	11700	27	487	557	283

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Coherent Coupling and Transient Optical Kerr Effect in Liquids

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Pump-probe optical Kerr effect (OKE) detected in time domain with a resolution a few tens of femtosecond has proved useful for the study of the coherent transients in liquids at room temperature.[1][2] Experimental procedure is more or less standardized. However, results are not always consistent because of experimental complexities that, as shown in this report, mainly arise from coherent coupling.[3][4] Coherent coupling persists for the full pulse-duration, and can overwhelm the Kerr signal. Fine-tuning the optical alignment is thus needed to discriminate against it. This, along with the ensuing results, will be given in the following.

As expected the strength of coherent coupling relative to that of Kerr effect is found to be very sensitive to a change in the angle (β) subtended by the pump and probe beams, which is set at $\sim 9°$ to begin with. To fine-tune the β angle we conveniently change the pump beam from its parallel position with respect to the probe beam at an increment of $0.00305°$ at a time. The results are shown in Figure 1. As explained below the noisy signals around delay zero arise mostly from coherent coupling. They appear as noises because the resolution of the delay scan used is not high enough. Thus, the top profile has the highest Kerr content.

In order to reduce the strength of coherent coupling we find it also

Figure 1 Variation of the Kerr profile of liquid CS_2 with the angle subtended by the pump and probe beams. $\beta \cong 9°$.

useful to fine-tune the sample Z-position, i.e. its position with respect to the probe laser beam waist. Additionally, we find the iris positioned before the lens, which collimates the probe-beam before it falls on the photo-detector, necessary for obtaining a nice Kerr profile. Although it is easier to discriminate against coherent coupling with the iris, we can not rule out the effect of non-linear refraction as in the case of a Z-scan experiment.[5] Under optimal condition a Kerr profile similar to the top one of Figure 2 is obtained using the highest resolution available, 0.33fs per step. Note the fast oscillation around delay zero and the stronger broad structure underneath. The former overwhelms the latter in the bottom profile when a different combination of Z-position and β angle is used. Their strength becomes

comparable in the middle profile when still another combination is used. The fast oscillation around delay zero is attributed to coherent coupling and the broad structure underneath to the electronic Kerr signal. The broad structure to the right arises from the nuclear Kerr response. As expected they are free of coherent coupling. If the delay scan used were not high enough, the fast oscillations shown in this figure would have appeared as random noises. In the previous transient optical Kerr experiments on liquid CS_2, where the laser pulses were not short enough for resolving the electronic response from

Figure 2 Variation of the CS_2 Kerr profile with the combination of the sample Z-position and the angle between the pump and probe beams. For the profiles from top to bottom three different combinations are used.

the delayed nuclear one,[3] coherent coupling (if not suppressed) simply appeared as noises in the leading portion of a Kerr profile. We are now convinced that these noises are indeed coherent coupling.

The most convincing evidence for coherent coupling is the interference fringes (fast oscillations, Figure 2) and their period (2.7fs). The latter agrees with the carrier wavelength (820nm) of the mode-locked titanium:sapphire laser used. A weaker sequence of interference with half the period of the major one is also observed in the bottom profile of Figure 2. We believe it arises from the second harmonic of the incident laser fundamental frequency. With the $CBrCl_3$ liquid a second harmonic sequence almost as strong as the fundamental one is also observed. The stronger sequence of interference fringes is consistent with the third order formulation for coherent coupling, [3][6][7]

$$S_C(\tau) = \eta_o \, \mathrm{Re} \int_{-\infty}^{\infty} dt \int_{-\infty}^{\infty} dt_3 \; E_Y^*(t-\tau)E_Y(t)\phi_{YYXX}(t-t_3)E_X^*(t_3)E_X(t_3-\tau) \; . \tag{1}$$

In this equation η_o is a constant, ϕ_{YYXX} is the nuclear response function, and τ is the delay of the probe pulse maximum with respect to the pump pulse maximum (a negative delay means the probe maximum arrives at the sample earlier than the pump maximum). The $E_X^*(t_3)$ of the pump pulse interferes with the $E_X^*(t_3 - \tau)$ of the probe pulse in the liquid sample, and forms a transient grating. At the same time, the second pump field $E_Y(t)$ scatters off the grating in the nonzero order and interferes with $E_Y^*(t-\tau)$.

Figure 3 shows the strength of coherent coupling (o) as a function of the local oscillator

field, the $E_A^{\alpha*}(t-\tau)$ of equation (2), which is introduced to enhance the feeble OKE signal by the optical heterodyne detection (OHD) method,

$$S_{IC}(\tau) = \eta_o \, \text{Re} \int_{-\infty}^{\infty} dt \int_{-\infty}^{\infty} dt_3 \; E_A^{\alpha*}(t-\tau) \, E_B^{\alpha}(t-\tau) \Phi_{ABCD}^{(3)}(t-t_3) E_C^{\alpha*}(t_3) E_D^{\alpha}(t_3) \; . \tag{2}$$

In this equation the pump fields, $E_C^{\alpha*}(t_3)$ and $E_D^{\alpha}(t_3)$, and the probe field $E_B^{\alpha}(t-\tau)$ lead to the OKE field $E_{OKE}(t-\tau)$ that interferes with $E_A^{\alpha*}(t-\tau)$. The increase of the OHD-OKE intensity with the local oscillator field is also shown in Figure 3. Dots in Figure 3 refer to the maximum values of the prompt (delay 0) response as shown by the upper trace of Figure 2. Crosses represent the electronic response, as they are obtained by subtracting the amplitudes of the fast oscillation from the maximum values. Hollow triangles in Figure 3 refer to the maximum values of the very broad structure to the right of the electronic response in Figure 2, i.e. the delayed nuclear response. These data (electronic and nuclear) confirm the linear dependence of the OHD-OKE signal on the local oscillator field, as per equation (2).[2]

Note particularly that, as shown in Figure 3, the amplitude of the fast

Figure 3 The peak electronic (●) and nuclear (△) Kerr responses of liquid CS_2 as a function of the local oscillator strength. Crosses refer to the peak prompt-response, (●), minus the amplitude of the fast oscillation (O).

oscillation hardly changes with the local oscillator field. Thus, the $E_Y^*(t-\tau)$ of equation (1), which interferes with $E_Y(t)$ to give the coherent coupling signal, is not the delayed local oscillator field, and is likely the delayed OKE field $E_{OKE}(t-\tau)$. When coherent coupling was first found to coexist with the transient optical Kerr signal of liquid CS_2 we were unable to pinpoint the field that interfered with $E_Y(t)$.[3]

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Ultrafast Electronic Dynamics in Metal Nanoparticles

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The electronic and vibrational relaxation mechanisms of metal quantum-dots embedded in dielectric matrices following ultrashort-pulse laser excitation is a topic of intense current interest.[1] The electronic dephasing process in metal quantum dots occurs on a time scale of 10-20 fs.[2] The electron-phonon (e-p) coupling within the quantum dot, and the phonon-phonon (p-p) coupling between the dot and the matrix, are typically picoseconds and tens of picoseconds, respectively. Among the critical issues are variations in these time constants between intraband and interband transitions, for high vs low pulse-repetition frequency (prf), and for different combinations of quantum dot and matrix.

We have measured these time constants at high pulse repetition frequencies using both pump-probe (PP) and transient-grating (TG) schemes for well-characterized Ag, Au and Cu quantum dots of varying size distributions in fused silica. Wavelengths on and off the plasmon resonance and near as well as away from the interband excitations were employed.

Figure 1 shows PP measurements made on Au dots in SiO_2 (average diameter 12-16 nm) as a function of prf at 575 nm, to the red of the Au plasmon resonance. The PP measurement scheme employed phase-sensitive detection to enhance the signal-to-background ratio. The coherence spike on

Figure 1. Pump-probe measurements in an Au:SiO$_2$ nanocrystal composite, at prfs of 3.8 and 0.23 MHz. The sample was made by ion implantation; the average size of the quantum dots is 15 nm diameter.

the leading edge of the pulse marks the time zero. The e-p relaxation time shows a slight variation from about 9 ps at 3.9 MHz prf to 8 ps at 230 kHz, while the p-p coupling time is very much longer, and is still measurable as late as 200 ps after the initial excitation. Both time constants are substantially longer than those observed in 1 kHz PP experiments, indicating that the steady-state heating of the matrix affects the e-p coupling by changing temperature of the quantum dot prior to the next arriving pump pulse.
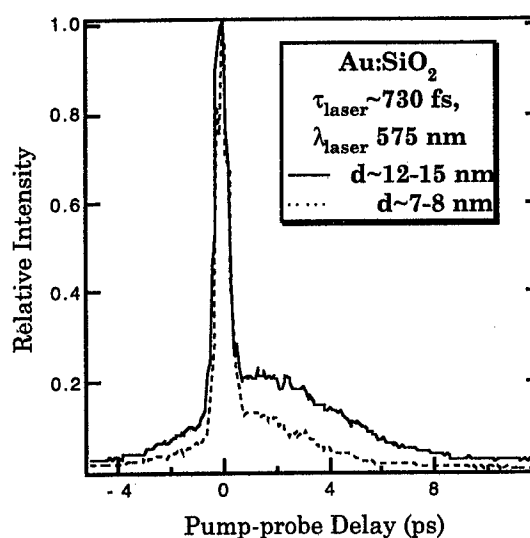
Figure 2. Phase conjugate intensity in a TG experiment on Au nanocrystals of differing sizes in SiO_2. The samples were made by ion implantation, with multiple energies employed to produce a uniform depth profile of Au dots.

TG measurements, on the other hand, show a size-dependent e-p relaxation time with little sign of a p-p relaxation tail (Figure 2). When the pump wavelength was tuned further to the red of the plasmon resonance, the e-p relaxation time was reduced somewhat for a given dot size (not shown here). The appearance of a clear e-p relaxation signal in these TG measurements contrasts with previous TG studies of Au nanoparticles in liquids[3] in which the e-p coupling was apparently masked by the longer pulse duration (5 ps). On the other hand, those p-p relaxation times are similar to our measurements, consistent with the thermal conductivities of the matrix. This suggests that dramatic reductions in the pp-relaxation time might be achieved embedding quantum dots in matrix materials with higher thermal conductivities, such as Al_2O_3.

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Electron Trapping in Ultrathin SiO₂ on Si(001) Probed by

Electric-Field-Induced Second-Harmonic Generation

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The important role of the Si/SiO₂ interface in semiconductor devices has made it an active subject of research for many years. Processes involving electron and hole tunneling are significant in the science and technology of this interface. Of particular interest are "band-to-trap" tunneling processes involving electrons and holes in the silicon bands and defects in the silicon dioxide which are near the interface. Oxide traps within ≈3 nm of the interface can discharge by tunneling to interface traps on time scales from 10^{-3} s to years, and are known as slow traps. As dimensions of typical metal-oxide-semiconductor (MOS) transistors continue to shrink, the use of gate oxides ≈5 nm thick implies that most oxide traps act as slow traps.

Electric-field-induced second-harmonic generation (EFISHG) allows the direct observation of charge trapping at near-interface oxide defects, which alters the reflected second-harmonic (SH) signal due to a change of the band-bending in the silicon space-charge region (SCR) at the interface (Fig. 1).[1,2,3,4] The time-dependence of the SH response resulting from charge trapping can be described by [1]

$$I^{(2\omega)}(t) = |\chi^{(2)} + \chi^{(3)}\mathcal{E}_{SCR}(t)|^2,$$

where $I^{(2\omega)}(t)$ is the time-dependent SH intensity, $\mathcal{E}_{SCR}(t)$ is the space-charge field change near the Si/SiO₂ interface, $\chi^{(2)}$ and $\chi^{(3)}$ are the second- and third-order nonlinear susceptibilities. At λ=800nm the EFISHG response is resonantly enhanced by two-photon transitions in the silicon space-charge region near the Si/SiO₂ interface. Electron-hole pairs are constantly being generated in

this region by the incident fundamental laser light. Some of the excited electrons reach the SiO_2 conduction band through multi-photon absorption and diffuse to the oxide surface. This surface charging leads to a fast rise in the SH response (Fig. 1).[3,4]
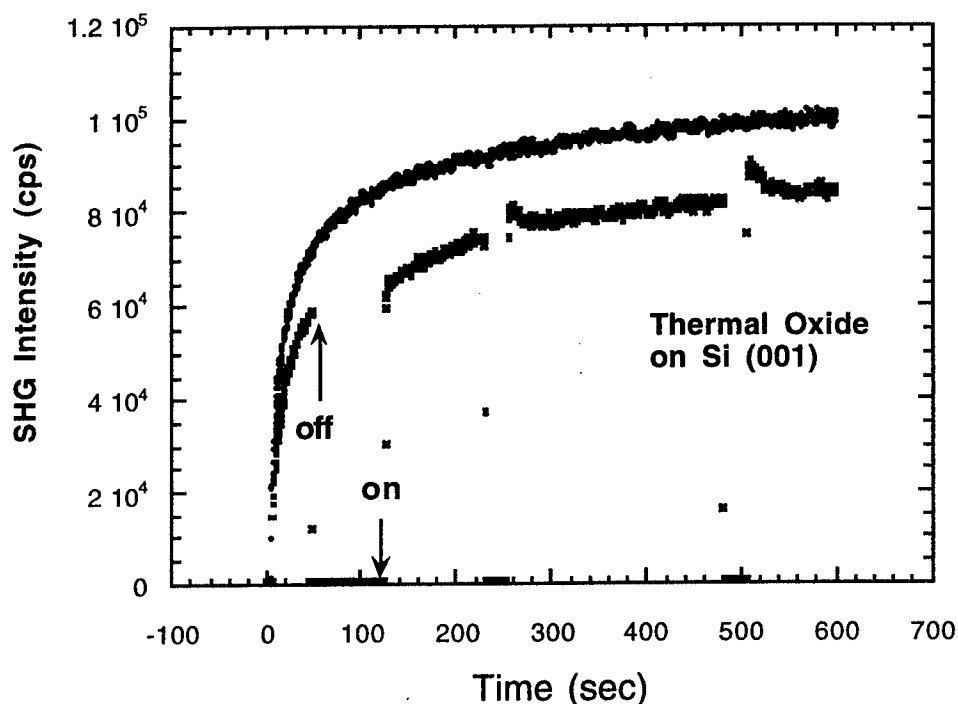
Fig. 1: SH signal vs time during illumination of a thermally-oxidized Si(001) sample by 1.55 eV photons. ON-beam on; OFF-beam off.

Some of the excited electrons will be trapped at oxide defects yielding a gradual increase of the SH intensity. After blocking and unblocking the laser beam (marked as *on* and *off* in Fig. 1), the SH signal recovers fast due to surface charging and apparently exceeds quickly its level before blocking indicating that more charge traps have been filled while the beam was blocked. Repeating this procedure when the SH signal has almost gone into saturation reveals first, a steep rise, followed by a slow decrease and finally a gradual increase of the SH intensity. This behavior shows that some of the trapped charges can be released from the defect sites either through thermal activation or due to direct electronic excitation by the laser beam. The variation in the SH intensity

from MOS structures under an applied bias voltage allows one to estimate the electric field in the Si space-charge region and also the areal density of trapped electrons.[1,4] The typical lifetime of these trapped electrons is on the order of several minutes (Fig 1).[1,2] The measurements presented here provide important information about the trapping and detrapping mechanism in ultrathin thermally-grown oxides.

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A New Photoelectric Reorientation Effect for the Realization of Highly Sensitive Photorefraction in Liquid Crystals

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The present investigations concern a new molecular reorientation effect in nematic liquid crystals consisting of discotic molecules[1,2]. The effect occurs under the combined application of DC-electrical fields and low power optical waves and has a very huge photosensitivity. An illumination with intensities as low as 10^{-3} W/cm^2 is sufficient for a strong photo-induced reorientation process which indicates a rather large nonlinear optical coefficient. Even a microscope lamp or a small torch provides enough illumination to induce the reorientation which has been observed by a change of the birefringence.

The investigations were carried out with homeotropically aligned films of 5 μm thickness. DC electric fields were applied across the film with the help of transparent ITO (Indium-Tin-Oxide) electrodes covered by weakly rubbed polymer (PI) orientation layers. The liquid crystal studied was pentakis [(4-pentylphenyl)ethynyl] phenyl 10-carbethoxydecyl ether[3] which has a nematic liquid crystalline phase between 57 °C and 69 °C. The following investigations were performed in the nematic phase at $T = 60$ °C.

Because of the homeotropic alignment, the optical axis is oriented perpendicular to the glass plates (fig. 1). The optical properties are thereby quasi isotropic for light that propagates normally through the cell. However, if an optical axis reorientation is induced, the rubbed orientation layer leads to a preferential direction of the reorientated molecules. As a consequence, the birefringence changes which can be detected with a polarized weak HeNe laser by detecting the transmitted intensity behind a crossed polarizer. Previous investigations proved that the
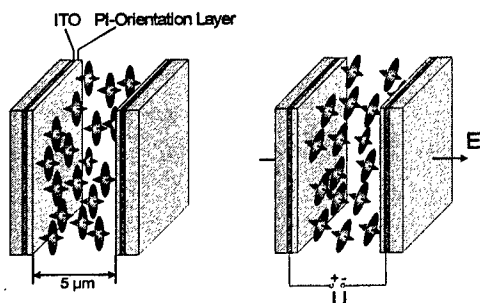
Fig. 1 Orientation of the discotic molecules without (left) and with an electric field (right)

wavelength of the probe beam is not absorbed and therefore does not excite the liquid crystal. An Argon laser beam with wavelengths between 458 nm and 514 nm was used to excite the
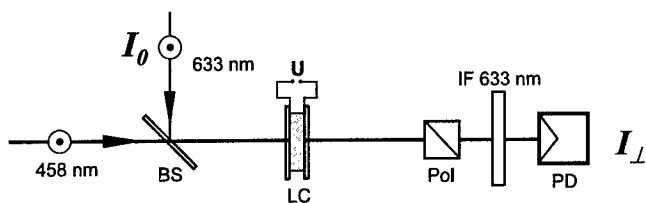
Fig. 2 Experimental Setup

sample. The effect was found to be independent of the Ar laser polarization. With increasing reorientation the effective birefringence increases and leads to a larger depolarized

signal $I_\perp$. The effect becomes stronger if the spectral position of the excitation is closer to the absorption edge of the liquid crystal at about 460 nm.

The large sensitivity of the effect can be seen in the results shown in Fig. 3. The plotted depolarization is defined as the quotient of the intensity of the crossed polarized part compared to the intensity of the input probe beam. Laser powers of 100 μW or even less on a
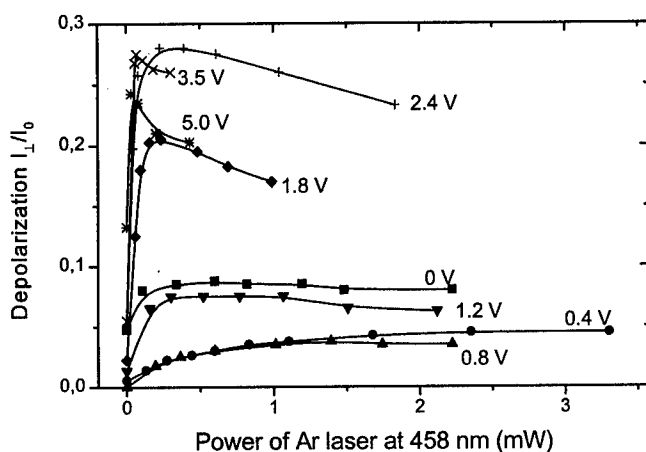
Fig. 3 Argon laser illumination of the liquid crystal leads to a large enhancement of the birefringence

beam diameter of 1.1 mm are sufficient to induce a large birefringence at voltages between 1.5 V and 5 V. A typical intensity to induce a $\lambda/2$ phase shift was as low as $I = 4 \cdot 10^{-3}$ W/cm^2 at $\lambda = 458$ nm for an applied DC voltage of 3V. The nonlinear coefficient $\Delta n_e/I$, i.e. the induced birefringence per optical intensity is calculated from

these results to 6 cm^2/W. For comparison, nonlinear coefficients in anorganic photorefractive crystals[4] are in the range of 10^{-5} to 10^{-6} cm^2/W and about 10^{-3} cm^2/W for the orientational photorefractive effect in calamitic nematics[5].

Investigations concerning the dynamics of the reorientation process were performed by gating either the voltage or the laser intensity. The response times observed were running on the time scale of several seconds which is a clear confirmation of reorientation as the nonlinear mechanism in the highly viscous liquid crystal. The difference in the phase

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retardation between the extraordinary and ordinary beam reaches multiples of the wavelength at higher voltages. Accordingly, the depolarization transiently passes one or more maxima before reaching a stationary state if the voltage or the Argon laser intensity is switched on.

The reorientation effect observed has been explained[1] by assuming photo-induced space charges which enhance the effective electric field inside the liquid crystal. In contrast to columnarly ordered liquid crystals, the mobility of holes is mainly given by the mobiltity of ions in the nematic discotic phase and therefore neglectable compared to the mobility of the free electrons[6]. Consequently, the electrons will almost be removed by the electric field whereas the holes basically remain at their positions. As a result, illumination of the liquid crystal leads to a space charge field which enhances the electric field in the liquid crystal. The locally increased internal electric field supports electrical torque induced molecular reorientation.

In conclusion, we have demonstrated that photoelectric reorientation effects are very promising for various electro-optical, opto-optical and opto-electronic applications because of the large nonlinear coefficients and sensitivity. The liquid crystal cell can be interpreted as a light valve with an intrinsic photo-conductor which works in a similar way to conventional hybrid liquid crystal light valves consisting of a photo-sensitive semiconductor and a liquid crystalline electro-optical modulator. Devices such as optically controlled spatial light modulators or all-optical sensors may be constructed on the basis of this new photoelectric reorientation effect.

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Second-harmonic generation in the bulk of a chiral liquid by a focused laser beam

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Second-harmonic generation (SHG) was recently detected in the bulk of a non-centrosymmetric liquid suspension of bacteriorhodopsin-bearing fragments of *Halobacterium Halobium* purple membranes [1]. This effect long thought to be forbidden was attributed to the partially coherent hyper-Rayleigh scattering [2]. More recently, a purely coherent second-harmonic (SH) signal was observed [3] whose properties could not be interpreted using the theory of Ref. [2]. Unlike the dc-induced near-resonance SHG in atomic gases that is due to the gas ionization by focused laser pulses with consequent diffusion-assisted charge separation [4], the SHG experiments in bacteriorhodopsin-containing liquids were not associated with any kind of medium's damage, and the dependence of the SH intensity on the fundamental-beam intensity was not parabolic, but could be only approximated with a fourth-order polynomial [3]. In this paper we will show that the coherent SH signal can be attributed to usual three-wave (due to the non-local quadratic optical nonlinearity) and five-wave (due to the local-type susceptibility $\hat{\chi}^{(4)}$) mixing in the bulk of a homogeneous isotropic non-centrosymmetric liquid if focusing of the beam of the fundamental frequency ω is taken into account.

The local-type electric-dipole optical susceptibility $\hat{\chi}^{(2)}(2\omega; \omega, \omega)$ in the isotropic non-centrosymmetric medium under study vanishes [5, 6] and, hence, the following terms in the expression for the SH polarization $\mathbf{P}^{\mathrm{SH}}$ of the bulk of the medium are predominant:

$$\mathbf{P}^{\mathrm{SH}}(\mathbf{r}) = \frac{1}{2}\gamma_1^{(2)}\nabla\left(\mathbf{E}\cdot\mathbf{E}\right) + \gamma_2^{(2)}\mathbf{E}\left(\nabla\cdot\mathbf{E}\right) + \gamma_3^{(2)}(\mathbf{E}\cdot\nabla)\,\mathbf{E} + \chi^{(4)}(\mathbf{E}\cdot\mathbf{E})\left[\mathbf{E}\times\mathbf{E}^*\right]. \tag{1}$$

Here $\nabla = \partial/\partial\mathbf{r}$, $\gamma_{1,2,3}^{(2)}$ are three independent components of the fourth-rank tensor describing the nonlocality of the quadratic optical response of the substance, $\chi^{(4)}$ is the only independent tensorial component of the local-type optical susceptibility $\hat{\chi}^{(4)}(2\omega; \omega, \omega, \omega, -\omega)$ [6], $\mathbf{E}$ is the electric field of the pump wave. The magnetic-dipole, electric-quadrupole, and other non-local mechanisms are described, to the first approximation, by $\gamma_{1,2,3}^{(2)}$ which do not vanish (unlike $\chi^{(4)}$) with imposing the inversion symmetry to the medium. The first two terms give no contribution into the bulk-SHG wave because of the vortex nature of the free pump wave ($\mathrm{div}\,\mathbf{E} = 0$) and the potential nature of $\mathrm{grad}\,E^2$. These are the two last terms in Eq. (1) that describe the two mechanisms of the bulk SHG discussed in this paper.

Let us assume that the lowest-order (= zeroth-order) Gaussian transverse mode [8] with the field $\mathbf{E}_{\mathrm{G}}$ is predominant in the pump beam of the fundamental frequency ω propagating along the z-axis of the coordinate system xyz:

$$\mathbf{E}_{\mathrm{G}}(\mathbf{r}) = E_0\left[\mathbf{e}_0 + ik^{-1}\mathbf{e}_z\left(\mathbf{e}_0\cdot\nabla\right)\right]e_{\mathrm{G}}(\mathbf{r}), \tag{2}$$

where the normalized scalar Gaussian beam is given by the expression:

$$e_{\mathrm{G}}(\mathbf{r}) = \frac{1}{\beta(z)}\exp\left\{-i\omega t + ik(z - l_0) - \frac{r_\perp^2}{w^2\beta(z)}\right\}. \tag{3}$$

Here $\beta(z) = 1 + i[(z - l_0)/l_{\mathrm{d}}]$, $l_{\mathrm{d}} = kw^2/2$ is the diffraction length, w is the half-width of the beam in its waist plane $z = l_0$, k is the wave vector, E_0 and $\mathbf{e}_0$ are the amplitude and the unit polarization vector of the electric field of the beam, respectively (both are complex-valued, $\mathbf{e}_0 \perp \mathbf{e}_z$), $\mathbf{e}_z$ is a z-directed unit vector, $\mathbf{r}$ is the radius-vector with its component $\mathbf{r}_\perp$ lying in the xy-plane. It should be noted that the longitudinally-polarized component of $\mathbf{E}_{\mathrm{G}}$ comes into play. Substituting Eq. (2) into the third term on the right of Eq. (1) yields zero, however, a non-vanishing SH wave can be produced in the bulk of the liquid if we assume a small additive of two first-order Gaussian transverse modes occurring in the pump beam. The expressions for the electric fields of these modes are given by Eq. (2) with the amplitudes E_0^X, E_0^Y instead of E_0 and the polarization vectors $\mathbf{e}_0^X$, $\mathbf{e}_0^Y$ instead of $\mathbf{e}_0$ as well as with the factors
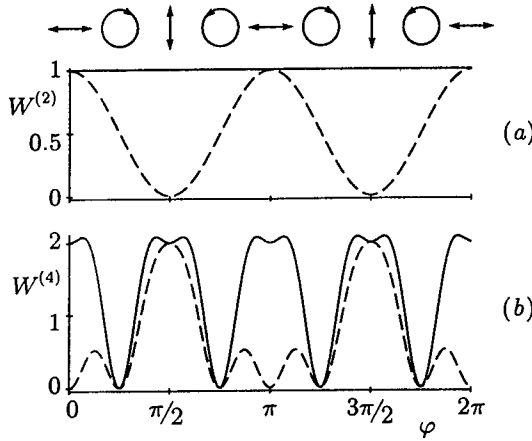
Figure 1
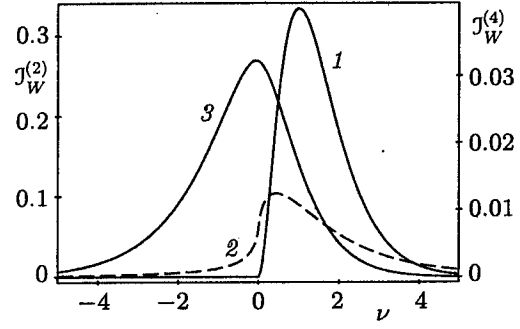
Figure 2

Figure 1. The normalized dependencies of the total signal power (solid curves) and the power of the x-polarized signal component (dashed curves) on the parameter φ defining the polarization state of the zeroth-order Gaussian transverse mode of the pump beam: (a) for the three-wave-mixing and (b) for the five-wave-mixing SHG mechanisms. The polarization states of this mode are shown symbolically at the top of the picture for some φ.

Figure 2. The dependencies of the dimensionless signal power on $\nu = (2k - k_{\mathrm{SH}})\, l_{\mathrm{d}}$ for two SHG mechanisms.

of $x/w\beta(z)$ or $y/w\beta(z)$ before $e_{\mathrm{G}}(\mathbf{r})$, respectively. Assuming E_0^X, E_0^Y to be small, we shall neglect the influence of the first-order transverse modes on the $\chi^{(4)}$-governed part of the signal-wave field and also omit the "cross-terms" like $E_0^X E_0^Y$.

We have found the formula for the electric field of the bulk-SHG signal by solving a parabolic equation for its slowly varying amplitude with the vortex constituent of the polarization $\mathbf{P}^{\mathrm{SH}}(\mathbf{r})$ on the right. The transverse intensity profile of the quadratic part of the SH signal in the far-field diffraction zone is near-Gaussian, and its power is as follows [7]:

$$W^{(2)}(z) = \frac{2^{11}\pi^2 k^4}{c n_{\mathrm{SH}}\varepsilon^2} \left|\gamma_3^{(2)}\right|^2 W_{\mathrm{G}} \left\{ W^X \left|\mathbf{e}_0^X \times \mathbf{e}_0\right|^2 + W^Y \left|\mathbf{e}_0^Y \times \mathbf{e}_0\right|^2 \right\} \mathfrak{I}_W^{(2)}(-l_0, z - l_0), \tag{4}$$

where n_{SH} is the refraction index of the medium at the frequency 2ω, ε is the dielectric constant at the frequency ω, W_{G}, W^X and W^Y are the powers of the zeroth-order and two first-order transverse modes of the pump beam, respectively ($W^{X,Y} \ll W_{\mathrm{G}}$). The dimensionless integral $\mathfrak{I}_W^{(2)}$ in Eq. (4) is quite complex in the general case; however, for the beam tightly focused to the center of a long medium, it is expressed by the following formula:

$$\mathfrak{I}_W^{(2)}(-\infty, +\infty) = \Theta(\nu)\, \frac{1}{4}\pi^2 \nu^2 \exp(-2\nu), \tag{5}$$

where $\Theta(\nu) = 1$ for $\nu \geq 0$ and $\Theta(\nu) = 0$ for $\nu < 0$, $\nu = (2k - k_{\mathrm{SH}}) l_{\mathrm{d}}$, $k_{\mathrm{SH}} = 2n_{\mathrm{SH}}\omega/c$. Eq. (4) shows that the quadratic (in respect of the field) SH signal can be only generated by a pump beam with an inhomogeneous polarization, namely by a beam containing transverse modes of different polarization states. In the particular case of $\mathbf{e}_0^X = \mathbf{e}_x$, $\mathbf{e}_0^Y = \mathbf{e}_y$, $W^{(2)}$ does not depend on the polarization state of the zeroth-order Gaussian mode of the pump beam. This fact is illustrated by the solid line in Fig. 1a where the abscissa is the parameter φ defining $\mathbf{e}_0$ as $\mathbf{e}_0(\varphi) = \mathbf{e}_x \cos\varphi + i\mathbf{e}_y \sin\varphi$. The φ-dependence of the x-polarized component of the quadratic ($\propto \gamma_3^{(2)}$) part of the SH signal is shown in Fig. 1a as the dashed curve. The ν-dependence of the power $W^{(2)} \propto \mathfrak{I}_W^{(2)}$ found with the use of Eq. (5) is plotted in Fig. 2 as the curve 1. The curve peaks at $\nu = 1$, thus demonstrating a kind of phase-matching condition. A similar quasi-synchronism is described in Refs. [9] for four-wave-mixing processes in isotropic media. The SH signal vanishes if $\nu \leq 0$, i.e. for the normal dispersion of the refraction index of the medium. However, if the beam waist is on the medium's surface, a non-vanishing SH wave will be present even for $\nu \leq 0$ (curve 2 of Fig. 2).

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The $\hat{\chi}^{(4)}$-governed part of the signal beam has the following power:

$$W^{(4)}(z) = \frac{2^{15}\pi^2 k_{SH}^2}{c^3\varepsilon^2 n_{SH}^3 w^4}\left|\chi^{(4)}\right|^2 W_G^4|e_0 \cdot e_0|^2\left\{2 + 3|e_0 \times e_0^*|^2\right\}\mathfrak{I}_W^{(4)}(-l_0, z - l_0), \tag{6}$$

where $\mathfrak{I}_W^{(4)}$ is the dimensionless power of the SH wave. The analytical expression for $\mathfrak{I}_W^{(4)}$ for the tight focusing to the center of a long medium can only be found at $\nu \geq 0$:

$$\mathfrak{I}_W^{(4)}(-\infty, +\infty) = \frac{\pi^2}{18^3}(19 + 36\,\nu + 18\,\nu^2)\exp(-2\nu). \tag{7}$$

The ν-dependence of $\mathfrak{I}_W^{(4)}$ in this case is illustrated by the curve 3 in Fig. 2, with the integral $\mathfrak{I}_W^{(4)}$ at $\nu < 0$ having been calculated numerically. In contrast to $W^{(2)}$, the power $W^{(4)} \propto \mathfrak{I}_W^{(4)}$ is non-zero for tight beam focusing even for $\nu \leq 0$, with the SHG efficiency peaked at $\nu \approx 0$. The polarization dependence of the power of the signal wave generated through the five-wave-mixing SHG mechanism is shown in Fig. 1b as the solid curve, while the dashed curve illustrates the φ-dependence of the power of the x-polarized signal component.

We have also shown that at $\nu \geq 0$ the transverse structure of the $\hat{\chi}^{(4)}$-governed part of the SH beam in the far-field diffraction zone is a superposition of the first- and third-order transverse Gaussian modes. Modes of higher odd orders are present in the signal beam at $\nu < 0$, with the transverse intensity distribution over the beam cross-section at $|\nu| \gtrsim 1$ being a ring whose radius increases with $|\nu|$. From the orthogonality of different transverse modes, one can expect two powers given by Eqs. (4) and (6) to be additive, since the respective parts of the total signal beam are of essentially different mode structure.

Taking into account the two described-above mechanisms of the coherent SHG in the bulk of an isotropic gyrotropic medium, one can explain the arising of contributions to the observed signal [3] from both the three- and five-wave-mixing processes; however, the detected wave interference of these contributions is yet to be understood. The proposed theory accounts for the generation of the SH signal at a circular polarization of the pump wave, but not for the peak of the signal power on this condition. A possible reason for these inconsistencies remaining between our theory and the experiment could be other, not considered in this Letter, SHG mechanisms such as certain cascaded nonlinear-optical effects [3].

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Stimulated Brillouin Scattering (SBS) Dye Laser Amplifiers

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Introduction

In optically pumped dye laser amplifiers, pump energy is necessarily lost to the gain medium. With collimated beams the pumping *intensity* therefore decreases as it propagates, so that the pumping rate varies throughout the gain volume. Because of this, the pumping rate is generally only optimum at a given point within the amplifier. However, this condition changes if a focusing lens is placed immediately in front of a longitudinally pumped dye cell, so that there is a significant geometrical reduction in beam diameters within the gain volume. Although pump energy will continue to diminish, the pump *intensity* can be preserved. The pumping rate can therefore be similar throughout the gain volume. An additional benefit is that since the signal also passes through the same lens, the signal intensity is geometrically increased in addition to the gain in intensity experienced through normal laser amplification. This enhancement in signal intensity leads to a more efficient energy extraction and further contributes to a higher overall gain. This paper considers the extreme case where the beams are fully focused within the gain medium. Here, the signal is double-passed through the gain volume by phase conjugation through SBS. An SBS dye amplifier combines high gain with excellent beam quality, high conversion efficiency and extremely low levels of amplified spontaneous emission (ASE), in a simple compact design.

SBS Dye Laser Amplifier

The SBS dye laser amplifier concept is shown in figure 1. The SBS dye amplifier contains laser dye dissolved in a mixture of three solvents, N-hexane:methanol:isopropyl alcohol in a 7:2:1 ratio by volume. This tertiary solvent mixture is both a good dye solvent and a good SBS material. A pump beam is made to co-propagate with a narrow bandwidth dye signal beam (preferably transform limited) using a dichroic mirror. Both beams pass through a polariser and a broad-band quarter wave plate (a Fresnel rhombus), and are focused into the SBS dye amplifier.
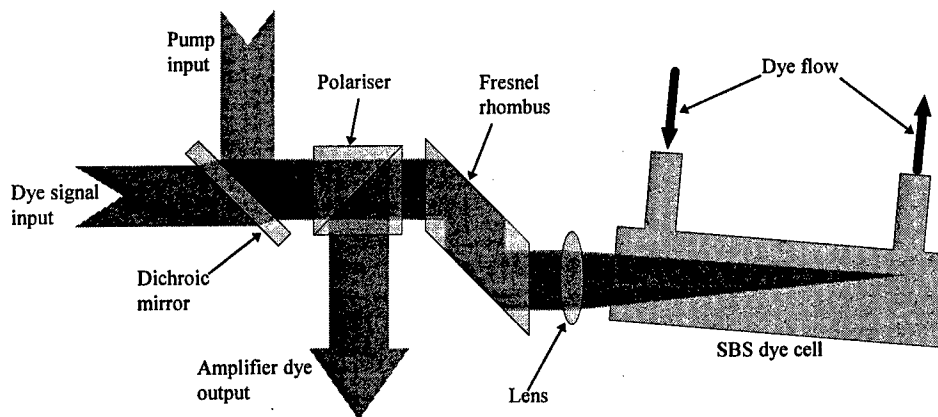
Figure 1 - *SBS dye amplifier concept*

This arrangement has the following advantages:
1. Maximum geometric gain enhancement and conversion efficiency due to the complete focusing.
2. The gain and energy extraction are further increased by double-passing the gain volume due to phase conjugation of the amplified signal.
3. Gain can be higher than achievable with conventional amplifiers due to ASE decoupling by the narrow bandwidth of the SBS process. Broad-band ASE is not reflected by the SBS process, whereas the amplified dye laser signal is. This leads to the dye signal experiencing gain from a double pass through the amplifier, whilst any

generated ASE experiences only a single pass gain. The overall gain therefore strongly discriminates in favour of the signal.

4. Brillouin gain adds to the dye laser gain.
5. Phase conjugation helps to maximise beam quality by compensating for any thermally induced distortions within the gain medium.

The SBS dye laser amplifier concept has been demonstrated using a 300mm long cell filled with a 3.8×10^{-6} molar solution of Rhodamine 6G. A 250mm focal length lens, placed 60mm in front of the cell was used to focus the beams. A commercial 60mJ 532nm Q-switched frequency doubled Nd:YAG laser was used to pump the SBS dye amplifier. The dye signal was provided by an in-house build dye laser with a diffraction limited beam and a linewidth of 88MHz (1.8 times the transform limit for the 9nsec FWHM Gaussian pulse). The maximum intrinsic conversion efficiency of 532nm pump light into dye light was 53%, and the small signal gain peaked at $\sim 10^5$. No optical breakdown was observed in the SBS dye amplifier. The experimental data is shown in figure 2.
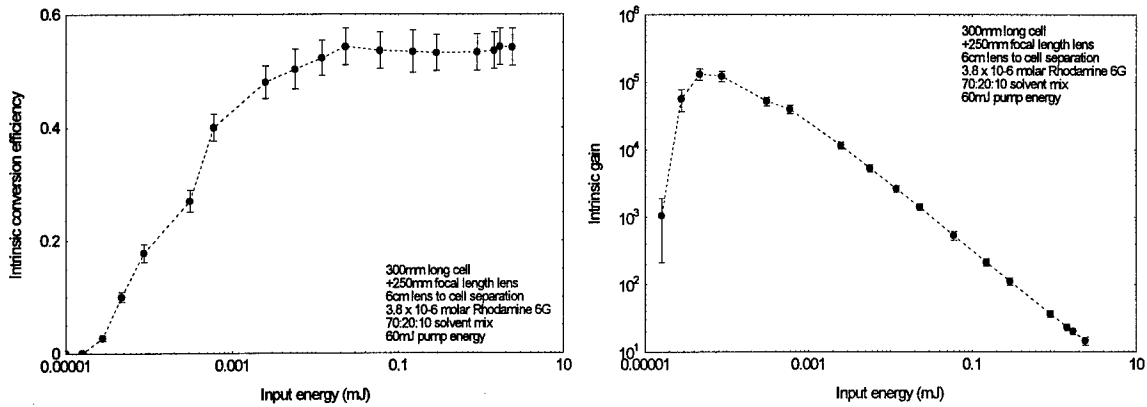
Figure 2 - *SBS conversion efficiency (left) and gain (right) as a function of signal energy*

The beam quality from the SBS dye amplifier was extremely good, being smooth and Gaussian-like in the near and far fields. Beam diameter measurements of the far field profile at the focus of a 2.5m focal length lens showed the output to be within 5% of the diffraction limit. The near and far field beam profiles are shown in figure 3.
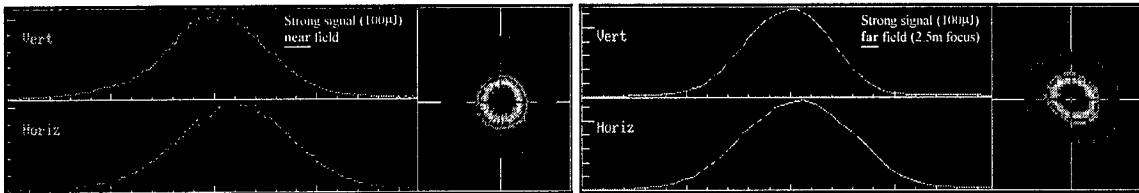
Figure 3 - *SBS dye amplifier output near field (left) and far field (right)*

Theory

It has been assumed that the pumping pulse duration is much shorter than the inter-system singlet to triplet crossing rate, K_{st}, for the dye, which is typical of most dye solutions pumped by Q-switched laser pulses. The triplet population density will therefore be small and can be neglected. It is also assumed that the stimulated emission cross-section at the *pump* wavelength is very small, and that the pump beam is not reflected by SBS (it is absorbed). With these assumptions, the coupled equations describing the forward dye beam intensity, I_L, the backward (SBS) dye beam intensity, I_L^*, and the pump beam intensity, I_p are:

$$\frac{dI_L}{dz} = \frac{n_r I_L \left\{ [\sigma_{10}(L) - \sigma_{12}(L)] \left[\dfrac{I_P}{I_P(SAT)} + \dfrac{I_L + I_L^*}{I_{LI}(SAT)} \right] - \sigma_{01}(L) \left[1 + \dfrac{I_L + I_L^*}{I_L(SAT)} \right] \right\}}{1 + \dfrac{I_P}{I_P(SAT)} + \dfrac{I_L + I_L^*}{I_{LI}(SAT)} + \dfrac{I_L + I_L^*}{I_L(SAT)}} - \frac{2(z - f)I_L}{z_R^2 + (z - f)^2} - g_B I_L I_L^* \quad (1)$$

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$$\frac{dI_L^*}{dz} = \frac{-n_T I_L^* \left\{ \left[\sigma_{10}(L) - \sigma_{12}(L) \right] \left[\frac{I_P}{I_P(SAT)} + \frac{I_L + I_L^*}{I_{LA}(SAT)} \right] - \sigma_{01}(L) \left[1 + \frac{I_L + I_L^*}{I_L(SAT)} \right] \right\}}{1 + \frac{I_P}{I_P(SAT)} + \frac{I_L + I_L^*}{I_{LA}(SAT)} + \frac{I_L + I_L^*}{I_L(SAT)}} - \frac{2(z - f)I_L^*}{z_R^2 + (z - f)^2} - g_B I_L I_L^* \quad (2)$$

$$\frac{dI_P}{dz} = \frac{-n_T I_P \left\{ \sigma_{01}(P) \left[1 + \frac{I_L + I_L^*}{I_L(SAT)} \right] + \sigma_{12}(P) \left[\frac{I_P}{I_P(SAT)} + \frac{I_L + I_L^*}{I_{LA}(SAT)} \right] \right\}}{1 + \frac{I_P}{I_P(SAT)} + \frac{I_L + I_L^*}{I_{LA}(SAT)} + \frac{I_L + I_L^*}{I_L(SAT)}} - \frac{2(z - f)I_P}{z_R^2 + (z - f)^2} \quad (3)$$

where $\sigma_{01}(L, P)$ is the ground state absorption cross-section at the laser and pump wavelengths respectively, $\sigma_{10}(L)$ is the stimulated emission cross-section at the laser wavelength, $\sigma_{12}(L, P)$ is the excited state singlet absorption cross-section at the laser and pump wavelengths respectively, n_T is the number density of dye molecules, $I_{L,P,LA}(SAT)$ are the classical saturation intensities [1] for stimulated emission, pump absorption and laser ground state absorption respectively, z_R^2 is the Rayleigh range for the focused beam, g_B is the Brillouin gain coefficient (sum of electrostrictive and absorptive

Figure 4 - *Comparison between experiment and theory for a SBS dye amplifier*

contributions), and f is the focal length of the input lens. This system of equations has been solved numerically, and gives excellent agreement with experiment as shown in figure 4. A figure of e^{-30} was used for the Brillouin noise [2].

Cascaded SBS Dye Amplifiers
Because broad-band ASE is not reflected by SBS, several SBS dye amplifiers can be operated in series without the usual problems from ASE associated with high gain systems. Figure 5 shows two SBS dye amplifiers operated in a compact series arrangement. The two amplifiers are polarisation decoupled using a central polariser.

Conclusions
The concept of a SBS dye amplifier has been demonstrated, with good agreement between experiment and theory. SBS dye amplifiers offer high gain, good conversion efficiency and excellent beam quality in a simple compact arrangement.

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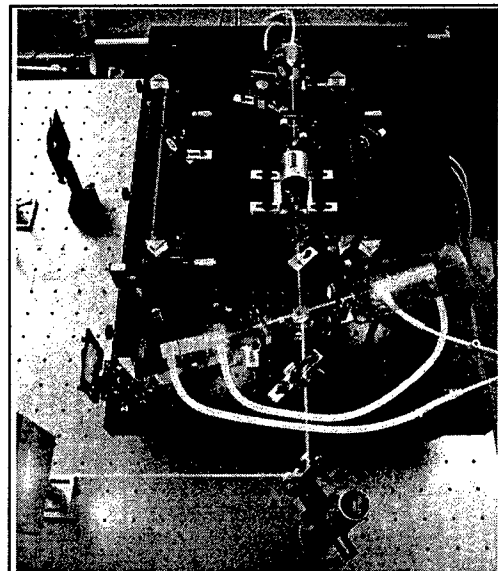
Figure 5 - *Cascaded SBS dye amplifiers*

SWING EFFECT OF SPATIAL SOLITON IN SECOND ORDER MATERIAL

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INTRODUCTION

Spatial solitons are self-trapped optical beams that propagate without changing their spatial shape, since the diffraction and the nonlinear refraction balance each other in a self-focusing medium[1].

In this paper we study the behavior of a soliton beam in a second order material and in a waveguide which, in the plane between the cladding and the substrate, has a distribution of refractive index that follows a Gaussian curve, where the initial position of the maximum of the intensity of the soliton is shifted with respect to the maximum of the index profile. In this situation the beam is attracted towards the center of the index profile, acquiring a certain velocity that allows it to pass this point and to continue to move forward to the other side of the index profile, decreasing its velocity.

TRANSVERSE EFFECT OF A SOLITON BEAM IN A GAUSSIAN SHAPED REFRACTIVE INDEX PROFILE

Interesting effects have been found in the study of transverse effects of soliton propagation at the interface between two nonlinear materials[2-3] or in a material in the presence of a gaussian refractive index profile, that is in low perturbation regime[4]. It is possible to show that in a plane wave geometry, where the transverse field confinement is given by the refractive index variation, the e.m. propagation of the fundamental and of the second harmonic is described by the following nonlinear coupled equations in the x-z plane:

$$i\frac{\partial u_1}{\partial z} + \frac{1}{2}\frac{\partial^2 u_1}{\partial x^2} + \frac{1}{2}(r_0 k_0)(n_f^2(\omega_0) - n_0^2)u_1 + u_1^* u_2 = 0 \qquad (1a)$$

$$i\frac{\partial u_2}{\partial z} + \frac{1}{4}\frac{\partial^2 u_2}{\partial x^2} + (r_0 k_0)(n_s^2(2\omega_0) - n_0^2)u_2 + u_1^2 = 0 \qquad (1b)$$

being z the longitudinal propagation coordinate, x the transversal coordinate, r_0 is the transverse scale length, $z_0 = r_0^2 k_0 n_0$ is the longitudinal scale length, $u_1, u_2 = \chi A_{1,2}$ being $|A_{1,2}|^2$ the intensities of the fundamental and of the second harmonic wave and $\chi = \omega\{2/[c^3\varepsilon_0 n_f^2(\omega)n_s^2(2\omega)]\}^{\frac{1}{2}}d$ with d the effective quadratic susceptibility. Moreover $n_{f,s}$ are the refractive indeces of the fundamental and of the second harmonic and n_0 is a reference refractive index.

A conserved quantity of the system of eqs.[1] is the normalized total power of the two beams:

$$M = \int_{-\infty}^{\infty} (|u_1|^2 + 2|u_2|^2)dx, \qquad (2)$$

and the center of gravity of the system:

$$\bar{x} = \frac{\int_{-\infty}^{\infty} x\left(|u_1|^2 + 2|u_2|^2\right)dx}{M} \tag{3}$$

It is possible to demonstrate that, in the absence of gradient of the transverse refractive index, M and $v = \dfrac{d\bar{x}}{dz}$ are constant quantities. This fact together with the existence of localized, stationary solutions of system[1], which has been solved both analytically and numerically, allows us to introduce the equivalent particle model as a tool to study the beams evolution.

Because of the inhomogenities of the medium the velocity v is no longer constant due to the presence of a force f acting on the center of gravity of the system given from:

$$f = \frac{d(M\bar{x})}{dx} = -\frac{1}{2}\int_{-\infty}^{\infty}\left\{\left(u_1 u_1^* \frac{\partial H(x)}{\partial x}\Delta f + 2u_2 u_2^* \frac{\partial H(x)}{\partial x}\Delta s\right)(r_0 k_0)\right\}dx - \frac{1}{2}\int_{-\infty}^{\infty}\left\{\left(u_1^{*2}u_2 + u_1^2 u_2\right)\frac{\partial H(x)}{\partial x}\Gamma\right\}dx \tag{4}$$

where $\Delta f H(x)$ and $\Delta s H(x)$ are the refractive index profiles at ω_0 and $2\omega_0$ respectively and $\Gamma = \chi_1/\chi_2$.

It is possible to show that the potential has the following expression:

$$U(\bar{x}) = \frac{1}{2}(r_0 k_0)^2 \Delta f \int_{-\infty}^{\infty}\left(|u_1(x-\bar{x})|^2\right)H(x)dx - (r_0 k_0)^2 \Delta s \int_{-\infty}^{\infty}\left(|u_2(x-\bar{x})|^2\right)H(x)dx + \Gamma\int_{-\infty}^{\infty}\left(u_1^* u_2(x-\bar{x})\right)H(x)dx + \Gamma\int_{-\infty}^{\infty}\left(u_1^2 u_2^*(x-\bar{x})\right)H(x)dx \tag{5}$$

If the initial profile of the input beams are:

$$u_1(x,0) = u_1^0 \exp\left[-\left(\frac{x-\bar{x}}{2\alpha}\right)^2\right] \tag{6a}$$

$$u_2(x,0) = u_2^0 \exp\left[-\left(\frac{x-\bar{x}}{2\beta}\right)^2\right] \tag{6b}$$

where α and β are constants, the eq.[5] becomes:

$$U_i(x) = k_i \int_{-\infty}^{\infty}\exp\left[-a_i(x-\bar{x})^2\right]H(x)dx \tag{7}$$

where $k_1 = \dfrac{1}{2}(u_1^0)^2 \Delta f(r_0 k_0)^2$, $k_2 = (u_2^0)^2 \Delta s(r_0 k_0)^2$, $k_3 = 2\Gamma(u_1^0)^2 u_2^0$, $a_1 = \dfrac{1}{2\alpha^2}$, $a_2 = \dfrac{1}{2\beta^2}$,

$a_3 = \dfrac{1}{2\alpha^2} + \dfrac{1}{4\beta^2}$.

If $H(x) = \exp(-bx^2)$, that is a Gaussian refractive index profile, where b is a parameter responsible for the width of the profile, the potential can be written as:

$$U_i(\bar{x}) = k_i \sqrt{\frac{\pi}{a_i + b}}\exp(-a_i'\bar{x}^2), \tag{8}$$

where $a_i' = \dfrac{a_i b}{a_i + b}$.

The acceleration that acts on the beams can thus be rapidly calculated as:

$$a(\bar{x}) = \frac{f(\bar{x})}{M} = -\frac{1}{M}\frac{\partial U(\bar{x})}{\partial x} = \frac{2}{M}\sum_i k_i \sqrt{\frac{\pi}{a_i + b}}a'\bar{x}\exp(-a_i'\bar{x}^2). \tag{9}$$

If $b \ll a_i$ eq.[9] can be approximated as:

$$a(\bar{x}) = \frac{2}{M} \sum_i k_i \sqrt{\frac{\pi}{a_i}} b\bar{x} \exp\left(-b\bar{x}^2\right) = K\bar{x} \exp\left(-b\bar{x}^2\right) \qquad (10)$$

where $K = \frac{2}{M} \sum_i k_i \sqrt{\frac{\pi}{a_i}} b$. If the beams are positioned in the point where the acceleration is maximum, that can be calculated to be $x_0 = \frac{1}{\sqrt{2b}}$, the resulting oscillation period is:

$$T = \left(\frac{32}{K\left(1 - \exp\left(-1/2\right)\right)}\right)^{\frac{1}{2}}. \qquad (11)$$

The above theory as been confirmed through numerical simulations, using a BPM algorithm, whose results are shown in figs.(1).
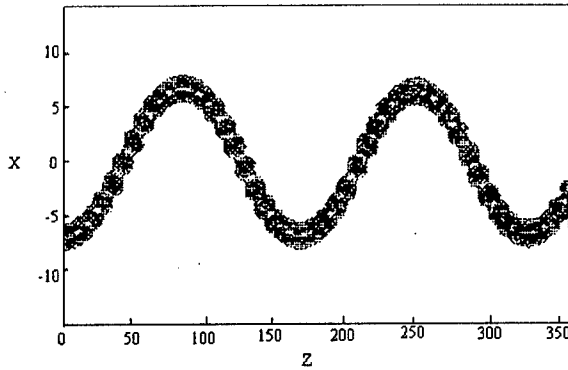
Fig.1A Upper view of a numerical simulation of fundamental beam for $\Gamma=0.05$, $\Delta f = \Delta s = 0.05, u_1^0 = 4.2, u_2^0 = 3$.
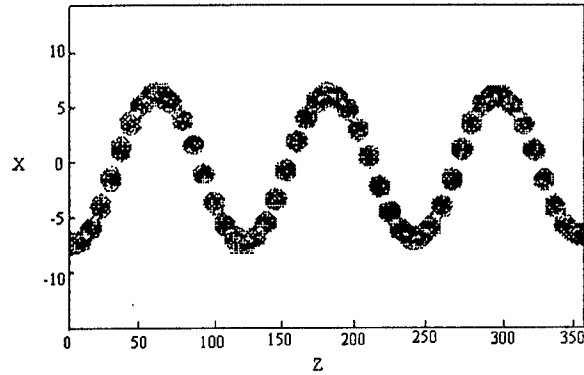
Fig.1B Upper view of a numerical simulation of a fundamental beam for $\Gamma=0.05$, $\Delta f = \Delta s = 0.05, u_1^0 = 5.6, u_2^0 = 4$.

CONCLUSIONS

The studied behavior of a soliton beam in a Gaussian shaped waveguide allows the swing effect to take place even in second order material. The oscillation period depend on both the amplitude of the beams and on the parameters of the waveguide.

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Finite Difference Time Domain Analysis of Nonlinear Optical Waveguide

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1 INTRODUCTION

Soliton in optical fiber communications is a key technology for Giga-bps data transmission[1]. The trade-off between linear and nonlinear dispersions plays important role in the phenomena. In this paper, we shall demonstrate the propagation of solitons in two-dimensional nonlinear optical waveguide with dispersive characteristics by making use of finite difference time domain(FDTD) method[2]. In the conventional FDTD analysis, the computational window was fixed and the size was relatively small. In this paper, by shifting the computational window, we confirmed the soliton propagation up to 1000 $[\mu m]$.

2 Finite Difference Time Domain Method

According to the FDTD scheme[2], the two dimensional($\partial/\partial y = 0$), discretized Maxwell's equations for transverse electric (TE) mode, which propagates to z axis, are described as follows;

$$H_x^{n+1/2}(i+\frac{1}{2},k) = H_x^{n-1/2}(i+\frac{1}{2},k) + \frac{\Delta t}{\mu_0 \Delta z}[E_y^n(i+\frac{1}{2},k+\frac{1}{2}) - E_y^n(i+\frac{1}{2},k-\frac{1}{2})], \quad (1)$$

$$H_z^{n+1/2}(i,k+\frac{1}{2}) = H_z^{n-1/2}(i,k+\frac{1}{2}) - \frac{\Delta t}{\mu_0 \Delta x}[E_y^n(i+\frac{1}{2},k+\frac{1}{2}) - E_y^n(i-\frac{1}{2},k-\frac{1}{2})], \quad (2)$$

$$D_y^{n+1}(i+\frac{1}{2},k+\frac{1}{2}) = D_y^n(i+\frac{1}{2},k+\frac{1}{2})$$
$$+\Delta t \left[\left\{ H_x^{n+1/2}(i+\frac{1}{2},k+1) - H_x^{n+1/2}(i+\frac{1}{2},k) \right\} / \Delta z \right.$$
$$\left. - \left\{ H_z^{n+1/2}(i+1,k+\frac{1}{2}) - H_z^{n+1/2}(i,k+\frac{1}{2}) \right\} / \Delta x \right], \quad (3)$$

where E and H are electric and magnetic field and D and H are respective flux densities. The space and time discretization steps are $\Delta x, \Delta z, \Delta t$ and i, k, n are the number of the corresponding grid. Giving a set of suitable initial conditions to above equations, the latest field is calculated successively as the increase of time step.

3 Frequency Dependent FDTD Method

Some authors developed the FDTD technique for dispersive medium [3][4][5]. The electric field, the polarizations and the flux density of the nonlinear material holds the following relation;

$$\varepsilon_0 \varepsilon_\infty E_y = D_y - P_L - P_R - P_K, \quad (4)$$

where ε_0 and ε_∞ are permittivity of free space and the saturated value of the relative constant in the limit that frequency is infinity. The frequency dependent polarizations P_L, P_R, and P_K are linear polarization, Raman scattering, and Kerr effect, respectively. The permeability is assumed to be nondispersive and equal to that of free space μ_0 in the whole region. Sullivan formulated these polalizations for FDTD algorithm utilizing Z-transform technique[5]. In the followings, we use a character z for space coordinate and $\mathbf{z} = j\omega$ for Z-transform.

3.1 Linear Dispersion P_L

The linear dispersion is given by the following convolution between the electric field and the linear susceptibility $\chi^{(1)}(t)$ in time domain:

$$P_L(t) = \varepsilon_0 \int_0^t \chi^{(1)}(t - \tau) \cdot E(\tau) d\tau \tag{5}$$

where $\chi^{(1)}(t) = \gamma_L \exp(\alpha_L t) \sin(\beta_L t)$, $\alpha_L = \omega_L \delta_L$, $\beta_L = \omega_L \sqrt{1 - \delta_L^2}$, and $\gamma_L = \omega_L(\varepsilon_s - \varepsilon_\infty)/\sqrt{1 - \delta_L^2}$. Taking the Z transform of Eq.(5) for the discrete data train, the following equaiton is obtained[5].

$$
\begin{aligned}
P_L(z) &= \varepsilon_0 \chi^{(1)}(z) \cdot E(z) \cdot \Delta t \\
&= \varepsilon_0 \frac{\gamma_L \cdot \Delta t \cdot e^{-\alpha_L \delta t} \sin(\beta_L \Delta t) \cdot z^{-1} \cdot E(z)}{1 - 2e^{-\alpha_L \Delta t} \cos(\beta_L \Delta t) \cdot z^{-1} + e^{-2\alpha_L \Delta t} \cdot z^{-2}} \\
&= \varepsilon_0 z^{-1} S_L(z),
\end{aligned}
\tag{6}
$$

Here we should note that the z^{-1} operator indicate the delay of one time step. From Eq.(6), we have the relation between latest $S_L(z)$ and the past values;

$$S_L(z) = c_1 z^{-1} S_L(z) - c_2 z^{-2} S_L(z) + c_3 E(z) = c_1 S_L^{n-1} - c_2 S_L^{n-2} + c_3 E^n, \tag{7}$$

where $c_1 = 2e^{-\alpha_L \Delta t} \cos(\beta_L \Delta t)$, $c_2 = e^{-\alpha_L \Delta t}$, and $c_3 = \gamma_L \Delta t e^{-\alpha_L \Delta t} \sin(\beta_L \Delta t)$. Finally, we can obtain the following form which is suitable for FDTD algorhitm:

$$P_L^n = \varepsilon_0 S_L^{n-1} \tag{8}$$

3.2 Raman Scattering P_R

The nonlinear polarization due to Raman scattering is given by

$$P_R(t) = E(t) I_R(t) = \chi_0^{(3)}(1 - \alpha)E(t) \int_0^t \varepsilon_0 g_R(t - \tau) E^2(\tau) d\tau \tag{9}$$

where $\chi_0^{(3)}$ is the third order nonlinear susceptibility and α is a weight factor between P_R and P_K. Because the $g_R(t)$ is similar to $\chi^{(1)}$, we have following FDTD form of P_R;

$$
\begin{aligned}
P_R^n &= E^n I_R^n = \varepsilon_0 E^n S_R^{n-1} \tag{10} \\
S_R^n &= c_{NL1} S_R^{n-1} - c_{NL2} S_R^{n-2} + c_{NL3}(E^n)^2 \tag{11}
\end{aligned}
$$

where $c_{NL1} = 2e^{-\alpha_R \Delta t} \cos(\beta_R \Delta t)$, $c_{NL2} = e^{-2\alpha_R \Delta t}$, and $c_{NL3} = \gamma_R \Delta t e^{-\alpha_R \Delta t} \sin(\beta_R \Delta t)$, $\alpha_R = \omega_{NL} \delta_{NL}$, $\beta_R = \omega_{NL}\sqrt{1 - \delta_{NL}^2}$, and $\gamma_R = \omega_{NL}\chi_0^{(3)}(1 - \alpha)/\sqrt{1 - \delta_{NL}^2}$.

3.3 Kerr Effect P_K

Kerr effect is given as $P_K(t) = \varepsilon_0 \chi_0^{(3)} \alpha E^3(t)$. Taking Taylor expansion to $E^3(t)$ around $t = t_{n-1}$, $P_K(t)$ is described as:

$$P_K(t) = \varepsilon_0 \chi_0^{(3)} \alpha \left\{ 3(E^{n-1})^2 E^n - 2(E^{n-1})^3 \right\} \tag{12}$$

3.4 Total Expression of Electric Field

Substituting Eqs.(8), (10), and (12) into (4), the latest field E^n is described by making use of known data as:

$$E^n = \frac{D^n/\varepsilon_0 - S_L^{n-1} + 2\chi_0^{(3)} \alpha (E^{n-1})^3}{\varepsilon_\infty + \chi_0^{(3)}(1 - \alpha)S_R^{n-1} + 3\chi_0^{(3)} \alpha (E^{n-1})^2} \tag{13}$$

4 Numerical Results and Discussion

The waveguide structure is depicted in Fig.1 and the parameters used here are listed in Table I. The material is same with silica based optical fiber. This waveguide is illuminated by linear TE_0 mode. The envelope funcion along z-axis of the incident wave is hyperbolic-sine which is modulated by the carrier signal with wavelength 1.55 μm. The computational window is surrounded by Mur's absorbing boundary[6] and the perfectly matching layer(PML)[7] as sketched in Fig.1. When the envelope reaches to the output end, the electromagnetic field data arrays near the output end are shifted to the input end as illustrated in Fig.1. Repeating this procedure, the envelope soliton can propagate to longer distance compared with the conventional technique.

In Fig.2, the maximum electric field of the envelope soliton along x axis at $z = 100[\mu m]$, $300[\mu m]$, and $1000[\mu m]$ are plotted. The maximum electric field intensity at the input end is $E_{yi} = 0.7[V/m]$ and the vertical axis of Figs.2 and 3 are normalized by E_{yi}. The soliton is formed at $x = 300[\mu m]$ and keeps its shape till $z = 1000[\mu m]$. The electric fields of the envelope soliton at $z = 300[\mu m]$ and $1000[\mu m]$ are plotted in Fig.3. Both curves corredpond to each other very well. From these figures, the propagation of envelope soliton in two-dimensional waveguide can be simulated effectively by the FDTD with window shift. Appliying this technique to various waveguide designs such as bend, taper, directional couplers will be future subjects.
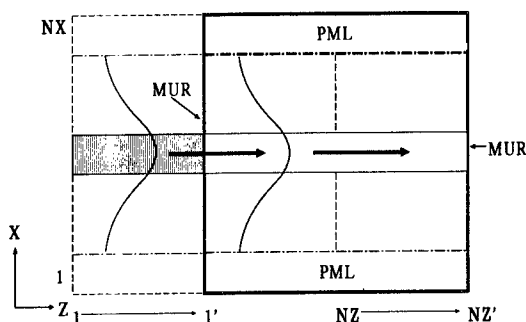
Fig.1 : Shift of computational window.

Δx	$0.4\mu m$
Δz	$0.05\mu m$
Δt	$0.1 fs$
NX	51
NZ	3001
core width	$4.8\mu m$
$\chi_0^{(3)}$ (core)	$0.07(V/m)^{-2}$
ε_∞ (core)	2.25
ε_∞ (cover,clad)	2.00

Table I : Parameters used here.
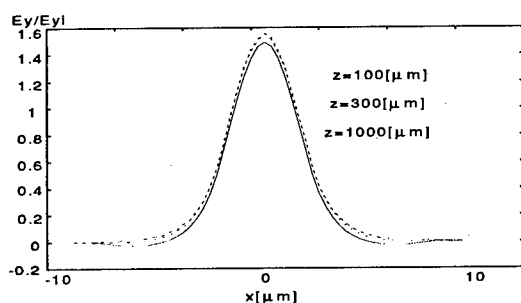
Fig.2 : Comparison of field E_y along x axis.
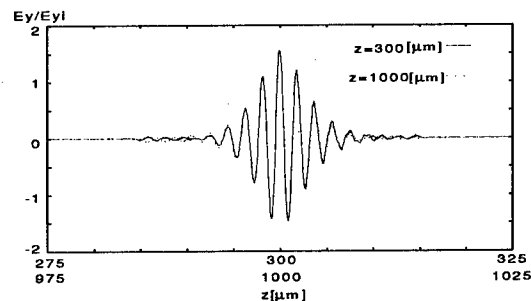
Fig.3 : Comparison of field E_y along z axis at NX=26.

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FLUORESCENCE-BASED MEASUREMENT SCHEMES USING DOPED FIBER: THEORETICAL ANALYSIS AND EXPERIMENTAL VALIDATION

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1. Introduction

Fluorescence-based sensing and measurement schemes are widely used, for example, using doped "communications" fiber. The underlying physical principle exploited in these measurement systems is the thermalization which occurs between closely-spaced excited ionic energy levels when the levels are populated using an appropriate excitation source, usually an LED or LD. The two schemes compared in this paper (fluorescence lifetime (FL) and fluorescence intensity ratioing (FIR) exploit very different aspects of the resultant fluorescence decay from these levels[1,2]. Both have the major advantage of being independent of possible fluctuations of the excitation source, which is very important for robust measurements and the understanding of the physical processes.
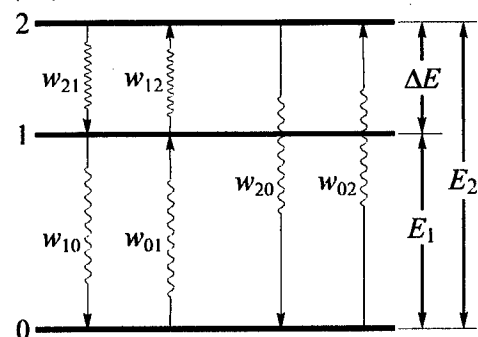
Fig. 1 Schematic energy level diagram of the three level model

Fluorescence lifetime (FL) based sensing techniques employ an analysis of the exponential decay which enables the fluorescence lifetime to be determined. The fluorescence intensity ratio (FIR) in rare-earth-doped optical materials (particularly fibers) uses fluorescence from two closely-spaced levels to a common final state which is monitored, and the ratio of the two emission intensities is calculated, resulting in a temperature-dependent quantity. These approaches are discussed and compared.

2. Essential background theory

The simplified energy level diagram of a typical fluorescent material depicted in Fig. 1 shows two closely-spaced upper states, levels 1 and 2 (energy separation ΔE_{21}), which may decay radiatively to level 0. When levels 1 and 2 are excited through some pumping scheme (the details of which are not important here) the relevant populations, N_0, N_1 and N_2 respectively, are given by the following rate equations[3]

$$\frac{dN_1}{dt} = N_2 w_{21} - N_1(w_{12} + w_{10}) + N_0 w_{01} \qquad \frac{dN_1}{dt} = N_2 A_{21} - N_1(w_{12} + A_{10}) + N_0 w_{01}$$

$$\frac{dN_2}{dt} = N_1 w_{12} - N_2(w_{21} + w_{20}) + N_0 w_{02} \qquad \frac{dN_2}{dt} = N_1 w_{12} - N_2(A_{21} + A_{20}) + N_0 w_{02} , \qquad (1)$$

$$\frac{dN_0}{dt} = N_1 w_{10} + N_2 w_{20} - N_0(w_{01} + w_{02}) \qquad \frac{dN_0}{dt} = N_1 A_{10} + N_2 A_{20} - N_0(w_{01} + w_{02})$$

where A_{ij} and w_{ij} are the spontaneous radiative transition rate and the absorption rate, respectively, of the ions from level i to level j (where i, j are integers). It is assumed that

non-radiative effects are small in comparison to the radiative rates. Thermalization occurs between the excited levels and so $b_{21} = \dfrac{g_2}{g_1} e^{-\Delta E_{21}/kT}$ represents the ratio of the populations in levels 1 and 2 at equilibrium, with g_1 and g_2 being the respective degeneracies, k Boltzmann's constant and T the kelvin temperature. Applying a Laplace transform to Eq. (1), and if the relaxation time of the upper levels is not very short, the resulting reciprocal lifetime is

$$\frac{1}{\tau} = \frac{1}{2}\left[(1+b_{21})A_{21} + A_{20} + A_{10} - \sqrt{[(b_{21}-1)A_{21} + A_{10} - A_{20}]^2 + 4b_{21}A_{21}^2}\right] \qquad (2)$$

$$\frac{1}{\tau} = \frac{1}{2}\left[(1+b_{21})w_{21} + w_{20} + w_{10} - \sqrt{[(b_{21}-1)w_{21} + w_{10} - w_{20}]^2 + 4b_{21}w_{21}^2}\right] \text{ When the}$$

relaxation time between levels 1 and 2 is extremely short (due to rapid thermalization), the resultant fluorescence lifetime will be given by

$$\tau = (1+b_{21})/(A_{10} + A_{20}b_{21}), \qquad (3)$$

$\tau = (1+b_{21})/(w_{10} + w_{20}b_{21})$ the same result as that obtained from the use of a two-level model based on the assumption of thermal equilibrium. Measurements to obtain the lifetime can be performed at either just one of the fluorescence wavelengths or over a larger bandwidth covering all decays from the thermalizing levels. The equations can be readily modified if more than two excited levels are involved.

For radiation at a particular wavelength corresponding to the transition from levels $i \to f$ the intensity exhibits the proportionality

$$I_{if} \propto N_i \omega_{if} A_{if} \qquad (4)$$

$I_{if} \propto N_i \omega_{if} A_{if}$ where ω_{if} ω_{if} is the angular frequency of the radiation. If the separate fluorescence lines from two closely-spaced levels (1 and 2 in Fig. 1) are monitored, the intensity ratio for the transitions from levels 1 and 2 to level 0 will therefore be given by

$$R = \frac{I_{20}}{I_{10}} = \frac{N_2 \omega_{20} A_{20}}{N_1 \omega_{10} A_{10}} = \frac{\omega_{20} A_{20}}{\omega_{10} A_{10}} \frac{g_2}{g_1} \exp(\frac{-\Delta E_{21}}{kT}) = B \exp(\frac{-\Delta E_{21}}{kT}) \ , \qquad (5)$$

$$R = \frac{I_{20}}{I_{10}} = \frac{N_2 \omega_{20} A_{20}}{N_1 \omega_{10} A_{10}} = \frac{\omega_{20} A_{20}}{\omega_{10} A_{10}} \frac{g_2}{g_1} \exp(\frac{-\Delta E_{21}}{kT}) \text{ with } B = \frac{\omega_{20} A_{20}}{\omega_{10} A_{10}} \frac{g_2}{g_1}.$$

3. Theoretical temperature dependence and sensitivity

In order to compare the two methods, the response characteristics given by Eqs. (2), (3) and (5) have been plotted in Fig. 2, using a degeneracy ratio g_2/g_1 of 1, as a function of a scaled dimensionless temperature parameter t, $t = kT/\Delta E_{21}$ (where typical values of $T = 1000$ K, $\Delta E_{21} = 1000$ cm^{-1} give $t = 0.69$). The function represented by Eq. (5) for the FIR method may be plotted directly, but for the FL method it is more convenient to display τ/τ_l, being the ratio of the thermalized lifetime, τ, from Eqs. (2) or (3) to the lower level lifetime, τ_l $(= 1/A_{10})$. The upper and the lower levels have different lifetimes and so curves for the lifetime method are plotted for three different values of τ_2/τ_l $(= A_{10}/A_{20})$, where τ_2 is the upper level lifetime , namely 10, 10^{-2} and 10^{-4} and $A_{21} \approx A_{10}$ has been assumed in the plot of Eq. (2). In order to plot Eq. (5) it has been assumed that the transition rate and angular frequency ratios are both 1 (the second assumption is true provided levels 1 and 2 are closely spaced), i.e. B = 1. The responses of the two methods to temperature change are very different, even though all the curves exhibit a useful monotonic change in response with temperature variation, and this is
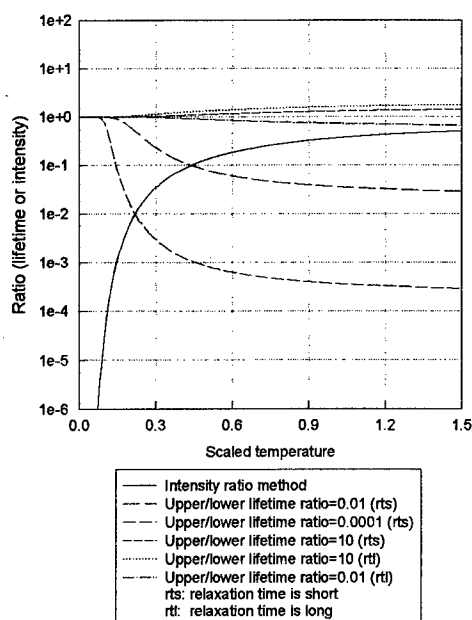
Fig.2 Comparison of the performance
of the FIR and FL methods

discussed below.

4. Comparison of theory with experimental data

In Fig. 3 normalized FIR experimental data are shown as a function of the scaled temperature for Er^{3+}-doped fiber, Yb^{3+}-doped fiber[10] and bulk Pr^{3+}:ZBLAN. Each data set was fitted using Eq. (5) in which the normalizing factor B and ΔE_{21} were the free parameters. All data were divided by the appropriate value of B, and so the three data sets follow the same curve. The agreement between the experimental results and theory is very good and the simple theory of operation of FIR sensors implied by Eq. (5) is confirmed. The above comparison shows that at very low temperatures the FIR method has a higher sensitivity and thus is to be preferred for temperature monitoring as the response for the FL method tends to a constant value with its sensitivity approaching zero. However, over a wider range the difference between the responses is less evident, especially for the case when the intrinsic lifetime of the upper level is shorter than the lower and the relaxation time is short. Many other factors need to be taken into account in any practical comparison of these responses, including the spectroscopic details of the dopant ion and the technical issues associated with constructing a sensor based on these results. However, very large intensity ratios may cause problems of accuracy, as do very short lifetime measurements ($\tau \leq 1\ \mu s$) in low cost instrumentation. However, the analysis presented here is helpful in the selection, and a process of cross-referencing and cross-calibration of one method to the other may be used to widen and optimize the range of the sensor, in the same way that the use of

Fig.3 Normalized FIR data as a function
of the scaled temperature

blackbody radiation has been demonstrated as a cross-referencing approach to measurement of both higher ($T > 500K$) and lower ($T < 500$ K) temperatures with the same probe.

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Large Phase Change by Cascaded Second-order Effects in 3-Methyl-4-methoxy-4'-nitrostilbene Single Crystals

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In recent years second-order cascading effects in non-centrosymmetric crystals have drawn much attention not only in the context of basic understanding of the nonlinear optical processes but also potential applications in all-optical devices such as optical switches and transistors[1] .

From the coupled amplitude equations, the nonlinear phase change impressed on to the fundamental beam in small depletion limit at the exit surface z = L is given by [2]

$$\Delta\Phi^{NL} \cong \frac{\Delta kL}{2}\{1-[1+(2\Gamma/\Delta k)^2]^{1/2}\} \tag{1}$$

where $\Gamma = \dfrac{\omega d_{eff}\,|E_0|}{c\sqrt{n_{2\omega}n_{\omega}}}$ and E_0 is the incident fundamental field.   For large phase mismatch and/or low irradiance, $|\Delta k\,|>>|\,\Gamma\,|$, and Eq. (1) can be further approximated as

$$\Delta\Phi^{NL} \approx -\frac{\Gamma^2 L^2}{\Delta kL} . \tag{2}$$

The effective nonlinear refractive index can be derived from the analogy to the optical Kerr effect.

$$n_2^{eff} = \frac{\lambda}{2\pi LI}\Delta\Phi^{NL} . \tag{3}$$

Although $\chi^{(2)}(\omega;2\omega,-\omega)$: $\chi^{(2)}(2\omega;\omega,\omega)$ cascading process utilizes the second-order susceptibility, the nonlinear phase shift due to the process is exactly equivalent to that caused by the third-order susceptibility $\chi^{(3)}$.   Since the amount of phase change due to the second-order cascading is proportional to the square of the effective d-value, organic crystals with large second-order susceptibilities, such as 3-methyl-4-methoxy-4-nitrostilbene(MMONS) are of great interest in this respect.   MMONS   crystals   show one of the largest second-order susceptibilities and the effective d-value was measured to be d_{eff} = 38 pm/V at 1.064 μ m by Maker fringe technique.

MMONS crystals were grown by solution growth method.   The crystal was cut normal to the type II phase matching direction, (θ , φ) = (73.63 °, 0°).   The thickness of the sample was 1.994 mm.   Although the effective d-coefficient has the largest value at (θ , φ) = (73.63 °, 0°) ,   the walk-off angle in this direction is fairly large, which is about 10°. To overcome the problem of the large walk-off,   a beam of elliptical profile was used to increase the interaction length of fundamental and harmonic beams.

The energy depletion curve of the fundamental beam was measured by using a Nd:YAG laser operating at 1.06 μ m with a pulse duration of 26.5 ps.   The beam was focused by the cylindrical lens of f = 8 inch and the Gaussian beam size at the waist was measured to be

1.91 mm for the major axis and 49 μm for the minor axis. The peak irradiance of the fundamental wave at the focal point was 21.2 MW/cm^2. The sample was rotated around the phase-matching angle by $\pm 0.5°$ with the y-axis as a rotation axis. The depletion curve is shown in Fig.1 and the effective d-value is calculated to be 39 pm/V which agrees very well with the Maker fringe value, $d_{eff} = 38$ pm/V.

The Z-scan technique was used to monitor the induced phase change by the cascaded $\chi^{(2)} : \chi^{(2)}$ process in MMONS. The beam intensity was reduced to 2.16 MW/cm^2 at the focal point to satisfy the condition for small depletion limit. The positions of the transmittance maximum (peak) and the transmittance minimum (valley) were determined and the transmittances at the peak and valley were measured as a function of phase mismatch $|\Delta kL|$. The difference between the transmittances at the peak and valley, ΔT_{p-v} was found to be largest at $\Delta kL \approx \pm \pi$ (Fig.2). For the case of cylindrical beam profile, it was derived by numerical method [3] that ΔT_{p-v} is related to the nonlinear phase change as follows.

$$\Delta T_{p-v} \approx 0.44(1-S)^{0.73} |\Delta \Phi^{NL}| \quad \text{for } |\Delta \Phi_0| \leq \pi / 2 \tag{4}$$

where S represents the relative ratio of an aperture size. By using the relations (3) and (4), the effective nonlinear refractive index was estimated to be $|n_2^{eff}| \approx 1.7 \times 10^{-12}$ cm^2/W. To the best of our knowledge this value is the largest among the reported values, which is about 80 times larger than that of KTP with much smaller irradiance (Table 1).

The bound electronic Kerr effect, n_2(Kerr) was measured for each polarization used in the cascading experiments and these values were subtracted from the value of n_2^{eff} in order to obtain the nonlinear refractive index of purely cascaded effect. For the b-polarization and the polarization perpendicular to the b-axis, these values are 0.56 $\times$ 10^{-14} cm^2/W and 1.16 $\times$ 10^{-14} cm^2/W respectively, which are almost negligible compared to the effective nonlinear refractive index value due to the cascaded effect.

Table 1. Comparison of effective nonlinear refractive indices. All of them were measured by Z-scan method.

Material	Sample thickness(mm)	d_{eff} (pm/V)	Phase matching type	Irradiance (GW/cm^2)	n_2^{eff} (10^{-14} cm^2/W)
KTP [2]	1	3.1	I	9.4	2
KNbO$_3$ [4]	5	5.1	I	6.5 $\times$ 10^{-1}	7.3
DAN [5]	0.77	27	I	2	25
MMONS	1.99	39	II	2.16 $\times$ 10^{-3}	170

Transmittance
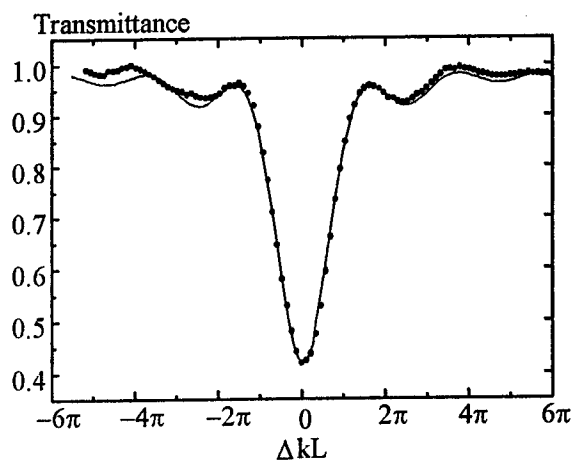
Fig.1 Depletion curve of the fundamental wave
as a function of phase mismatch ΔkL. The
closed circles represent the experimental data
and the solid line is a calculated curve for
which absorption is taken into consideration.

ΔT_{p-v}
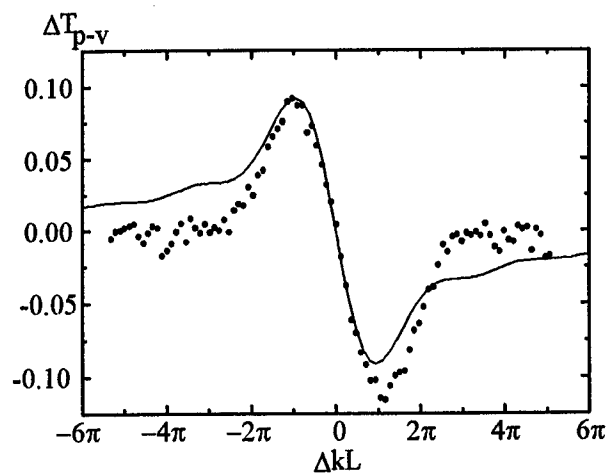
Fig.2 ΔT_{p-v} as a function of ΔkL. The
closed circles represent the experimental
data and the solid line represents the
calculated one.

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MODEL OF A PASSIVELY Q-SWITCHED LASER ACCOUNTING NONLINEAR ABSORPTION ANISOTROPY IN A PASSIVE SWITCH

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1. INTRODUCTION

Interest to the passive Q-switching mode in lasers does not fade on decades. Manufacturing in the last time of new passive Q-switches (PS) of crystalline type allows one to realize this regime for lasers of the broad spectral range. This, in turn, demands to perfect the model [1,2] describing this mode of oscillation. In particular, general formulas for output energy and giant pulse duration are recently generalized [3] for the case of excited state (ES) absorption in PS with phototropic centers (PC).

The aim of the present paper is further developing of the model [1-3], i.e. finding general formulas for output energy and duration of giant pulse, taking into acount: (i) PC orientations in crystalline PS (is done at first) and (ii) the ratio of generation spot sizes in PS and active medium (AM). On the one hand, despite to be considered in a sample of classic works, (ii) is used in incorrect form in others. On the other hand, (ii) is not reflected in general expressions of [2,3]. Our paper is aimed to fill these gaps.

Here, we present the theoretical analysis of the generalized model (part 2); its verification by the experiment with a Nd:YAG laser passively Q-switched with PS based on an LiF:F_2^- or an Cr^{4+}:YAG crystal (part 3); and the main conclusions of the work (part 4).

2. THEORETICAL ANALYSIS

Let us use the approach [2]. The system of equations describing the passive Q-switching mode in a laser sketched in Fig.1 can be written as

$$\frac{dF_a}{dt} = \frac{F_a}{t_r}\left(2\sigma_a l_a n_a - 2\sigma_{gs}^o l_s \sum_i^m f^{(i)}(\vartheta)n_{gs}^{(i)} - 2\sigma_{es}^o l_s \sum_i^m f^{(i)}(\vartheta)n_{es}^{(i)} - \ln\left(\frac{1}{R}\right) - L\right) \tag{1.1}$$

$$\frac{dn_a}{dt} = -\zeta\sigma_a n_a F_a c \tag{1.2}$$

$$\frac{dn_{gs}^{(i)}}{dt} = -\sigma_{gs}^o f^{(i)}(\vartheta)n_{gs}^{(i)} F_a cK \qquad i = 1:m \tag{1.3}$$

$$\frac{dn_{es}^{(i)}}{dt} = \sigma_{gs}^o f^{(i)}(\vartheta)n_{gs}^{(i)} F_a cK \qquad i = 1:m \tag{1.4}$$

$$n_{gs}^{(i)} + n_{es}^{(i)} = n_o \qquad i = 1:m \tag{1.5}$$

where F_a is the intracavity photon density in AM, n_a is the inverse population in AM, σ_a is the effective luminescence cross-section in AM, l_a is the AM length, ζ is the "inversion decrease" factor in AM ($\zeta = 1$ and 2 for four- and three-level system, respectively); $n_{gs}^{(i)}$ and $n_{es}^{(i)}$ are the populations of a PC group of i-th orientation (see Fig.2a,b, the case of cubic PS) in the
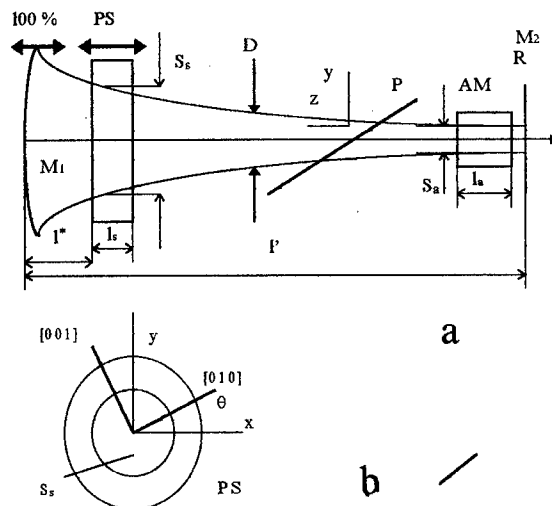
Fig.1. a) Schematic diagram of laser passively Q-switched with PS. M_1 and M_2 are the cavity mirrors; r_1 and r_2 are their radia of curvature; P is the polariser; D is the diaphragm.

b) Coordinate system used for PS angular position acounting: The case of the cavity axis coincidence with the crystallographic axis [100] of PS.
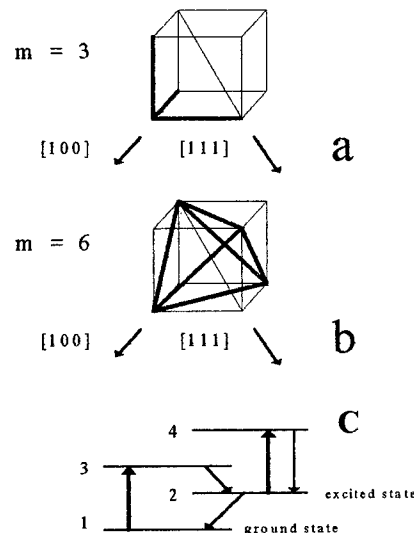
Fig.2. Equivalent allowed orientations of PC groups in crystalline PS (cubic crystal): a - m=3, Cr^{4+}:YAG; b - m=6, LiF:F_2^-. c - scheme of PC levels in PS.

ground and the ES state, respectively, $n_o = N_o/m$ is the initial density of PC of each orientation (m is the number of PC groups orientations in PS crystalline lattice), σ^o_{gs} and σ^o_{es} are the absolute values of PS absorption cross-section from the ground and the ES, $f^{(i)}(0)$ is the "geometrical factor" of PC groups arrangment in PS crystalline lattice (see Table1), l_s is the PS length; $K=S_a/S_s$ is the ratio of laser generation spot sizes in AM and PS in the cavity; R is the reflectivity of output mirror (the another one is consisted to be of 100%); L is the unsaturated (passive) losses of the cavity including those in AM and PS; $t_r = 2l'/c$ is the cavity (of the length l') round-trip time; c is the velocity of light.

orientation	$f^{(i)}(0)$ [100]	$f^{(i)}(\theta)$ [111]
m = 3	$f^{(1)}=\cos^2\theta$; $f^{(2)}=\sin^2\theta$; $f^{(3)}=0$	$f^{(1)}=(2/3)\cos^2\theta$; $f^{(2)}=(2/3)\sin^2(30°-\theta)$; $f^{(3)}=(2/3)\cos^2(60°-\theta)$
m = 6	$f^{(1)}=(1/2)(\sin\theta + \cos\theta)^2$; $f^{(2)}=f^{(3)}=(1/2)\cos^2\theta$; $f^{(4)}=f^{(5)}=(1/2)\sin^2\theta$; $f^{(6)}=(1/2)(\sin\theta - \cos\theta)^2$	$f^{(1)}=(1/4)[(1/\sqrt3)\cos\theta - \sin\theta]^2$; $f^{(2)}=(1/4)[(1/\sqrt3)\cos\theta + \sin\theta]^2$; $f^{(3)}=(1/3)\cos^2\theta$; $f^{(4)}=\sin^2\theta$; $f^{(5)}=(1/4)[\sqrt3\cos\theta + \sin\theta]^2$; $f^{(6)}=(1/4)[\sqrt3\cos\theta - \sin\theta]^2$

Table 1

Let us stop on some key moments.

(i) The system (1) is written for the case of four-level scheme of PC in PS (see Fig.2c). It is supposed that PC transit very fast from the levels 3 and 4 to the lasing level 2, and spontaneous emission from 2 to 1 is negligible during the giant pulse formation.

(ii) On the contrary to a variety of papers, there is no dependence of the terms in (1.1) on the ratio K of generation spot sizes in AM and PS.

(iii) The nonlinear absorption anisotropy in PS (see [4,5]), appearing on the absorption saturation stage due to definite orientations of PC groups in crystalline lattice, manifests itself in a set of equations (i=1:m) for PS (see (1.3) and (1.4)) and sums in (1.1). At the same time, amplification in AM is suggested to be isotropic (see (1.2)).

(iiii) Polarization of a laser is supposed to be selected effectively by a total polariser, so that one can consist intracavity radiation to be polarised linearly during all the stages of giant pulse formation.

Below are the final solutions for the giant pulse energy E_{out} and pulse duration τ:

$$E_{out} = \frac{hvS_a}{2\sigma_a\varsigma} \ln\left(\frac{1}{R}\right) \ln\left(\frac{n_a^{init}}{n_a^{fin}}\right) \qquad (2)$$

$$\tau \approx \frac{l'}{\sigma_a l_a c} \cdot \frac{\ln\left(\frac{n_a^{init}}{n_a^{fin}}\right)}{n_a^{init} - n_a^{max} + \frac{\frac{2}{3}N_o\sigma^o_{es}l_s + \ln\left(\frac{1}{R}\right) + L}{2\sigma_a l_a} \ln\left(\frac{n_a^{max}}{n_a^{init}}\right) - \frac{\varsigma N_o l_s}{mKl_a}\left(1 - \frac{\sigma^o_{es}}{\sigma^o_{gs}}\right)\sum_i^m\left(1 - \left[\frac{n_a^{max}}{n_a^{init}}\right]^{\alpha_i(\vartheta)}\right)} \qquad (3)$$

where

$$E_a = -\frac{l_a}{\varsigma l'}\left(n_a^{fin} - n_a^{init} - \frac{\frac{2}{3}N_o\sigma^o_{es}l_s + \ln\left(\frac{1}{R}\right) + L}{2\sigma_a l_a}\ln\left(\frac{n_a^{fin}}{n_a^{init}}\right) + \frac{\varsigma N_o l_s}{mKl_a}\left(1 - \frac{\sigma^o_{es}}{\sigma^o_{gs}}\right)\sum_i^m\left(1 - \left[\frac{n_a^{fin}}{n_a^{init}}\right]^{\alpha_i(\vartheta)}\right)\right) ;$$

$$\alpha_i(\vartheta) = \frac{f^{(i)}(\vartheta)\sigma^o_{gs}}{\varsigma \sigma^o_a}K ; \qquad \sum_i^m f^{(i)}(\vartheta) = \frac{m}{3} ; \qquad n_a^{init} = \frac{N_o\sigma^o_{gs}l_s}{3\sigma_a l_a} + \frac{\ln\left(\frac{1}{R}\right) + L}{2\sigma_a l_a} ;$$

with n^{fin}_a and n^{max}_a to be determined from the conditions: $E_a = 0$ and $dE_a/dn_a = 0$, respectively, and hv to be photon energy.

3. EXPERIMENTAL

In order to verify formulas of the section 2, we studied the output characteristics of a Nd:YAG laser passively Q-switched with an LiF:F2 or an Cr4:YAG crystal (see Fig.1). The characteristics of PS: $T^{init} \sim 30\%$, $T^{fin} \sim 74\%$ (LiF:F2); $T^{init} \sim 30\%$, $T^{fin} \sim 88\%$ (Cr4:YAG). The parameters of the cavity: $R_1=100\%$, $R_2=R=30\%$, $r_1 \sim 1$ m, $r_2=\infty$, l' was varied in order to study maximally the parameter $K=S_a/S_s$ changes (l' was varied from 60 cm, $K \sim 0.5$, up to unstable configuration of the cavity, l' ~1 m, K ~ 0.01). During the experiments, the laser oscillated in TEM$_{oo}$ mode. We measured the angular dependences of output energy and pulse duration, $E_{out}(\theta)$ and $\tau(\theta)$ (the angle θ is the orientation of PS (its [010] axis) in respect to the plane of minimal linear losses assigned by the polariser, whereas the laser beam is supposed to propagate along its [100] axis). We investigated the dependences for various positions of the rear mirror and PS's.

In Fig.3 are shown the experimental dependences $E_{out}(\theta)$ for PS LiF:F2 (a,b) and Cr4:YAG (c,d) as well as the theoretical ones with the geometrical factors to be taken in accordance with the experimental situation (see colomn for the [100] propagation of light in Table 1) and σ^o_{es} to be equal to zero. One can see good agreement between the theory and the experimental data. The differences appeared for the values of K, corresponding to the regime of oscillation intermediate between the "clear" passive Q-switching and free-running (Fig.3a, LiF:F2, and Fig.3c, Cr4:YAG), are considered in [6]. In Fig.4 are shown the corresponding experimental and theoretical data (a - LiF:F2, b - Cr4:YAG) for the giant pulse duration of the laser under investigation. One can also see fine agreement between these dependences.
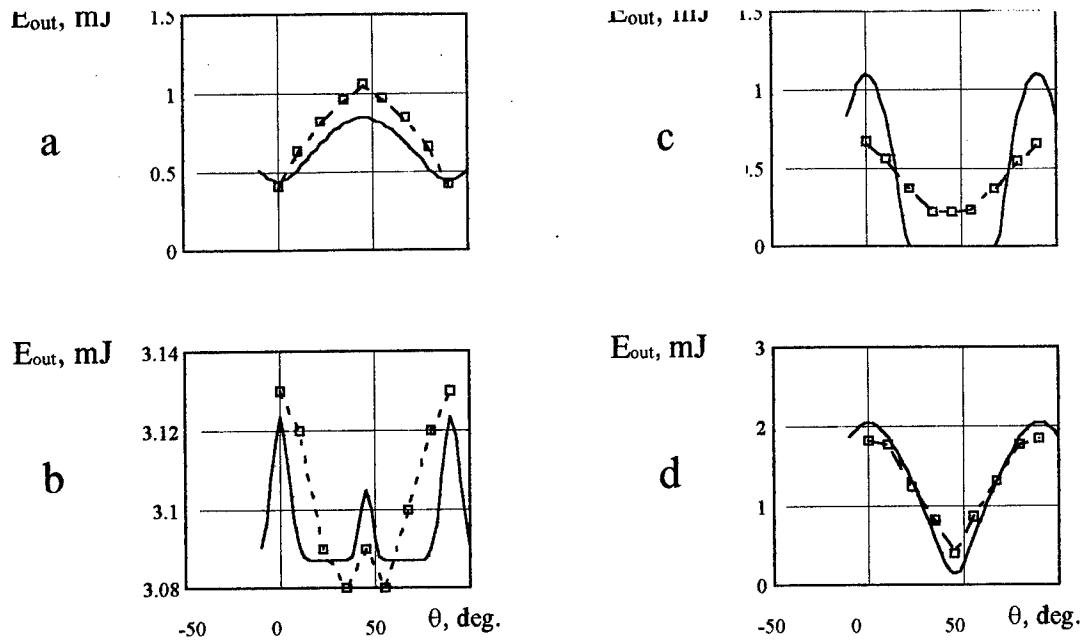
Fig.3. Experimental (dots) and theoretical (solid lines) dependences of output energy (E_{out}) on PS angular position (θ) in the cavity. a - l'=101 cm (K=0.01), c - l'=95 cm (K=0.145), and b,d - l'=77 cm (K=0.225); for all pictures l* = 14 cm.
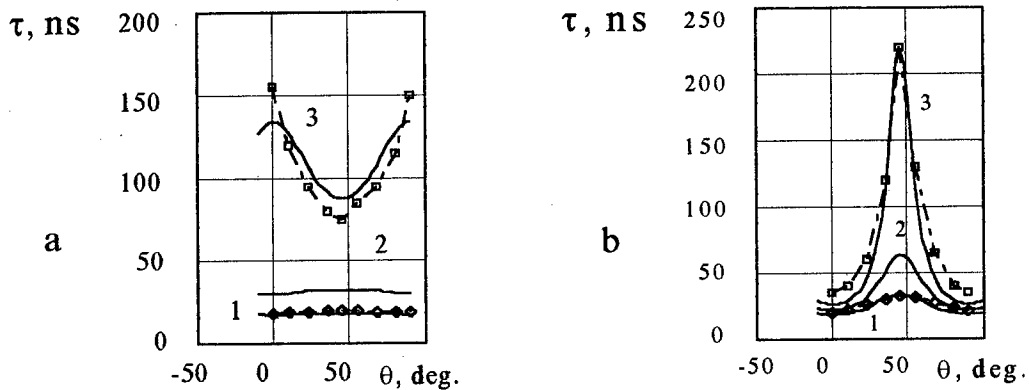
Fig.4. Experimental (dots) and theoretical (solid lines) dependences of giant pulse duration (τ) on PS angular position (θ) in the cavity. K = 0.23 (1), 0.025 (2), 0.01 (3) - PS LiF:F_2^- (l'=100 cm, l*=14 cm); K = 0.5 (1), 0.35 (2), 0.23 (3) - PS Cr^{4+}:YAG (l'=75 cm, l*=14 cm).

4. CONCLUSION

1. The model is generazed for a laser Q-switched with a crystalline PS.

2. The expressions for output energy and pulse duration are found, acounting as the geometrical factor of PC groups orientation in PS crystalline lattice, as the ratio of generation spot sizes in AM and PS.

3. The model is shown to be in good agreement with experiment dealing with a pulsed Nd:YAG laser passively Q-switched with PS based on an LiF:F_2^- or an Cr^{4+}:YAG crystal.

4. The nonlinear absorption anisotropy in crystalline PS is shown to be a source for the output energy and pulse duration angular dependences appearance, that should be taken into acount when developing or optimizing a laser passively Q-switched with such a PS.

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Solitary waves and two-photon absorption

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Investigations on pulse propagation near the half band gap of semiconductors, where a two-photon resonant enhanced nonlinearity takes place, indicate asymmetric frequency spectra together with self-steepening of the transmitted pulse. These features are attributed to the frequency dispersion of the nonlinear refractive index coefficient and also to the coefficient of two-photon absorption[2].

Lately there has been considerable interest on such investigations for all-optical switching applications[3], so that, in this paper we investigate an extended version of the nonlinear Schrödinger equation (NLSE) including a self-steepening term, which takes into account the frequency dispersion of the nonlinear susceptibility and also a nonlinear absorptive term. To this end we employ a recently devised variational approach[1] suitable to deal with nonlinear dissipative propagation and show the existence of a solitary wave as a result of the interplay among the various effects considered.

Let us begin by writing the extended wave equation as:

$$i\frac{\partial u}{\partial \xi} + \frac{1}{2}\frac{\partial^2 u}{\partial \tau^2} + |u|^2 u - i\alpha|u|^2\frac{\partial u}{\partial \tau} = -i\kappa|u|^2 u , \tag{0.1}$$

where u is the normalized envelope amplitude, $\alpha \propto \frac{\partial Re(\chi)^3}{\partial \omega}$ is the self-steepening parameter and κ is the TPA coefficient.Following along the lines of Reference (3) we identify L_C with the conservative part of the equation and Q with the work function,

$$L_C = \frac{i}{2}\left(u\frac{\partial u^*}{\partial \xi} - u^*\frac{\partial u}{\partial \xi}\right) + \frac{1}{2}\left|\frac{\partial u}{\partial \tau}\right|^2 - \frac{1}{2}|u|^4 - \frac{i}{2}\alpha|u|^2 u\frac{\partial u^*}{\partial \tau} , \tag{0.2}$$

$$Q = -i\kappa|u|^2 u. \tag{0.3}$$

To balance the self-steepening effect one must choose a proper ansatz which we take as a sech profile with a time dependent phase of the particular form,

$$\rho(\xi,\tau) = A(\xi)sech\left[\frac{\tau}{\omega(\xi)}\right] , \tag{0.4}$$

$$\frac{\partial \phi}{\partial \tau} = a_0 + a_2 \rho^2 \ , \tag{0.5}$$

where where a_0 and a_2 are constants. By means of the Hamilton principle we obtain the Euler-Lagrange equations,

$$2\frac{\partial \phi}{\partial \xi} - \frac{1}{3\omega^2} - \frac{2}{3}\mu A^2 + a_0^2 - \frac{8}{15}\eta A^4 = 0 \ , \tag{0.6}$$

$$2\frac{\partial \phi}{\partial \xi} + \frac{1}{3\omega^2} - \frac{4}{3}\mu A^2 + a_0^2 - \frac{8}{5}\eta A^4 = 0 \ , \tag{0.7}$$

$$\frac{\partial}{\partial \xi}(2\omega A^2) = -\frac{8}{3}K\omega A^4 \ , \tag{0.8}$$

with

$$\mu = 1 + a_0(\alpha - 2a_2) \ , \tag{0.9}$$

$$\eta = a_2(\alpha - a_2) \ . \tag{0.10}$$

At this point we have not solved the problem yet, but we may already get some insight on pulse evolution from Equation (8) which clearly expresses the rate at which energy is being dissipated, proportional to A^4 due to the process of two-photon absorption. Solving the above set of equations we obtain a solitary wave solution for the particular situation when the chirp parameter is equal to the self-steepening parameter $a_2 = \alpha$ yielding to a conservation principle that guarantees an exact balance for the existence of a solitary wave. This means that the amplitude and the width of the pulse vary in such a way as to keep the product $\omega^2 A^2$ constant. Figure (1) is plotted to show these features, that is, the dissipation of energy together with the conserved product along the distance ξ . The dynamical behaviour of the parameters are defined by,

$$A(\xi) = \frac{A(0)}{\sqrt{1 + \frac{8}{3}A^2(0)K\xi}} \ , \tag{0.11}$$

$$\omega(\xi) = \omega(0)\sqrt{1 + \frac{8}{3}A^2(0)K\xi} \ , \tag{0.12}$$

$$\phi(\xi) = \phi(0) + \frac{a_0^2}{2}\xi - \frac{3\mu}{16K}\ln\left(1 + \frac{8}{3}A^2(0)K\xi \ .\right) \tag{0.13}$$

Fig. 2 shows the intensity profile for various propagation distances, illustrating the reshaping and broadening of the solitary wave. In this way we have shown that, by choosing adequately an initial chirp as the pulse is launched at $\xi = 0$, one is able to balance the self-steepening process by forcing the slower points situated on the less intense part of the pulse, to follow the faster ones situated near the peak where the intensity is higher.
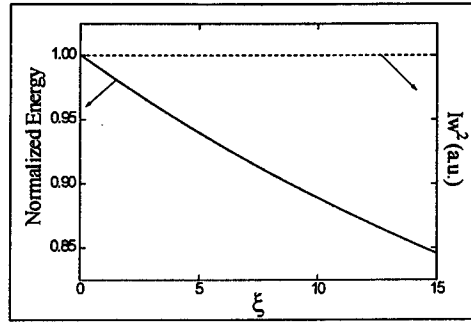
Figure 1: Evolution of the pulse energy and conserved quantity
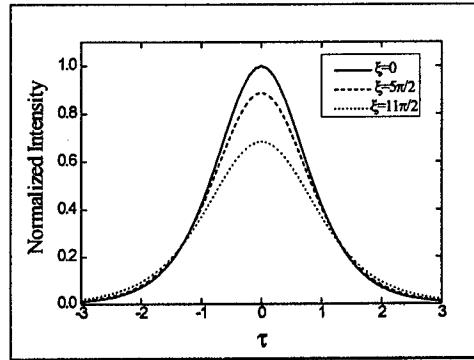
Figure 2: Evolution of the pulse along a medium with K=0.01

In conclusion we have shown that by choosing a suitable phase chirp to a sech profile, an exact balance between self-phase modulation, group velocity dispersion and self-steepening effects is reached yielding to a solitary wave solution that evolves smoothly through the medium attenuated and broadened by the nonlinear absorption process.

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Soliton Cloning in a Dispersive Nonlinear Medium Coherently Driven

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Many physical phenomena observed in quantum optics (self-induced transparency solitons[3], photon echo, etc.) may be studied in doped optical fibers whose dispersive and nonlinear properties may lead to new qualitative features[1, 2]. The light propagation in dispersive nonlinear waveguides can be described by the nonlinear Schrödinger equation (NLSE). Soliton solutions to this equation were theoretically predicted and experimentally observed in time and space domain. The NLSE can be extended in order to include the effects of rare earth ions dopants such as erbium. One way to do that is by the inclusion of dispersive terms associated to the susceptibility of the dopant[4].
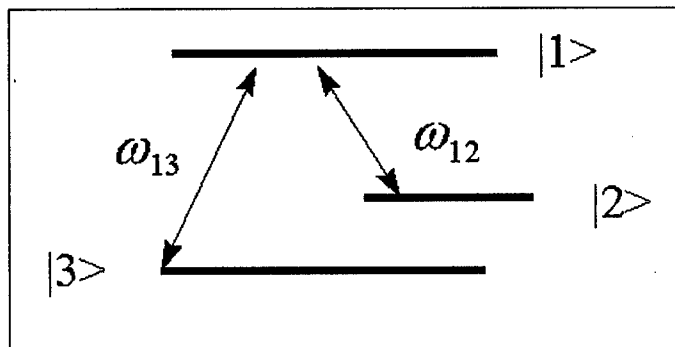
Figure 1: Energy levels and fields interacting with the atomic system.

On the other hand we know that the response of a three-level atomic system is governed by

the Bloch equations together with the associated population and dipole (polarization) relaxation times T_1, and T_2, so that the above mentioned dopant model should only be used by assuming an instantaneous system's response to the field, which is true if T_2 is much shorter than pulse width T_0. In this work we will study the interaction of two fields, described by an extended NLSE, with three-level atomic systems coherently driven by these fields. This three-level atomic system is inserted in a host waveguide and the level distribution is shown in figure 1.

Initially let us focus on the interaction of the electrical fields with our collection of dopant atoms. We suppose one oscillating field $E_{12}(t)$ (pump) with the frequency close to the transition frequency ω_{12} between states $|1\rangle$ and $|2\rangle$, and another field $E_{13}(t)$ (signal) with a frequency corresponding to the frequency ω_{13} related to states $|1\rangle$ and $|3\rangle$. Using the Schrödinger quantum description for this system one may obtain the following set of coupled differential equations for the probability amplitude $c_j(t)$ of the atomic levels $|j\rangle$:

$$\frac{dc_1}{dt} = -i\omega_1 c_1 + \frac{i}{\hbar}[c_2\mu_{12}E_{12}(t) + c_3\mu_{13}E_{13}(t)] \tag{0.1}$$

$$\frac{dc_2}{dt} = -i\omega_2 c_2 + \frac{i}{\hbar}[c_1\mu_{21}E_{12}(t)] \tag{0.2}$$

$$\frac{dc_3}{dt} = -i\omega_3 c_3 + \frac{i}{\hbar}[c_1\mu_{21}E_{12}(t)] \tag{0.3}$$

where μ_{12} and μ_{13} are the electrical dipole moments associated with the transitions.

We also need a description of the electric field to compute the time and space dynamics of the system. Starting from the wave equation and using the slowly varying envelope approximation we obtain the following equations for the field envelopes, including cross-phase modulation terms:

$$\frac{\partial A_{12}}{\partial z} + \frac{n}{c}\frac{\partial A_{12}}{\partial t} = -\frac{i}{2}\beta_2\frac{\partial^2 A_{12}}{\partial t^2} + i\gamma[|A_{12}|^2 + 2|A_{13}|^2]A_{12} + \frac{i\omega n_a}{2\varepsilon_0 c}c_2^* c_1 \mu_{12} \tag{0.4}$$

$$\frac{\partial A_{13}}{\partial z} + \frac{n}{c}\frac{\partial A_{13}}{\partial t} = -\frac{i}{2}\beta_2\frac{\partial^2 A_{13}}{\partial t^2} + i\gamma[|A_{13}|^2 + 2|A_{12}|^2]A_{13} + \frac{i\omega n_a}{2\varepsilon_0 c}c_3^* c_1 \mu_{13} \tag{0.5}$$

where γ is a coefficient proportional to the nonlinearity, n_a is a dopant density and β_2 is the group velocity dispersion.

By solving the above set of equations using a combination of Runge-Kutta and split-step methods one is able to study the soliton cloning properties in the presence of group velocity

dispersion, self and cross-phase modulation with sounding consequences for optical fiber communication systems. The effect of soliton cloning in doped fibers may be used to generate solitons streams at different wavelengths with potential applications in soliton multiplexing.

In conclusion we have investigated the cloning of soliton pulses in dispersive nonlinear waveguide using the coherent dynamics of three level dopant with resonances at the pump and signal fields.

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NONLINEAR OPTICS USING ELECTROMAGNETICALLY INDUCED TRANSPARENCY

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It is now well understood that the characteristics of a nonlinear optical process are the result of the interplay of the linear and nonlinear susceptibilities. In general, as an atomic transition to the ground state is approached, the nonlinear susceptibility is resonantly enhanced, but, at the same time, the media exhibits a rapidly increasing refractive index and becomes opaque.

During the last several years we have shown how electromagnetically induced transparency (EIT) may be used to dramatically solve this problem: By first applying a strong coupling laser field with frequency Ω_c [Fig. 1(a)], the atom is modified so as to create a quantum interference for a second probe field with Rabi frequency Ω_p. In the ideal case, where the linewidth of the $|1\rangle - |2\rangle$ transition is zero and both fields are increased sufficiently slowly, the electromagnetic fields on both the probe and coupling laser transitions propagate as if in vacuum. The quantum interference creates a situation where the probability amplitude of state $|3\rangle$ is very small and where that of state $|2\rangle$ may be large and equal to that of state $|1\rangle$. With these conditions one has created an unusual nonlinear medium where the nonlinear susceptibility has only a single nonresonant denominator and is therefore as large as is the linear susceptibility.
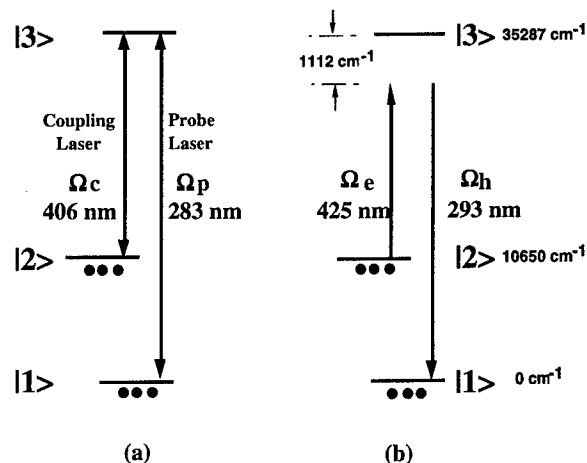
Fig. 1. (a) A large atomic coherence, ρ_{12}, is prepared by the probe laser (283 nm) and the coupling laser (406 nm). (b) The 425-mm laser mixes with the coherence in (a) to generate a sum frequency at 293 nm. $|1\rangle$, $|2\rangle$, and $|3\rangle$ denote states $6s^2 6p^2 \, ^3P_0$ (ground), $6s^2 6p^2 \, ^3P_2$, and $6s^2 6p7s \, ^3P_1$ of atomic ^{208}Pb.

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If one adjusts the density length product of this medium so that it is equal to that density length product which would cause a retardation of π radians, then one will obtain nominally 100% photon conversion efficiency or, equivalently, in a related system, a parametric gain of unity. Figure 1(b) shows an energy schematic for an experiment recently done at Stanford. Here, in addition to the applied coupling and probe lasers with wavelengths of 406 nm and 283 nm, one also applies a laser with a wavelength of 425 nm. This causes the generation of light at 293 nm with a conversion efficiency (of the temporally and spatially overlapped portions of the beams) of about 40%.

One example of this type of frequency converter is to tunable picosecond time scale infrared generation. In Pb vapor, an incident frequency is down shifted by 10650 cm^{-1}, and an incident source which is tuned from 7604 Å to 9389 Å will generate an infrared output which tunes continuously from 4 μ to dc.

We also expect to begin experiments on optical parametric oscillators which have bandwidths on order of their center frequencies, and on generators of spontaneous polarization correlated photons.

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SUMMARY OF NONLINEAR OPTICS '98 INVITED TALK

August 10-14, 1998, Kauai, Hawaii

THE PHYSICS OF LASER ACCELERATION OF PARTICLES

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In our quest to build higher energy accelerators that are both cheaper and smaller, we search for new paradigms that offer potentially high accelerating gradients. The laser features very prominently in many of these schemes. The proposed use of lasers to accelerate particles to ultra-high energies has been motivated by the very high electric fields associated with focused laser beams. A number of conceptually different schemes have been proposed for this purpose and are under very active investigation. In the last couple of years significant results have been obtained on four of these schemes: the inverse-Cherenkov accelerator,[1] the inverse-free-electron laser accelerator,[2] the laser-plasma beat-wave accelerator,[3] and the self-modulated laser wake-field accelerator.[4] Of these, the first two are an example of what is known as far-field accelerators, that is, the acceleration of charges takes place far away from any solid surfaces. On the other hand, the latter two are an example of acceleration by a space charge wave that is induced by a laser beam in an ionized medium: a plasma.

In order to effectively utilize the electric field of a laser to accelerate particles, one has to somehow obtain a component of the field in the direction of the particle and slow the electromagnetic wave down so that this electric field can interact with the particle over a long distance. In the inverse-Cherenkov scheme, the wave is slowed down by introducing a gas at the focus of the laser beam. A radially polarized laser beam is focused into this gas such that the particles to be accelerated interact with the laser field at the Cherenkov angle. This accelerator employs the Cherenkov mechanism: If a particle moves faster through a medium than light travels in the same medium, then it will radiate. The inverse-Cherenkov accelerator (ICA) simply runs this effect backwards. Recently a proof-of-principle experiment has been carried out at Brookhaven National Laboratory's Advanced Accelerator test facility,[1] which shows acceleration of a relativistic electron beam using a CO_2 laser in the ICA mode.

In the inverse free electron laser (IFEL) scheme, the particles are accelerated by the ponderomotive bucket formed by the beating of the laser light with a static magnetic wiggler. The synchronism condition is maintained by bending the electron orbits continuously and periodically by the wiggler field such that the particle traverses one wiggle period just as one period of the electromagnetic wave passes by the particle. Very encouraging results on acceleration by the IFEL scheme have recently been obtained.[2]

Finally, relativistic space charge waves excited in a plasma by intense laser beams have shown dramatic results. Coherent space charge waves have accelerated electrons to about 30 MeV in just 1 cm using the laser beat-wave technique.[3] Electrons have been shown to gain up to 100 MeV of energy in about a millimeter using the so-called self-modulated laser wake-field acceleration[4] scheme. These accelerating gradients are thus by far the largest terrestrial accelerating gradients for charged particles obtained to date.

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Optical Projection Lithography at Half the Rayleigh Resolution Limit by Two Photon Exposure

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In recent years, with the advent of femtosecond pulse technology, two-photon absorption has commenced to be used for exposing photo-resists. It is natural to ask then, what is the spatial resolution of two-photon lithography? There has already been some discussion of resolution limits in two-photon, scanning confocal fluorescence microscopy. We will find that ordinary two-photon exposure of photo-resist merely enhances the photographic contrast, or gamma. While this improves the spatial resolution somewhat, it does so at the expense of a requirement for tighter control over the incident light intensity. Instead, we introduce a new type of exposure system employing a multiplicity of 2-photon excitation frequencies which interfere with one another to produce a super-resolution stationary image, exhibiting a true doubling of the spatial resolution.
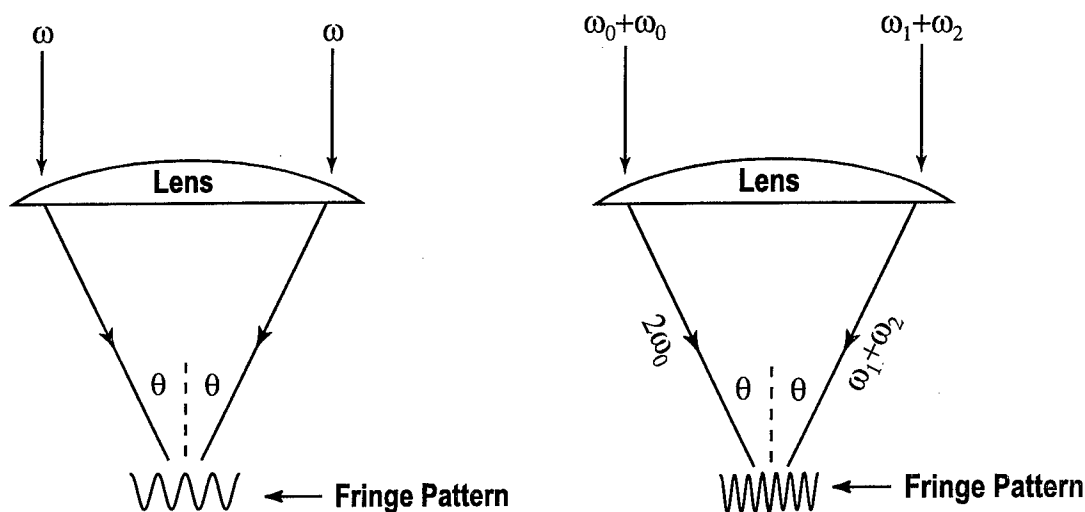
Figure 1(a): The intensity fringe pattern produced by rays converging from opposite edges of a lens. (b) The fringe pattern produced by two-photon excitation of a photoresist, in which the incident rays on opposite sides of the lens are separated into distinct frequency groupings. The frequency differences cause normal resolution fringes to oscillate rapidly in time, and they get washed out.

We can examine the resolution limits by studying the fringe pattern produced by two rays converging from opposite edges of a lens as illustrated in Figure 1(a). Such a fringe pattern is an indication of the resolution limits. Figure 2(a) shows the intensity fringe pattern in the focal plane, that results from two rays of individual wave vector k, converging at an angle θ. The spatial period of the fringes is determined by wave-vector of magnitude $K = 2k\sin\theta$. The distribution of intensity, I(x), in the focal plane is simply $I(x) = (1+\cos Kx)$.

Since two-photon absorption is proportional to the square of intensity, the two-photon exposure will be proportional to:

$$(1+\cos Kx)^2 = \left(\frac{3}{2} + 2\cos Kx + \frac{1}{2}\cos 2Kx\right), \qquad \ldots\ldots\ldots(1)$$

a functional dependence which is plotted in Fig. 2(b). We can see that the fringe pattern of two-photon absorption in Eq'n. (1) and Fig. 2(b), is a mixture of a normal resolution image represented by the $\cos Kx$ term and a super-resolution image represented by $\cos 2Kx$. The super-resolution image at $\cos 2Kx$ consists of spatial harmonics at the wave-vector $2K$, and would represent a doubling of the spatial resolution over ordinary one-photon lithography. Unfortunately the image is a mixture of both resolutions. Our goal in this paper is to show how to enhance the super-resolution image, and to suppress the normal resolution image, to the greatest degree possible.
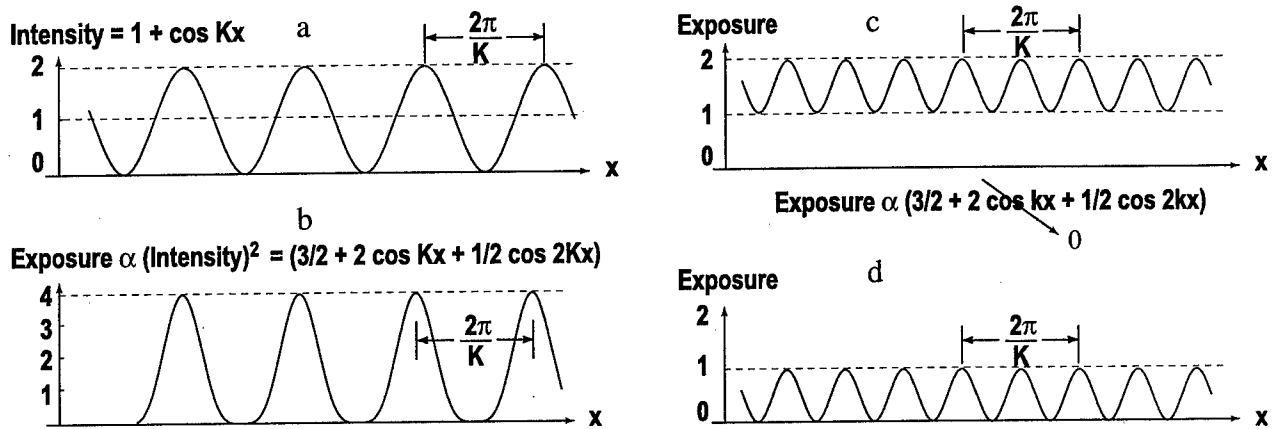
Figure 2(a): The ordinary intensity fringe pattern produced by converging rays as in Fig. 1(a), where $K = 2k\sin\theta$. (b) The intensity squared fringe pattern which consists of a normal resolution spatial harmonics at K, a super-resolution spatial harmonic at $2K$, as well as a constant term. (c) The effect of canceling the normal resolution spatial harmonic at K. The super resolution component at $2K$ remains, on a constant background. (d) By employing a photoresist with a sharp atomic transition at $2\hbar\omega_0$, the constant background is also eliminated, leaving a pure super-resolution image.

The method, or trick, for eliminating the normal resolution image is indicated in Fig. 1(b). The idea is to destroy stationary interference patterns corresponding to the linear image, while maintaining a stationary interference pattern for the super-resolution image. Arrange the incident rays to consist of ω_0 on one edge of the lens, and two other frequencies slightly above and below ω_0: i.e. $\omega_1 = \omega_0+\delta$, and $\omega_2 = \omega_0-\delta$, on the other edge of the lens, where $2\omega_0=\omega_1+\omega_2$. Fringes resulting from the interference of ω_0 and ω_1 oscillate rapidly at the difference frequency δ, and they wash away, averaging to a uniform background. Thus the normal resolution image goes away. Since the frequencies $2\omega_0$ and $\omega_1+\omega_2$ are coherently related, derived from four-wave mixing $\omega_2=2\omega_0-\omega_1$ for example, the super-resolution fringes are stationary and they don't wash away. This produces the result indicated in Fig. 2(c). If desired, the smeared out background on which the super-resolution image is superimposed, can be entirely eliminated. This can be accomplished by arranging for a relatively sharp atomic transition at $2\hbar\omega_0$, inside the

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photoresist. Then the background two-photon transitions at $\omega_0+\omega_1$, $\omega_0+\omega_2$, $2\omega_1$, and $2\omega_2$ in Fig. 1(b) do not occur, and the smeared out background becomes absent. This results in the pure super-resolution fringe pattern of Fig. 2(d) at a spatial wave vector of $2\vec{K}$ with no background, and is the ultimate culmination of two-photon lithography.

A possible exposure system is shown in Fig. 3. Very short pulses of high peak power are needed to produce a damage-free two-photon exposure in the photoresist. Fortunately such pulses can be readily frequency converted to provide new optical frequencies, for example by four wave mixing as shown in Fig. 3. The various frequencies can illuminate a mask, even a phase mask, as in conventional photo-lithography, but near the lens the various frequencies become spatially separated by spectral filters. The lens can be divided into zones, some of which pass certain frequency combinations, and some which pass other combinations. For example in Fig. 3 the lens is divided into two zones. The frequency ω_0 passes through one zone and the frequencies ω_1 and ω_2 pass through the other zone. Miscellaneous variations on the optical layout in Fig. 3 are possible, including the insertion of optical gain, and various ways of generating ω_0, ω_1 and ω_2, etc. Fig. 3 also displays various numerically computed images of a narrow, sharp, spatial line source, as limited by the finite numerical aperture (N. A.) of a lens, treating the problem one-dimensionally. We take in this case, N. A.=0.5, expressing the image size in units of the fundamental wavelength $\lambda=2\pi c/\omega_0$. A description of each curve is given in the figure caption. For 2-photon exposure with 2 zones, the resolution has already almost doubled. More zones give further improvement.
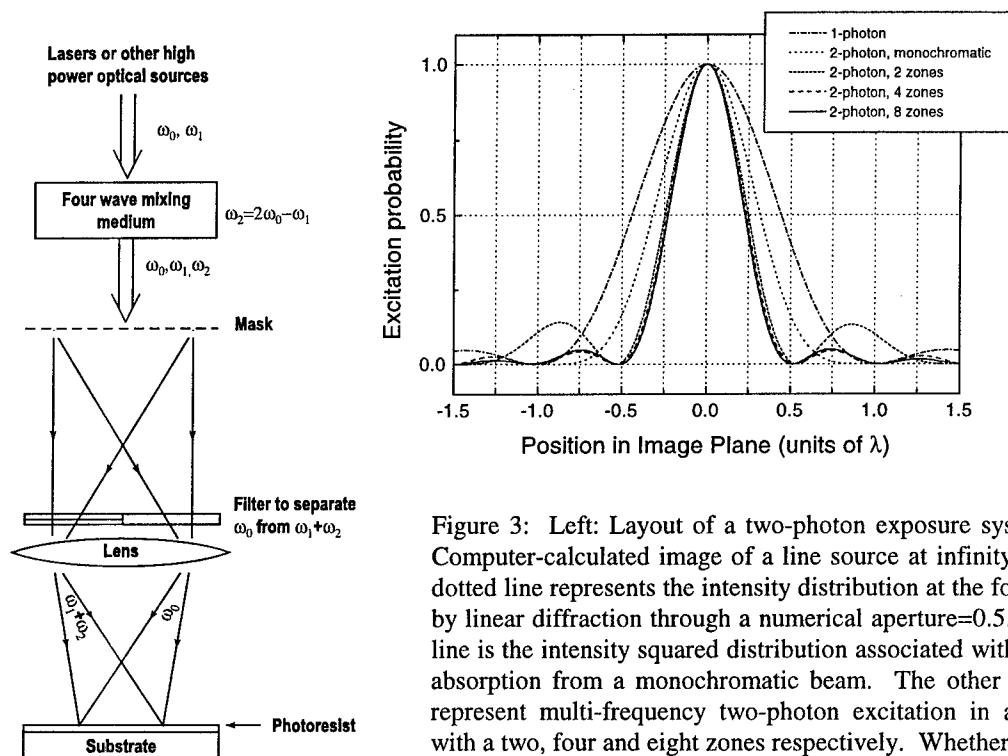
Figure 3: Left: Layout of a two-photon exposure system. Right: Computer-calculated image of a line source at infinity. The dash-dotted line represents the intensity distribution at the focus of a lens by linear diffraction through a numerical aperture=0.5. The dotted line is the intensity squared distribution associated with two photon absorption from a monochromatic beam. The other three curves represent multi-frequency two-photon excitation in a photoresist with a two, four and eight zones respectively. Whether two or eight zones the resolution is essentially twice as good as for the dash-dotted ordinary one-photon exposure.

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MAZER*ACTION

A NEW KIND OF INDUCED EMISSION

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Abstract

The abrupt change in the atom-field coupling strength which an atom experiences upon passing into and out of a micromaser cavity leads to changes in the atomic center-of-motion. Very slow (laser-cooled) atoms, however, can be reflected from or tunnel through the cavity, and in the process undergo a new kind of induced emission.

One of the cleanest experiments in modern quantum optics involves resonant atoms passing through a high-Q microwave cavity, i.e., the micromaser. The "usual" treatment of the problem, in the notation of Fig. 1, assigns a time-of-flight $\tau = \ell/v$ to the atom-field interaction. In such a case, the Rabi oscillation between upper level and lower level, beginning with n photons in the cavity and an excited atom, i.e., beginning with $|\psi(0)\rangle = |a, n\rangle$, is described by

$$|\psi(r)\rangle = \cos g\tau\sqrt{n+1}|a, n\rangle - i \sin g\tau\sqrt{n+1}|b, n+1\rangle \tag{1}$$

where we have assumed resonance between the atom and field, and g is the atom-field coupling constant. The emission process here is stimulated emission.

In the present paper we show that operation in the limit of ultracold atoms requiring a quantum-mechanical treatment of the center-of-mass (CM) motion taken together with a high-Q cavity, leads to a completely new type of induced, but not stimulated emission, Fig. 1. That is, in the ordinary maser, stimulated emission prevails as the mechanism for amplification of radiation, but in the case of ultracold atoms the physics of the induced emission process is intimately associated with the quantization of the CM motion (taken to be in the z-direction).
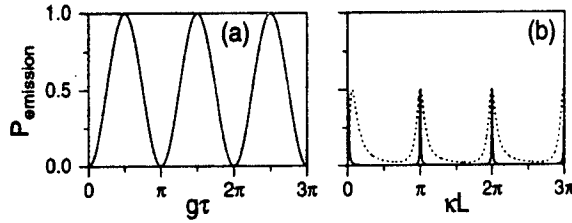
FIG. 1. Emission probability versus the interaction time $g\tau = \kappa^2 L/2k$ (a) for $k/\kappa \gtrsim 10$ and versus the interaction length κL (b) for $k/\kappa = 0.1$ (dotted) and $k/\kappa = 0.01$ (solid), when the cavity field is initially in the vacuum state.

For the case of thermal ("fast") atoms passing through the micromaser cavity, we find the emission probability associated with maser action

$$P_{\text{maser}} = \sin^2\left(\frac{\kappa^2 L}{2k}\sqrt{n+1}\right), \tag{2}$$

where κ is the CM wave vector for which the kinetic energy $(\hbar\kappa)^2/2M$ equals the vacuum coupling energy $\hbar g$, and L is the cavity length. Eq. (2) embodies the usual stimulated emission process and the well-known Rabi oscillations since $\kappa^2 L/2k = g\tau$, where the interaction time $\tau = L(\hbar\kappa/M)^{-1}$. As is shown below, Eq. (2) applies only when $k \gg \kappa\sqrt[4]{n+1}$.

For the case of ultracold atoms, such that $k \ll \kappa\sqrt[4]{n+1}$, we find the photon emission probability

$$P_{\text{mazer}} = \frac{\frac{1}{2}\left[1 + \frac{1}{2}\sin\left(2\kappa L\sqrt[4]{n+1}\right)\right]}{1 + \left(\kappa\sqrt[4]{n+1}/2k\right)^2 \sin^2\left(\kappa L\sqrt[4]{n+1}\right)}. \tag{3}$$

Several aspects of Eq. (3), which is only valid for $\kappa L \gg 1$, should be noted. First of all,

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instead of the usual "Rabi phase" $g\tau\sqrt{n+1} = (\kappa^2 L/2k)\sqrt{n+1}$, now the phase $\kappa L\sqrt[4]{n+1}$ appears, which is independent of k, i.e., independent of the classical interaction time. we further note the Eq. (3) resembles the Airy function of classical optics, $\left[1 + F\sin^2(\Delta/2)\right]^{-1}$, which gives the transmitted intensity in a Fabry-Perot interferometer with finesse F and phase difference Δ. In our case, the finesse $F = \left(\kappa\sqrt[4]{n+1}/2k\right)^2$ depends on the number of photons in the cavity.

For this reason we distinguish between the usual stimulated emission maser physics and that characterized by the present quantized-z-motion induced emission and call the process Microwave Amplification via Zmotion-induced Emission of Radiation "mazer action".

New features of the mazer are, for example, its ability to produce number states, and other novel states of the field. These and other aspects of the mazer will be presented.

TUESDAY, 11 August

TuA Semiconductors I
TuB Communications
TuC Poster Session II
TuD Tuesday Night Session

Tuesday Papers Not Available

TuA1   Quantum Cascade Microlazers with Deformed Chaotic Resonators
        Federico Capasso and Claire Gmachl, Bell Laboratories, Lucent Technologies,
        Murray Hill, NJ

TuB1   The Latest Nonlinearities to Rear Their Ugly Heads in Lightwave Communication
        Systems
        Andy Chraplyvy, Bell Laboratories, Lucent Technologies, Holmdel, NJ

TuD1   Astronomical Interferometry
        Chalres Townes, University of California, Berkeley, CA

TuD2   Spatial Solitons and Light Guiding Light
        Allan W. Snyder, Australian National University, Canberra, Australia

TuD4   Squeezed Phonon Fields: Controlling Quantum Lattice Fluctuatiolons With Light Pulses
        R. Merlin, G. A. Garrett and J. F. Whitaker, University of Michigan, Ann Arbor, MI

Optical Spectroscopy of Single Nanometer Size Semiconductor Quantum Dots.

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Abstract:
We spatially resolve, and spectroscopically study single self assembled quantum dots using low temperature confocal optical microscopy. The experimental spectra are in quantitative agreement with a many body theoretical model.

Summary:
The study of electronic processes in semiconductor heterostructures of reduced dimensionality has been a subject of recent extensive research efforts. Of particular importance are the efforts to fabricate and study semiconductor quantum dots (QDs) of nanometer size, in which the charge carriers are confined in all three directions to characteristic lengths which are smaller than their De-Broglie wavelengths. These efforts are motivated by both the QDs potential device applications as well as their being an excellent stage for experimental studies of basic quantum mechanical principles. One very promising way of fabricating such QDs is the so named self assembled QDs (SAQDs) method. This method uses the self formation of small islands which reduce the elastic energy associated with the lattice mismatch strain between epitaxially deposited layers of different lattice constant. By capping these islands with an additional layer of higher energy bandgap, high quality QDs are produced. The size distribution of these SAQDs (typically about 10%), and the resultant inhomogeneous broadening of the SAQDs ensemble spectral features, has so far limited the ability to clearly understand and unambiguously interpret the experimental results. We overcome this obstacle, by applying diffraction limited confocal optical microscopy for spectroscopically studying such a single InAs QD embedded within an AlGaAs host. The low temperature photoluminescence spectrum of such a single dot and its excitation intensity dependence are reported. We show, indeed, that multiple sharp spectral lines, as well as broad spectral features, which previously were always interpreted as an optical signature for emission from an ensemble of dots, can actually be a result of carrier recombination within a single dot.
We use a many carrier Hamiltonian to describe multi exciton states confined within the QD and to calculate optical transitions between these states. By varying the dimensions of our model dot we can quantitatively fit the observed PL spectrum and its evolution with increasing excitation power, and thus the average number of QD excitons. We demonstrate that sharp spectral lines, in the dot PL spectrum, are due to optical transitions between confined discrete multi-excitonic states. From the spectrum we directly deduce the magnitude of the interaction between the charge carriers within the self assembled quantum dot. The spectral evolution as a function of excitation power, clearly indicates shell fillings of these multi-exciton states. Under high excitation power, once the limited number of discrete exciton levels within the dot are fully occupied, spectrally broad emission bands are formed due to optical transitions between multi exciton states which contain continuum electrons. From the quantitative agreement between the calculated and measured spectra we safely conclude that the confined excitons reach thermalization well within their radiative lifetime.

Optical Nonlinearities and Ultrafast Carrier Dynamics in Semiconductor Quantum Dots

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Low-dimensional semiconductors have attracted great interest due to the potential for tailoring their linear and nonlinear optical properties over a wide-range. Semiconductor nanocrystals (NC's) represent a class of quasi-zero-dimensional objects or quantum dots. Due to quantum confinement and a large surface-to-volume ratio, the linear and nonlinear optical properties, and the carrier dynamics in NC's are significantly different from those in bulk materials [1, 2]. Trapping at surface states can lead to a fast depopulation of quantized states, accompanied by charge separation and generation of local fields which significantly modifies the nonlinear optical response in NC's [3]. 3D carrier confinement also has a drastic effect on the energy relaxation dynamics [2]. In strongly confined NC's, the energy-level spacing can greatly exceed typical phonon energies. This has been expected to significantly inhibit phonon-related mechanisms for energy losses, an effect referred to as a phonon bottleneck [4]. It has been suggested recently that the phonon bottleneck in 3D-confined systems can be removed due to enhanced role of Auger-type interactions [5]. In this paper we report femtosecond (fs) studies of ultrafast optical nonlinearities, and energy relaxation and trapping dynamics in three types of quantum-dot systems: semiconductor NC/glass composites made by high temperature precipitation, ion-implanted NC's, and colloidal NC's. Comparison of ultrafast data for different samples allows us to separate effects being intrinsic to quantum dots from those related to lattice imperfections and interface properties.

High-sensitivity fs nonlinear transmission experiments in the novel chirp-free configuration [6] were applied to measure pump-induced absorption changes for optical transitions involving the lowest (1S) and the first excited (1P) electron states (B_1 and B_3 features in Fig. 1, respectively) in CdSe NCs of different radii, with 1S–1P energy separation up to 16 longitudinal optical phonon energies. At early times after excitation, the nonlinear optical response of the NC's is dominated by two effects: state-filling induced bleaching of optical transitions [2] (B_1–B_3, Fig. 1) and the Coulomb two-pair interaction (biexciton effect) [7]. While state-filling affects only transitions coupling occupied states, the Coulomb interaction influences all transitions, resulting in transition shifts which are seen as derivative-like features in transient absorption (TA) (see feature at ~1.85 eV in the early time TA spectra in Fig. 1). Analysis of the TA allows us to extract the state-filling-induced portion of the nonlinear signal, which provides the information on population/depopulation rates of the adjacent (1S and 1P) electronic states. Instead of the drastic reduction of the energy relaxation rate expected due to a phonon bottleneck [4], we observe a fast sub-ps 1P-to-1S relaxation (inset to Fig. 1), indicating the opening of new relaxation channels which dominate any phonon bottleneck effects. We observe the enhancement in the relaxation rate in NCs of smaller radius, which strongly suggests that energy relaxation is mediated by nonlinear Auger-type processes. Similar energy relaxation behavior is observed in different types of NCs, indicating that ultrafast electron relaxation, not limited by a phonon bottleneck, is a general feature of quantum-dot systems.
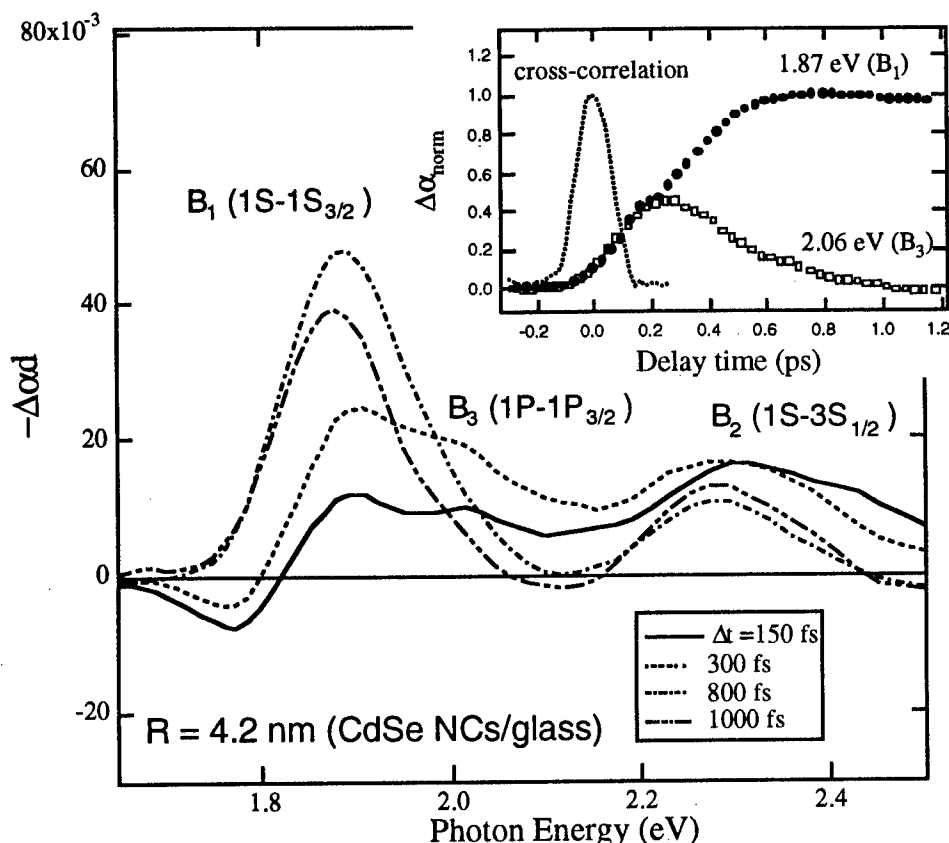
Figure 1. Chirp-free TA spectra recorded at different delay times after excitation for CdSe NC/glass sample with NC mean radius of 4.2 nm. Inset: normalized 1S (circles) and 1P (squares) dynamics indicating the 1P-to-1S relaxation time of ~300 fs.

In contrast to the initial energy relaxation, the subsequent 1S-electron decay is strongly sample dependent. In colloidal samples with passivated surfaces, the depopulation of the 1S state is dominated by radiative recombination, whereas in glass samples made by both high-temperature precipitation and ion implantation, the 1S electrons relax via efficient ps trapping at deep defect states, an effect which is strongly enhanced in NCs of smaller radius (Fig. 2). In the inset to Fig. 2, we show that the size dependence of the 1S relaxation time follows an R^6 dependence. This can be explained in terms of confinement-induced squeezing of the 1S electron wave function, resulting in an increased overlap with the wave function of the deep-trap state. Application of fs up-conversion photoluminescence and TA allowed us to separate electron and hole relaxation paths [8]. We observed very fast PL decay (attributed to sub-ps hole trapping). The slower decay of the TA signal is controlled by depopulation of electron states which occurs on the 1–10 ps time scale.

Studies of pump intensity-dependent TA indicate a change in carrier relaxation channels at high pump levels. This is manifested as a distinct difference in the nonlinear optical response measured at low and high pump intensities in quasiequilibrium at long times after excitation. Analysis of the spectral- and time-dependence of the nonlinear transmission over

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a wide pump intensity range indicates that this difference is due to carrier trapping at surface states activated by the Auger process. Surface trapping leads to efficient charge separation, with associated local electric fields [3], drastically modifying the nonlinear optical response
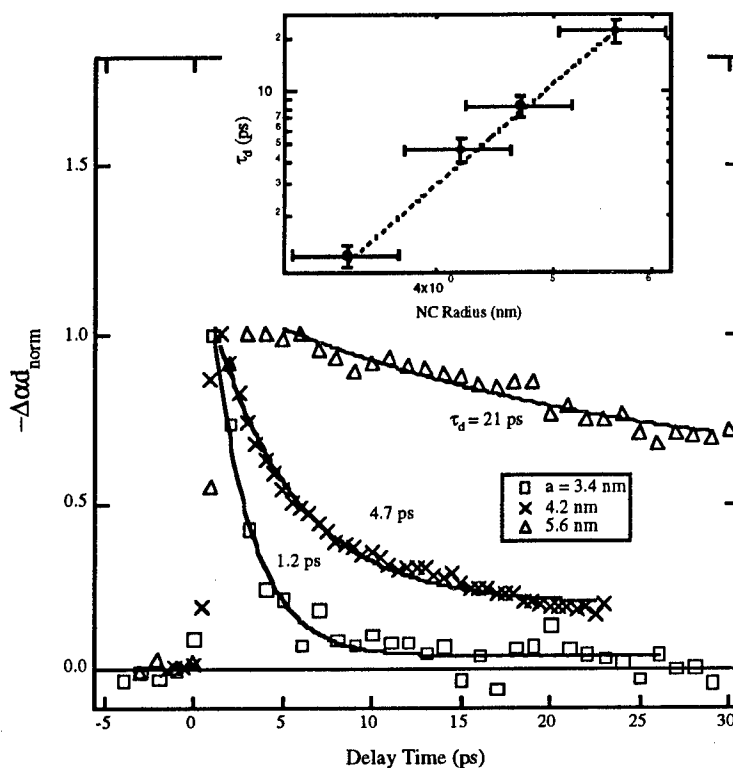
Figure 2. 1S-bleaching dynamics in CdSe/glass samples with NC mean radii R = 3.4 (open squares), 4.2 (crosses), and 5.6 nm (open triangles). Inset: size dependence of the 1S relaxation time (symbols), fit to an R^6 dependence (line).

of NC's at high pump fluences. Using NCs in different types of matrices, we studied the effect of the height of the confinement barrier on the efficiency of Auger-assisted surface trapping.

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Ultrashort pulse controlled all-optical modulation by intersubband-coupled-interband transitions in doped quantum wells

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Ultrafast all-optical modulation of interband (IB) resonant light by intersubband (ISB) resonant light in quantum wells (QW) is attractive for its application to multiplexers and demultiplexers for Tbit/s ultrafast OTDM systems [1-4]. An ultrafast modulation of a cw IB signal light in the picosecond domain has been demonstrated based on resonantly excited free-carrier induced nonlinearity in doped QW's [2]. The drawback of the modulation scheme using a cw IB-light is that the speed is limited to 1 ps due to the ISB energy relaxation rate of the QW [2, 3]. The efficiency is also limited due to carrier accumulation at high repetition rate. The optical nonlinearities inducing the modulation can be enhanced by high ISB excitation intensities which hinder practical device application. To achieve high nonlinearities in the femtosecond regime, we thereby consider the application of ultrashort ISB light pulses maintaining the optical energy sufficiently low for device operations. We propose a novel scheme based on the simultaneous use of ISB-control and IB-signal lights both in the pulse form. The control light pulses width (τ_c) are assumed to be very short (1 ps - 200 fs) comparable to the ISB relaxation time. The signal pulse width is shorter than the IB carrier recombination lifetime to reduce carrier accumulation at high repetition rate. The modulation speed limit of 1 ps in the conventional scheme (corresponding to ISB energy relaxation time $\sim T_1$) can be enhanced by using femtosecond control light pulses. We show that the modulation speed can be improved to about 250 fs which is determined by the ISB phase relaxation rate ($T_2 \sim 200$ fs) of the QW.

We consider a InP based InGaAs/AlAs QW system with the signal light tuned to the IB state $|c1>$-$|hh1>$, while the control light tuned to the ISB state $|c1>$-$|c2>$ as shown in Fig.1. An absorption change of the IB-light resulting in the optical modulation is achieved when the ISB-control light field excites the carrier to the upper subband state $|c2>$, resulting in vacant sites at the lower subband and consequently enhancing IB transitions. The origin of modulation lies for an n-doped QW lies in the third-order nonlinear interaction between the IB and ISB transitions [1]. We present for the first time the transient analysis of a such a pulse-pulse modulation scheme including the many body effects. We developed a numerical model for simulating the response of the medium for a train of optical pulse excitation using the three-level semiconductor optical-Bloch equations. The analysis of the pulse IB and ISB light interaction provides an estimate of the critical limit of the parameters governing the speed and efficiency of the modulation scheme.

In principle for an InGaAs-AlAs system, this scheme can be employed for the modulation of near infrared (NIR) IB-light pulses (1.2 μm - 2.0 μm) by ISB-light pulses ranging from the NIR to the FIR regime (2.0 - 11.0 μm) depending on the well width and the material parameters.
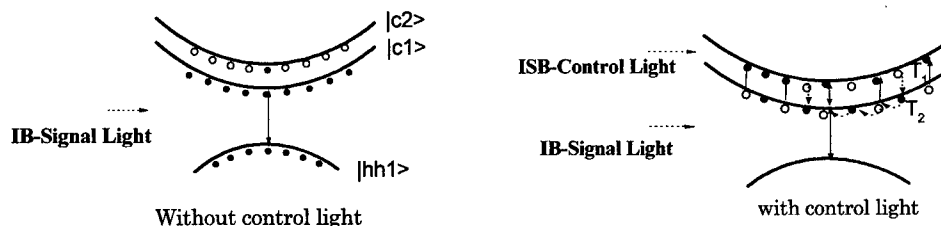
Fig.1. *The All-Optical Pulse Modulation Scheme in doped QWs*

The LO-phonon scattering is considered to be the dominant dephasing process in the doped structures and the phase relaxation time in the off-diagonal elements of the interaction Hamiltonian is assumed to be 200 fs. The IB-light pulse absorption is manipulated by the shape of the ISB-control light pulses which governs the rate of optical pumping of the carriers in the intersubband states as compared to the carrier relaxation processes.

The effect of the control pulse width (τ_c) on the absorption of a 6 ps IB-signal light pulse has been shown in Fig.2. At $\tau_c \sim 500$ fs, the signal light absorption increases to its maximum and the effect of the control light recedes if its pulse width approaches the phase-relaxation time ($T_2 \sim 200$ fs). Although it is not shown here the modulation efficiency was found to be unaffected by the increase in control light intensity above an optimum value determined by the control pulse width. This optimum intensity is proportional to the ISB saturation intensity and is 5 MW/cm^2 at $\tau_c \sim 200$ fs as compared to 2 MW/cm^2 at $\tau_c \sim 500$ fs.

The change in the signal absorption due to the ISB transitions induced by the control light is estimated by the modulation depth η defined as $[\chi_c(t) - \chi_{c=0}(t)]/\chi_{c=0}(t)$; $\chi_c(t)$ and $\chi_{c=0}(t)$ are the transient response function with and without the control light field. The effect of the ISB control field intensity on the modulation characteristics of a 6 ps IB-signal pulse at various pulse widths is depicted in Fig.3. Higher modulation efficiencies by the control pulses with their width comparable to the phase relaxation rates can be achieved at ISB light intensities I_c which establishes a population inversion between the intersubband states. The increase in absorption eventually saturates when the rate of intersubband carrier excitation is faster than its relaxation rate leading to the complete occupation of the upper conduction subband state |c2>. The ISB saturation intensity estimated to be 5 MW/cm^2 agrees well with the analytical calculations [4]. At higher ISB-control light intensities (≥ 10 MW/cm^2), the optical phonon scattering becomes significant due to the excess carriers at the upper subband state. These cause relaxation oscillations in the absorption when the control pulse width becomes comparable to the carrier energy relaxation time (0.5 - 1.0 ps). However, for shorter pulses (~ 200 fs) the switching of the IB light is smooth.
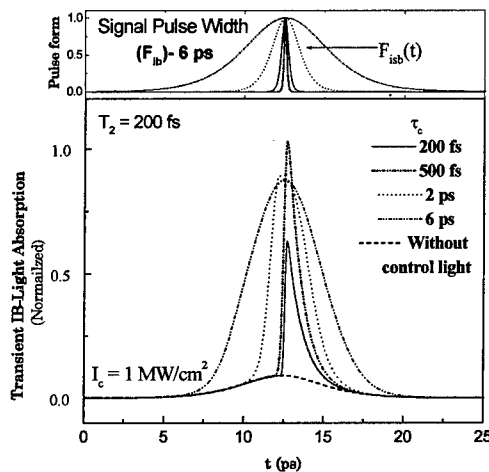
Fig.2. Signal Light Absorption at various ISB-control-pulse width
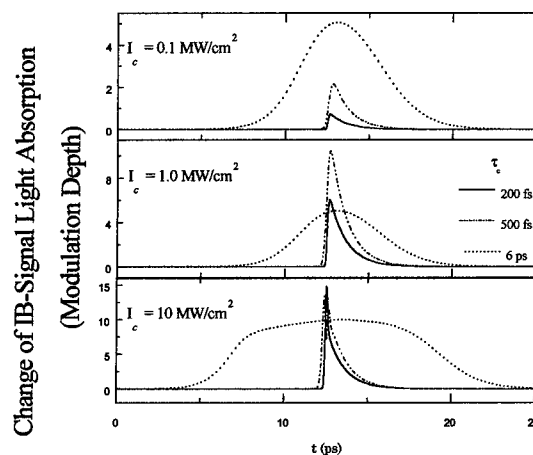
Fig.3. Modulation characteristics at various peak ISB-control-light-pulse intensities.

The rise and the decay time of the modulated pulses are faster for $\tau_c \sim T_2$. It should also be noted from Fig.3. that the rise time of the IB-light modulation is a characteristic of the control pulse width and is much faster than the band-to-band relaxation time ($\sim$ ns). It indicates that the

modulation speed of the device is not limited by the hole accumulation in the valence band as in conventional electrooptic modulators based on IB transitions. Thus the speed and nonlinearity of an interband nonlinear process which are usually limited by the IB relaxation rate is enhanced by several orders by the nonlinear coupling of the IB and ISB transitions.

Fig.4 represents the optimum operating conditions for achieving the fastest modulation speed with a high efficiency using the proposed scheme. It also shows a comparison of the present results with the earlier experimental results involving cw and long pulse ISB-control light at 10.6 μm. The modulation depth is observed to increase for control pulse widths shorter than the ISB carrier lifetime ($T_1 \sim 1$ ps). It is seen that at 1 MW/cm² the maximum modulation efficiency can be achieved by applying a control pulse of 600 fs which can be increased further at 10 MW/cm² using a 200 fs pulse. The high modulation depth obtained by Suzuki et al. [3] was achieved in an AlGaAs/GaAs system at a higher carrier concentration (3×10^{18} cm³) and should be reduced by 20% at a lower carrier concentration (1×10^{18} cm³) as used in our estimations. Moreover the estimated modulation efficiency will increase further at higher carrier concentrations. We obtain a large IB-signal light absorption change of 4600 cm⁻¹ using 500 fs control light pulses at 1 MW/cm² compared to 3000 cm⁻¹ IB-absorption change with 5 ps pulses reported by Suzuki et al. This value of absorption change is large enough for actual device performance. The modulation depth is also considerably higher than in a symmetric undoped QW structure proposed by Lee and Malloy [5].

In conclusion, a new scheme was proposed in which the IB-light pulse absorption can be modulated by the ISB-control light pulses exhibiting an enhanced efficiency compared to a scheme involving cw-IB light. The modulation speed can be enhanced by optimizing the control pulse width ($T_1 \geq \tau_c \geq T_2$) instead of increasing the light intensity. A femtosecond modulation speed can be obtained by 200 fs at 5 MW/cm² or 500 fs control light pulses at 2 MW/cm². It corresponds to excitation energies less than 100 fJ using a waveguide configuration. This is significantly lower than any proposal based on ISB-IB transitions and is useful for all-optical switching applications. As the modulation speed is not restricted by IB-relaxation rates, efficient modulation is achieved even at high bit rates and for strong signal light intensities.
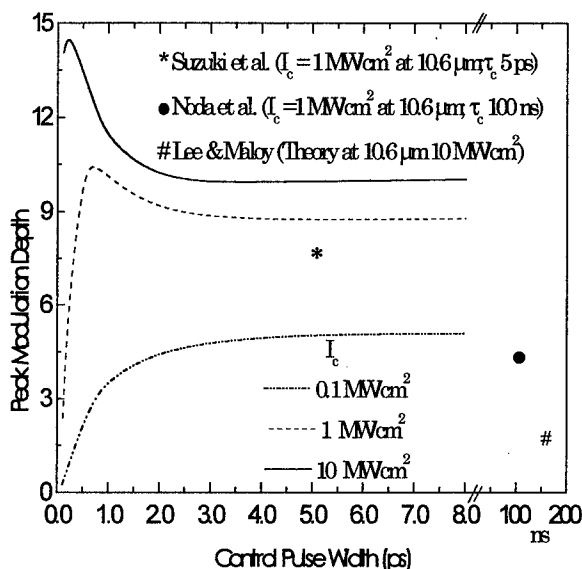
Fig.4   *Variation of Maximum Modulation Depth for a 6 ps signal light with control pulse width*

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Light-Exciton Coupling Effects in Semiconductor Microcavities and Heterostructures

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The optical properties of Wannier excitons in direct bandgap semiconductors have been studied in great detail since the middle of this century. The linear absorption spectra of low temperature high quality materials are dominated by exciton resonances. The energetically lowest of these electron-hole pair states has a binding energy of a few meV, i.e. much less than the semiconductor bandgap which is of the order of eV. Hence, these pair states are relatively weakly bound and the Fermionic nature of the constituent particles - electron and hole – govern the excitonic properties at finite levels of excitation.

Due to its finite thickness a semiconductor quantum well constitutes a system without translational invarance. Hence, the analysis of its optical properties requires a careful study of the spatially inhomogeneous environment of the quantum confined electron-hole pairs, leading to a loss of momentum conservation in the optical interaction process. Hence, the microscopic analysis of excitonic properties requires a selfconsistent solution of the light-matter interaction problem.

In this talk we discuss light-exciton coupling effects for semiconductor quantum wells inside and outside a microcavity geometry. A semiclassical theory for the absorption, transmission and reflection properties is presented and applied to analyze experiments in high quality systems [1].

A recently developed, fully quantum mechanical theory for the emission properties of quantum-well excitons is discussed. The resulting semiconductor luminescence equations are solved to study i) the build-up of excitonic luminescence from a nonequilibrium electron-hole plasma and ii) the excitation dependent luminescence of a semiconductor microcavity.

The results show that the appearance of excitonic signatures in the emission cannot simply be taken as an indication of exciton formation. Fermionic effects like excitation induced dephasing and the Pauli exclusion principle lead to characteristic nonlinearities in the emission spectra, which cause interesting nonlinear changes in the normal mode luminescence of microcavity systems [2].

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Dispersion-managed solitons at normal average dispersion

by

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It has recently been shown that in strongly dispersion-managed fibers, consisting of a periodic dispersion map with alternating spans of anomalous and normal dispersion, solitons can exist not only with anomalous average dispersion, but also with zero and normal average dispersion.[1] Here we find based on a reduced model that we have described elsewhere,[2] that if the dispersion difference ΔD between the anomalous and normal dispersion spans is higher than some threshold the dependence of the soliton energy S on the average dispersion $\overline{D}$ is described by a C-shaped curve. Two different solitons of higher and lower energy and the same pulse duration can exist with normal average dispersion below a limiting value. We used a reduced model because it allowed us to rapidly explore a large parameter space, but we checked our results by full numerical simulations in key instances and found good agreement.

Our starting point is the nonlinear Schrödinger equation in the lossless medium, modified to include a spatially varying dispersion $D(z)$,

$$i\frac{\partial q}{\partial z} + \frac{1}{2}D(z)\frac{\partial^2 q}{\partial t^2} + |q|^2 q = 0, \tag{1}$$

where we have used a standard normalization of the nonlinear Schrödinger equation. We choose a time scale T_0 which is of the order of a pulse duration and a (negative) dispersion scale β_2 which is of the order of typical average dispersion in our system. Then we set z as distance normalized to the length scale $L_D = -T_0^2/\beta_2$, t as time normalized to T_0, D as dispersion normalized to β_2, and q as the normalized field amplitude of a pulse. First, we analyze this system based on a reduced model[2] obtained with the help of a variational approach.[3] We recall that using the *ansatz* $q = A\exp\left[\left(-1/\tau^2 + i\alpha\right)t^2 + i\sigma\right]$, where A, τ, α and σ indicate the pulse amplitude, duration, chirp, and phase respectively, one can derive the following eigenvalue equations which determine the parameters of the dispersion-managed soliton[2]

$$\frac{f_1}{\sqrt{C_1}}\ln\left(\frac{C_1\tau_0 + f_1 + \sqrt{C_1}\alpha_0\tau_0^2}{\sqrt{f_1^2 + C_1}}\right) = C_1 D_1 L_1 + \alpha_0\tau_0^2,$$

$$\frac{f_2}{\sqrt{C_2}}\ln\left(\frac{C_2\tau_0 + f_2 - \sqrt{C_2}\alpha_0\tau_0^2}{\sqrt{f_2^2 + C_2}}\right) = C_2 D_2 L_2 - \alpha_0\tau_0^2, \tag{2}$$

where $f_{1,2} = f(D_{1,2})$ and $C_{1,2} = C(D_{1,2})$, $C = \alpha_0^2\tau_0^2 + 1/\tau_0^2 - 2f/\tau_0$, $f = S/2D$, $S = A^2\tau\sqrt{2}$.

The pulse durations at the midpoints of the spans with dispersion D_1 and D_2 are then defined respectively as $\tau_{1,2} = 1/C_{1,2}\left(-f_{1,2} + \sqrt{f_{1,2}^2 + C_{1,2}}\right)$. Using the last equation, we vary the average dispersion $\overline{D} = (D_1 L_1 + D_2 L_2)/(L_1 + L_2)$ with a fixed dispersion difference $\Delta D = D_1 - D_2$, and we numerically find the normalized pulse energy S. Figure 1 shows the variation of $S(\overline{D})$ for different values of ΔD in the case when $L_1 = L_2 = 0.0777$. This length corresponds to 100 km in the case when the dispersion unit $\beta_2 = -0.1$ ps^2/km and the time unit T_0 is chosen such that the pulse duration $T_{\text{FWHM}} = 1.763 \times T_0 = 20$ ps. In this case $L_{\text{D}} = 1287$ km and for $A_{\text{eff}} = 47$ μm^2 and $n_2 = 2.6 \times 10^{-16}$cm^2/W the unnormalized pulse energy is $0.007 \times S$ pj. One can see that at small ΔD the curve $S(\overline{D})$ is almost a straight line, and there is no solution with normal average dispersion. However, when ΔD exceeds a threshold value of about 190 the curve makes a bend through the normal dispersion regime. Beyond the threshold dispersion difference, a non-trivial solution exists for the dispersion-managed soliton with exactly zero average dispersion.[1] One can see in Fig. 1a that if the normal average dispersion does not lie below the turning point, then there exist two solitons with energies A and B. At the turning point the lower (B) and higher (A) energy solitons merge. We checked these results using complete numerical solutions of Eq. (1). Figure 1b shows the actual FWHM pulse duration at the point of maximum compression in the anomalous dispersion span as a function of the normalized pulse energy S along the curve corresponding to $\Delta D = 220$ in Fig. 1a. The pulse duration differs by about 9% from what was predicted by the theory, but it remains almost constant at about 1.97. We conclude that for every value of average normal dispersion $\overline{D}$ above the turning point there are in fact two solitons with nearly equal pulse durations and different pulse energies. The pulse shapes of the higher and lower energy solitons at the point of maximum compression remain nearly the same, with a flat top and weakly oscillating wings that are apparent only on a logarithmic scale.

A careful study of the evolution during one period of the dispersion map yields an important clue as to why strongly dispersion-managed solitons can exist with average normal dispersion. We find that the soliton undergoes more compression in the anomalous dispersion span than in the normal dispersion span. Consequently, the length of the anomalous dispersion span measured relative to the dispersion length can be higher than that of the normal dispersion span, so that the rescaled average dispersion would be negative rather than positive giving the dispersion and nonlinearity a chance to balance.

Finally, we consider the stability of the solitons by injecting an initially hyperbolic secant pulse and allowing it to propagate over a long distance $z = 1000$ that for the system parameters indicated above corresponds to over 1.2 million km. We show that lower energy, dispersion-managed solitons are stable even with very small energy in the normal average dispersion near the zero dispersion point when we varied the energy in the range indicated by diamonds in Fig. 1b along the curve corresponding to $\Delta D = 220$ in Fig. 1a. This point is significant because Carter, et al.[4] have recently shown that the main source of errors in a dispersion-managed soliton system is the growth of spontaneous emission noise. The stability of the lower energy soliton solution may indicate that the noise growth is nonlinearly damped in the normal dispersion regime by being incorporated into the lower energy soliton. However, the question of the soliton stability in the whole parameter space in the normal dispersion regime still remains open.

In conclusion, we showed that if the strength of the dispersion management is above some threshold then two different dispersion-managed solitons of higher and lower energy and the same pulse duration can exist with normal average dispersion below a limiting value. If the normal average dispersion exceeds this limit then no dispersion-managed soliton can exist. Both higher and lower energy dispersion-managed solitons are dynamically stable, periodically stationary pulses in the range of parameter space that we have considered.

This work was supported by DOE, NSF, and AFOSR.

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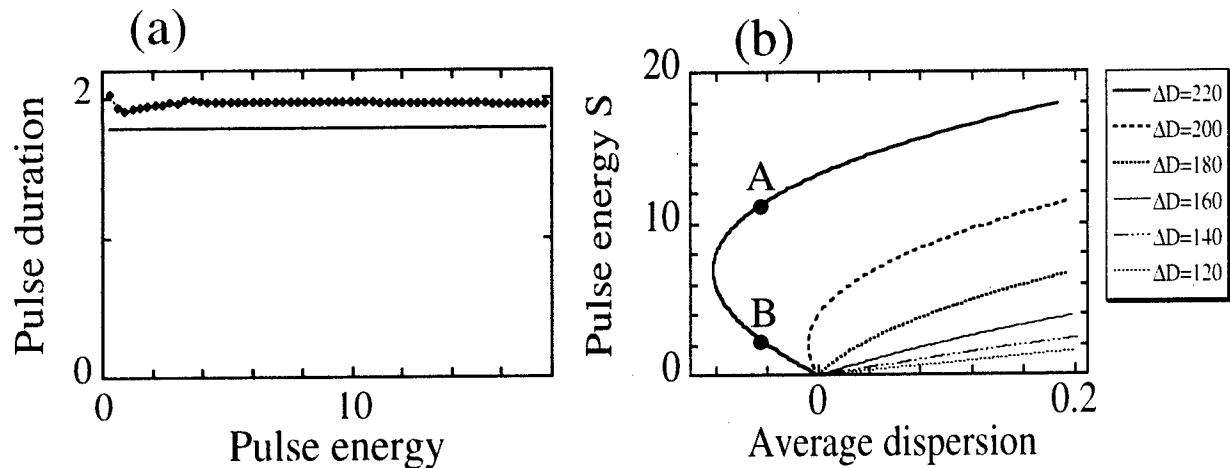
Figure 1:
(a) Dependence of the pulse energy on the average dispersion for different dispersion differences ΔD predicted by reduced model. (b) Comparison of the FWHM pulse durations obtained from the reduced model (solid line) and full simulations (dotted line) corresponding to the curve $\Delta D = 220$ shown in Figure (a).

Polarization-Locked Vector Solitons in a Fiber Laser

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Solitons are pulses that preserve their integrity due to the balance between nonlinearity and dispersion [1, 2]. Solitons in a lossless optical fiber have been extensively studied. However, polarization properties are not completely understood. Experimental observations show that solitons transform their polarization as a unit, even in the presence of weak random birefringence [3]. In the case of regular weak birefringence, theoretical studies of the full vector equations have shown that there are vectorial two-soliton [4] and one-soliton [5] solutions that preserve the state of polarization. The former are unstable but the latter can be stable pulses with a fixed state of polarization. In an isotropic fiber, only periodic, elliptically polarized solitons exist [6]. Solitons with a fixed state of polarization in birefringent fibers only exist above an intensity threshold [2, 5]. Here, we show that solitons with a fixed state of polarization may be observed in a laser cavity filled with birefringent fiber. These solitons exist due to a balance between nonlinearity and dispersion as well as between loss and gain.

For the first time, we experimentally observe that, for certain values of average intracavity birefringence, the output polarization from a modelocked fiber laser spontaneously locks into a fixed state. The fiber laser is operating in the soliton regime. The locking only happens when the laser is modelocked. The experimental observations are well reproduced by numerical simulations. These results demonstrate that a vector soliton, which maintains its polarization state, is being formed in the cavity.
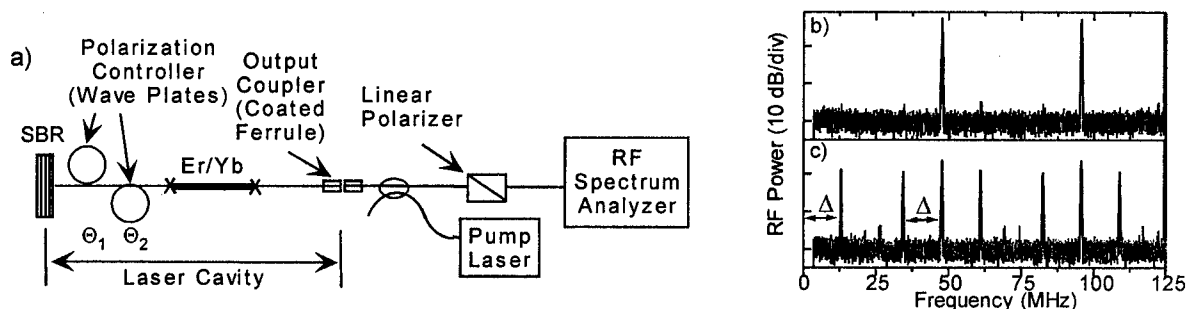
Fig. 1. a) Schematic of laser cavity and measurement, b) RF spectrum without linear polarizer, c) RF spectrum with linear polarizer.

The fiber laser is shown schematically in Fig. 1 [7]. The cavity consists of three sections of fiber. The two on each end are standard single mode fiber (SMF). The center piece is erbium/ytterbium co-doped; this piece provides gain. The SMF has anomalous dispersion at 1550 nm and the codoped section has normal dispersion. The net cavity dispersion is anomalous. One end of the cavity is a dielectric output coupler, coated directly onto a fiber ferrule. It reflects 99% at 1550 nm and high transmission at 980 nm. The gain fiber is pumped through this output coupler by a 980 nm pump diode. A wavelength division multiplexer external to the cavity separates the output from the incoming pump light. The laser is modelocked by a semiconductor saturable absorber (SBR), which consists of a pair of quantum wells grown on top of the AlAs/GaAs Bragg reflector. One of the two sections of SMF is wrapped around two paddles of a standard fiber polarization controller, each providing about 1.2 radians of retardance.

The evolution of an optical pulse in the laser cavity taking into account polarization effects is described by a pair of coupled modified non-linear Schrödinger equations

$$i\phi_z + \gamma\phi + \frac{D}{2}\phi_{tt} + |\phi|^2\phi + A|\psi|^2\phi + B\psi^2\phi^* = i\left[g(Q_1) - \delta_s\left(|\phi|^2\right)\right]\phi + i\beta\phi_{tt}$$

$$i\psi_z - \gamma\psi + \frac{D}{2}\psi_{tt} + |\psi|^2\psi + A|\phi|^2\psi + B\phi^2\psi^* = i\left[g(Q_2) - \delta_s\left(|\psi|^2\right)\right]\psi + i\beta\psi_{tt}$$

(1)

where z is normalized to the cavity length, t is normalized retarded time, $D = \beta_2/|\beta_2|$ and β_2 is the intracavity group velocity dispersion, ϕ and ψ are the normalized envelopes of the two components of the optical field, γ is the half-difference between the propagation constants of ϕ and ψ, A is the cross-phase modulation coefficient, B is the energy-exchange coefficient (four-wave-mixing, FWM), β represents spectral filtering, $g(Q_i)$ is gain (which depends on the pulse energy, Q_i) and δ_s is the time dependent loss, including background losses and the saturable absorber.

To observe the evolution of the polarization, the output of the laser is detected with a fast photodiode and the RF spectrum monitored (see Fig. 1). The directly detected spectrum consists of a comb of harmonics separated by the cavity repetition rate, F_C. When a linear polarizer is inserted before the photodiode, additional sidebands appear in the RF spectrum. These sidebands are due to evolution of the pulse polarization during one cavity round-trip. The spacing, Δ, between the sidebands and the cavity harmonics is a measure of how fast the polarization is evolving.

In Fig. 2a & b, Δ is plotted as a function of the angle, Θ_1, of one of the two paddles of the polarization controller. The angle of the other paddle, Θ_2, is held constant. Regions with distinctly different behaviors are observed. One is that Δ is non-zero and varies as Θ_1 is varied. Another is Δ fixed at zero. $\Delta = 0$ means that polarization is not evolving, we call this polarization locking. The transitions between these two are very abrupt and display hysteresis. There are also small regions where Δ is locked at values of F_C/n, $n = 2,3$. When the SBR is replaced with an ordinary high reflector, so that the laser runs CW, only the first behavior is observed. The CW $\Delta(\Theta_1,\Theta_2)$ can be described by a simple model, which ignores fiber
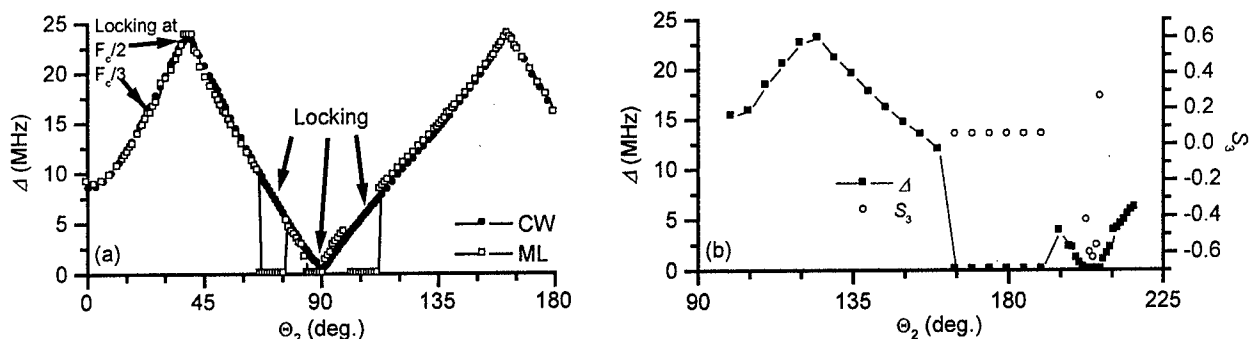
Fig. 2. Experimentally measured polarization evolution for two cavities.

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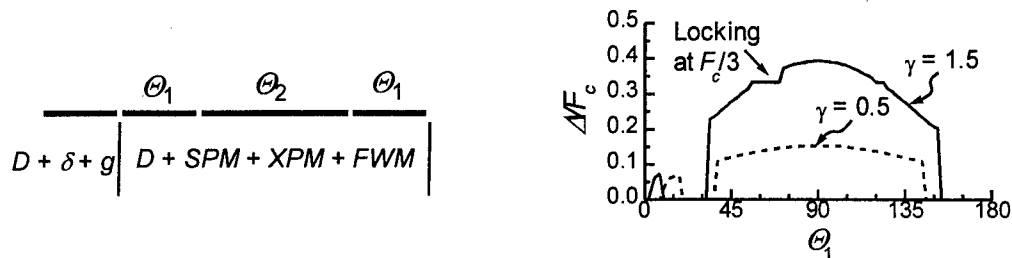
Fig. 3. Theoretical results: a) schematic of model used for numerical calculations, each line segment represents a section of fiber, left most has only gain, loss and dispersion, the others include birefringence (labeled by the angles of the axes) and nonlinearity, b) calculated Δ for various values of birefringence.

nonlinearity, that describes the cavity as concatenated waveplates [8]. The simple model reveals that the residual birefringence in the portions of cavity fiber that are not wrapped on the polarization controller must also be considered. The difference between Fig. 2a and Fig. 2b is that the residual birefringence was minimized in the latter.

The output polarization was measured in addition to Δ for the configuration used in Fig. 2b. We plot the third Stokes parameter, S_3 in Fig. 2b. $S_3 = 0$ for linearly, +1 for right circularly and -1 for left circularly polarized light. Intermediate values indicate elliptically polarized light. These results show that the locked output is elliptically polarized for regions where $\Delta \sim 0$ "naturally," i.e., it would be close to zero for CW operation. Linear polarization is observed for the regions where $\Delta \neq 0$ "naturally."

Equations (1) were solved numerically for a slightly simplified model of the laser cavity (see Fig. 3a). All of the behaviors described above are reproduced by the numerical results (Fig. 3b). This includes the overall $\Delta(\Theta_1, \Theta_2)$, the multiple polarization locking regions, locking at $\Delta = F_C/n$, hysteresis, and the output polarization in the locked states. As it is difficult to ascertain the exact birefringence and nonlinearity in the experiment, we focus on the presence of all of these features. In particular, switching between linear and elliptically polarized output in the locked regions is in remarkable agreement with experiment.

The theoretical results provide insight into how the polarization locking occurs. In fact, polarization locking can be described as phase locking of the two polarization components. The two key ingredients are that the phase of a soliton depends on its amplitude and that the two polarization components can exchange energy via FWM, which is phase sensitive, both in the magnitude and direction of the energy flow. Because of the combined action of these two phenomena, a negative-feedback situation can develop that fixes the relative phases of the two components.

In summary we have experimentally observed solitons in a fiber laser that preserve their polarization state, i.e., they are vector solitons. We believe that this is the first experimental observation of a vector soliton. Numerical calculations provide excellent agreement with the observations.

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Self phase modulation limitations in long nonrepeatered standard fibre transmission: influence from dispersion compensation scheme and modulation format

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Introduction

The use of dispersion compensating elements, such as dispersion compensating fibres (DCF) or fibre Bragg gratings (FBG), has allowed upgrade of bitrates to 10Gbit/s and beyond at 1.55μm on standard single mode fibres (SMF). Long nonrepeatered spans may be a cost effective solution in many cases. Long spans can only be obtained with high input powers in the transmission fibre, causing the interaction between self phase modulation (SPM) and dispersion to be a major source of distortion, [1]-[5]. Obtaining high power budgets by combatting SPM induced distortion by clever system design is therefore of great importance.

Among the important design considerations is the choice of dispersion compensation scheme and modulation format. The optimum modulation format may be return-to-zero (RZ) or nonreturn-to-zero (NRZ), binary or duobinary. Dispersion compensating schemes includes, precompensation, postcompensation, a combination thereof, as well as the degree of compensation: overcompensation, 100% compensation, and undercompensation. Most numerical studies on this topic [3]-[5] has limited the analysis to either fixing the bitrate [3]-[5], modulation format [3]-[4], or the dispersion compensation scheme [4]-[5]. In this paper we demonstrates numerically how the systems ability to combat SPM depends on all these three parameters. Hence optimum design rules are found, allowing to maximise transmission length, and system margin.

System configuration

A schematic of the system is shown in Figure 1. A chirp-free externally modulated transmitter was used with three different modulation formats: duobinary NRZ, binary NRZ, and binary RZ, while the bitrate was varied from 10 to 40 Gbit/s. The receiver was optically preamplified and the receiver sensitivity was calculated using a gaussian noise model.. The SMF had a loss of 0.2dB/km, a dispersion of D=17ps/nmkm, and a nonlinearity of $1.2W^{-1}km^{-1}$ ($n_2=2.36 \cdot 10^{-20}m^2/W$, $A_{eff}=85\mu m^2$). The DCF's were considered to be lossless and linear in order to isolate the effect of SPM in the transmission fibre. Linearity can be obtained by using low power levels or applying a FBG with the same dispersion. The effect of Brillouin scattering, third order dispersion, and polarisation mode dispersion have also been omitted in our study.

Figure 1: Schematic of the simulated system
TX: transmitter, DCF1: precompensating element,
SMF: 150km standard singlemode fibre,
DCF2: postcompensating element, RX: receiver

Numerical results and discussion

Extensive simulations were carried out by varying bitrate, modulation format, transmitted power level P_{in} (increased in steps of 1dB) and fibre lengths. The nonlinear transmission fibre was kept constant at 150km while the dispersion in DCF1 and DCF2 were adjusted. All fibre lengths presented are normalised to the corresponding SMF fibre length (17ps/nmkm). The limit on tolerable distortion caused by dispersion and SPM were set to 0.5dB compared to back-to-back.

Figure 2 and 3 show how the maximum power level depends on the amount of dispersion before and after the SMF input for 10 and 40Gbit/s. The optimum DCF1 dispersion were between 0 and -40km, while the optimum SMF+DCF2 dispersion were between 0 and 40km in all the simulated cases. Note that the highest power levels can not be obtained either with 100% postcompensation or 100% precompensation.

The importance of DCF1 is small at **10Gbit/s** compared to 40Gbit/s. The dispersion length of the signal is longer than the loss length (22km) at 10Gbit/s. SPM adds chirp to the signal along the first part of the SMF, resulting in a chirped signal with moderate distortion after ≈30km transmission. This chirp is strong at high power levels and leads to distortion if the SMF+DCF2 dispersion is zero.
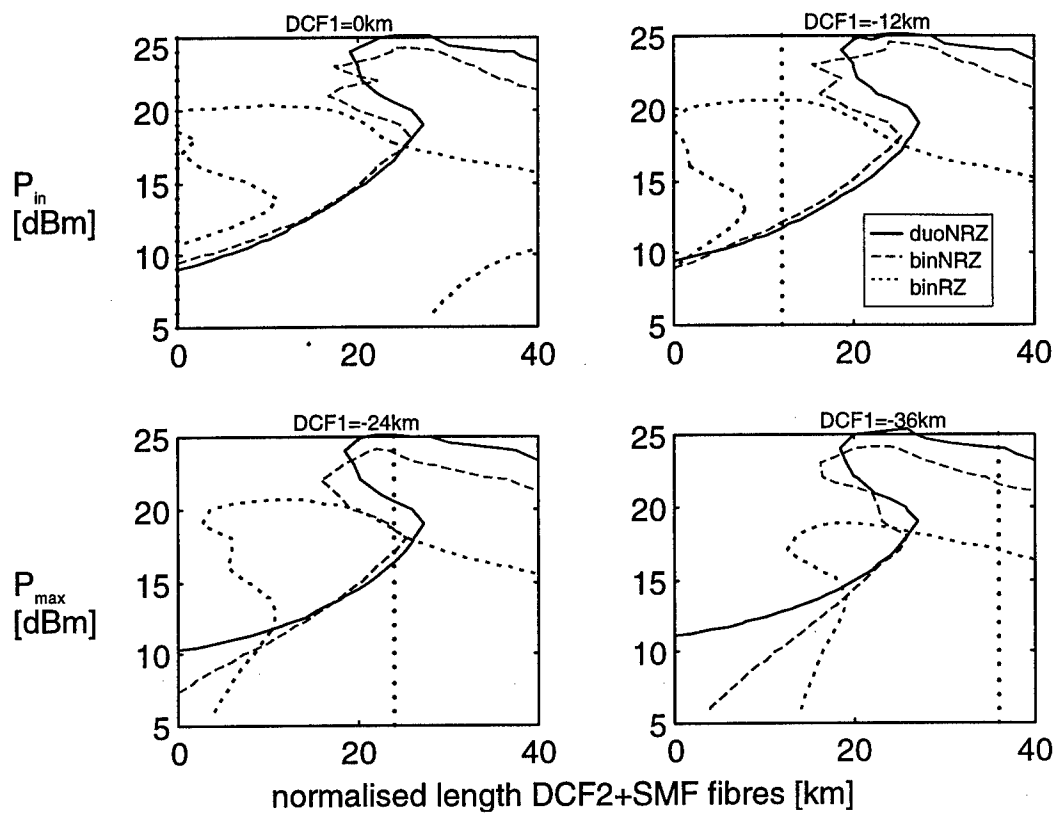
Figure 2: Input power levels P_{in} causing a 0.5dB SPM/dispersion penalty at 10Gbit/s.
The vertical dotted line indicates 100% compensation.
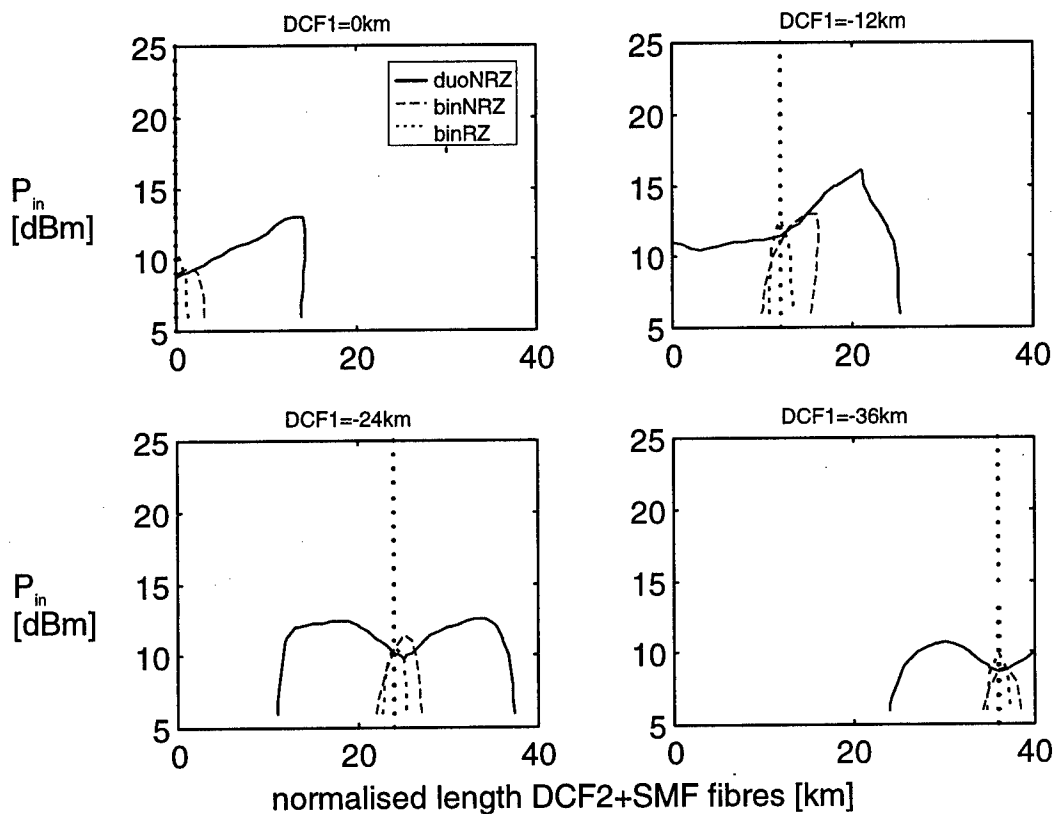
Figure 3: Input power levels P_{in} causing a 0.5dB SPM/dispersion penalty at 40Gbit/s.

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The signal dispersion length is shorter than the loss length at **40Gbit**, and we can not achieve this moderately chirped signal and the tolerable power levels are much lower. Precompensating 10-20km fibre gives the best results independent of modulation format, while the optimum amount of postcompensation depends on the modulation format. Binary RZ requires zero total net dispersion, binary NRZ performs best with a small undercompensation, while duobinary NRZ performs best with larger undercompensation. The design tolerance on fibre lengths are considerable larger for duobinary NRZ.

Note that the optimum precompensation in our non-soliton systems is close to the precompensation required in soliton SMF transmission [6]. This small amount of precompensation, shorter than the loss length, reduces the average distortion of the pulse in the high power portion of the fibre and thereby reduces the SPM induced distortion.

Binary RZ tolerates highest P_{in} at both 10 and 40Gbit/s if 100% postcompensation scheme is employed, while duobinary NRZ allows highest power levels if the compensation is optimized. The differences in input power levels in the optimised case corresponds nearly to the differences in receiver sensitivity for the modulation formats. This is demonstrated in figure 4 which displays how the maximum power budget varies with bitrate and modulation format.
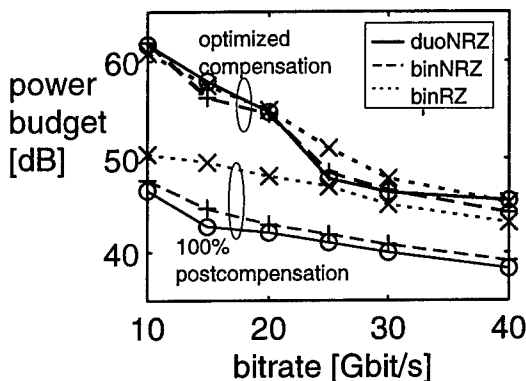
Figure 4: Maximum power budget versus bitrate for different modulation formats and compensation schemes.

RZ modulation gives a 4-5dB increase in power budget in a system emplying 100% postcompensation, while the power budget is nearly independent of modulation format if the dispersion is optimized.

Conclusions

A large increase in power budget can be obtained, particularly at 10Gbit/s, if optimized pre and post-compensation is employed rather than 100% postcompensation. NRZ and RZ have comparable power budget in the case of optimized compensation, while RZ is preferrable with 100% postcompensation. Our results have clearly demonstrated the impact of bitrate, modulation format, and dispersion compensation in the design of SPM/dispersion tolerant systems.

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STRONG TIME JITTER REDUCTION USING SOLITONS IN HYPERBOLIC DISPERSION MANAGED FIBER LINKS

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INTRODUCTION. In the environment of the high capacity optical communications on transoceanic distances, several theoretical works, confirmed by numerical simulations, have shown that the method called *dispersion management* (DM) [1] permits to achieve the highest performance in transmission of digital signals both in the nonreturn to zero (NRZ) and return to zero (RZ) format [2]. Dispersion management means that the chromatic dispersion or Group Velocity Dispersion (GVD) is periodically varied along z in order to have an average GVD very low. The nonlinear behaviour of a system operating in this regime is really different from that one in a system with constant GVD. In particular, the propagation of soliton pulses is strongly affected when dispersion management is used even if it is still possible to have stable pulses that recover their shape after a period which depends on the dispersion map. The required input energy of these stable solutions is greater respect to that one required by an *equivalent* soliton with the same average pulsewidth in a uniform fibre with the same average dispersion. As a result, the effect of spontaneous emission noise on system performance are reduced: the Gordon Haus effect can be limited without in-line control devices, the nonlinear interaction due to Four Wave Mixing (FWM) between signal and noise is reduced by the high value of local dispersion and signal to noise ratio can be improved both by the increased value of pulse energy and a stronger receiver filtering.

These effects are even stronger if a "sliding" dispersion management is used in which the local average GVD decreases with a 1/z law [3]. This method has two advantages since it tolerates an higher pulse energy, making a comparison with a classical dispersion management, the local average GVD is higher at the input link and lower at the link output and these characteristics permit a reduction of the time jitter and to operate with an higher pulse energy.

In this paper we suggest to implement this scheme by simply using two kinds of fibers and changing the length of the compensating fibers that are supposed located at the optical amplifier positions.

We analytically and numerically demonstrate that with this dispersion map the jitter can be deeply reduced with respect to a classical sawtooth DM method.

THEORY. In an Hyperbolic DM system, let L_{comp} be the period of dispersion compensation. The n-th period is composed first by a fiber span having normal dispersion β_{2-} (ps^2/km) and length $L_{-}^{(n)}$, followed by a span with anomalous dispersion β_{2+} and length $L_{+}^{(n)}$ (we observed that using first the anomalous dispersion is not a better solution). The span lengths must be

$$L_{-}^{(n)} = L_{-} - 1/n \, , \; L_{+}^{(n)} = L_{+} + 1/n \tag{1}$$

with the conditions: $L_{comp} = L_{+} + L_{-}$, $\beta_{2+}L_{+} + \beta_{2-}L_{-} = 0$, and l generally much smaller than L_{+} and L_{-} ; in this way the average local dispersion $\bar{\beta}_2(n)$, calculated over the n-th compensation period, will decrease with distance as $\bar{\beta}_2(n) = \bar{\beta}_2(1)/n$, with

$$\bar{\beta}_2(1) = \frac{\beta_{2+}(L_{+} + l) + \beta_{2-}(L_{-} - l)}{L_{comp}} \tag{2}$$

being the average local dispersion at the first compensation period.
The overall average dispersion, calculated over all the link length will be given by

$$<\bar{\beta}_2(n)> = \frac{l(\beta_{2+} - \beta_{2-})}{n_{comp}L_{comp}} \sum_{n=1}^{n_{comp}} \frac{1}{n} \tag{3}$$

with n_{comp} being the total number of compensation periods.
For solitons pulses having arbitrary peak power P_{0in} at the output of every amplifier, the Gordon Haus time jitter in a uniform dispersion link can be expressed as

$$\sigma_{GH} = \left[\frac{N_{sp} h\nu (G-1) |\beta_2|^2 z^3}{9 T_0^3 L_{amp} P_{0in}} \right]^{1/2} \tag{4},$$

where z is the propagation distance.

The time jitter in DM links can be roughly evaluated assuming that its increase in the n-th compensation period is given by $\Delta\sigma(n) = (\partial\sigma/\partial z)\big|_{z=nL_{comp}} L_{comp}$, thus

$$\Delta\sigma(n) = \left[\frac{N_{sp} h\nu (G-1) |\overline{\beta}_2(n)|^2 n L_{comp}^3}{4 T_0^3 L_{amp} P_{0in}} \right]^{1/2} \tag{5}.$$

In eq. (5) we have substituted β_2 with the average local dispersion. We recall that in a conventional sawtooth [1-2] DM link it results $\overline{\beta}_2(n) = \overline{\beta}_2 = \cos t$, while in hyperbolic DM we have the average dispersions given in the above section.

Assuming the time jitter as $\sigma \approx \sum_{n=1}^{ncomp} \Delta\sigma(n)$, with n_{comp} the total number of compensation periods, we have

$$\sigma_{DM} \approx \left[\frac{N_{sp} h\nu (G-1) L_{comp}^3}{4 T_0^3 L_{amp} P_{0in}} \right]^{1/2} f(n) \tag{6},$$

with $f(n) = |\overline{\beta}_2| \sum_{n=1}^{ncomp} \sqrt{n}$ for conventional DM, and $f(n) = |\overline{\beta}_2(1)| \sum_{n=1}^{ncomp} 1/\sqrt{n}$ for hyperbolic DM. Eq. (6) in the case of conventional DM approximates to eq. (4).

Eq. (6) shows that if we compare two links, one with conventional DM and another with hyperbolic DM having the same global average GVD, the latter can have a smaller value of f(n) with respect to the first and this explain the reduction of the time jitter. Furthermore preliminary simulations have shown that the hyperbolic DM can permit the use of higher power P_{0in} and it is mainly due to the fact that the link input shows a stronger strength of the DM.

NUMERICAL RESULTS. In order to investigate numerically the performances of hyperbolic DM systems, we simulated the generalized nonlinear Schroedinger equation using the split step Fourier method including the behaviour of the optical erbium amplifiers with the generation of the ASE noise [2]. The model accounts for higher order dispersion and Raman effect. The performance is evaluated in terms of Q factor and time jitter and details on the simulations can be found in [2]. We recall that to have an error probability lower than 10^{-9} an IM-DD system must satisfy the conditions that the Q factor must be higher than 6 and the time jitter must be lower than 6% of the bit time.

The parameter values that we chose for our link are:

Pulse repetition rate $R=10$ Gb/s , link length $L=9000$ km, amplifier spacing $L_{amp}=40$ km , pulsewidth $T_{FWHM}=20$ ps , loss coefficient $\alpha=0.21$ dB/km , higher order dispersion parameter $\beta_3=0.066$ ps^3/km , effective core area $A_{eff}=48$ μm^2 , pulse wavelength $\lambda=1.55$ μm , nonlinear index coefficient $n_2 =3.2\times10^{-16}$ cm^2/W, amplifier inversion population factor $N_{sp}=2$, amplifier gain $G=6.90$. The two fiber spans used in a compensation period have dispersion parameters $\beta_{2+} =-20$ ps^2/km for the anomalous dispersion (a common step index fiber), and $\beta_{2-} =1.28$ ps^2/km for the normal dispersion. The other parameters are assumed equal and are given above. In fig. 1 we report a typical behaviour of the GVD in a link with hyperbolic DM having $L_{comp}=200$ km.

We considered the following compensation period lengths: $L_{comp}=600$ km , which was the maximum period giving transmission over 9000 km, and $L_{comp}=360, 200$ and 120 km. For a smaller period the performances rapidly fall down. The compensation periods are chosen to be multiples of L_{amp} and submultiples of 9000 km.

In Fig.2 we show the Q factor in the above cases, and for an optimal choice of the length l in eqs.(1) and the pulse input peak power P_{0in} . According to eq.(3), it must be noted that except for $L_{comp}=600$ km, having overall average dispersion of -0.09 ps^2/km, all other cases correspond to an overall average dispersion, calculated over 9000 km, $<\overline{\beta}_2(n)> = -0.05$ ps^2/km. The best transmission is given for $L_{comp}=200$ km, $l=4.81$ km and a peak power $P_{0in}=8.30$ mW.

In Fig.3 the time jitter for the same cases is shown. The surprisingly low jitter values encountered have been justified in the above section and from eq. (6) in particular. Since in the best cases the time jitter and the Q factor show constant values over a large range of pulse power values, eq.(6) can be only used for a qualitative estimate of the jitter behaviour, and to compare different DM methods. A good prediction can be made in any case, if we substitute in eq. (6) the lowest pulse power that we expect to give transmission over a fixed distance.

Finally in Fig.4 we show the time jitter in the best cases with L_{comp}=200 km, l=4.81 km, P_{0in}=8.3 mW and L_{comp}=360 km, l=5.54 km, P_{0in}=5.2 mW, over the maximum distances that give a good transmission (about 22000 km). To have a comparison we report the case of a uniform DM system with L_{comp}=200 km, optimized input power P_{0in}=4.0 mW and global (and local) average dispersion $\overline{\beta}_2 = -0.05$ ps^2/km. We report also the theoretical Gordon-Haus time jitter for an equivalent uniform dispersion system having β_2=-0.05 ps^2/km and input power calculated according to the average soliton formulae.

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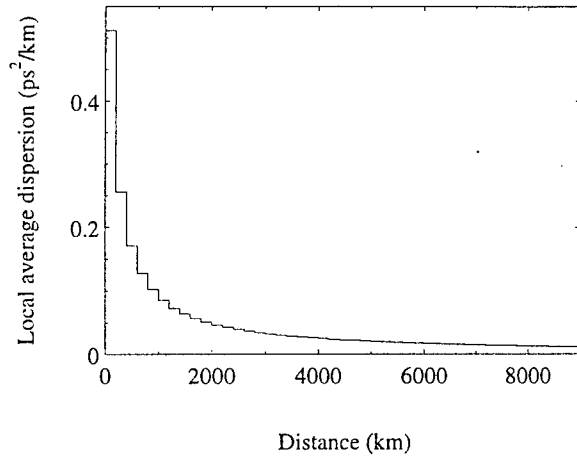
Fig.1: Local average dispersion $\left|\overline{\beta}_2(n)\right|$, (with $n=z/L_{comp}$), in an hyperbolic DM link having L_{comp}=200 km and overall average dispersion $< \overline{\beta}_2(n) >= -0.05$ ps^2/km over 9000 km.
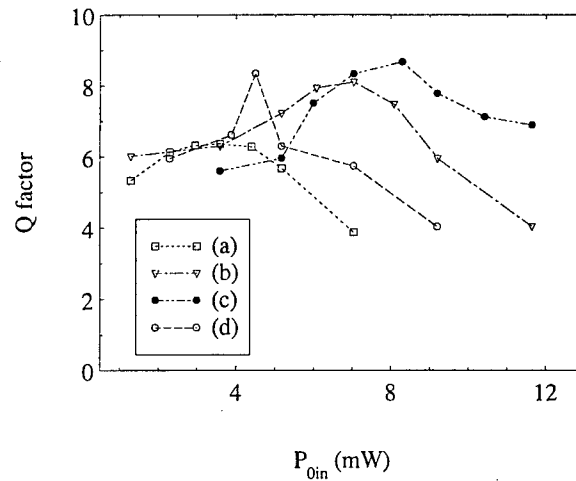
Fig.2: Q factor after a distance of 9000 km, versus pulse input peak power P_{0in}, in hyperbolic DM systems having: a) compensation period L_{comp}=600 km and l=11.72 km; b) L_{comp}=360 km and l=5.54 km; c) L_{comp}=200 km and l=4.81 km; d) L_{comp}=120 km and l=4.31 km.
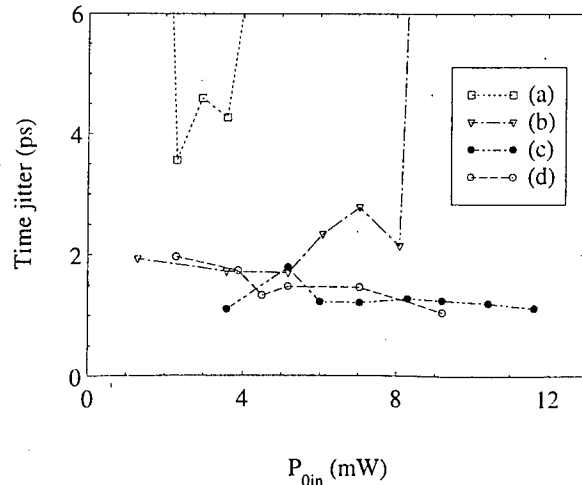
Fig.3: Time jitter after a distance of 9000 km, versus pulse input peak power P_{0in}, in the same cases of fig.2.
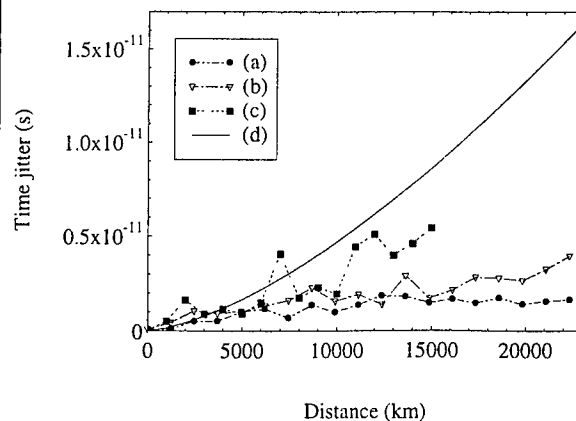
Fig.4: Time jitter versus propagation distance in the cases: a) hyperbolic DM system having L_{comp}=200 km, l=4.81 km,. b) Hyperbolic DM system with L_{comp}=360 km, l=5.54 km, c) uniform DM system equivalent to the case a), with L_{comp}=200 km and d) theoretical Gordon-Haus time jitter for a uniform dispersion system equivalent to the cases a), b) and c) with β_2=-0.05 ps^2/km.

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Efficient frequency conversion in optical fibers with tailored birefringence.

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Summary

Four wave mixing in optical fibers has long been recognised as important method of generating new optical frequencies. The process holds promise as a means of wavelength switching for optical communications, but the presence of a nonlinear term in the phase-matching condition normally prevents strong energy exchange between the waves (1). We present here a scheme for optimising the conversion efficiency. The four wave mixing process considered here is called polarisation modulation instability (PMI) where a strong pump wave on one axis of a birefringent fiber results in the growth of two equally detuned sidebands on the other axis. In the absence of any external seed the sidebands start to grow with a frequency shift f_0 where the wavevector mismatch is zero. This mismatch is a function of the power of the pump and the fibre's birefringence, dispersion, and nonlinearity. Our analysis of the evolution of the power in the sidebands utilises three coupled mode equations which describe the interaction of a monochromatic pump, with a pair of sidebands polarised along the orthogonal fibre axis. In the absence of Raman gain, it is possible to solve these equations so that by varying the birefringence along the fibre, the sidebands with a frequency shift of f_0 are phase matched for the entire length of the fibre. Figure 1 shows this calculated birefringence as a function of the normalised fibre length (1).

In the absence of a tailored birefringence, and with no Raman gain, the evolution of the sidebands is spatially periodic with a maximum of about 20% of the power in each sideband, whereas with the tailored birefringence, complete conversion is, in principle, possible with 50% of the original pump power in the Stokes sideband, and 50% in the antistokes sideband. The presence of Raman gain adds an additional mechanism for the transfer of power between the three waves. Although no analytic solutions exist for the evolution equations in this case, complete transfer of power from the pump to the sidebands is still possible for a fiber with a tailored birefringence, but the Raman gain results in stronger growth of the Stokes sideband, and the eventually decay of the anti-Stokes

sideband. This is shown in Figure 2 where the power evolution is compared for fibres with tailored birefringence without and with Raman gain.

The required variation of the birefringence can be achieved by winding the fibre on a tapered spool, and we have performed experiments to assess the efficiency with which the pump power can be converted into sideband power using the arrangement shown in Figure 3. As an intense pump (700W), pulses from a cavity-dumped mode-locked Krypton laser (λ=647nm) were used. In order to demonstrate the improved conversion of PMI sidebands in fibers with the correct tailored birefringence we prepared two fibers each 2.2m long. With parameters used in our experiment, the actual length of 2.2m corresponds to a normalised length of ξ=8 (see fig.2). The first was wrapped around a spool with a constant diameter of 3cm. The second was wrapped around a spool whose diameter (and therefore its birefringence) changed as a function of fiber length as required by the theoretical investigation (see Fig.1). With this set-up the frequency shift of the sidebands reletive to the pump was about ±5THz. To achieve a higher conversion efficiency a very small seed at the Stokes frequency was used in both cases. With a simple spool, only 8% of the pump power could be converted into the sidebands (with 3.5% in the antistokes), while we have obtained substantially increased conversion efficiency (35% with 8% in the antistokes) using the tapered spool. The results indicate that with further optimisation, greater conversion efficiencies should be possible.

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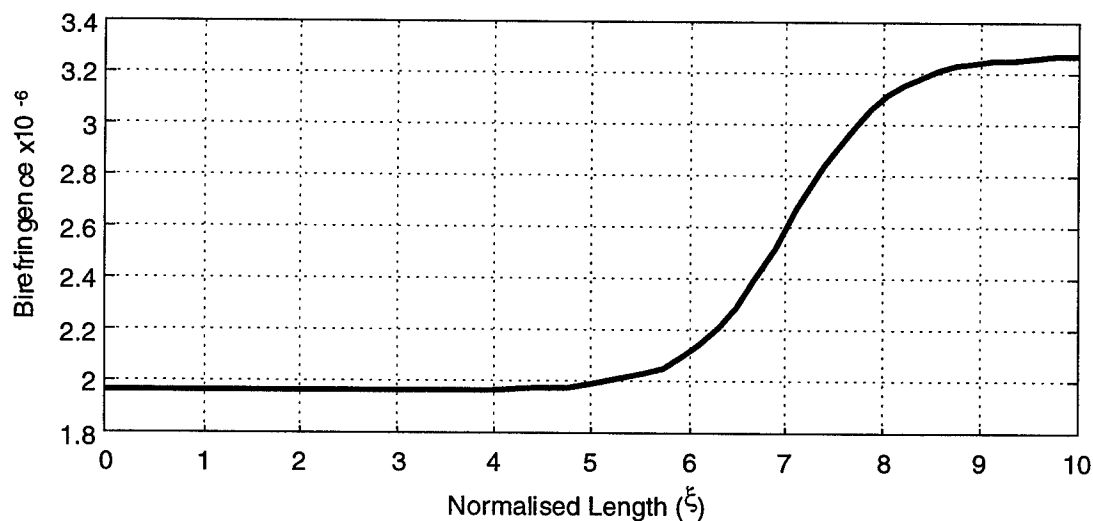
Figure 1: Calculated birefringence for optimal phasematching over the entire length of the fibre as a function of the normalised fibre length.
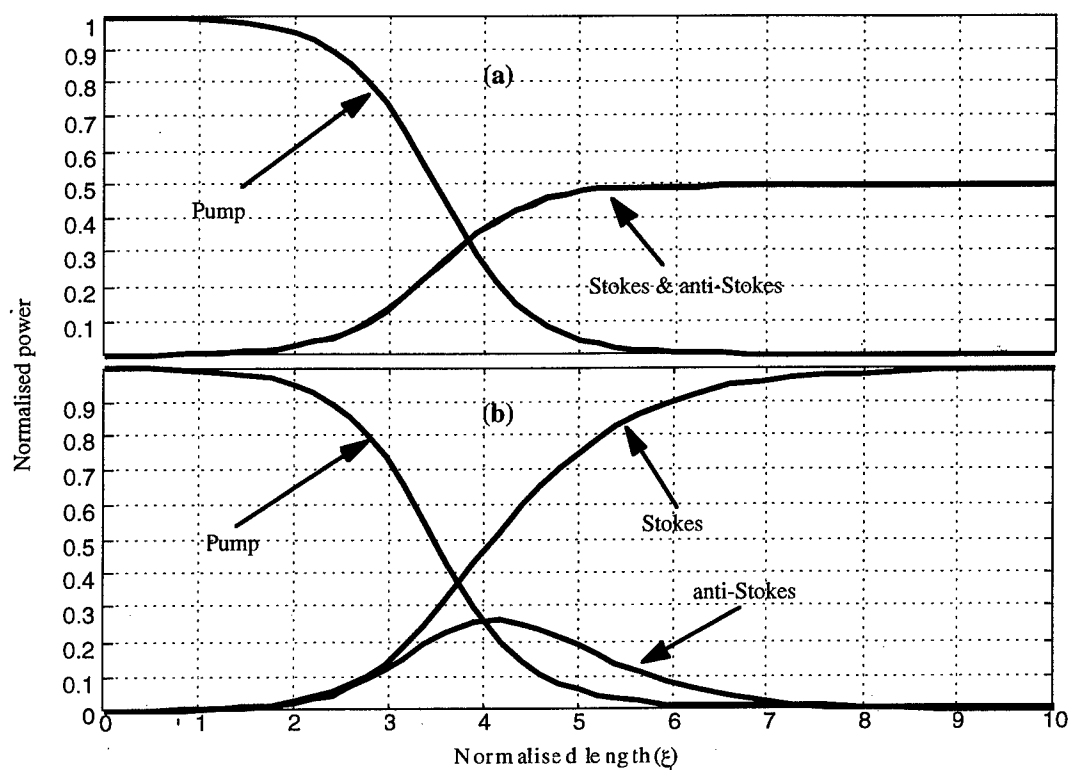
Figure 2: Calculated power conversion to the stokes and antistokes PMI sidebands considering a fibre with tailored birefringence: (a) without Raman gain, (b) with Raman gain.
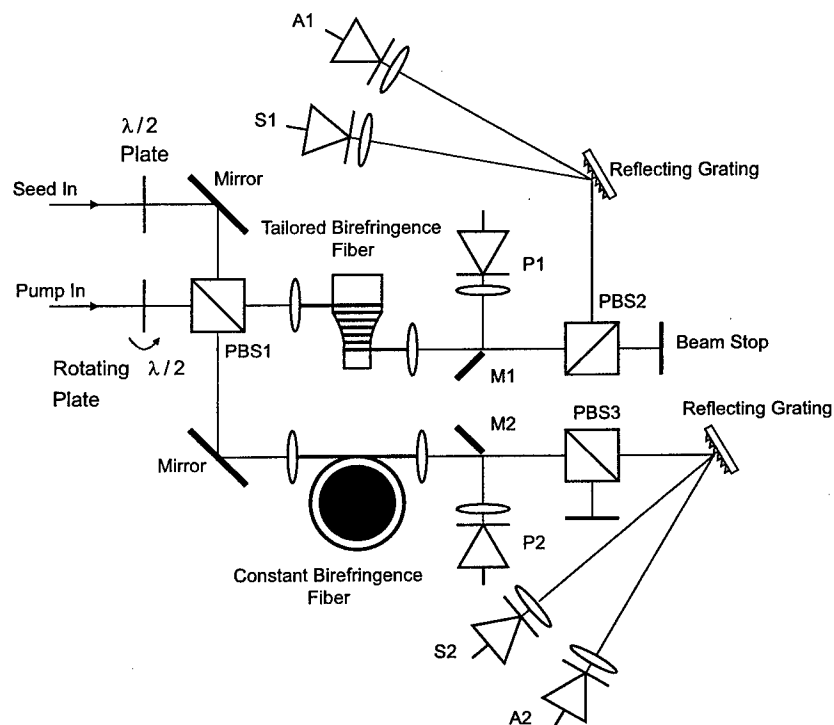
Figure 3 Experimental arrangement for observation of enhanced power conversion in four wave mixing experiments using a tailored birefringence fibre

An improved semiconductor optical amplifier for ultrafast all-optical signal processing

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Introduction

The use of semiconductor optical amplifiers (SOAs) as optical nonlinear elements has had a profound effect on the field of all-optical signal processing for telecommunications applications. The invention of the TOAD (terahertz all-optical asymmetric demultiplexer) [1], and the subsequent discovery that switching rates much faster than the natural recovery rate of the SOA are possible, has allowed all-optical demultiplexing at high switching rates (>10GHz) [2,3,4]. In this paper we use a SOA which has been designed to have a larger band-gap than usual, having a gain peak at ~1500 nm [5]. This improves the both the contrast ratio and the amplitude modulation associated with TOAD arrangement.

All-optical switching of pulse trains using a TOAD is achieved by placing the SOA offset from the centre of an optical fibre loop mirror, and injecting data into the loop via the 50:50 coupler, as in Figure 1. A switching window is created, which is used to demultiplex a high-speed pulse train using switching pulses of a lower repetition rate. However, amplitude modulation of the data pulses in the loop occurs, which is caused by the SOA gain dynamics. The switching pulses at wavelength λ_1 cause an amplitude and concomitant phase change at λ_2, the wavelength of data pulses in the loop. For interferometric switching, where we assume a 50:50 coupler at the loop input and a phase difference of π radians, the contrast ratio between reflected and transmitted ports of the loop is given by:

$$\text{Contrast Ratio} = \frac{\left(G_1 + G_2 + 2\sqrt{G_1 G_2}\right)}{\left(G_1 + G_2 - 2\sqrt{G_1 G_2}\right)} \quad (2)$$

where G_1 and G_2 are the gains of the amplifier for data pulses in the loop before and after switching. For a typical ratio G_1/G_2 of 3 dB for a π radians phase change, a contrast ratio of 15 dB is attainable, considerably worse than the typical 25-30 dB usually achieved with an all-fibre nonlinear loop mirror (where no amplitude modulation of the loop pulses occurs). The unswitched channels also experience a slowly recovering gain in the SOA and hence are amplitude modulated on output of the loop. The recent development of large band-gap SOAs with gain peaks around 1500nm [5] has allowed us to minimise these problems, since standard pulse sources can now access carrier transitions closer to the band edge where large phase changes correspond to only small amplitude (gain) changes[6].

Experimental

The experimental arrangement is shown in Figure 1. A switching window of ~ 40 ps was used in a loop biased for reflection.. The ~5 ps pulses injected into the loop were tuneable from 1540-1590nm, and were switched by ~5 ps, 1539nm pulses.The SOA was a 1mm long device whose active layer was a strained layer MQW ternary, and had a gain peak at ~1500nm for an operating current of ~ 130 mA [5]. Three complimentary
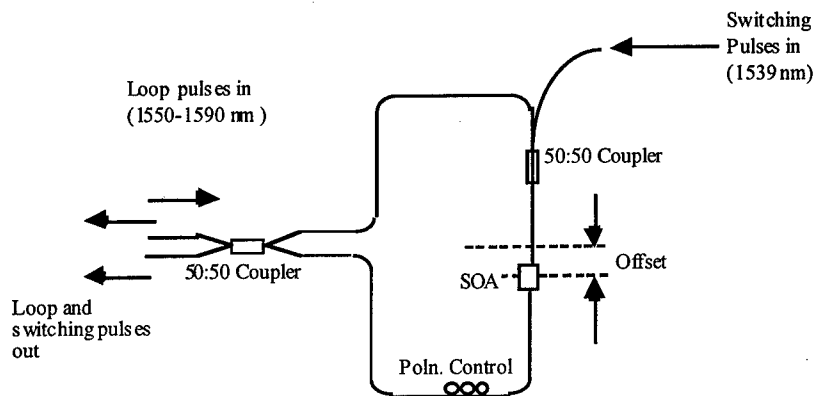
Figure 1: Schematic of TOAD switching arrangement.

measurements were undertaken and each was repeated for loop pulse wavelengths between 1550nm and 1590nm.. Firstly, to measure the contrast ratio between transmitted and reflected ports of the loop mirror, both sources were run at ~ 5GHz drive frequency. Switching energies of ~ 200fJ were typical for π radians phase change. The integrated output powers from the transmitted and reflected ports, from which the contrast ratio was calculated, were carefully measured using an optical spectrum analyser.

In a second set of experiments, the data pulse source was run at ~ 5GHz, and the switching pulse source at 1.25 GHz, so every forth data pulse was switched out of the loop. The slow recovery of the SOA meant that the unswitched pulses each experienced a different gain and were hence amplitude modulated.The ratio of the first unswitched pulse to the third (i.e. last) pulse in the data pulse sequence was measured as a function of wavelength. The third experiment directly measured the amplitude modulation of a pulse traversing the SOA before and after the switching pulse. The loop was set up for full switching (corresponding to ~ π radians phase difference), and was then broken and the amplitude of data pulse before and immediately after the switching pulse were measured by delaying the arrival time of the switching pulses using a variable optical delay stage.

Results

Figure 2 shows the measured variation of the loop mirror contrast ratio with data pulse
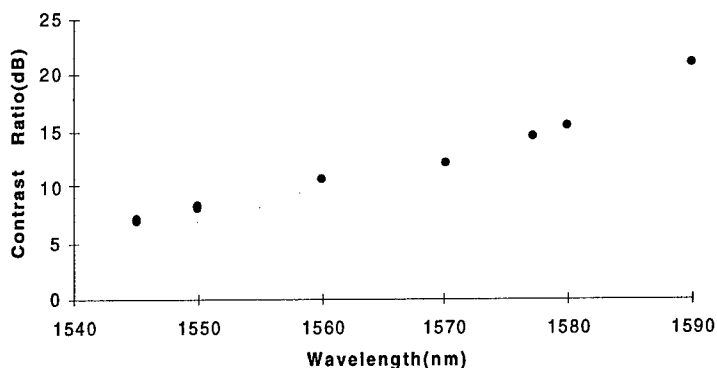
Figure 2: Measured contrast ratio of the nonlinear loop mirror as a function of data pulse wavelength.

wavelength. It steadily improves from ~8 dB at 1550nm to 21dB at 1590nm as expected when the loop pulse wavelength approaches the band-edge of the SOA. Figure 3 shows the concomitant reduction in amplitude modulation over the same wavelength range for the unswitched data pulses in the demultiplexing arrangement. Note that at the longest wavelengths measured, the amplitude modulation is only ~ 0.5 dB.
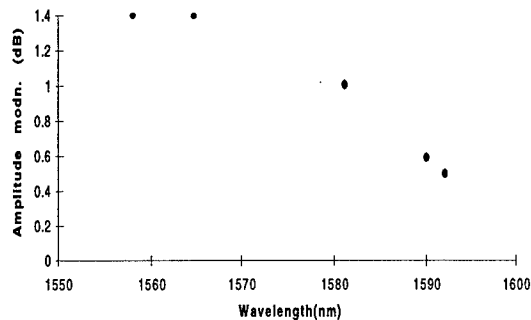
Figure 3	Figure 4
Measured relative amplitude modulation of single unswitched (reflected) data pulses	Measured amplitude modulation of a single data pulse under switching conditions
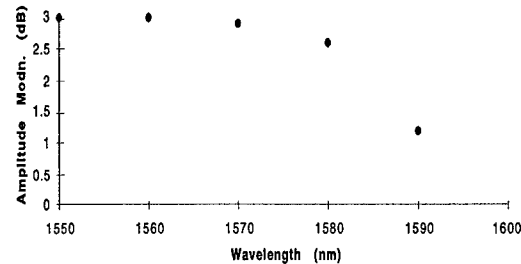
Figure 4 shows the measured amplitude modulation corresponding to a π radians phase change and again demonstrates the reduction achievable by operating at longer wavelengths for the data pulses. The results imply that the alpha factor for the device [2] varies from ~ 9 at 1550nm to ~ 23 at 1590nm. The trends of all the results indicate clearly that the amplitude modulation approaches a minimum at ~ 1600nm, which we take to represent the effective band edge of the SOA at the operating current. Switching energies (~200fJ) showed little change for longer wavelength loop pulses, as would be expected from theoretical considerations [6].

Conclusions

We have shown considerable improvement in the performance of TOAD by using a SOA whose band-edge is shifted to higher energies so allowing access to transitions closer to the band edge for conventional sources.

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Multidimensional optical pulses in non-resonant quadratic materials

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The propagation of a light pulse in media with quadratic nonlinearity has attracted significant interest in recent years (see e.g. [1] and references therein). In such media the nonlinear response is known to generate d.c. fields which subsequently play a key role in the evolution of the optical pulse. In a one-dimensional nonresonant quadratic material, the evolution of the slowly-varying envelope of the optical pulse was recently found [2] to be governed by the nonlinear Schrödinger equation (hereafter NLS), as in the more familiar case of Kerr materials. The NLS equation is also a centrally important equation in other areas as well, e.g. fluid dynamics, plasma physics. However, it is well known that (1+1)-dimensional structures propagating in a multidimensional medium may be unstable with respect to transverse modulations(see e.g. Refs. [3]). As a consequence, pulse dynamics in a multidimensional medium cannot be reduced to simple one-dimensional systems. When studying the modulation of a wave packet in a multidimensional dispersive medium, generalized NLS systems with coupling to a mean term (hereafter denoted as NLSM) are known to appear in various physical situations [4]. In special cases these systems are known to be integrable. However, even in the non-integrable case these equations exhibit interesting phenomena such as focusing, singularities and a rich structure of solutions (see e.g. Refs. [3]).

In a recent letter [5] we discussed how novel NLSM systems appear in the context of nonlinear optics when studying three-dimensional materials with quadratic nonlinearity. Consider the propagation of a single optical pulse in a suitable quadratic medium (e.g. an orthorhombic mm2 material or a tetragonal 4mm material). For convenience, we choose the coordinate axes (X, Y, Z) to be a permutation of the crystallographic set (x, y, z); i.e. set $(X, Y, Z) \equiv (z, -y, x)$, with the electric field polarized along X and propagating along Z, and define T to be the retarded time (that is, the time in a frame that moves with the group velocity of the pulse). With these assumptions the evolution of the slowly varying envelope of the optical field $A(X, Y, Z, T)$ and the associated d.c. field $\phi(X, Y, Z, T)$ generated (in the X component) by the nonlinearity is described by the following coupled set of partial differential equations: [5]

$$2ik_x A_Z + (1 - \alpha_{x,1})A_{XX} + A_{YY} - k_x k_x'' A_{TT} + (M_{x,1}|A|^2 + M_{x,0}\phi)A = 0, \tag{1a}$$

$$(1 - \alpha_{x,0})\phi_{XX} + \phi_{YY} - s_x \phi_{TT} = N_{x,1}(|A|^2)_{TT} - N_{x,2}(|A|^2)_{XX}, \tag{1b}$$

with the phase and group velocity given by $c_x^2(\omega) = c^2/(1 + \hat\chi_{xx}^{(1)}(\omega))$ and $v_x(\omega) = 1/k_x'(\omega)$ (where c is the speed of light in vacuum, $\chi^{(1)}(t)$ is the linear susceptibility tensor and ω is the central frequency of the pulse), the propagation wavenumber and the linear refraction index given by $k_x^2(\omega) = (\omega/c)^2 n_x^2(\omega)$, $n_x^2(\omega) = 1 + \chi_{xx}^{(1)}(\omega)$, with $s_x = 1/v_x^2(\omega) - 1/c_x^2(0)$, and where subscripts on A and ϕ denote partial derivatives. The coefficients $\alpha_{x,m}$, $M_{x,m}$ and $N_{x,m}$ depend on the material considered. In particular, $M_{x,1}$ contains the third-order self-phase modulation coefficient plus the effective contribution due to second harmonic cascading, $M_{x,0}$ is the second-order coupling coefficient between d.c. and fundamental and the $N_{x,m}$ are the second-order coefficients of the d.c. rectification process, while the $\alpha_{x,m}$ arise from the contribution of the divergence of the electric field. In what follows we will consider the case $1 - \alpha_{x,m} > 0$ and $s_x > 0$.

It is important to note that: *i)* The above equations are derived under the assumption that there are no resonant wave interactions. In this case the second harmonic generated by the nonlinearity can be solved explicitly in terms of the field at the fundamental. Otherwise the governing equations and relevant scales are very different—e.g. two/three wave interactions, which have already been the subject of many research papers (see e.g. Refs. [6] and references therein). *ii)* We do not introduce d.c. field and/or second harmonic at leading order because we are interested in the evolution of a modulated optical field and not in the interactions amongst different waves. This is a standard assumption in order to obtain NLS—and in this case NLSM—type equations. Of course, other assumptions would lead to different evolution equations (cf.

Ref. [3, 7]). *iii)* The d.c. field needs to be included in the calculation, otherwise inconsistencies arise in the derivation of the evolution equations. This is true even in the one-dimensional case, where, however, the mean fields can be integrated explicitly, and the equations reduce to the well-known NLS equation (cf. Refs. [2]). *iv)* If $\chi^{(2)} = 0$ there are no source terms in eq. (1b) (i.e. the $N_{j,m}$ vanish) and the mean fields are zero. In this case the standard multidimensional NLS equation is obtained as a special "limiting" case.

If the dependence of A and ϕ on Y is neglected, or if it is already taken into account when dealing with the linear modes (e.g. in a waveguide configuration), eqs. (1) become effectively (2+1)-dimensional. Then, upon nondimensionalization, rescalings and rotation to the characteristic reference frame of eq. (1b), the optical NLSM equations in the case of anomalous dispersion can be rewritten as

$$iq_z + (1 - \theta_0)(q_{\xi\xi} + q_{\tau\tau}) + \theta_0\, q_{\xi\tau} + (\theta_2|q|^2 + Q)q = 0\,, \tag{2a}$$

$$Q_{\xi\tau} = (|q|^2)_{\xi\xi} + (|q|^2)_{\tau\tau}\,. \tag{2b}$$

All the properties of the material are absorbed into the values of θ_0 and θ_2 (plus of course the normalization units of X, Z, T, A and ϕ). The well-known integrable case corresponds to $\theta_0 = \theta_2 = 0$. Equation (2b) allows us to express the d.c. field as $Q = U + V$, where

$$U(\xi,\tau,z) = \int_{-\infty}^{\tau}(|q|^2)_\xi\, d\tau + u(\xi,z)\,, \qquad V(\xi,\tau,z) = \int_{-\infty}^{\xi}(|q|^2)_\tau\, d\xi + v(\tau,z)\,. \tag{3}$$

The boundary conditions u and v play a key role in the dynamics of the pulse. More precisely, in the integrable case, stable localized multidimensional pulses are known to exist, which are driven by the d.c. field through a proper choice of u and v (cf. Refs. [8]). One of the main purposes of this work is to show that localized multidimensional pulses exist even in the more general non-integrable case described here.

To investigate the properties of eq. (2a) with U and V given by eqs. (3) we have performed numerical simulations for a number of different values of θ_0 and θ_2. We integrated eq. (2a) with a 2-dimensional split-step method and eqs. (3) with a second order numerical quadrature routine. Fig. 1 shows a typical stationary pulse in the integrable case $\theta_0 = \theta_2 = 0$. The particular shape of the pulse results from the exact solution (cf. Refs. [8]). Fig. 2 shows the output pulse after 25 normalized propagation units for a prototypical value $\theta_0 = \theta_2 = 0.2$, when the same boundary conditions as in Fig. 1 are used for the d.c. fields (see Figs. 5,6); Fig. 3 corresponds to $\theta_0 = \theta_2 = 0.4$. Even though some radiation is present, a localized pulse is still present. The maximum amplitude of the pulse is the same in all these cases. On the other hand Fig. 4 shows the output produced after only 2 propagation distances by the same input pulse as in Figs. 1–3 if the boundary conditions u, v for the d.c. fields are set to zero. Figs. 5,6 show the d.c. fields $U(\xi,\tau)$, $V(\xi,\tau)$ corresponding to the case shown in Fig. 1 (similar pictures result for the cases shown in Figs. 2,3). It is clear that, even in the more general situation $\theta_0 \neq 0$, $\theta_2 \neq 0$, the presence of the d.c. fields can stabilize the optical pulses, which otherwise would disperse away very quickly without the presence of the boundary conditions. Similar results were found for a wide range of values of θ_0 and θ_2.

These findings suggest that stable localized multidimensional pulses are not unique to integrable systems; rather, they are a generic feature of forced evolution equations. Also, preliminary studies indicate that the above described dynamical configuration can be designed experimentally. This possibility is particularly interesting because such experiments would allow the production of stable localized multidimensional optical pulses whose dynamics can be electrically controlled by modification of the relevant d.c. fields. These issues are currently under careful investigation and we will report on them in the near future.

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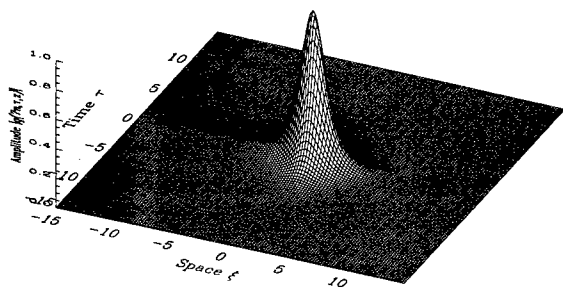
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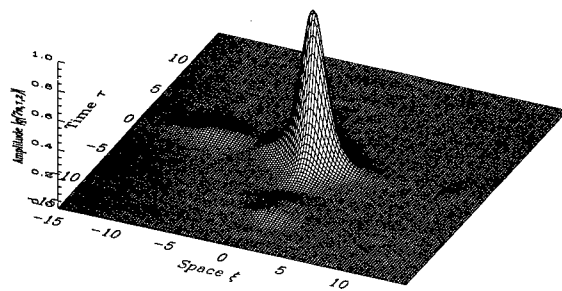
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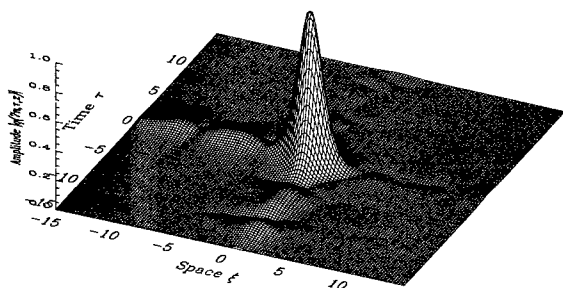
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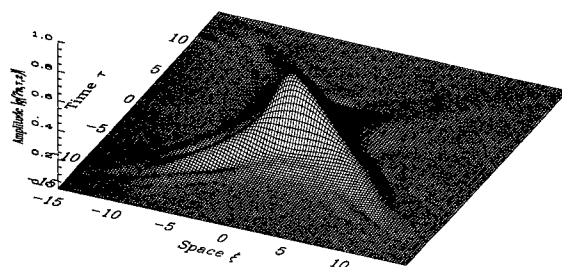
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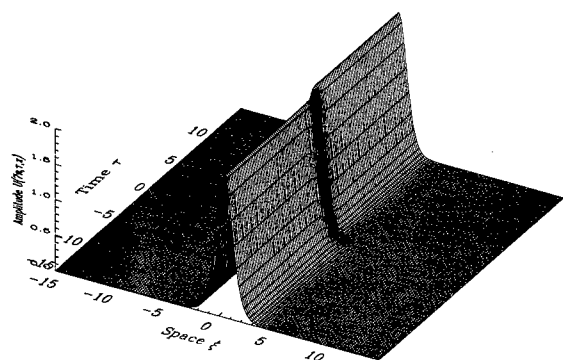
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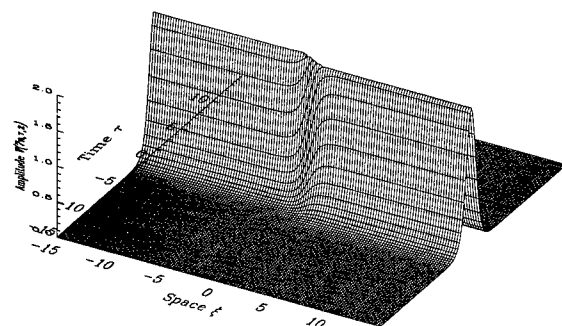
Fig. 6

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Strong Correlation Effect in the Second Harmonic Generation of a Bose-Einstein Condensate

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The effect of Bose-Einstein condensation, achieved recently for alkaline gases in magnetic traps [1], should lead to a strong enhancement of non-linear harmonic generation. A nearly resonant light imposed on the gas of two-level atoms excites the intra-atomic transitions and causes generation of various harmonics. Normally, in the thermal state of the gas, a contribution of the inter-atomic interaction to the non-linear harmonic generation is negligible. However, if a Bose-Einstein condensate (BEC) is present, the inter-particle interaction cannot be ignored. Considering second harmonic generation, we show that, in the presence of the BEC, the intensity of the second harmonic is proportional to $(aN n)^2$, where N and n are the total number and the effective density of the condensed atoms, respectively, and a stands for the scattering length describing the process of scattering of two excited atoms by each other so that, after the collision, one excited atom enters the condensate.

We consider the interaction between light, represented by the electric field E, and the atomic Bose field Ψ as well as the two body interaction treated within the scattering length approximation. Thus the total interaction Hamiltonian is taken in the form

$$H_{int} = \int dV \{ -\sum_a \vec{E}\vec{d}_a (\psi^+ \psi_a + h.c.) + \sum_{abc} g_{abc} (\psi^+ \psi_a^+ \psi_b \psi_c + h.c.) + $$
$$+ \sum_{abcd} g_{abcd} \psi_a^+ \psi_a^+ \psi_b \psi_d \} \tag{1}$$

Here we represent the total atomic field as $\Psi = \psi_a + \psi$. The subscript refers to the excited intra-atomic state which may be characterized by the degeneracy as well as a small splitting of the originally degenerate state. The part ψ describes the atoms in their ground internal state; d_e stands for the matrix elements of the intra-atomic dipole transition; the quartic terms account for the inter-atomic interaction taken in the contact form; the corresponding constants of this interaction are denoted as $g_{abc} \sim a$ and g_{abcd}. Note that we ignore the interaction terms $\sim \psi^+ \psi^+ \psi_a \psi_b$, $\psi^+ \psi^+ \psi \psi$ and their conjugates because these do not cause non-linear harmonic generation in the lowest order with respect to the scattering length. The interaction terms $\sim g_{abc}$ and g_{abcd} are responsible for the second and the third harmonic generation, respectively. In what follows we will ignore the third harmonic by setting $g_{abcd} = 0$.

At temperatures T below the temperature T_c of the Bose-Einstein condensation, the operator ψ can be treated as a classical field [2] normalized to N. Specifically, in the case

of the uniform condensate $\psi = \sqrt{n} = const$ [2]. Thus, the interaction term acquires the form

$$H_{int} = \int dV\{-\vec{E}\vec{d}_e\sqrt{n}\,(\psi^+_e + \psi_e.) + \sum_{abc}g_{abc}\sqrt{n}\,(\psi^+_a\psi_b\,\psi_c + h.c.)\} \quad . \qquad (2)$$

The total polarization of the system is defined as

$$\vec{P} = \int dV \sum_e \vec{d}_e\sqrt{n_c}(\psi^+_e + \psi_e). \qquad (3)$$

The Hamiltonian (2) without the interaction part $\sim g_{abc}$ has been analyzed in [3] with respect to a possibility of the polaritonic effect in the BEC. Here we consider the role of the interaction term in the coherent second harmonic generation by the BEC.

Thus, we look for the second order response on the electric field in H_{int}. For sake of concreteness, we choose $E=E_0$ [cos $(\omega_0 t - ikx)$ + cos $(\omega_0 t + ikx)$], where E_0, ω_0 and k are fixed. The polarization (3) can be found by perturbations with respect to E_0. The equation of motion for ψ_a following from (2) is

$$i\hbar\frac{\partial}{\partial t}\psi_a = (\hbar\omega_a - \frac{\hbar^2}{2m}\Delta)\psi_a - \vec{E}\vec{d}_a\sqrt{n} + \sum_{bc}g_{abc}\sqrt{n}(\psi_b\psi_c + 2\psi^+_b\psi_c) \qquad (4)$$

where $\hbar\omega_a$ stands for the energy of the excited state. In what follows we assume that $\hbar\omega_a$ is much larger than any typical kinetic energy in the system. Thus, solving (4), we will neglect the kinetic energy term. Accordingly, we find the solution of (4) in the zeroth order with respect to the interaction constants in the rotating wave approximation as

$$\psi_a^{(0)} = -\frac{\vec{E}_0\vec{d}_a\sqrt{n}}{2\hbar}\frac{(e^{-i\omega_0 t + i\vec{k}\vec{x}} + e^{-i\omega_0 t - i\vec{k}\vec{x}})}{\omega_0 - \omega_a} \qquad . \qquad (5)$$

The first order correction to ψ_a which does not have the spatial factor *exp(ikx)* has a form

$$\psi_a^{(1)} = \frac{e^{-2i\omega_0 t}}{2\hbar^3(2\omega_0 - \omega_a)}\sum_{bc}g_{abc}\frac{(\vec{E}_0\vec{d}_b)(\vec{E}_0\vec{d}_c)}{(\omega_0 - \omega_b)(\omega_0 - \omega_c)}n^{3/2} \qquad . \qquad (6)$$

The total polarization (3) $P^{(2)}$ can be obtained after substituting (6) into (3) and performing the integration. Finally, one finds

$$\vec{P}^{(2)} = \frac{\cos(2\omega_0 t)}{\hbar^3} \sum_{abc} g_{abc} \frac{\vec{d}_a(\vec{E}_0 \vec{d}_b)(\vec{E}_0 \vec{d}_c)}{(2\omega_0 - \omega_a)(\omega_0 - \omega_b)(\omega_0 - \omega_c)} \int dV n^2 \quad . \tag{7}$$

As one can see, this polarization is proportional to $g \int dV n^2 \approx aNn$. As a matter of fact, the form (7) indicates that the condensate behaves as a single non-linear oscillator with the effective oscillator strength $\sim aNn$. Accordingly, the intensity I $^{(2)}$ of the second harmonic is

$$I^{(2)} \sim (aNn)^2 . \tag{8}$$

This is a main result of our work. We note that the thermal part of the atomic cloud produces an incoherent contribution to $I^{(2)}$ which is proportional to the first power of the total number of atoms in the thermal state.

The role of the BEC in higher harmonic generation will be considered elsewhere.

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Novel Measurement of Linear Dispersion Slope near the Zero Dispersion Wavelength by Four Wave Mixing

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The FWM is one of the interesting nonlinearities in optical fibers and semiconductor optical amplifiers [1,2]. A new frequency light is generated from the wave mixing of three different lights. This phenomenon causes system degradation in WDM transmissions, but it can be also used for new wavelength converter for other applications such as optical PLL[3].

FWM efficiency in fibers strongly depends on phase-mismatching which is determined by the chromatic dispersion. The dependence of FWM efficiency on the phase mismatching has been investigated for the dispersion-shifted fibers. We calculated the dispersion slope from the modulation behavior of the FWM efficiency. The experimental set-up is shown in Fig.1. A tunable pump wave and DFB LD probe wave were combined by a fiber coupler after each amplification through an EDFA and were launched in the dispersion shifted fiber. The launched input power was about 6 dBm for each. The polarization controller was adjusted for the maximal FWM efficiency. The dependence of FWM efficiency on the phase mismatching $\Delta\beta$, is written as[1],

$$\eta = \frac{\alpha^2}{\alpha^2 + (\Delta\beta)^2}[1 + \frac{4e^{-\alpha L}\sin^2(\Delta\beta L/2)}{(1-e^{-\alpha L})^2}]$$

with $\Delta\beta = -\frac{\lambda_o^4 \pi}{c^2}\frac{dD_c}{d\lambda}2(f_{pump} - f_{probe})^2(f_{pump} - f_o)$, where D_c is the fiber chromatic

dispersion, L fiber length, β the propagation constant, λ_o zero-dispersion wavelength and α fiber loss coefficient. FWM efficiency η takes the maximal value of 1 for $\Delta\beta = 0$ and shows damping modulation behavior as $\Delta\beta$ increases. In the expression of phase mismatching, $\Delta\beta = 0$ is always satisfied when λ_{pump} coincides with the zero-dispersion wavelength, i.e. $\lambda_{pump} = \lambda_o$, or with the probe wavelength i.e. $\lambda_{pump} = \lambda_{probe}$ which is totally degenerate.

The FWM efficiency as a function of the tuning pump wavelength is shown in Fig.2. The FWM power was measured by an optical spectrum analyzer with a 0.05nm resolution. The probe wave was fixed at 1554.21nm. The used dispersion shifted fiber is commercial product and 15 km long. The two peak efficiencies are clearly shown at the zero wavelength and probe wavelength, which are both phased matched. The wider bandwidth at the probe wavelength is expected from the smaller phase mismatching because of the frequency dependence of $(f_{pump} - f_{probe})^2 (f_{pump} - f_0)$.

The modulation behavior of FWM efficiency was investigated by fine tuning pump wavelength near the zero dispersion wavelength in Fig.3. The efficiency shows damping modulation as $\Delta\beta L/2$ increases. Since the first minimal efficiency is shown in the condition of $(\Delta\beta)_x L/2 \simeq \pi$, the dispersion slope can be calculated from the above condition as

$$\frac{dD_c}{d\lambda} = \frac{1}{cL\lambda_o^4 (\frac{1}{\lambda_x} - \frac{1}{\lambda_{probe}})^2 (\frac{1}{\lambda_x} - \frac{1}{\lambda_o})}$$

, where λ_x is a pump wavelength corresponding to the phase mismatching of $(\Delta\beta)_x$. From the experimental results, the pump wavelength at the first minimal efficiency is 1549.42nm and the zero dispersion wavelength is 1549.77nm. The calculated dispersion slope is 0.068ps/km-nm-nm.

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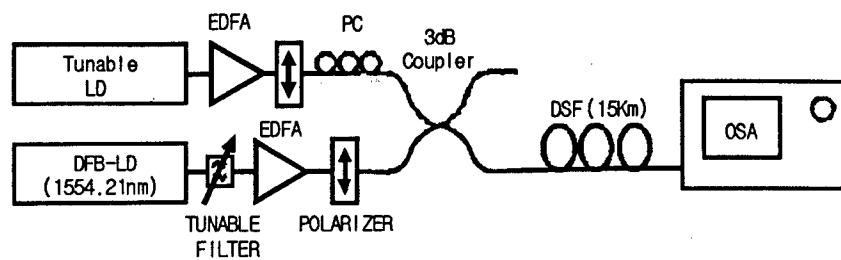
Fig.1. Experimental Setup for FWM. PC; Polarization Controller, DSF; Dispersion-Shifted Fiber, OSA; Optical Spectrum Analyzer.
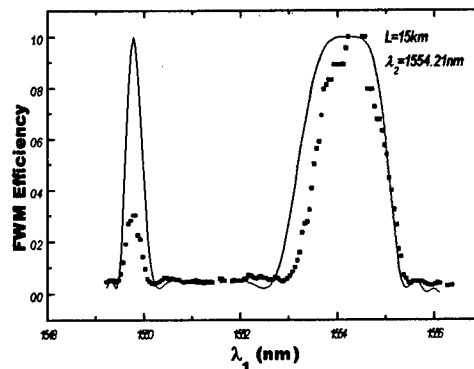
Fig.2. FWM efficiency as a function of pump wavelength (λ_1). DSF is 15km long.
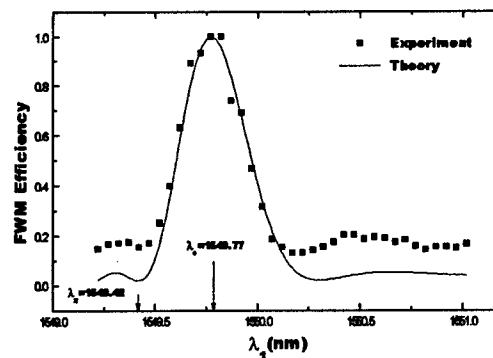
Fig.3. FWM efficiency as a function of pump wavelength (λ_1) in zero-dispersion wavelength region. Probe wavelength is set at 1554.21nm

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Second and Third Harmonic generations of Vandyl-Phthalocyanine Single Crystal Prepared on KBr Substrate by Molecular Beam Epitaxy

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Summary

Vandyl-phthalocyanine (VOPc) single crystals were prepared on KBr(100) substrate by a molecular beam epitaxy (MBE). The second and third harmonic generations of nonlinear optical thin films are proportional to the square of the thickness. Therefore, it's important to prepare a large nonlinear optical single crystal.

The orientation of VOPc single crystals prepared by the MBE method was studied by optical absorption spectra in the visible and infrared regions, scanning electron microscopy (SEM) and reflection high energy electron diffraction (RHEED). VOPc single crystals on KBr were epitaxially or monoclinically grown. Table 1 shows conditions of sample preparetion.

Table 1 : Conditions of sample preparetion

Sample name	Tp ($^{\circ}$C)	Te ($^{\circ}$C)	Ts($^{\circ}$C)	t (min.)	ta (min.)	d (nm)
Sample 1	150	300	200	120	60	65
Samole 2	150	300	200	180	60	100
Sample 3	150	300	200	240	180	125

Tp : preliminary heating temp., Te : evaporating temp. Ts : substrate temp., t : evaporating temp., ta : annealing temp. and d : film thickness.

Sample 1 and 2 show epitaxially grown single crystal and monoclinically grown one, respectively from the absorption spectra in Fig. 1. Sample 3 shows monoclinically grown single crystal from the absorption spectra in Fig. 1, SEM image of Fig. 2 and RHEED pattern of Fig. 3.

Second harmonic (SH) and third harmonic (TH) generations of VOPc single crystals were measured with Maker fringes. The incident light of Nd:YAG laser was vertically polarized and Maker fringes were measured by rotating the samples along an axis parallel to the incident beam polarization. The SH intensity in Fig. 4 of VOPc
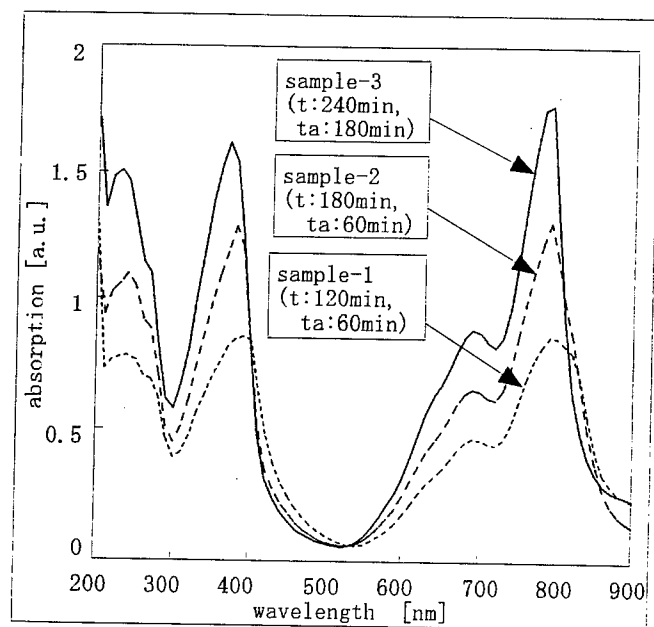
Fig. 1　Absorption spectra of sample 1, 2 and 3
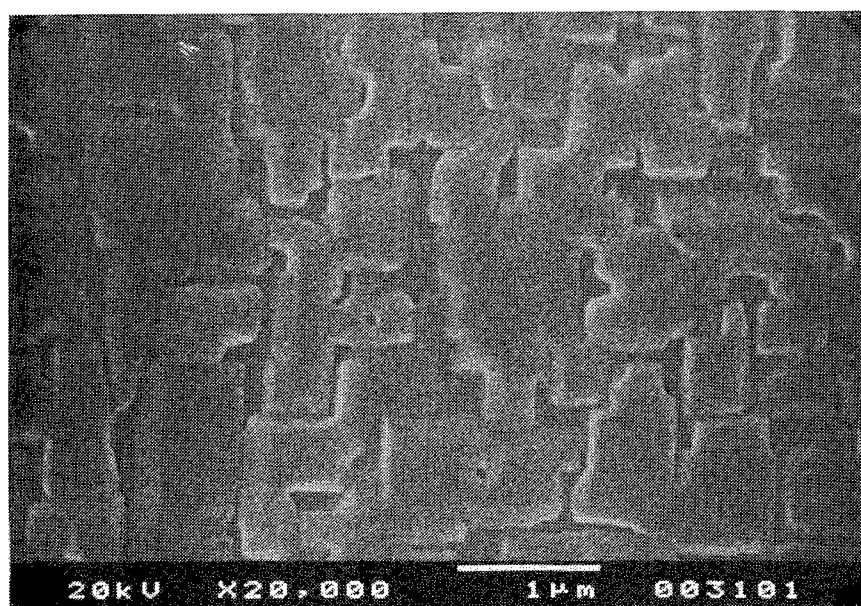
Fig. 2　SEM image of sample 3
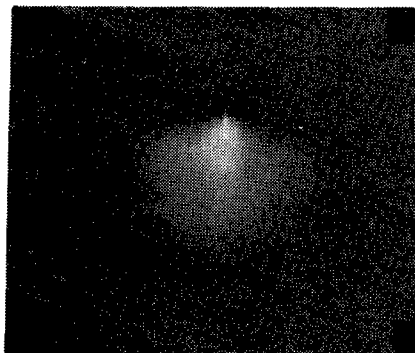
Fig.　3　RHEED pattern of sample 3

single crystal epitaxially grown on KBr substrate is higher about 5×10^4 times than that of a Y cut crystal. We estimated the third-order nonlinear optical susceptibilities $\chi^{(3)}$ from the third harmonic intensity in Fig. 5 for VOPc single crystal monoclinically grown on KBr. Its $\chi^{(3)}$ value 1.14×10^{-9} esu, which was about 4 times greater than that reported by S. Fang [1].
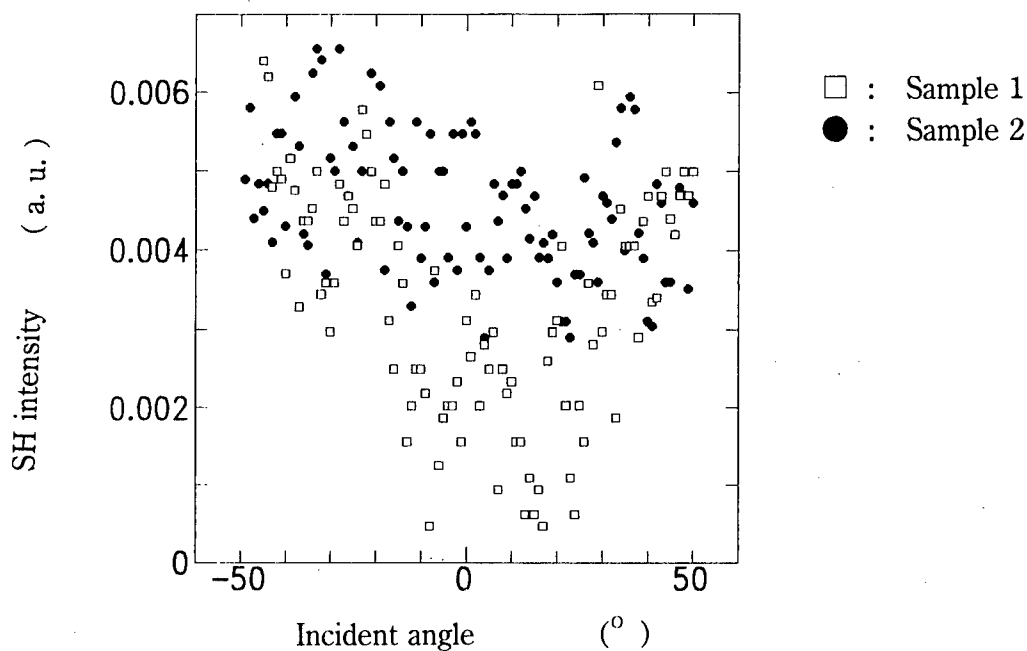
Fig.4   SH intensities of samples 1 and 2
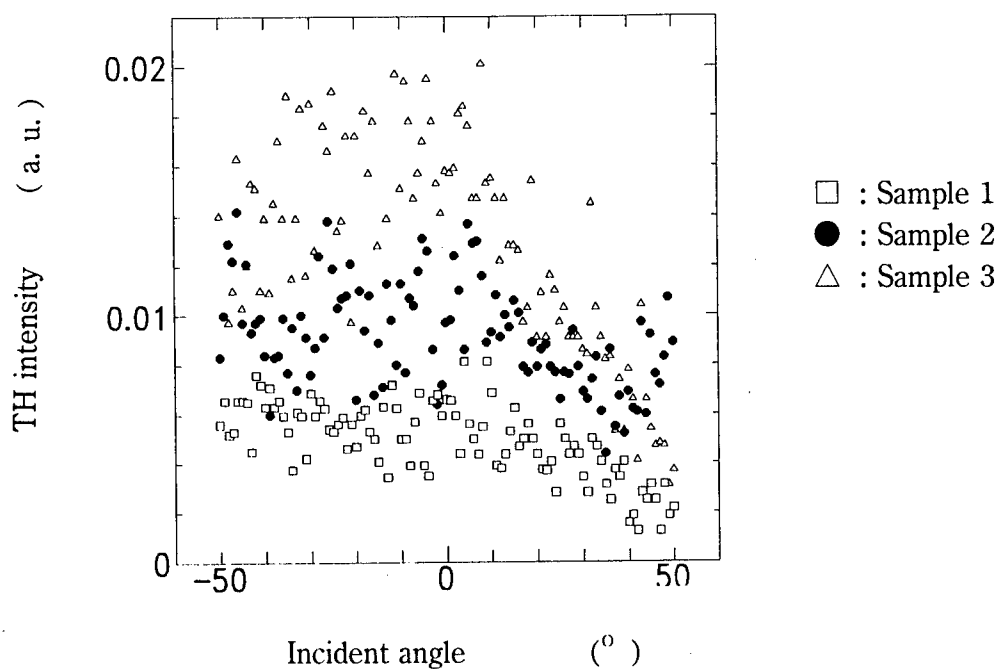
Fig.5   TH intensities of samples 1, 2 and 3

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Limits of Nonlinear Optical Transmission Systems induced by the Non-ideal Behaviours of the Transmitter

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INTRODUCTION. For high capacity optical transmission systems very good characteristics of the optical transmitter are required. As a consequence the use of direct modulation of the semiconductor laser is avoided due to the presence of large signal bandwidth, the low extinction ratio (ER) between "1" and "0"and to the fact that the pulse can be much different from the ideal one [1]. On the other hand also in presence of an external modulation some minor defects in the transmission can be present as an example the chirp effect [2] and the nonzero power in correspondence of the bit "0".
In previous papers we have investigated on the maximum capacity that can be achieved by optically amplified systems by using an ideal transmitter [3-4]. In this work we investigate on the meaning of *good characteristics* for the transmitter to evaluate the tolerances that permit to consider the results reported in [3-4] still valid in presence of a real source. The cases reported in this paper refer to the soliton propagation in regime of fluctuating chromatic dispersion or Group Velocity Dispersion (GVD) regime, also known as *dispersion management* [5] since this is the method that permits to achieve the highest capacity. We also show that the use of the dispersion management is fundamental in the implementation of optical systems adopting a direct modulation of the semiconductor lasers. In this case the propagation is preferable in the normal average dispersion regime since the combination of chirp, GVD and Kerr effect, together the squeezing effect [6] due to the nonlinear interaction between signal and ASE in normal dispersion, permits to increase the signal-to-noise ratio.

THEORY. An optical transmission can be obtained either by externally modulating a CW laser or directly modulating the current of a semiconductor laser. The first method is less chip but the quality of the transmitted signal is very good and it permits to reach the highest capacity. Conversely the latter is simpler and chipper even though the many imperfections in the transmitted signal strongly reduce the system capacity.
In the case of a transmitter composed by a CW laser with a negligible linewidth and a Mach-Zehnder modulator the signal can be described in terms of power P and phase ϕ as [2]

$$P(t) = P_0 \cos^2\left(\frac{\pi}{2} \frac{V(t)}{V_\pi}\right), \quad \phi(t) = K \frac{\pi}{2} \frac{V(t)}{V_\pi} \tag{1}$$

where $V(t)$ is the drive voltage, V_π the switch voltage, $K = \dfrac{V_A - V_B}{V_A + V_B}$ is the chirp parameter [2]

and V_A and V_B are the peak-to-peak voltage applied to the electrode A and B of the modulator.
In the case of direct modulation of the semiconductor laser the density of the carriers and of the photons is studied by means of the following equations

$$\frac{dN}{dt} = \frac{I}{qV_a} - A_g\left(N - N_{tr}\right)(1 - \varepsilon S)S - \frac{N}{\tau_s}$$

$$\frac{dS}{dt} = -\frac{S}{\tau_p} - \Gamma A_g\left(N - N_{tr}\right)(1 - \varepsilon S)S + \Gamma\beta\frac{N}{\tau_s} \tag{2}$$

where N is the carrier number, q the electron charge, I is the current V_a is the volume of the active layer, A_g the differential gain, N_{tr} is the carrier density for zero gain, S the photon density, ε the gain compression coefficient, τ_s the carrier lifetime, Γ is the optical confinement factor, τ_p the photon lifetime and β is the spontaneous emission factor.

By a suitable choice of the laser parameters and of the input current $I(t)$ a 10 Gbit/s transmission can be obtained. The MQW laser parameters that we have used are: $\tau_s = 1$ ns, $\tau_p = 2$ ps, $N_{tr} = 10^{18}$ cm^{-3}, the Henry constant $a_H = 4$, $\varepsilon = 3.610^{-17}$ cm^3, $V_a = 710^{-12}$ cm^3, $A_g = 2 \ 10^{-6}$ cm^3/s, $\beta = 10^{-5}$. The threshold current, I_{th}, is 29 mW. As shown by the example reported in fig. 1, the transmitted signal, obtained by a semiconductor laser driven by a current oscillating between 1.03 I_{th} and 1.5 I_{th}, is quite different from the ideal one. The simulation code used to study the system performance is the same described in previous work [3-4].

RESULTS. In figure 2 we report the Q factor for soliton systems operating at 10 Gbit/s on a 9000 km link versus the input peak power, in the case of a link with dispersion management having an average GVD equal to 0.1 ps/nm/km. The link is constituted by erbium amplifiers with a spacing of 40 km, while the dispersion management is obtained by compensating the GVD of a DS fiber (-1 ps/nm/km) with step index fiber (15 ps/nm/km) with a periodicity of 160 km and locating the DS fibers at the input of the optical amplifiers. The pulses have a T_{FWHM} equal to 40 ps and we remember that for an Intensity Modulation-Direct Detection (IM-DD) system a Q factor higher than 6 is required. The figure shows that for values of $|K|$ lower than 0.5 the difference with respect to the ideal case is negligible. Such a behaviour was confirmed by analysing several other systems reported in ref. [3-4]; as a consequence we conclude that the results obtained in conditions of ideal transmitter are still valid if the external modulator only induces a maximum spectral broadening of 10% with respect to the ideal case. The same conclusions were obtained by studying the system performance in terms of time jitter. The improvement shown by the system for K=1 is due to the partial compensation of the average GVD.

A similar analysis was made on the presence of a limited ER. This effect can be study in a first approximation as nonlinear interaction between signal and a CW wave and it is particularly degrading in the soliton propagation. For the cases reported in fig. 2 we have seen that the presence of a residual power on the zero can be neglected when ER is higher than 12 dB, conversely analysing the cases of ref. [3], an higher value of ER is required especially in the case of soliton propagation with fixed filters. As a margin we conclude that the required ER must higher than 16 dB.

We have consider the possibility of propagating pulses emitted by a semiconductor laser in the same propagation conditions of fig.2. In fig. 3 we report the Q factor versus the input peak power of a 10 Gbit/s IM-DD system operating in links 2000 long with a direct modulated laser. Preliminary simulations had shown that better performance could be achieved if the dispersion management of fig.2 was obtained by locating the normal dispersion at the output of the optical amplifiers. Three values of the average GVD are reported in the figure. The drive peak current varied between 1.2 and 1.7 I_{th}. The result show that the best performance is obtained in a condition of normal average dispersion while the worst performance is obtained for anomalous dispersion. The latter result is in agreement with reference [1] since in anomalous dispersion the effect of the laser chirp and of the GVD tend to broaden the pulse in the same way and the Kerr effect can weakly compensate this behaviour. Conversely in normal dispersion region, the GVD can be partially compensated by the laser chirp. The higher improvement in normal dispersion region, with respect to the region with

zero average GVD, is due to the squeezing effect that induces a less degradation of the ASE noise when a signal nonlinearly interacts with the ASE noise in normal dispersion region [6].

In fig. (4) we report the Q factor for a system operating in a link with dispersion management assuming the same characteristics of fig. (3) with an average GVD equal to -0.1 ps/nm/km. The results shows that a transmission with directly modulated laser on 3000 km is possible thanks to the use of dispersion management and it is much longer than the one predicted by ref. [1] where a constant GVD was assumed.

CONCLUSIONS. In this work a numerical analysis on the impact of the degrading effect that can be present in a real transmitter for high capacity optical transmission systems has been carried out. The result show . that in the case of external modulator, the transmitted signal can be considered as ideal if the chirp parameter is lower than 0.5 and the extintion ratio is higher than 16 dB. Conversely the presence of a directly modulated laser induces much stronger limitation but transmission at 10 Gbit/s on links 3000 km long can be achieved if the dispersion management method is used.

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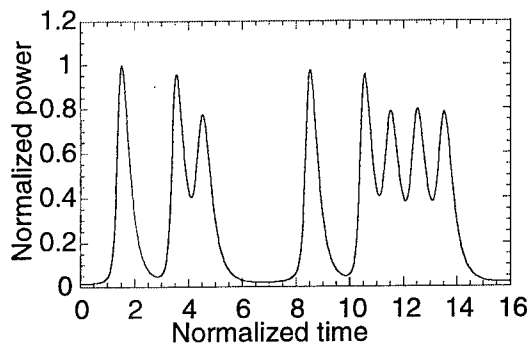
Fig. 1: time beaviour of a 10 Gbit/s signal emitted by a directly driven MQW semiconductor laser.
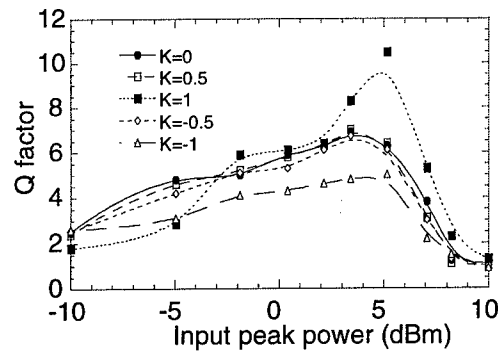
Fig. 2: Q factor vs input peak power for a 10 Gbit/s soliton system with external modulator with different chirps in a link with dispersion management 9000 km long.
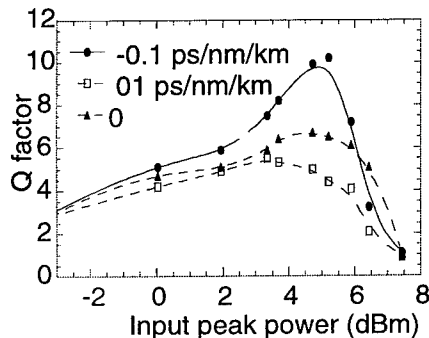
Fig. 3: Q factor vs input peak power for a 10 Gbit/s soliton system with direct modulated laser considering different GVD values in a link with dispersion management 2000 km long.
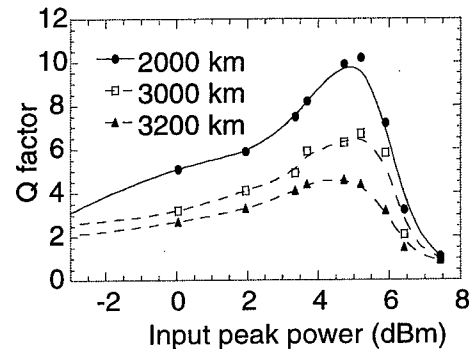
Fig. 4: Q factor vs input peak power for a 10 Gbit/s soliton system with direct modulated laser operating in a link with dispersion management for different length.

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Nondegenerate Four-Wave Mixing in a Double-Λ System under the Influence of Coherent Population Trapping

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SUMMARY

The atomic coherence effect can be used in enhancing the efficiencies of nonlinear optical processes [1-6]. Particularly, nondegenerate four-wave mixing (NDFWM) in multi-level systems has recently gained attention in connection with the advantage of using coherent population trapping (CPT) or electromagnetically induced transparency (EIT) to enhance the efficiency of generated signals [3-6]. Generally speaking, linear absorption competes with the nonlinear signal generation at the exact one-photon resonance condition. However, the linear absorption can, in principle, be reduced or even eliminated by preparing the system in the CPT or EIT state. Continuous NDFWM with high conversion efficiency has been realized in a double-Λ system of Na_2 [3]. However, the quantum interference effect is not strong in that system since the residual Doppler-broadening (~100 MHz) is much larger than the natural linewidths of transitions involved and their pump fields do not propagate colinearly. The influence of CPT, therefore, could not be clearly established in that experiment.

We report experimental demonstrations of NDFWM in several multi-level configurations in rubidium atomic vapor. In one of the double-Λ systems (inset B in Fig.1), an unusual behavior of signal output power as a function of pump power, i.e. a maximum in the generated signal power is reached as the pump power increases, is experimentally observed (curve B in Fig.2). By comparing with several related Λ and double-Λ systems (e.g. insets A and D in Fig.1, which show the usual saturation behavior in signal generation as the pump power increases, as shown in curves A and D in Fig.2.), we have concluded that CPT is the mechanism for this interesting effect. This double-Λ system, shown in inset B of Fig.1, involves four magnetic sub-levels of ^{87}Rb. The two hyperfine levels F=1 and F=2 of the ground state $5S_{1/2}$, spaced by 6.8 GHz, serve as the two lower states. The two upper levels are the two excited states in $5P_{1/2}$ ($F'=1$ and 2), which have a frequency spacing of 812 MHz and are well separated in comparison with the Doppler-broadened linewidth. The advantage of this configuration is that the residual Doppler linewidth (~0.01 MHz) is much less than the natural linewidth (~6 MHz) of the transitions. If the laser beams are co-propagating in the same direction, the two-photon Doppler-free resonance (as well as the CPT condition) is,

therefore, preserved for all atoms [7], offering an ideal system for the investigation of influence of CPT in a double-Λ configuration. We experimentally measured the generated NDFWM signal power as a function of pump power.

For all the systems studied, the pump field ω_2 is kept strong (25.3 mW) with the sideband intensity of approximately 1.6% that of the carrier as probe field ω_3. The atomic density is approximately N=$2.2 \times 10^{11} cm^{-3}$ (cell temperature ~55 °C), at which the absorption coefficient of the F=1 to $F'=1$ transition is ~22% without the pump field ω_2 and ~40% with the pump field ω_2 due to optical pumping. The NDFWM process is efficient when the conditions $\phi_4 = \phi_1 - \phi_2 + \phi_3$ and $\Delta_4 = \Delta_1 - \Delta_2 + \Delta_3$ are satisfied, where ϕ_i are the phase variations of the fields and $\Delta_i = \omega_i - \omega_{jk}$ are the detunings of the field frequency ω_i from the corresponding line centers ω_{jk}. Note that the fields ω_2 and ω_3 have fixed phase and frequency differences in our experimental arrangement ($\phi_3 - \phi_2 = Const.$, $\Delta_3 - \Delta_2 = 0$), so the conditions for an efficient NDFWM process reduce to $\Delta_4 = \Delta_1$ and $\phi_4 = \phi_1 + Const.$, which indicate that the generated signal wave should follow the detuning and the phase of the pump field ω_1. A reference beam of $\omega_1 + 80 MHz$ is introduced to beat with the generated signal wave, offering 732 MHz and 892 MHz beat signals for $\omega_4 = \omega_1 \pm 812\ MHz$, respectively. Moreover, the intensity of the reference field is unchanged for the convenience of comparing the beat signal intensity. Other double-Λ or Λ systems can also work for NDFWM with the generated signals shifting either 812 MHz up or down from the pump field ω_1, as shown in Fig.1, where the beat signals appear as four separate peaks when the frequency of the pump field ω_1 is scanned through the two transitions F=1 $\rightarrow$ $F'=1$ and $F'=2$. Each peak is attributed to a NDFWM process in a Λ or double-Λ system as shown in the insets of Fig.1.

Figure 2 shows the dependence of the generated nonlinear signal power as a function of the power of the pump field ω_1 for the systems in insets A, B, and D in Fig.1. The signal power falls beyond the pump level of ~1 mW for curve B in Fig.2a. This is a direct indication of the existence of a power balance requirement between the two strong pump fields ω_1 and ω_2 for an efficient NDFWM process for the double-Λ system in inset B of Fig.1. The ordinary saturation behavior for the other double-Λ system (inset D in Fig.1) and Λ system (inset A) is also shown in Fig.2b. We believe that the strong coupling between the two lower levels (through the lower Λ system formed by the two strong pump fields ω_1 and ω_2) makes the double-Λ system in inset B different from other systems (such as insets A and D). From our experimental results, one learns that, although CPT can reduce the absorption of pump fields and the generated signal field, it does not always facilitate the NDFWM process. There is a requirement on optimal power matching between the pump fields.

In summary, NDFWM in several Λ and double-Λ systems were experimentally studied for three collinear pump and probe fields. The atomic coherence effect strongly modifies the efficiency of this nonlinear process and creates an optimal efficiency for generating nonlinear signal in the double-Λ system that has a power balance in the coupling fields sharing the same upper state (inset B). This effect can be very important in the application of double-Λ system in phase conjugation. A comprehensive theory is needed to understand the effects of CPT in NDFWM process for these double-Λ systems.

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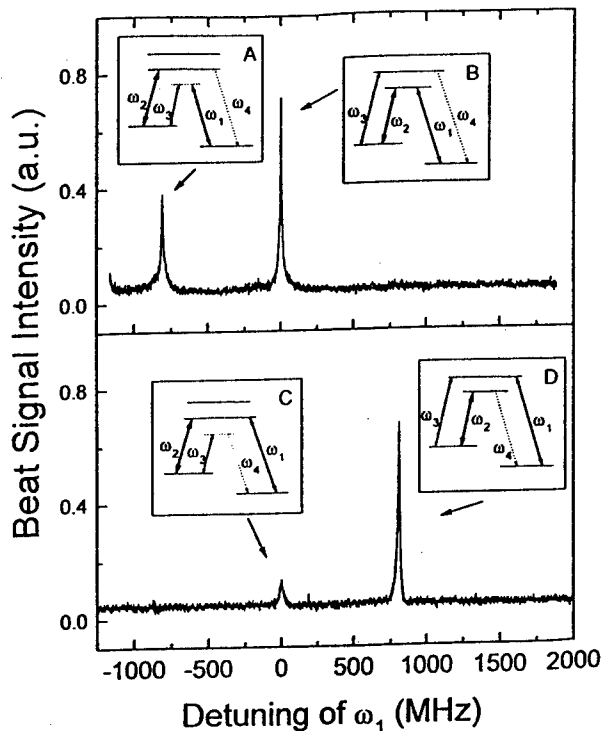
Fig.1 Beat signal intensity versus the detuning of the pump field ω_1. Upper trace, 732 MHz beat signal; lower trace, 892 MHz beat signal. P_1=1 mW and P_2=25.3 mW.
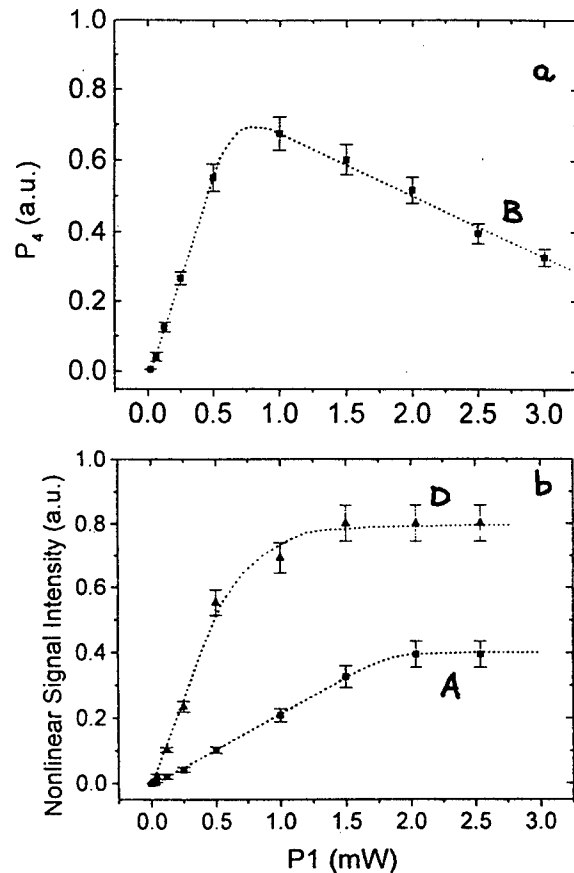
Fig.2 Generated nonlinear signal power P_4 as a function of pump power P_1. A, B, and D curves correspond to the insets in figure 1.

Optical Frequency Tripling Using Cascading Quasi-Phasematched Nonlinearities in Periodically Poled Lithium Niobate

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In modern Metrology, definitions and realizations of Time/Frequency and Length standards make use of laser oscillators electronically stabilized on ultra-narrow optical resonances provided by atoms, molecules, and trapped ions. Precise and accurate comparison and evaluation of these standards require connecting them by means of a frequency chain. Besides preserving coherence from one oscillator to another, such connections must span the electromagnetic spectrum from the microwave to the UV region. Because of that, nonlinear mixings of optical frequencies in crystals are excellent building blocks for frequency chains [1].

The recent achievement of reliable quasi-phasematching (QPM) [2] in periodically poled crystals, and in particular periodically poled lithium niobate (PPLN), has empowered even more the use of nonlinear optical mixings in frequency connections. As is well-known, QPM gives access to the largest nonlinear coefficients of the material (which are almost never usable for birefringent phasematching — BPM), suppresses walk-off, and gives great flexibility in the choice of the wavelengths involved in the nonlinear mixing.

Simultaneous QPM of different interactions in the same crystal is now easy to obtain by poling different regions of the crystal with the different corresponding periods [3]. But even a single-period (50% duty cycle) crystal offers many QPM cascading possibilities, which are impossible most of the time with BPM because of constraints on the optical polarizations [4].

We experimentally realized third-harmonic generation (THG) by cascading second-harmonic generation (SHG: $\omega \mapsto 2\omega$) and sum frequency generation (SFG: $\omega + 2\omega \mapsto 3\omega$), which of course realizes a 3:1 frequency connection.

The initial wavelength $\lambda = 2\pi c/\omega$ was 3.54 μm, emitted by a CO overtone laser [5] (output power 200 mW). The laser beam was focused into our PPLN crystal (period $\Lambda = 31.5$ μm), which generated, at a given angle for a given temperature, waves at 2ω ($\lambda = 1.77$ μm) and 3ω ($\lambda = 1.18$ μm), with power levels quite enough to use in a heterodyne beat note for phase-locking purposes.

The THG power was 0.5 nW at room temperature and increased to 7.3 nW at 133°C (Fig. 1). This power variation comes from the fact that the respective incidence angles were 15 degrees and 0 degrees. In the former case, noncollinear QPM yields an effective interaction length smaller than the crystal length, which reduces the THG efficiency, compared to collinear QPM in the latter case.

We wrote a simple model for temperature and angle tuning in noncollinear QPM, in the case where the crystal is tilted around its poling direction. In the vicinity of the SHG-SFG overlap and for small angles, the angles (in the crystal) of the (ω) wave vector with the direction perpendicular to the domain walls are

$$\varphi_1(SHG) = \pm \frac{\sqrt{2}}{n_1} \sqrt{\frac{n_2 - n_1 - \frac{\lambda}{2\Lambda}}{\frac{1}{n_2} - \frac{1}{n_1}}} \qquad (1)$$

$$\varphi_1(SFG) = \pm \frac{\sqrt{2}}{n_1} \sqrt{\frac{3n_3 - 2n_2 - n_1 - \frac{\lambda}{\Lambda}}{\frac{3}{n_3} - \frac{2}{n_2} - \frac{1}{n_1}}} \qquad (2)$$

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where the index p in φ_p and n_p refers to the $p\omega$ wave. The angles at 2ω and 3ω are $\varphi_2 \approx \frac{n_1}{n_2}\varphi_1$ and $\varphi_3 \approx \frac{n_1}{n_3}\varphi_1$. The condition $\varphi_1(SHG) = \varphi_1(SFG)$ defines the occurence of THG at given temperature and wavelength, from the dependences $n_p(\lambda, T)$ and $\Lambda(T)$.
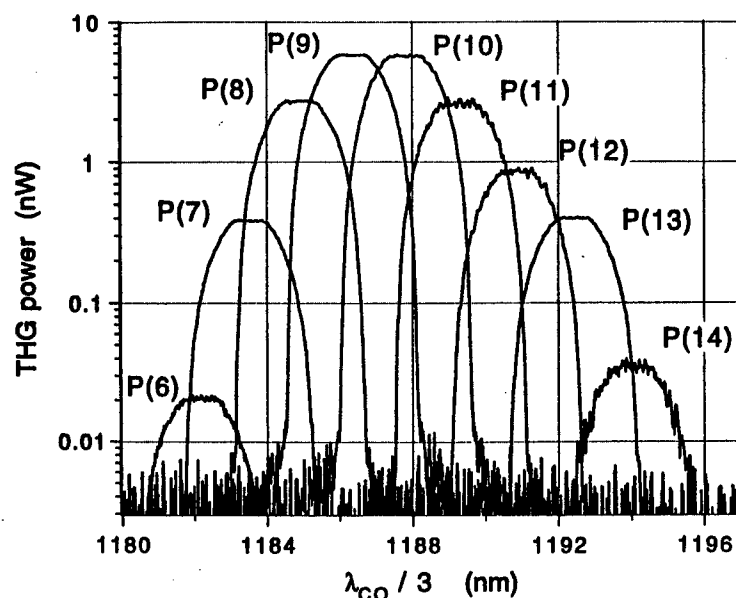
Fig. 1. Output signal of an optical spectrum analyzer giving the THG power, at 133 °C, for different lines of the CO overtone laser. The width of the signals is the resolution of the input slit of the optical spectrum analyzer.

Fitting our THG results therefore requires a good knowledge of the Sellmeier coefficients of LiNbO₃ and their temperature dependence, but also of the thermal expansion coefficients [6], since the poling period changes when the crystal length changes with temperature. Previously published Sellmeier coefficients for LiNbO₃ [7,8] did not allow us to make quantitative predictions about angle/temperature tunings, because of their still imprecise determination in the 3 μm region. Very recent measurements of the indices of refraction of LiNbO₃ [9], although lacking the temperature dependence, now give, along with Ref. [6], reasonable agreement with our experimental results at room temperature.

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3D, true color photorefractive hologram

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Holography is a common technique used to generate realistic three-dimensional (3D) images. Photorefractive crystals are an ideal storage medium for recording holographic images because of the following advantages: real-time exposure and display, simpler recording process in which no pre- or post-processing is required, low writing beam powers, and a potentially large multiplexed storage volume. Recent experiments have clearly demonstrated the potential of photorefractive crystals for storage and retrieval of 3D images.[1] In this paper, we describe the corresponding storage and retrieval of 3D, true color holograms in a photorefractive crystal. The 3D image reproduces the actual colors of the object. The 3D hologram is visible over a wide perspective as demonstrated by moving one's head back and forth while viewing the hologram. The wide field-of-view of the hologram is also demonstrated using an imaging lens with a color CCD camera mounted on a goniometer to record various perspectives.

The holographic storage material is a strontium barium niobate crystal (SBN:60) doped 0.03% by weight with Ce. The color hologram was recorded using a geometry typical of degenerate four wave mixing, shown in Figure 1.[2] The hologram was recorded in the storage medium by interfering two coherent writing beams: the reference beam, E_{ref}, and a beam scattered off the object, the object beam, E_o. In this experiment, the writing beams consisted of two primary colors: red (647.1 nm), from a continuous wave Krypton ion laser operating single-line; and blue (488 nm), from a continuous wave Argon ion laser also operating single-line. Both writing beams consisted of co-propagating dual color beams that have been expanded to both fill the storage crystal, which has dimensions of 20 x 20 x 1.3 mm, and fill the object. The length of the crystal was minimized to reduce beam-fanning[3] effects which degrade the hologram. The crystal was oriented such that the axis with the largest electro-optic coefficient (r_{33} for SBN), referred to as the $\hat{c}$ axis, was parallel to the plane of polarization, which for this study was p-polarization. The $\hat{c}$ axis is shown in Fig. 1 for SBN:60.

The object used was a pair of dice measuring 2 mm on a side. The top die was blue, while the bottom die was red, as shown in Fig. 2. The dice were painted with blue and dark red enamel paint. Both lasers were used simultaneously to illuminate the object. The scattered light was then collected by the photorefractive crystal. The object was placed approximately 25 mm from the storage crystal in this experimental setup to avoid blocking the reference beam, collect the maximum amount of scattered light and maximize the field-of-view. The object and reference beams intersected in the photorefractive storage crystal, SBN:60, thus writing an index of refraction grating via the photorefractive effect.[4] The strongest modulation of the grating occurred when the

3D, true color hologram (Heid, et al.)

two interfering beams had equal intensities. The intensities of the red and blue beams were chosen by determining the sensitivity of the photorefractive crystal to each wavelength. It was determined experimentally that a 15:1 ratio between the red and the blue beams, respectively, resulted in an equivalent holographic response within the photorefractive crystal. The powers of the red and blue writing beams used in this experiment were 200 μW and 13 μW, respectively.

The holograms were retrieved using a dual color read beam, E_{read}, which is counter-propagating to the reference beam, as shown in Fig. 1. The generated beam, E_{dif}, was diffracted off the index grating stored in the crystal. The dual color read beam consisted of co-propagating beams originating from the same lasers used to record the hologram. The respective powers of the red and blue read beams were determined experimentally to produce an equivalent holographic response. The read beam was expanded to read the entire grating recorded in the storage crystal.

The read beam was counter-propagating to the reference beam and the crystal is considered a thick medium, therefore, the real image formed in the same position as the object. The writing beams, E_o and E_{ref}, were blocked and the object was removed and since the hologram persisted for some time it was possible to view and record it on film. The hologram was viewed with an imaging lens either directly with the eye or with a camera. The hologram was documented using an imaging lens with a color CCD camera mounted on a goniometer system. Various perspectives of the 3D hologram are shown in Fig. 3. The parallax between the red and blue dice is evident in Fig. 3 by comparing the relative position of the lower right corner of the blue die with the upper left corner of the red die. The field-of-view through which the hologram was clearly visible was measured to be 20 degrees. The clarity of the hologram in Fig. 3 can be compared to the white light image of the object, shown in Fig. 2. While we have only demonstrated true 3-D color holography for two colors, the data is a clear proof-of-principle of the potential for the storage and recall of true 3-D color images in a photorefractive crystal.

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3D true color hologram (Heid, et al.)
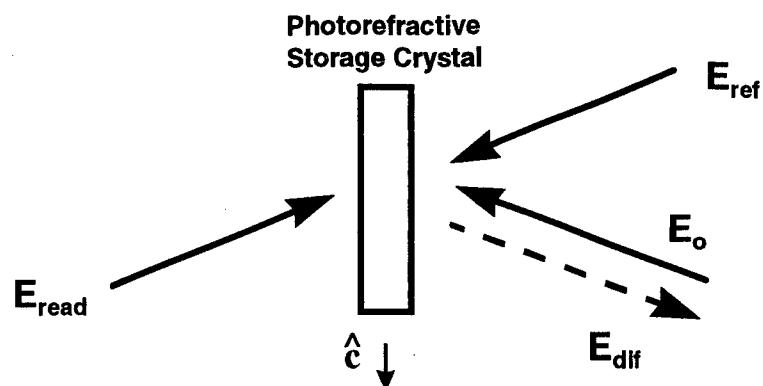
Figure 1: Four wave mixing geometry used to record a hologram in a photorefractive crystal.
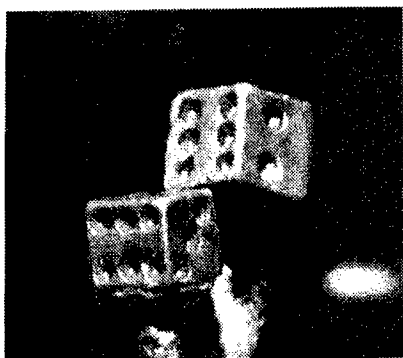
Figure 2: White light picture of 3D object used to record holograms shown in figure 3. The top die is painted with blue enamel paint and the bottom die is painted with dark red enamel paint.
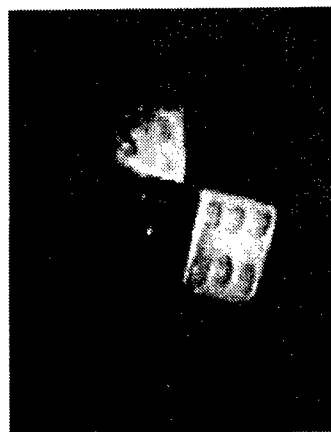
(a)   (b)   (c)

Figure 3: 3D true color hologram where the top die is blue and the bottom die is red. The hologram is viewed at various perspectives by changing the angle of the lens-CCD acquisition system.

Nonlinear optical properties of AlGaAs/GaAs multiple quantum well due to two-photon transitions between bound-to-continuum states

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Multiple quantum wells (MQW) have been studied extensively for their enhencement in linear and nonlinear optical properties and their possible applications in optical switching devices[1]. Although there have been extensive studies on the linear optical properties and excitons resulting from single photon excitation, there are only limited studies on the nonlinear optical properties MQWs. Earlier studies have measured positions of two-photon induced excitions using two-photon induced photoluminescence [2] and magnitude of two-photon absorption coefficients using inverse transmission methods [3]. Both involving two-photon transitions between the bound states. In this work, we show, for the first time in our knowledge, a nonlinear optical properties of AlGaAs/GaAs MQW near half the bandgap due to the two-photon transitions between the bound and continuum states.

For the two-photon absorption (TPA) measurements, we used a 1 cm long MQW channel waveguide with 7 nm thick GaAs wells, separated by 12 nm thick $Al_{0.22}Ga_{0.78}As$ barriers. Because of strong TPA in the sample, the nonlinear refractive indices could not be measured. Thus, the nonlinear refraction was measured using a sample with 3 nm thick GaAs wells, separated by 20 nm thick barriers such that TPA peaks occur above the tuning range of our laser. A color center laser producing 4-7 ps pulses from 1490-1660 nm was used for the experiments.

Using the inverse transmission method, the TPA coefficients for both the TE and TM modes were measured. The two-photon spectrum for TM polarization is shown in Fig. 1. In order to interpret this spectrum one has to note a rather interesting feature of the MQWs used in the experiments. That is the second light hole (L2) and conduction (C2) subbands involved in the TM two-photon transition are so close to the edge of the continuum that they should be analyzed as minibands rather than as confined states as was considered in [4,5]. Due to the extended character of the L2 and especially C2 states the excitons associated with TM-polarized TPA are weaker and broader than the excitons belonging to the confined states. In order to explain the features observed in our experiments, we performed calculations using the model in [4,5], modified by the Kronig-Penney method for evaluation of the extended states L2 and C2 as in [6]. These theoretical results are included in Fig. 1. It is evident that the location of the L2-C1 and L1-C2 exciton resonances are predicted correctly and their magnitude is predicted to within a factor of two. The binding energies of the excitons resulting from L2-C1 and L1-C2 are calculated to be 4.5 eV and 6 eV, respectively. The TPA spectrum for TE polarized guided waves is
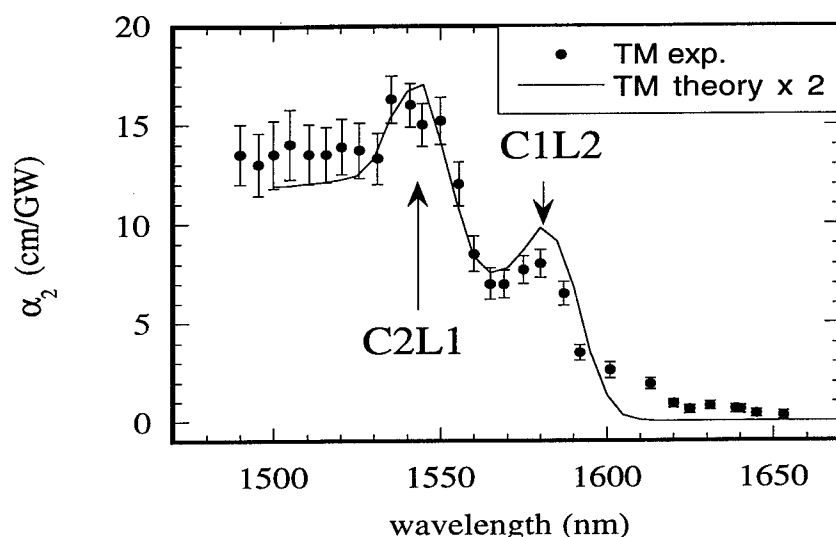
Figure 1 Two-photon absorption spectrum of an MQW waveguide for TM polarization

shown in Fig. 2. As predicted by the theory, the TPA edge lies below twice the photon energy of the TM polarized waves and no distinct features are observed since the oscillator strength of the 2P excitons is too weak. The spectrum does show, however, some weak features in the vicinity of the TM-polarized TPA peaks. These weak features can be attributed to band-mixing and/or selection rule violations, possibly due to asymmetry of the MQWs or the interface roughness [6]. As in the case of TE-polarized TPA, the theoretical estimate is roughly within a factor of two of the experimental result.
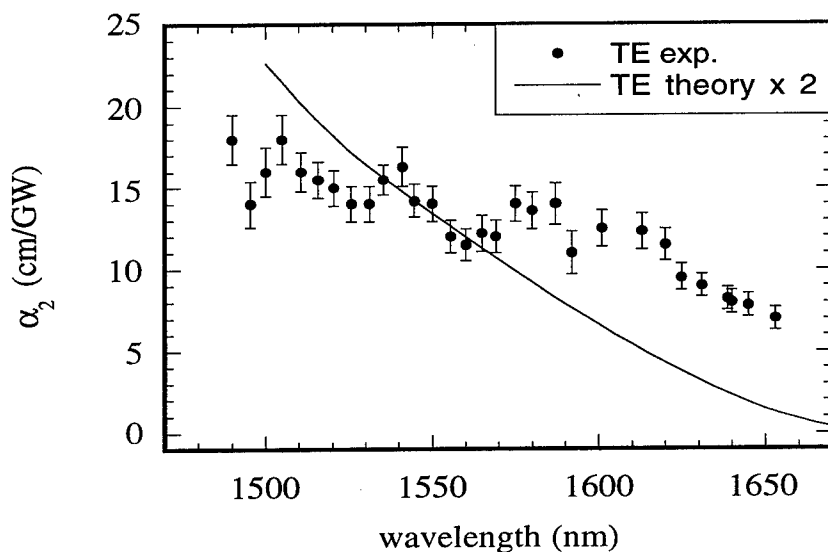
Figure 2 Two-photon absorption spectrum of an MQW waveguide for TE polarization
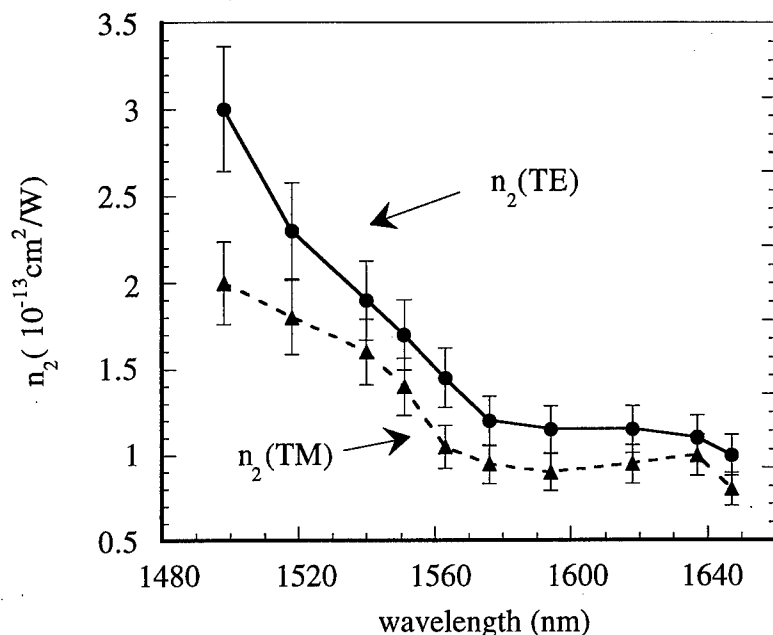
Figure 3 Dispersion of n_2 for the TE and TM modes for MQW channel waveguides

The nonlinear refractive indices were measured using the self-phase modulation techniques for both TE and TM polarization and is shown in figure 3. As can be seen from the graph, the n_2's for the TE polarizaion were approximately 50% larger than that for TM polarization, consistent with the theory.

In conclusion, we have measured the anisotropic TPA of AlGaAs/GaAs MQW that exhibits two-photon transition from confined-to-continuum states. We also have measured the anisotropic nonlinear refractive indices of AlGaAs/GaAs MQW. From the inspection of the TPA spectra and fitting the data, we found that the excitons resulting from two-photon transitions from confined-to-continuum states exhibit reduced binding energies and this is in good agreement with the theory.

The work at NRL was supported by the Office of Naval Research, and JHK and GIS were supported by the Air Force Office of Scientific Research.

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Structure of oriented porphyrin J-aggregates determined by dichroic electrooptic measurement

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Summary

The excitonic transition in J-aggregates has a sharp absorption band characteristic to a one-dimensional system, which results in an enhanced optical nonlinearity. A structure of molecular J-aggregates is a key subject to understand mechanisms of large optical nonlinearity in one-dimensional systems [1]. A few years ago, we developed a vertical spin-coating method to prepare oriented J-aggregates dispersed in polyvinylalcohol (PVA) films [2]. The new method enabled us to investigate the detailed structure of J-aggregates [3].

In the present paper, the molecular stacking and the number of molecules in a one dimensional J-aggregate were determined by means of electrooptic (EO) effects studied by dichroic electromodulation spectroscopy. The sample was the oriented films dispersed with J-aggregates of tetraphenyl porphine tetrasulfonic acid (TPPS).

To prepare highly oriented J-aggregates, a glass substrate was attached to the horizontally settled shaft of a small motor along its shorter edge. The aquaous solution containing TPPS and PVA was poured on the substrate, which is spun vertically. The viscous solution was spread and coated on the substrate uniaxially like a jet stream along the radial direction of the rotational motion by centrifugal force.

Figure 1 shows the concentration dependence of dichloic spectra of oriented TPPS aggregates prepared by the vertical spin-coating. At a weight ratio of 0.4/80 mg (TPPS/PVA), monomer spectrum was observed with peaks of Q_x, Q_y, B_x, and B_y bands at 1.92, 2.25, 2.95, and 3.06 eV, respectively. At 8/80 mg (TPPS/PVA), distinct dichroism was observed due to the highly oriented aggregates of TPPS. The peaks at 1.74 and 2.51 eV are parallel-polarized corresponding to the red-shifted Q_x and B_x bands, and those at 1.82 and 2.93 eV are perpendicular-polarized corresponding to the red-shifted Q_y and B_y bands. All the bands are red-shifted, which means the head-to-tail alignment in both x and y coordinates.

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According to the above assignment, the red-shift enegies are calculated to be 0.18:0.43 eV (Q_x:Q_y), 0.41:0.08 eV (B_x:B_y). The ratio between stabilized energies ΔE is rewritten as a function of the ratio of oscillator strengths of these bands and the tilt angle θ of the transition moment as,

$$\frac{\Delta E_y}{\Delta E_x} = \frac{\mu_y^2\left(1-3\sin^2\theta\right)}{\mu_x^2\left(1-3\cos^2\theta\right)}.$$

The tilt angle θ is calculated to be $44 \pm 1°$ consistently for both Q and B bands. Therefore, it can be concluded that TPPS molecules form a *J-aggregate* with a stacking axis of the constituent molecules in the direction of about 45 degrees with respect to the molecular x and y coordinates. A schematic diagram of molecular stacking is depicted in Fig. 2. This configuration is very reasonable if the steric hindrance of the substituted phenyl rings are considered.

The aggregate size, i. e. the number of the constituent molecules, in a single mesoaggregate was determined by the electromodulation spectroscopy. The Kerr signal, which is proportional to the squared electric field, is given by the sum of the contributions from the static polarizability difference $\Delta\alpha$ and static dipole moment difference $\Delta\mu$ upon electronic excitation. The two contributions of $\Delta\alpha$ and $\Delta\mu$ can generally be decomposed from the electroabsorption spectra as a first and second derivatives of stationary absorption spectra, respectively.

The electroabsoption spectra were similar to the first derivative of the linear aborption spectrum. This is mainly due to a contribution of change in static polarizability upon electronic excitation [4]. The static polarizability differences of TPPS J-aggregates were obtained to be 230 and 190 A^3, while those of TPPS monomer were obtained to be 63 and 31 A^3 for B and Q bands, respectively. The oriented sample is mainly composed of the macroaggregates. However, the static polarizability is associated with the mesoaggregates, which is defined by the coherent extension of the exciton. Hence, the mesoaggregate size was determined to be 4 and 6 for B and Q bands, respectively, from the enhancement factor of the static polarizability.

In conclusion, we could for the first time determine the structural configuration and the aggregate size in a single dimensional porphyrin J-aggregate by means of dichroic electromodulation spectroscopy.

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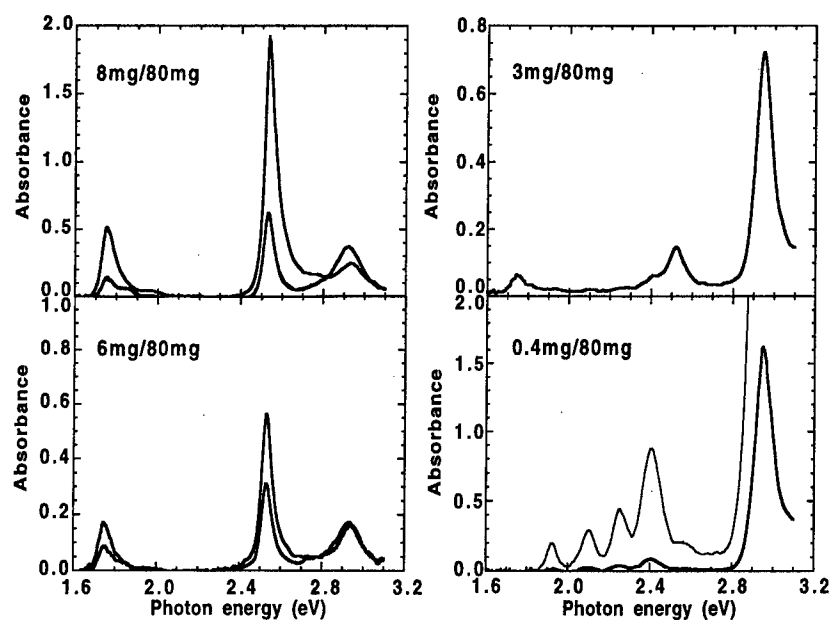
Fig. 1: Dichroic spectra of TPPS samples with several molecular concentrations. The amount of TPPS/PVA is indicated in each panel.
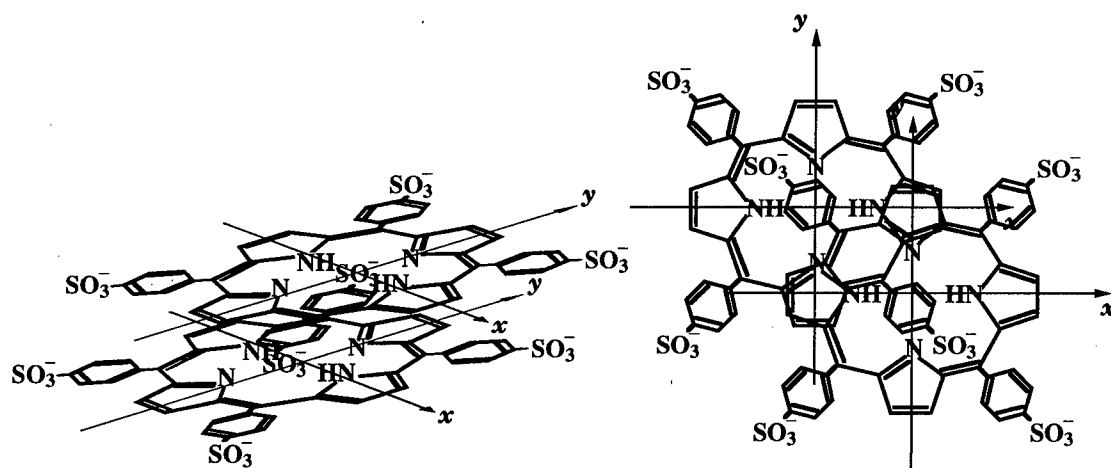
Fig. 2: Illustration of molecular stacking of TPPS in a J-aggregate. The stacking axis is in the direction of 44±1 degrees with respect to the x and y coordinates of TPPS molecule.
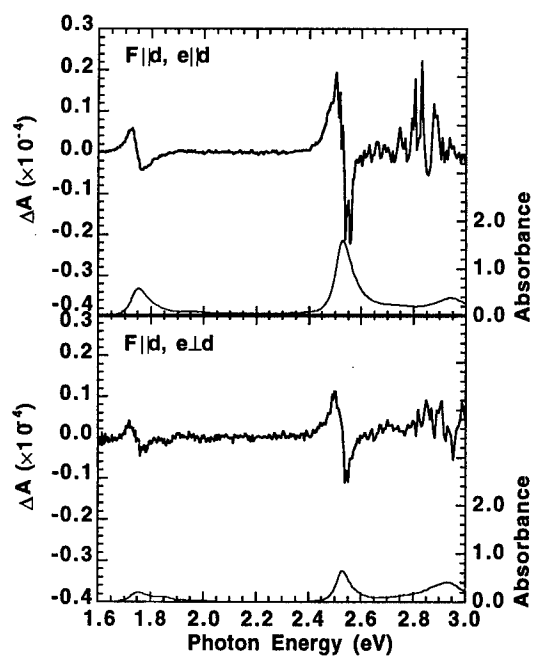
Fig. 3: Dichroic electroabsorption spectra of TPPS J-aggregates for parallel (upper panel) and perpendicular (lower panel) polarization of light with respect to the orientation axis. The absorption spectrum is also depicted (thin line).

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Interference patterns of scattering light from an electric field biased nematic liquid-crystal film

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Nonlinear light scattering phenomena of liquid-crystal films have attracted a lot of research interest since they are useful tools for diagnosing properties of liquid-crystal materials and may have some applications.[1-5] As an example, diffraction ring patterns caused by the spatial self-phase modulation induced by the optical gaussian intensity profile in a planar aligned nematic liquid crystal film have been reported and studied extensively.[6-10] It has also been proposed that optical intensity limiting devices can be made based on such a phenomenon.[6,7] In the present paper we would like to report and study a new nonlinear light scattering phenomenon from the same planar aligned nematic liquid crystal film structure. This new phenomenon exhibits ring patterns in the orthogornal polarization and is caused by the interference of the scattering lights. The aim of this paper is thus to investigate the influences of the applied electric and optical fields upon these interference patterns both experimentally and theoretically.

Our experimental setup is shown in Fig. 1(a). The liquid-crystal film we use is composed of nematic liquid-crystal E7 sandwiched between two indium tin oxide coated glass windows that have been treated with polyvinyl alcohol (PVA) for planar alignment. The sample thickness d is about 150 μm. An 1 kHz electric field is applied normally to the sample's glass windows. The light beam from an Ar+ laser is normally incident onto the sample with a spot diameter about 1.9 mm and its polarization is parallel to the direction of the molecular director (the e-wave). The sample is fixed on the sample holder which has a cooling system for eliminating laser heating effect and keeping the cell temperature in nematic phase range. The scattering light in the orthogornal polarization (the o-wave) is observed with an analyzer. The photographs in Fig. 1(b) show the patterns of o-wave scattering light under different bias voltages with an input light intensity about 4.55 W/cm^2. From (b-1) to (b-5), the bias voltage is monotonously increased and the maximum is less than 1.8 volt. In the patterns, dark ring fringes are observed and they move outwards as the bias voltage is increased. As a comparison, no dark ring fringe is observed for the e-wave and this rules out the possibility that these patterns are diffraction rings caused by the self-phase modulation. We believe that the observed ring patterns are induced by the interference of o-wave scattering lights and have developed the following simple model to explain our experimental results.

The schematic diagram of the incident and scattered laser lights is shown in Fig. 2. The molecular orientation distribution in the liquid-crystal film under different bias voltage can be approximated by : [11,12]

$$\theta(z) = \theta_m \sin(\pi z / d) \tag{1}$$

and the effective refractive-index seen by the e-wave can be written as :

$$n_{eff}(\theta) \cong n_e - \frac{n_e((n_e/n_o)^2 - 1)\theta_m^2}{2} \sin^2(\pi z/d).$$

(2)

Here θ_m is a function of the bias voltage. The maximum phase difference between beam 1 and beam 2 can be expressed as :

$$\Delta\delta_{max} = \frac{2\pi}{\lambda} \left[\int_0^d n_{eff}(\theta)\, dz - n_o d \cos\phi \right],$$

(3)

where the angle ϕ is the scattering angle and λ is the wavelength of light in vacuum From the viewpoint of optical interference, the condition of the occurrence of destructive interference at the scattering angle ϕ is $\Delta\delta_{max} = N\, 2\pi$, where N is an integer. Using typical parameter values for our nematics E7 sample,[13] the numerical results of the angle ϕ at which dark fringes occur are shown in Fig. 3(a) and 3(b) as a function of the applied bias voltage. The curve in Fig. 3 correctly predict the moving of dark fringes along with the increase of the bias voltage. When the optical intensity is increased, the patterns are also changed due to the optically induced molecular re-orientation effect. The details of experimental results and their explanation will be presented during the conference.

In conclusion, we have observed and studied a new nonlinear light scattering phenomenon from a planar aligned nematic liquid-crystal film. A model based on optical interference has been developed and its predictions agree very well with our experimental observations.

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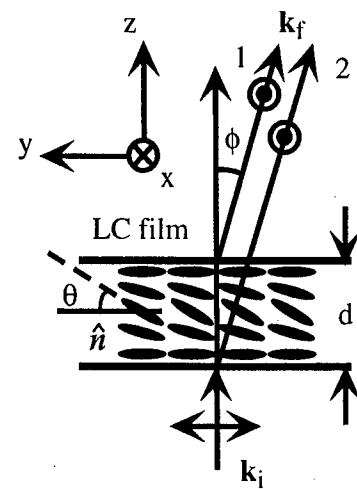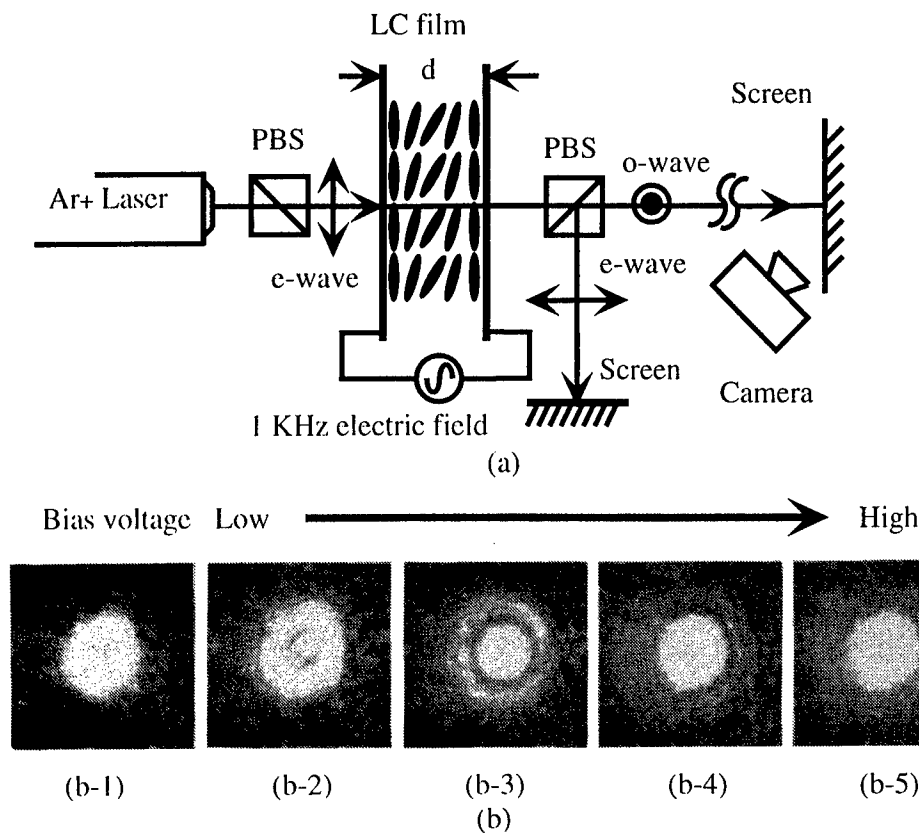
Fig. 2 The schematic diagra of the incident and scattered light : k_i and k_f are the wave vectors of the incident and scattered light, respectively; φ, scattering angle; , molecular director; θ, molecular orientation angle.

Fig. 1 (a) The experimental apparatus of scattering light : PBS, polarized beam splitter; LC, liquid crystal; d , sample thickness; o-wave, ordinary wave; e wave, extra-ordinary wave. (b) Photographs of o-wave scattering light for various bias voltages.
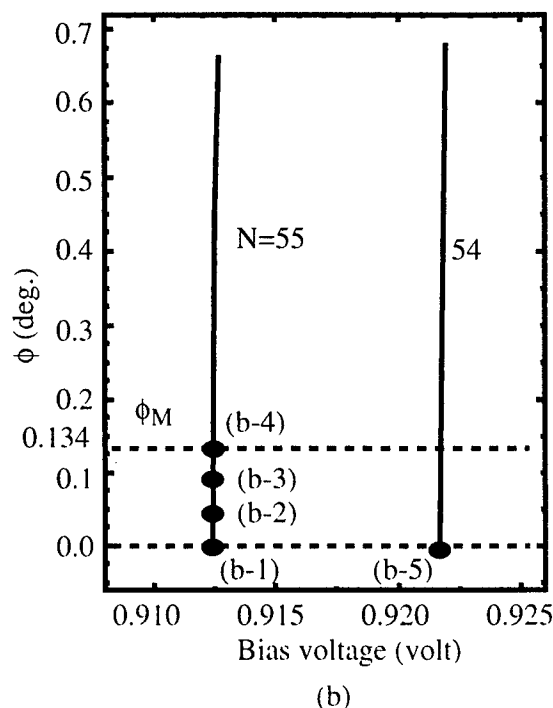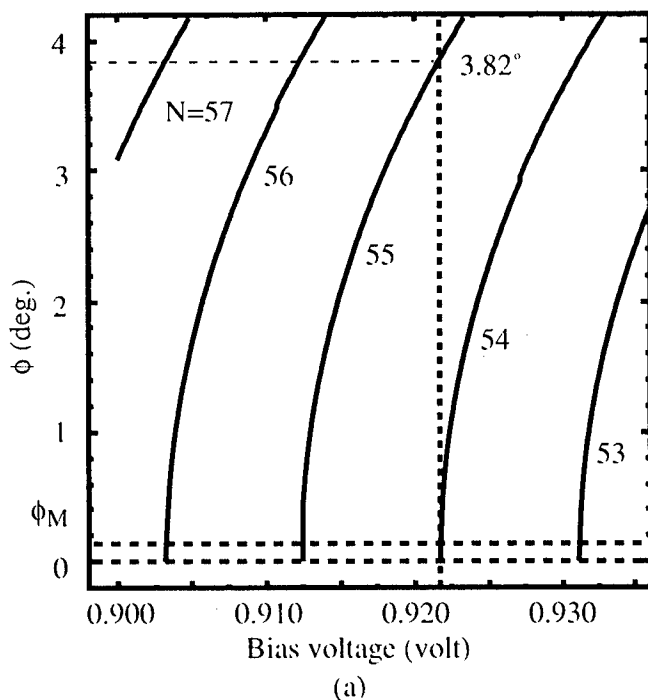
Fig. 3 (a) The numerical results of the angle φ versus bias voltage for various integer N. (b) The resized Fig. 3(a) for large-scale present. The dot points present the photographs for various bias voltage in Fig. 1(b) from (b-1) to (b-5). $φ_M$: the maximum observable scattering angle is about 0.134°.

Studies of SPM-Induced Spectral Broadening in PTS-Polydiacetylene

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PTS (poly-[2,4 hexadiyne-1,6 diol-bis-(p-toluene sulfonate)]) has significant potential for future applications in ultrafast all-optical switches and logic gates due to its large off-resonant third-order susceptibility and subpicosecond response time.[1,2] In this work, we have made detailed measurement of spectral broadening in PTS induced by self-phase modulation at 720-1064 nm. The nonlinear refractive index has been determined as a function of wavelength from the spectral broadening data. Similar spectral broadening measurements were made in silica fibers and other materials.[3,4] The effect of group-velocity dispersion has been analyzed.

The intensity dependence of the refractive index in nonlinear media causes a time-varying phase shift within the same optical pulse, which is termed as the self-phase modulation (SPM). New frequency components are continuously generated due to SPM as the pulse propagates through the media, resulting in spectral broadening. The instantaneous optical frequency difference $\delta\omega(t)$ across the pulse is related to the temporally varying phase ϕ_{NL} by the following equation:

$$\delta\omega(t) = -\frac{\partial\delta\phi}{\partial t}. \tag{1}$$

The phase shift $\delta\phi(t)$ is proportional to the effective sample length L_{eff} and the intensity-dependent refractive index change δn at time t:

$$\delta\phi = \frac{2\pi}{\lambda} L_{eff} \delta n, \tag{2}$$

where the effective length L_{eff} and δn are given by:

$$L_{eff} = \int_0^L e^{-\alpha l} dl = \frac{1 - e^{-\alpha L}}{\alpha}, \tag{3}$$

$$\delta n = n_2 [A(t)]^2, \tag{4}$$

where α is the linear absorption coefficient, n_2 is the intensity-dependent refractive index, and $A(t)$ is the slowly varying amplitude of the optical electric field.

A Ti:Sapphire laser producing 2 ps pulses at 82 MHz repetition rate was used for measurements at 720-840 nm, and a Nd:YAG laser producing 60 ps pulses at 10 Hz repetition

rate was used for 1064 nm. The incident beam of 100 W peak power was focused into a 300 μm thick PTS single crystal. The incident beam was linearly polarized parallel to the polymer chain axis. The spectra of the input and output pulses were recorded using a high resolution spectrometer, and the maximum broadening of the spectrum was measured. Figure 1 shows a typical set of input and output spectra. The nonlinear refractive index n_2 of PTS has been

Figure 1. Spectra of a typical set of input ($\triangle$) and output ($\bigcirc$) pulses at 719.25 nm.

determined as a function of wavelength from the spectral broadening data. Figure 2 shows the measured values of n_2, and a fit through the points.
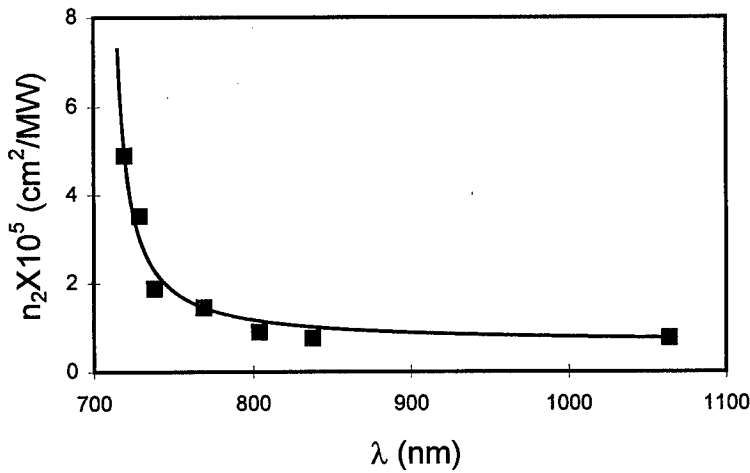
Figure 2. Measured magnitude of n_2 of PTS. Solid line is a hyperbolic fit.

It is necessary to estimate the effects of group-velocity dispersion (GVD) on the pulse propagation in the nonlinear media. Different frequency components of an optical pulse travel at slightly different speeds, causing a dispersion-induced broadening of the pulse. To determine the significance of GVD effects, it is customary to define the dispersion length L_D as follows:

$$L_D = \frac{T_0^2}{|\beta_2|},$$
(5)

where T_0 is the initial pulse width, and the group-velocity dispersion parameter β_2 is defined as follows:

$$\beta_2 = \frac{d\beta_1}{d\omega} \approx \frac{\lambda^3}{2\pi c^2} \frac{d^2 n}{d\lambda^2}.$$
(6)

If the sample length L is such that $L \ll L_D$, then GVD does not play a significant role. Figure 3 shows the dispersion length of PTS as a function of wavelength, determined from Equation 5. The dispersion length was found to decrease with the increase in wavelength, and was found to be ~190 mm at 1064 nm. Since the length of the PTS single-crystal was 300 μm, which is much smaller than the dispersion lengths at 720-1064 nm wavelengths, group-velocity dispersion effects were negligible.
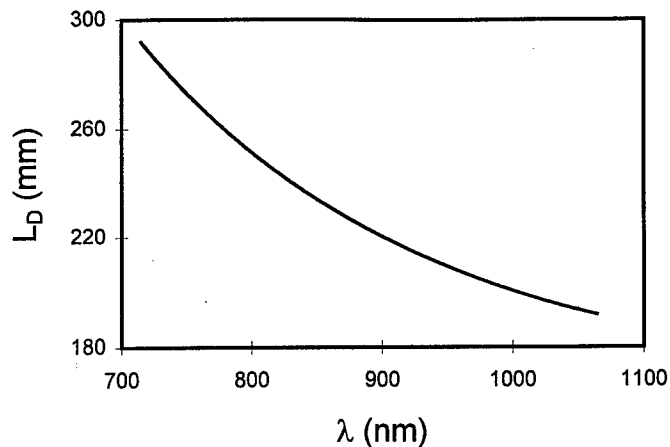
Figure 3. Dispersion length of PTS at 2 ps pulse width.

In summary, spectral broadening of picosecond optical pulses in PTS-polydiacetylene single-crystal induced by self-phase modulation was measured. The nonlinear refractive index of PTS as a function of wavelength was determined from the spectral broadening information. The effects of group-velocity dispersion were analyzed. This is the first measurement of the nonlinear refractive index of PTS from spectral broadening data.

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Single-pass Thin-film Electro-optic Modulator based on DAST

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Organic molecular salts such as 4-N,N-dimethylamino-4-methylstilbazolium tosylate, abbreviated as DAST, are important electro-optic materials. The major challenge at present lies in the preparation of single crystal films of such molecular salts. Polycrystalline films are not useful because they lead to substantial scattering loss of laser light and electrical and optical fields can not be applied along specific molecular axes resulting in a substantial reduction in nonlinear optical coefficients. Therefore, we have invested a significant effort in growing single crystal films of this material.

Recently, we have successfully prepared single crystal films of DAST using a modification of the shear method.[1] This is the first time that DAST single crystal films have been prepared and the films we obtained are about 15mm^2 in area. Polarized optical microscopic studies have shown that these films have excellent optical quality. Availability of such a single crystal film was essential for the successful demonstration of single-pass electro-optic modulation using thin films.

Optical absorption spectrum of the DAST film on fused quartz, shown in Fig.1, shows an onset of absorption at 600nm. Therefore absorption loss is minimal through the thin film for wavelengths longer than about 600nm. X-ray diffraction of the thin film indicated that two possible assignments, [101] and [001], could be made for the surface orientation. The observed d-spacings were consistent with either of these assignments. However, inspection of the surface morphology of the crystal-film suggested a [001] orientation, with a and b-axes along the film plane.

Electro-optic measurement on the films was made using Mach-Zehnder interferometry. Gold electrodes were deposited on the film to apply electric field in the transverse configuration along the a-axis which lies on the film plane. The film with the electrodes was placed in one arm of the Mach-Zehnder interferometer to introduce phase changes using electric field while in the other arm the light traveled through air. A Ti:Sapphire laser was used to produce the wavelength

of 720nm and the light polarized parallel to the dipole axis (a-axis) of DAST was transmitted through the film. The film thickness was 3μm. One of the corner mirrors of the Mach-Zehnder interferometer was attached to a piezo-electric drive to control the bias point in the Mach-Zehnder fringes. Light modulation at an optimum bias point was measured for different magnitudes of applied electric field using a photodiode and lock-in detection and also using an oscilloscope.

The oscilloscope trace of the signal modulation for applied ac field of magnitude 1V/μm at the frequency of 2kHz is shown in Fig.2. Clearly, the observed modulation is substantial (~20%) for a 3μm thick film. As these results show, this is a clear demonstration of a thin-film single-pass electro-optic modulator. Speeds many orders of magnitude higher than 4kHz, of-course, is expected and have been demonstrated for organic molecular electro-optic materials. Therefore the potential for applications is significant. The fabrication of device structures on thin films should be straightforward provided large-area (>1cm^2) single crystal films are available. Therefore, as stated earlier, the major challenge, at present, lies in the successful preparation of large-area high quality single crystal films of this material.

In summary, single crystal films of DAST have been prepared. Single-pass electro-optic modulation has been demonstrated by Mach-Zehnder interferometry using such a thin film. Modulation as large as 20% was observed for a low ac field (1V/μm) using a 3μm thick film. These films are highly promising for applications in photonics.

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Optical Absorption Spectrum of DAST
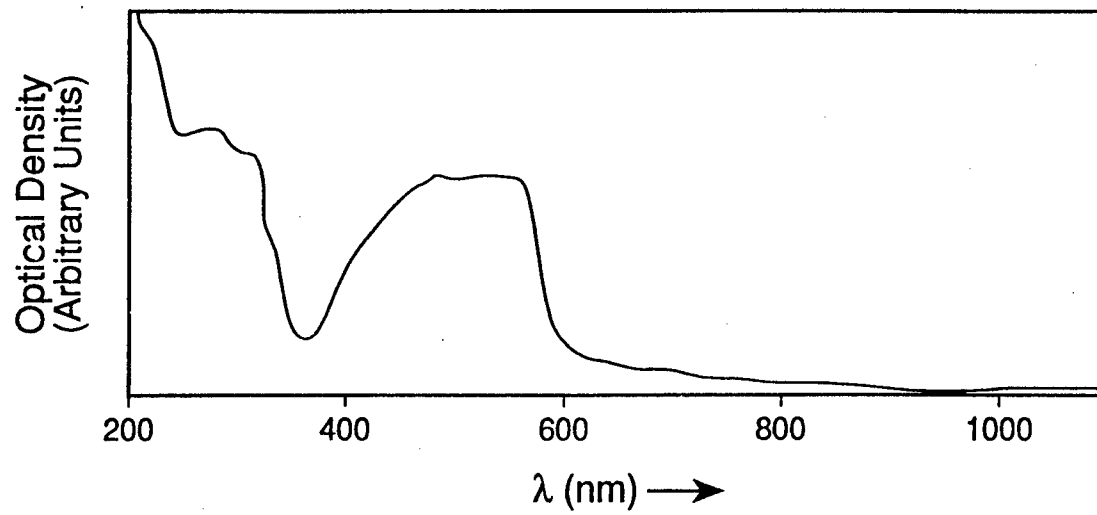
Fig.1
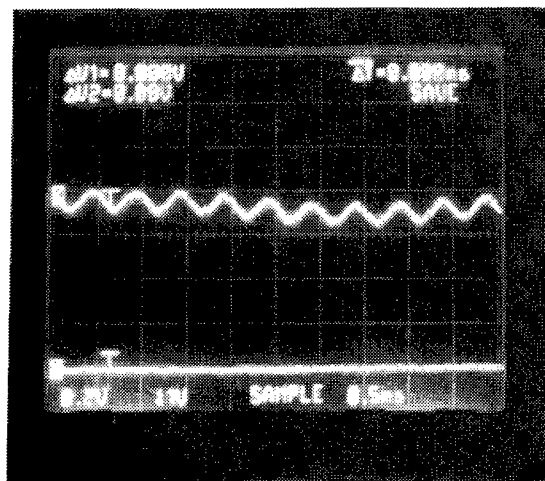
Fig.2 Oscilloscope trace of electro-optic modulation of the transmitted beam (λ=720nm) through a 3μm thick sample. The modulation is about 20% for a field of 1V/μm at 2kHz.

Femtosecond Pump-Probe Spectroscopy of Quantum Confined Silicon and Germanium Nanocrystals

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The electronic structure and relevant dynamical processes of indirect bandgap semiconductor nanocrystals such as Si and Ge are topics of considerable interest due to the complexity of the physics, the difficulty in obtaining useful experimental results, and the increasing interest in technological applications of Si and Ge nanocrystals. Unlike direct bandgap semiconductor nanocrystals, steady-state linear optical spectroscopy reveals little information due in part to the indirect nature of the lowest optical transition of the bulk material from which the nanocrystals are derived. However, experimental results in this area would clarify many controversial issues regarding the physics of these nanocrystals and, in particular, the mechanism of visible light emission.

The nanocrystals in this study were fabricated via a chemical synthetic route [1-3] that provides excellent surface passivation by various organic functional groups. These nanocrystals

have been well-characterized by electron microscopies such as High Resolution Transmission Electron Microscopy and Electron Diffraction, and by steady-state linear optical spectroscopies such as absorption, and size-selective phtoluminescence and photoluminescence excitation. The Si quantum dots ranged in size from ~2-3 nm, while the Ge quantum dots in various samples were 2.5-10 nm. These electronic and optical characterizations reveal moderately broad size distributions and compelling evidence of quantum confinement [4,5]

We present the results of various femtosecond pump-probe spectroscopies on quantum confined Si and Ge nanocrystals. The spectroscopies include femtosecond hole burning, differential transmission, and pump-probe decays. The experiments were performed with a Ti:sapphire regenerative amplifier with output of 800 nm, ~100 fs pulsewidth, ~1 mJ energy per pulse, and 1 kHz repetition rate. The output was divided into two beams, one of which was frequency doubled to generate 400 nm excitation. The other beam was focused into a 1 mm sapphire plate to generate a stable, single filament white light continuum.

The results of the femtosecond hole burning and differential transmission experiments revealed information on the homogeneous lineshape and linewidth of various electronic transitions in these nanocrystals. Our studies show that the electron-phonon coupling in these quantum dots, as given by the temperature dependent linewidth and Stokes shift at short times (femtoseconds after excitation), is actually small (meV's). In contrast, steady-state optical spectroscopy erroneously gives values of 100's meV due to complications in part with the particle size distribution. Our time-resolved results agree well with tight binding models by Delerue et al. [6] that indicate that the Stokes shift should be a few meV's. In addition, we resolved for the first time various excited states through size-selective femtosecond hole-burning. The confinement-induced shift of their energies with particle size is also reported for the first time. This is an important result since the indirect nature of these semiconductors obscures the observation of excited electron-hole states in their quantum confined nanocrystalline form. These results provide the first data of this kind for the theoretical modeling of the electronic excited states of these indirect quantum dots.

Our results also address the important but controversial issue of the origin of the blue and red light emission that has been reported in nanocrystalline Si and Ge. We observed the ultrafast relaxation of the initial electronic excitations. Vibrational relaxation occurs in ≤100 fs, followed by rapid localization of the initial excitation into several trap states. We find that these traps are responsible for the red emission that has been previously and erroneously assigned in some reports to the quantum confined bandedge emission from Si and Ge nanocrystals. We also observed the ultrafast relaxation into traps responsible for blue and green emission. Our results support and enhance our earlier model that a very specific ultraviolet-blue emission corresponds to the quantum confined bandedge transition [4,5] in nanocrystals of this size, while other red, green, and blue emissions originate from trap states.

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Novel electrode geometries for periodically poling of ferroelectric materials

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Recent developments in electric field poling have enabled the production of high quality QPM-structures for efficient frequency conversion in the mid-IR and visible spectral region. However, the poling results are difficult to reproduce, especially for small structure dimensions. The main reason is the patterning process of the electrodes, which are today exclusively realized by lithographic methods. The patterning results depend strongly on the parameters of each lithographic step, which are difficult to reproduce with the required accuracy. In this paper we present a simple patterning method based on laser induced material ablation using a novel electrode configuration for periodic poling of ferroelectric materials.

In order to indentify optimized configurations for domain formation by electric field poling, several electrode geometries have been analysed theoretically. The investigations are based on the published results of the domain formation in lithium niobate [1], the most commonly used medium for QPM. With an electrostatic model, the distribution of the electric field inside lithium niobate crystals was analysed using commercially available software based on the method of finite elements. For domain inversion, the field component along the z-axis of the crystal is the crucial value. The calculations were carried out with an externally applied voltage difference between the two polar faces that corresponds to the coercive field of about 20 kV/mm.

The result for a commonly used electrode configuration, consisting of metal stripes on the +z-surface with a surrounding isolator is shown on figure 1. A significant spatial modulation of the field strength occurs only in a small layer at the structured surface with a thickness in the order of the structure period. Therefore, only a small part of the crystal is shown in cross-section. At the edges of the structures a significant increase of the field strength occur which reaches beyond the metal electrodes. This is believed to be the reason for the observed broadening of the poled structures.
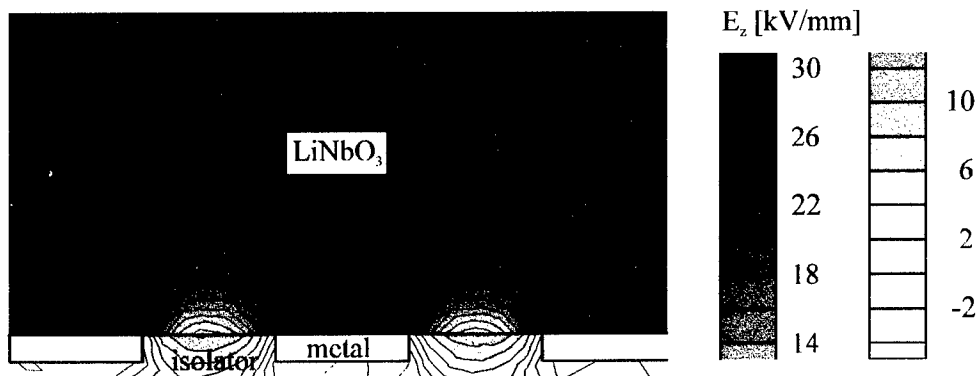
Figure 1. Field strength distribution E_z with metal electrodes

An analysis of a novel geometry with gaussian shaped grooves is shown in figure 2. In this geometry the surfaces were completely contacted, which could be realized for example by using liquid electrodes. The maximum field strength occurs at the peak of the grooves.

Therefore, with this configuration the poling is expected to start at the peaks following by a broadening of the inverted domains into the wings of the grooves. This should enable a precise controlling of the width and therefore of the duty cycle of the inverted stripes.
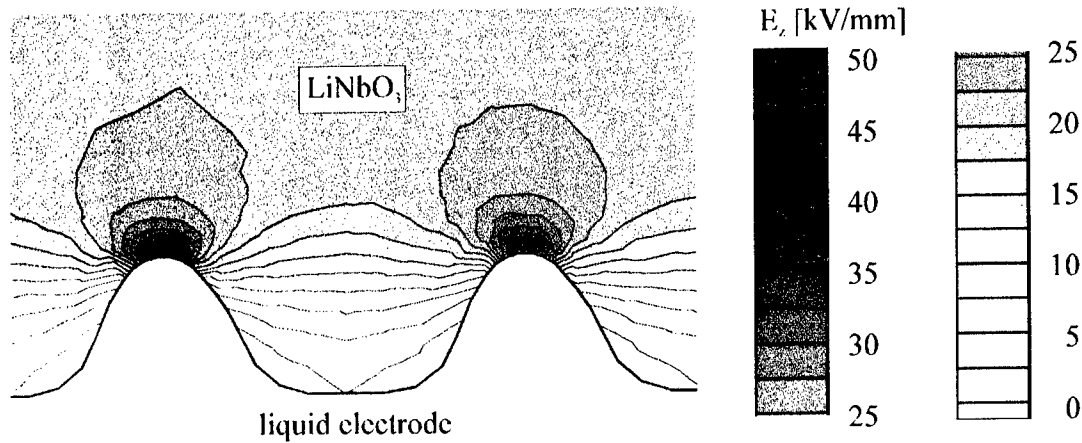
Figure 2. Field strength distribution E_z with gauss-like shaped grooves

The above described electrode configuration was realized with laser induced material ablation. As laser source, a titan sapphire laser system with repetition rates up to 5 kHz and a pulse duration of 150 fs was used. With such ultra-short pulse laser systems heat induced effects during material processing are minimized [2]. The laser beam was focussed and scanned over the +z-face of the crystal. The gaussian beam of the laser led to an corresponding cross-section of the ablated groove.

With this simple preparation method lithium niobate crystals with a thickness of 500 µm were periodically poled successfully with periods down to less than 20 µm. A side view along the y-direction of a poled and etched crystal is shown in figure 3. The domain inverted regions corresponding to the light areas are smaller than the grooves. This is in coincidence with the theoretical investigations.
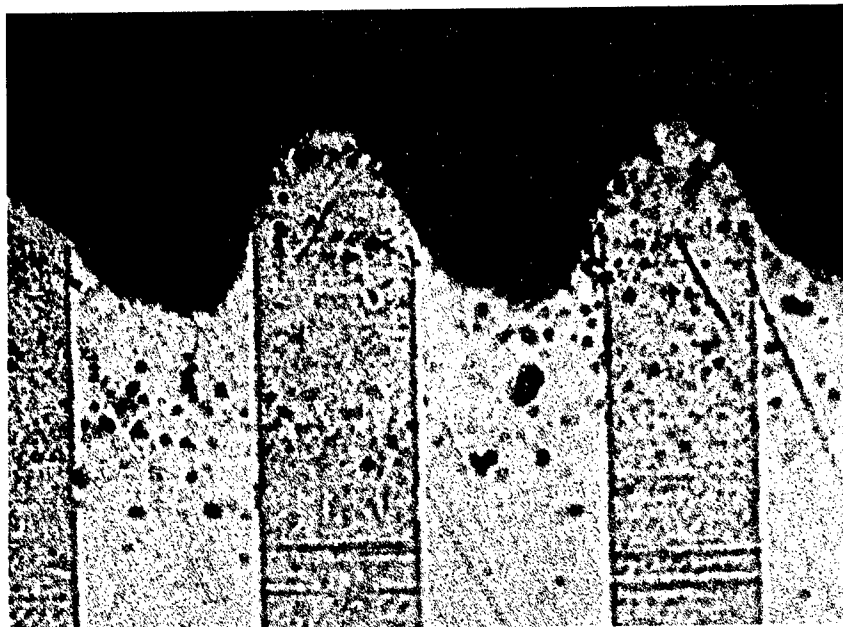
Figure 3: Domain structure after electric field poling with gauss-like shaped grooves

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The periods realized so far are sufficient for difference frequency mixing to generate radiation in the mid-IR spectral region. First experiments to realize continuous tunable single-frequency radiation with a wavelength around 3 μm will be presented.

In conclusion we have demonstrated a novel electrode configuration for periodic field poling, which could be easily fabricated in one step. Furthermore, the manufacturing process avoids the need of a clean-room environment. The direct writing process requires no mask and enables a rapid realization and iteration of new QPM-designs.

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Observation of nonlinear spatial and temporal phenomena in a long $Er^{3+}:Cr^{3+}:YAlO_3$ rod

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We report observations from a simple experiment in which a single laser beam is incident on a highly non-linear $Er^{3+}:Cr^{3+}:YAlO_3$ crystal. At low intensity the transmitted beam is attenuated by transmission through the crystal but retains the Gaussian intensity profile of the incident beam. As the intensity is increased a pattern of concentric rings is evident in the transmitted far-field and at still higher intensities the transmitted beam and the beam reflected within the medium show time-dependent behaviour. Above an intensity threshold a self-pumped phase conjugate beam can be generated. Optical media with a large nonlinear index are of interest for many applications, for example optical switching and image processing. It is important to characterize instabilities which may limit these applications and which are potentially useful phenomena by themselves. Here we investigate effects produced with a $Er^{3+}:Cr^{3+}:YAlO_3$ rod whose length is greater than the coherence length of the laser and for which the light induced phase shift can be much greater than π.

The 56 mm long $Er^{3+}:Cr^{3+}:YAlO_3$ rod with number density ratio Cr:Er:Al (or Y) ~ 1:20:750 [1,2] is shown in figure 1. Light at wavelengths from a cw argon ion laser and a cw mode-locked frequency-doubled YAG laser at 532 nm was used in these experiments. Absorption takes place into both Cr^{3+} and Er^{3+} ions for all the wavelengths used. Absorption by the erbium ion leads to strong fluorescence in the green from decay of the $^2H_{11/2}$ and $^4S_{3/2}$ levels to the ground state as shown in figure 1. Absorption by the chromium ion leads to population of the long lived ^2E level. This Cr^{3+} excited state population, and not excitation of the erbium ion, gives rise to a large light induced refractive index change [1-4]. The dynamics for this refractive index change depend on decay of the ^2E level to the $^4A_2$ ground state or via energy transfer to the erbium levels. Although the index dynamics appear complicated [1,2] the dual nature of the decay path for the ^2E level is not responsible for the temporal phenomena described here which are due to feedback and interference effects within the rod.
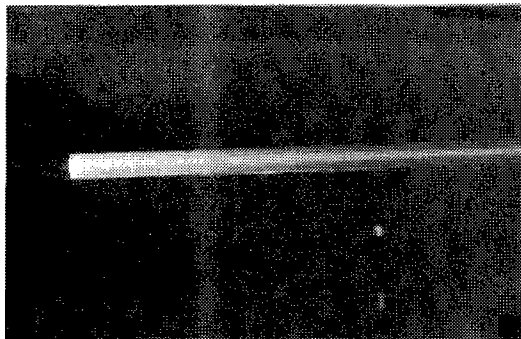
Figure 1. Erbium and chromium doped $YAlO_3$ rod showing fluorescence track due to decay of excited erbium ions. The strongest fluorescence occurs at the left where laser light at 514 nm ($\alpha = 0.6\ cm^{-1}$) enters the rod. Absorption of the incident beam along the rod is indicated by the decreasing fluorescence signal. We note that the doping along the rod was non-uniform as the fluorescence signal was brighter at one end of the rod than the other when both of the ends were placed in the incident laser beam.

$YAlO_3$ is a biaxial crystal and our sample could be rotated about the rod axis with four positions, 90° apart, where the linear polarization of the incident light was unchanged on transmission through the rod. In the experiments below the incident light was linearly polarized and the electric vector aligned along one of these crystal axes. However, rotating the rod about the rod axis appeared to make no change to the ring patterns shown below or influence generation of a self-pumped phase conjugate beam.
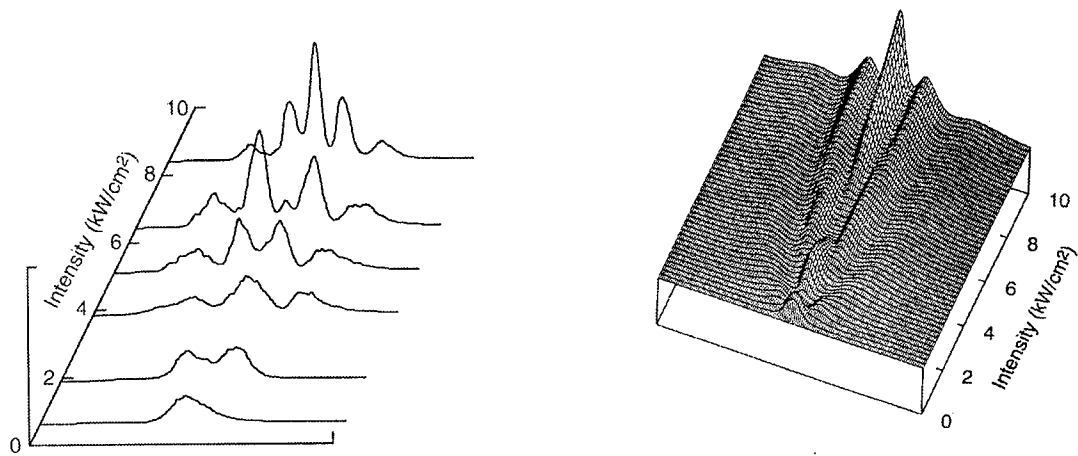
Figure 2. Cross-section scans of the experimental far-field pattern at 532 nm obtained using a CCD camera with the video signal going to an oscilloscope and TV line triggering. The intensity values were calculated for the focused beam waist at the input face of the rod. Modeled results are shown on the right taking account of diffraction and an intensity dependent refractive index $n = n_0 + n_2 I$ which can saturate over the input Gaussian beam profile.
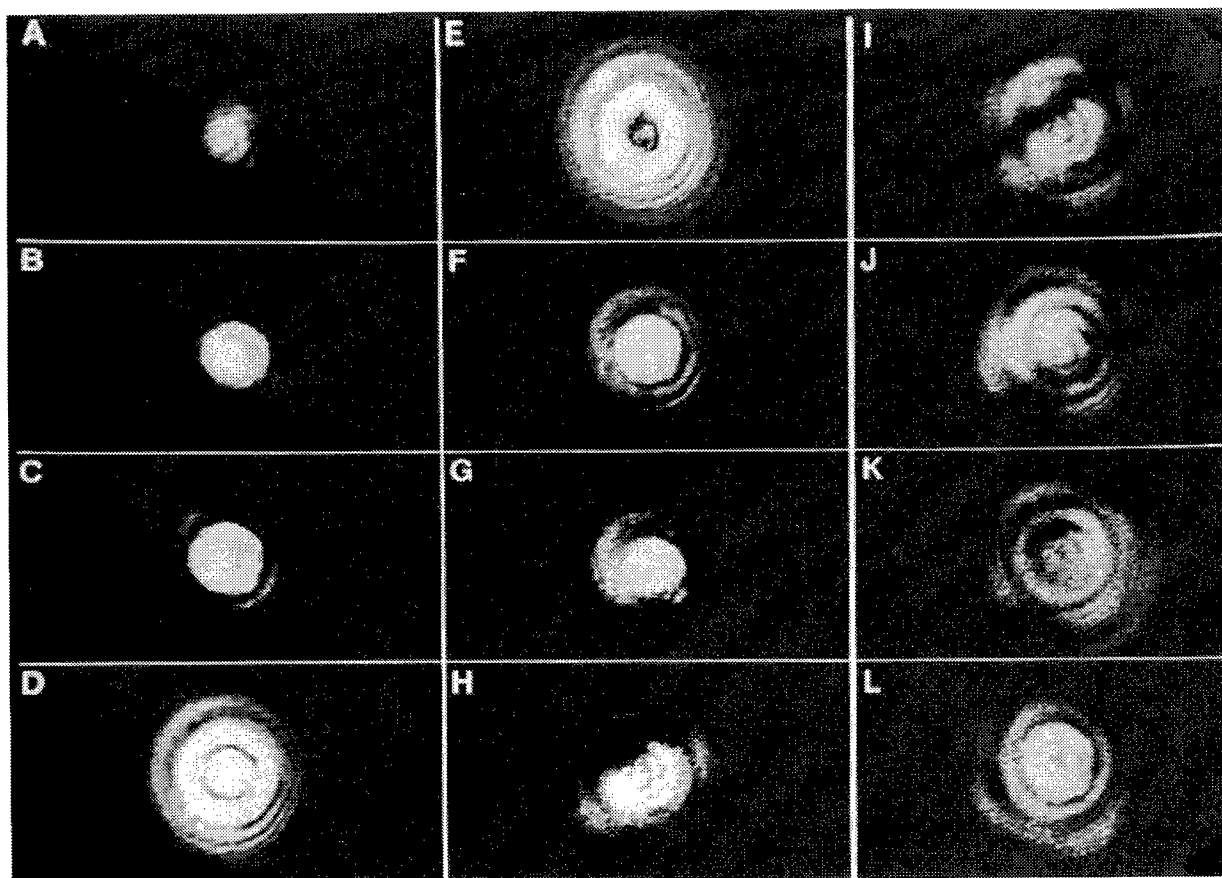
Figure 3. Far-field patterns produced on transmission through a long $Er^{3+}:Cr^{3+}:YAlO_3$ rod at $\lambda = 476nm$. $A - K$ show patterns for successively increasing input power, K and L at same beam power. Distance to screen is same in all cases. Note decrease in beam divergence between E and F, a result of self-focussing in the crystal. For F the power in the beam before the crystal was 130 mW and the beam was focused to a spot with 1/e amplitude radius ~ 37 μm at the input face of the rod.

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Figure 2 shows experimental far-field cross-sections for the transmitted beam together with simulation results. Here laser light at 532 nm was focused on the input face of the rod with a 300 mm focal length lens. At the highest intensities shown in figure 2 the ring pattern was stable and the phenomena of diffraction and the nonlinear refractive index are sufficient to account for the observed behaviour. For higher incident beam power the ring pattern started to 'wobble' and became further unstable as the power was increased. At 532 nm the small signal absorption coefficient is high (α = 1.0 cm^{-1}) and mainly due to absorption by the Er^{3+} ions which do not contribute to the refractive index change. The same experiment was performed with lines from the argon ion laser and the ring pattern could be pushed to its furthest development at 476 nm (α = 0.18 cm^{-1}), a combination of the available beam power and low absorption by the Er^{3+} ions. The ring development in this case is shown in figure 3 where A – K are the far field patterns for successively increasing incident beam power and K and L are two snap shots of the quickly changing pattern at the same incident power. Patterns A – F were stable, in G the pattern had a low frequency wobble and in I we can see interference fringes where the fringe period could be changed by tilting the rod slightly. J – L the pattern was changing rapidly.
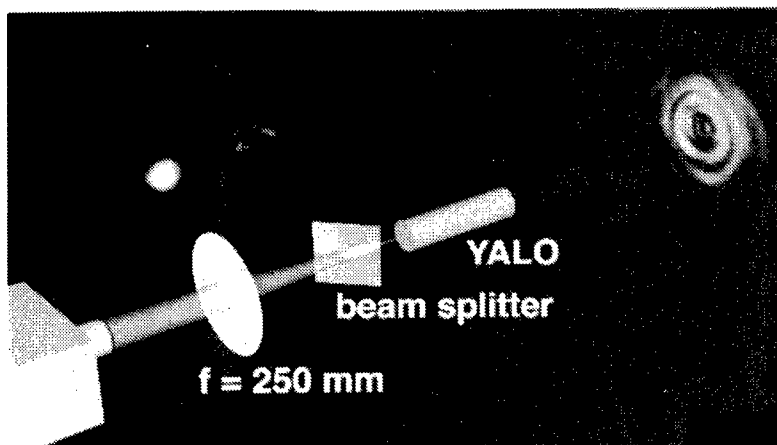
Figure 4. Illustration of the experimental arrangement together with images of the transmitted beam and beams reflected within the rod, $\lambda = 476$ nm.

Our experimental arrangement is shown in figure 4 and includes a beam splitter to redirect the back-reflected beam to a screen. Light from the laser, shown at left in figure 4, was focused through the beam splitter, oriented at 45°, onto the input face of the YAlO$_3$ rod. The end faces of the rod were not parallel and the beam traveled through the crystal with a slight angle to the rod axis so that light reflected from the input face (beam spot not shown in figure 4) was not directed back into the laser. Similarly, light reflected back through the crystal from the far end of the rod, shown to the right of the 'good quality' spot in the split-off back-reflected beam (figure 4), was also not directed back into the laser. This beam did, however, overlap the incident beam for most of its path in the crystal. The 'good quality' beam spot picked-off by the beam splitter (figure 4) resulted from a transient beam reflected back from the crystal. This is a self-pumped phase conjugate signal which, using a series of apertures along the incident beam path, traveled exactly back along the incident beam direction. Feedback into the nonlinear medium is necessary for instability. The reflection coefficient for the YAlO$_3$/air interface is ~ 0.07 and light reflected from the back surface overlaps with the incident light to form an interference pattern and an index grating. The light forming the interference pattern and index grating is also reflected by the grating so that establishing the grating is a dynamic process. Also, the forward traveling beam creates a transverse index structure which influences itself and the back reflected beam. However, provided the input power remains constant a steady state index variation is established in the rod. We observe that the spatial instabilities are faster at higher intensities and show variations on the ms time scale of the ^2E Cr^{3+} population lifetime. One possible mechanism takes account of the laser coherence length, L$_c$ ~ 30 mm, in the YAlO$_3$ rod. Once a grating has established at the far end of the rod the light reflected from this grating can develop the grating further along the rod. This changes the light pattern in the region of the initial grating giving a continuing dynamic process. Further results showing stable self-pumped phase conjugation and temporal periodic oscillations will be presented. Video clips showing development of the ring pattern and the self-pumped phase conjugate signal are available on our web site www.nrc.ca/inms/photonics (August 98).

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Measurement of gain-grating dynamics in erbium doped fibre

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We present analysis and experimental results for two counter-propagating beams coupled through real-time gratings in a pumped erbium-doped fibre while a fast phase transient is applied to one of the beams. The initial phase of the beam coupling is measured and the ratio of the imaginary to the real part of the complex nonlinear refractive index determined. The signal measured during the fast phase transient is influenced by the gain-grating response time. We show experimentally and theoretically that the response time depends on the pump intensity at 980 nm and the amplified signal at ~1550 nm. Investigation of real time gratings in erbium doped fibre [1] is useful because of potential applications such as real-time filters and switches [2,3]. In strongly pumped erbium-doped fibre the measured grating response time is ~100 μs. This is much shorter than the $^4I_{13/2}$ Er^{3+} level fluorescence decay lifetime ~ 5 ms in highly doped fibre.

The experimental arrangement is shown in figure 1. Two counter-propagating mutually coherent beams interact in a highly doped and strongly pumped erbium fibre. A fibre optic coupler was used after the dfb laser to divide the beam along two separate paths to the 80 cm length of ~ 2000 ppm Er^{3+} doped fibre. The two path lengths are equal to the center of the erbium fiber and a real-time index grating is created. Both signal beams at 1532 nm were ~ −14dBm measured before the erbium fibre. One of the interacting beams could be phase modulated and some of the non phase-modulated beam split off by a fibre coupler and detected by a photodiode. A series of 10 periods of a triangular voltage signal was applied to the phase modulator. When the phase modulation is applied in a time which is short compared to the grating response time then the interference pattern in the erbium fibre moves relative to the stationary refractive index grating and the transmitted beams are amplitude modulated. Large beam coupling is made apparent during the phase transient as can be seen in figure 2.
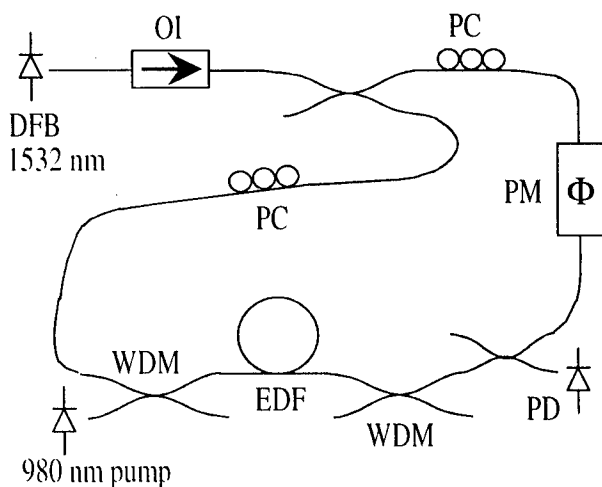
Figure 1. Experimental arrangement. DFB is distributed feedback laser; OI, optical isolator; PC, polarisation controller; WDM, wavelength division multiplexer; EDF, erbium doped fiber; PM, phase modulator; PD, photodiode.

Figure 2 shows the intensity variation of the signal beam recorded throughout 10 periods of triangular phase modulation. The signal before the phase modulation has been normalised to unity in the figure, it can be seen that as the interference pattern moves over a grating period the signal increases by ~ 20%. As the phase modulation continues the stationary grating is no longer being reinforced and so decays. This is evident in the measured signal where the amplitude of the signal modulation decays. We also introduced a 40 m length of additional fibre into the fibre loop in figure 1 so that the mutual coherence of the counter-propagating beams in the erbium-doped fibre was destroyed. In this case applying the phase modulation signal as in figure 2(b) did not produce any amplitude modulation in the signal measured by the photodiode. The inset

in figure 2 shows the initial development of the signal in figure 2(a) from which we can determine the phase of the beam coupling [4]. The nonlinear refractive index in erbium doped fibre $n_{nl} = n + n_2I$, where I is the signal intensity and n_2 the nonlinear Kerr coefficient, is driven by the difference in population between the ground state and the $^4I_{13/2}$ excited state of the erbium ion. In a strongly pumped fibre where the excited state is highly populated then the signal gain is high and the imaginary part of the nonlinear index $n_2 = n_2' + in_2''$ is large. The linear relationship between the signal intensity and the nonlinear refractive index will hold as long as the amplified signals do not saturate the gain so that the population of the ground state remains small.
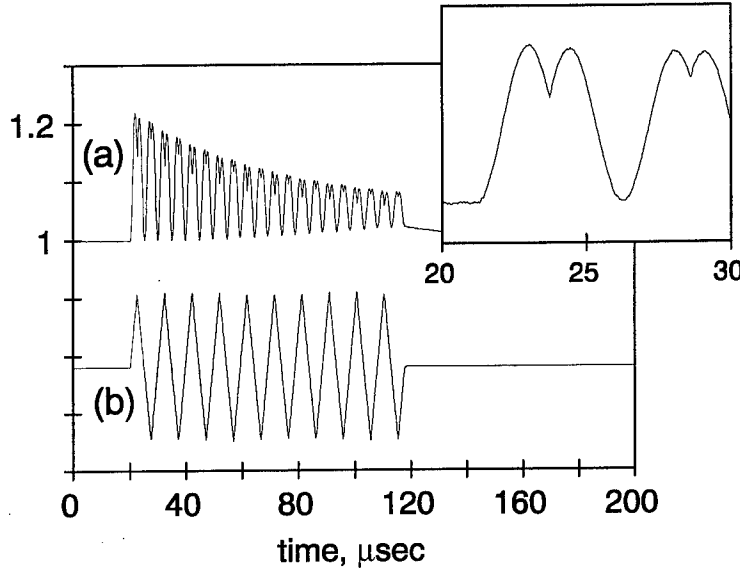
Figure 2. (a) Signal development of one of the two-wave mixing beams in pumped erbium doped fiber while a triangular phase shift was applied to the other beam. (b) voltage signal applied to phase modulator, 10 bipolar triangular periods at 100 kHz. The phase modulation goes from 0 to approx. + 1.4π and −1.4π. Inset shows first few microseconds of the signal development in (a).

We assume a Debye relaxation time τ for the nonlinear index change and take the case where the interacting signal beams are stationary for a long time before a phase transient is applied to one of the beams at time $t = 0$. The amplitudes A_1 and A_2 of the two counter-propagating beams can be expressed through the following coupled wave equations.

$$\frac{\partial A_{1,2}(t)}{\partial z} = \frac{2\pi n_2''}{\lambda}(A_1 A_1^* + A_2 A_2^*)A_{1,2} \pm \frac{2\pi n_2}{\lambda(1 - i\delta\omega\tau)}[1 - e^{-t/\tau}e^{i\delta\omega t} + (1 - i\delta\omega\tau)e^{-t/\tau}]A_1 A_2 A_{2,1}^*$$

Here $\delta\omega$ is the frequency shift on one of the beams throughout a period of linear phase modulation. For times much shorter than the response time for the nonlinear index change, $t \ll \tau$, we have the following simple expressions for the temporal development of the two beams throughout the phase transient.

$$I_{1,2}(t) \propto [n_2''\cos(\delta\omega t) \pm n_2'\sin(\delta\omega t)]I_1 I_2$$

Using this equation we can model the signal development (inset to figure 2) during the initial phase shift and find that the ratio $r = n_2''/n_2' = -8$ showing that gain gratings are the main contribution to the beam coupling. The index grating is seen in figure 2 to decay significantly over the 100 μs during which the phase modulation is applied. Figure 3 shows the measured beam intensity for 10 triangular periods of phase modulation as in figure 2. However, for the result in figure 3 the phase modulation signal was applied at lower frequency i.e. over a longer time period so that the grating decay is more evident. We can see that the initial grating response decays to almost nothing after ~ 200 μs because the signal modulation has become very small as the interference pattern is swept over a grating period. Following this small signal modulation the signal again becomes large as a result of the development of a time-averaged or 'blurred' grating. This behaviour can been modelled analytically based on the coupled wave equations above and a result is shown in figure 3.

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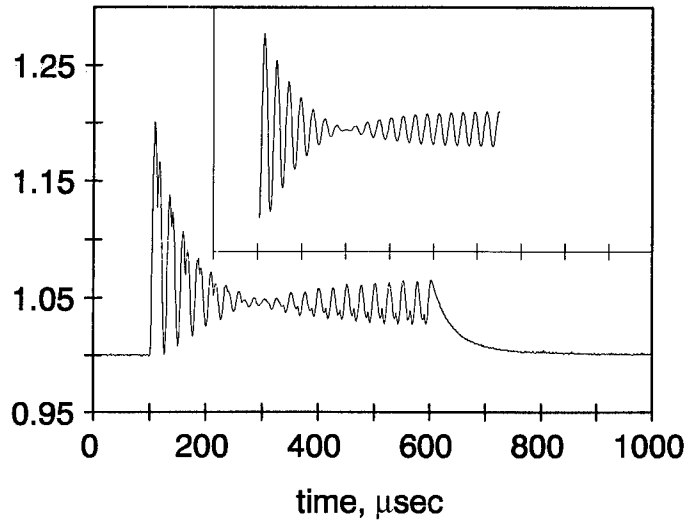
Figure 3. Measured signal for 10 periods of triangular phase modulation applied at 20 kHz. Inset shows a theoretical result, abscissa same as main figure.

From these results we can determine the grating response time τ. We found that this value depends on both the pump and signal intensities. For example, with 39 mW pump power, measured in the single mode fibre just before the erbium doped fibre τ = 60 μs and with 25 mW pump power τ = 120 μs. We can understand the dependence of the index response time on the pump and signal beams from the basic three-level system rate equations for the erbium ion [5] where N_0 is the total ion population and (N_0-N) is the population of the $^4I_{13/2}$ level population. If we introduce the signal beam at time t = 0 to the strongly pumped and excited system then the dynamic population N(t) of the ground state can be written as

$$\frac{N(t)}{N_0} = \frac{\tau_0}{\tau}\{1 + A^{em}I_{1550nm} + \frac{(A^{abs}I_{sat} - A^{em}I_{980nm})I_{1550nm}}{I_{sat} + I_{980nm}}\exp(-t/\tau)\}$$

Here $I_{sat} = \hbar\omega_{980nm}/\sigma_{980nm}{}^{abs}\tau_0$, $A^{em} = \sigma_{1550nm}{}^{em}\tau_0/\hbar\omega_{1550nm}$, $A^{abs} = \sigma_{1550nm}{}^{abs}\tau_0/\hbar\omega_{1550nm}$, τ_0 is the $^4I_{13/2}$ fluorescence lifetime, σ^{abs} and σ^{em} are absorption and emission cross-sections and

$$\tau = \tau_0\{1 + A^{em}I_{1550nm} + A^{abs}I_{1550nm} + \frac{I_{980nm}}{I_{sat}}\}^{-1}$$

From these equations it can be seen that the population dynamics are governed by time scales which are shorter than τ_0. The populations N and (N_0-N) follow a simple exponential time response where the time constant is dependent on both the pump and signal intensities.

We have shown that strong beam coupling can occur in erbium doped fibre and that the contribution from the gain grating, or the imaginary component of the nonlinear refractive index, is much larger than the phase grating contribution. From the measured signal when a fast phase modulation is applied we can conveniently measure the response time of the real-time index grating under conditions of strong pump and amplified signal beams.

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Plane-wave dynamics of optical parametric oscillation with simultaneous sum-frequency generation

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Single-crystal upconversion optical parametric oscillators (OPO's) where either second-harmonic[1] or sum-frequency[2] generation is simultaneously phase-matched with parametric generation have recently been demonstrated. Here, we present the plane-wave dynamics of simultaneous parametric oscillation and sum-frequency generation (SFG), where the resonant OPO signal field is summed with the pump field. Our analysis presumes that simultaneous phase-matching of the SFG and OPO processes has been achieved utilizing birefringent phase matching (BPM). However, quasi phase-matching either or both processes is another promising possibility, and our results can readily be extended to those cases.

Potential polarization geometries for collinear BPM shown in Fig. 1 lead to four different sets of coupled mode equations depending on which field components are common to the two processes inside the crystal. We designate these sets as classes A through D. Some of these geometries require the polarization of certain fields to be rotated in order to have components along both fast and slow axes of the nonlinear crystal.

The absence of idler and sum frequency fields at the input of the crystal makes it possible to reduce the coupled mode equations for the complex field amplitudes to a set of equations for real variables a_m in all classes. We normalize the fields so that $\phi_m = a_m^2$ represent the photon flux densities.

Polarization geometries where no polarization rotation is required and the signal and pump fields have contributions from both OPO and SFG processes belong to class A. The equations describing this interaction are

$$\frac{da_i}{dz} = \kappa_a a_s a_p \tag{1}$$

$$\frac{da_s}{dz} = \kappa_a a_i a_p - \kappa_b a_p a_{sf} \tag{2}$$

$$\frac{da_p}{dz} = -\kappa_a a_i a_s - \kappa_b a_s a_{sf} \tag{3}$$

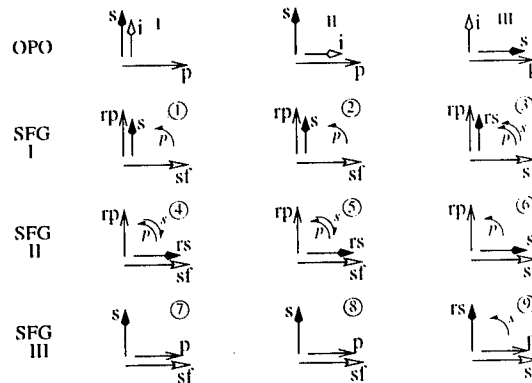$$\frac{da_{sf}}{dz} = \kappa_b a_s a_p. \tag{4}$$

Figure 1: Polarization geometries leading to simultaneous phase-matching.

where

$$\kappa_a = d_e \sqrt{\frac{2\hbar}{c^3 \epsilon_0}} \sqrt{\frac{\omega_i \omega_s \omega_p}{n_i n_s n_p}} \tag{5}$$

is the coupling constant of the OPO process. Here, d_e denotes the effective nonlinear coefficient, the frequencies are related by $\omega_p = \omega_i + \omega_s$, and n_m are the corresponding refractive indices. The coupling constant κ_b is defined similarly for SFG. The ratio $\beta = \kappa_b/\kappa_a$ is an important quantity determining the relative strengths of the two processes. The nonlinear drive, $D = \phi_p(0) \kappa_a^2 l^2$, for a crystal of length l is a measure of how strongly the device is pumped.

Using the proportionality of Equations (1) and (4), class A equations can be reduced to those of an ordinary OPO, and the solutions can be expressed in terms of Jacobi elliptic functions.

We investigate the temporal evolution of the OPO by simulating the growth of the intracavity signal from noise similar to the analysis of OPO's with intracavity SFG crystal.[3] As a measure of performance, we evaluate the photon conversion efficiency (twice the ratio of sum frequency photon flux at the crystal output to input pump photon flux).

For nonlinear drive values below threshold, there is no conversion. Above threshold, a well-defined steady state, multistability, periodicity or chaotic behaviour can be observed depending on the values of various parameters.

For class A devices, if β is larger than unity, the signal is not amplified for any value of the nonlinear drive and there is no oscillation. As β increases towards unity, maximum conversion efficiency increases. Depending on the value of the nonlinear drive, single or multiple steady states are observed (see Fig.2). β is closely related to natural properties of the crystal, therefore, it is not adjustable. Nevertheless, crystals with β larger than unity can be found.

Class B has no field components common between the OPO and SFG processes and therefore requires polarization rotations before the cavity for the pump and inside the cavity for the resonant signal fields. The fields evolve according to well known OPO and SFG solutions.

Class C requires a polarization rotation of the pump field. The rotation angle α is another important parameter. The signal field which couples the two processes is amplified by OPO and attenuated by SFG. The equations governing the behaviour of class C signal, pump and rotated pump fields are

$$\frac{da_s}{dz} = \kappa_a a_i a_p - \kappa_b a_{rp} a_{sf} \tag{6}$$

$$\frac{da_p}{dz} = -\kappa_a a_i a_s \tag{7}$$

$$\frac{da_{rp}}{dz} = -\kappa_b a_s a_{sf}. \tag{8}$$

Analytical solutions for these classes are not available, hence, we used Runge-Kutta integration to calculate single pass solutions. Small signal gain of a class C device is given by

$$g = \cosh^2 \left(\cos\alpha \sqrt{1 - \beta^2 \tan^2\alpha} \; \kappa_a a_p(0) \; l \right) \tag{9}$$

with the requirement that $\beta \tan\alpha < 1$ for the oscillation to start. For α and β values satisfying this condition a threshold value for the nonlinear drive can be determined.

For class C, above the threshold nonlinear drive value, first steady oscillation is observed. For some α and β values, as the nonlinear drive increases further, transition to chaos through period doublings occurs (see Fig.3). Increasing α brings an increase in conversion efficiency, but causes chaos to start at smaller nonlinear drive values.

In class D, the signal field requires an intracavity polarization rotation and the pump field provides the coupling. The rotation angle α can be optimized for particular values of the nonlinear drive and β to maximize the conversion efficiency (See Fig.4).
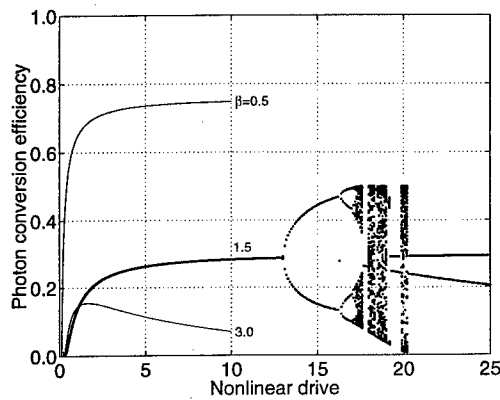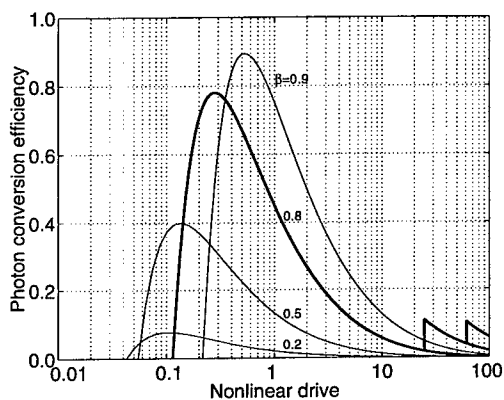
Figure 2: Photon conversion efficiency as a function of the nonlinear drive in class A OPO's for various values of β. Multistability is displayed for the $\beta = 0.8$ case only.

Figure 3: Photon conversion efficiency as a function of the nonlinear drive in class C OPO's with $\alpha = 45.9°$ for $\beta = 0.5$, $\alpha = 30°$ for $\beta = 1.5$, and $\alpha = 16.2°$ for $\beta = 3$.
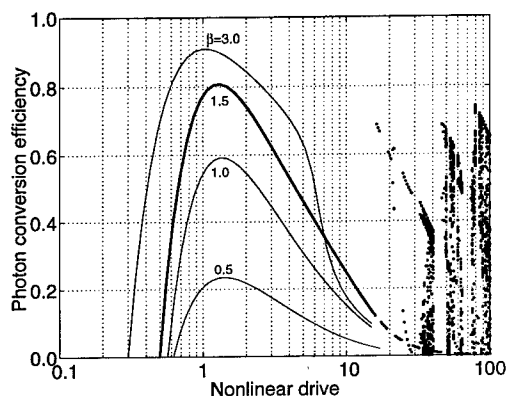
Figure 4: Photon conversion efficiency as a function of the nonlinear drive in class D OPO's for various values of β. In each case, α is adjusted to maximize the conversion efficiency at a nonlinear drive of 1, yielding $\alpha = 39.7°$ for $\beta = 0.5$, $\alpha = 38.1°$ for $\beta = 1$, $\alpha = 35.9°$ for $\beta = 1.5$, and $\alpha = 27.8°$ for $\beta = 3$. The chaotic regime is displayed for the $\beta = 1.5$ case only.

In conclusion, we classified the single-crystal upconversion OPO's with simultaneous SFG and investigated their plane-wave dynamics. Our results show that classes A and D are efficient frequency upconverters. The performance of class A OPO's is limited by crystal properties whereas classes C and D can be optimized by adjusting the polarization rotation angle.

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Advances in Femtosecond Single-Crystal Sum-Frequency Generating Optical Parametric Oscillators

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Upconversion of lasers to shorter wavelengths is commonly achieved by using second-harmonic generation (SHG)[1] or sum-frequency generation (SFG)[2] in conjunction with optical parametric oscillators (OPO's). The SHG or SFG crystal is usually internal to the OPO cavity to take advantage of the high intracavity field intensities. Recently, upconversion OPO's that use a single crystal for both parametric generation and SHG/SFG have been demonstrated.[3,4] These devices have achieved conversion efficiencies in excess of those utilizing a second crystal for SHG/SFG.

Here we report recent advances on the femtosecond single-crystal sum-frequency generating OPO.[4] Our OPO is based on a KTP (KTiOPO₄) crystal that is cut for noncritical phase matching (NCPM), and synchronously-pumped by a Ti:sapphire laser operating at a wavelength of 828 nm. At this wavelength, the KTP crystal is phase matched for a signal wavelength of 1175 nm in a type-II geometry; the corresponding idler wavelength is 2.8 μm. The KTP crystal is also phase matched for SFG of the pump and the signal beams to yield a blue output beam at 487 nm. However, a polarization rotation of the pump beam at the OPO input is necessary for this interaction to occur.

The pump laser has 180 fs long pulses at a repetition rate of 76 MHz. A ring cavity is constructed with four mirrors that are highly reflecting at the signal wavelength for the OPO. The 5-mm long KTP crystal is positioned at the intracavity focus. A half-wave retarder is placed at the input of the OPO to rotate the polarization of the laser beam. This configuration allows the input beam to be distributed between the OPO pump and the SFG input by an arbitrary ratio. This polarization rotation is necessary for both processes to be phase matched. The horizontally polarized component of the pump beam provides parametric gain whereas the vertically polarized component provides one SFG input, the other being the resonant signal field. The resulting sum-frequency beam exits the cavity through a dichroic cavity mirror, together with the residual pump beam. At the output of the OPO, the blue sum-frequency beam is separated from the residual pump beam with a dichroic mirror.

To achieve synchronization between the resonating signal pulse and the pump pulse, the length of the OPO cavity is adjusted using a cavity mirror mounted on a piezo-controlled translation stage. However, the vertically and horizontally polarized components of the pump pulse have different group velocities due to birefringence in the KTP crystal. As they propagate in the crystal, these components get separated from each other in the direction of propagation and arrive at the intracavity focus at different times. Since the signal pulse is synchronized with the horizontal component, it is out of synchronization with the vertical component. This results in a reduced efficiency for the SFG process. We calculate the group velocity mismatch between the horizontal and the vertical components of the pump as 330 fs/mm. Assuming the intracavity focus to be at the middle of the 5-mm long OPO crystal, the horizontal component needs to be delayed with respect to the vertical by 825 fs. To achieve this delay, we placed a 1.5-mm long KTP crystal at the input of the OPO. This crystal is also cut for NCPM but rotated 90° with respect to the OPO crystal; hence, there are no phase matched interactions. The time delay due to birefringence in the second KTP crystal is 600 fs (measured). With this compensation, parametric generation and SFG become nearly synchronous, leading to higher conversion efficiency to the blue.

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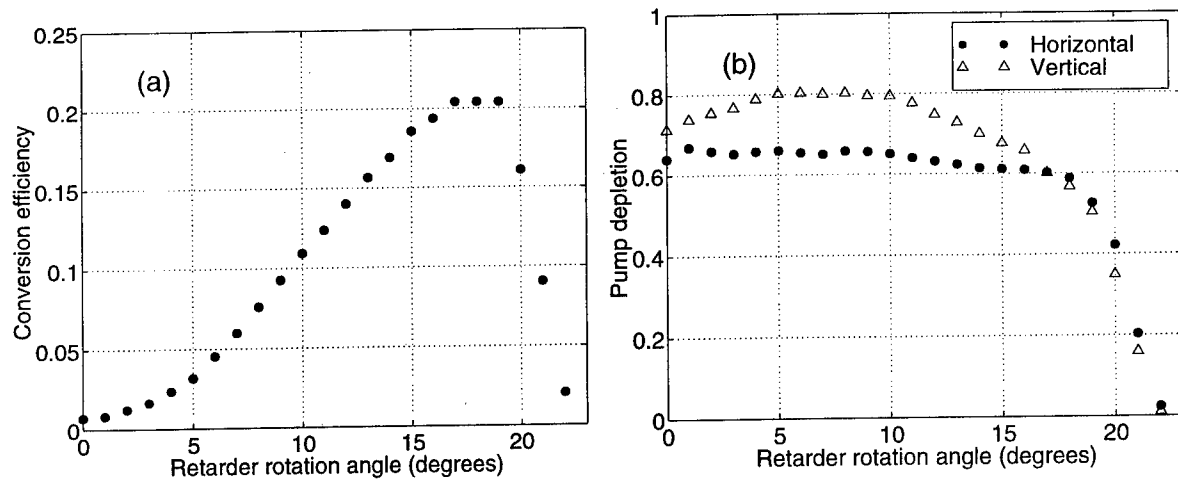
Figure 1: Conversion efficiency (a) and pump depletion (b) as functions of the retarder rotation angle.

Figure 1 shows the conversion efficiency and the depletion of the horizontal and vertical components of the pump beam as functions of retarder rotation angle when the input pump power is held constant at 485 mW. We obtain a maximum of 99 mW blue power at a retarder rotation angle of 18°, corresponding to 20% power conversion efficiency.

Figure 2 shows the conversion efficiency and the depletion of the horizontal and vertical components of the pump beam as functions of input pump power where at each power level the retarder angle is optimized to give the highest conversion. Figure 2(a) also shows conversion efficiency data for the case where group-velocity compensation is not done. We observe a 24% increase in the output power with compensation.

The coupled OPO and SFG interactions in our experiment can no longer be described with the usual three coupled-mode equations of second-order nonlinear interactions. In our case, the coupling between the two processes leads to a set of five coupled-mode equations. For monochromatic plane-waves under singly resonant operation, these equations can be expressed
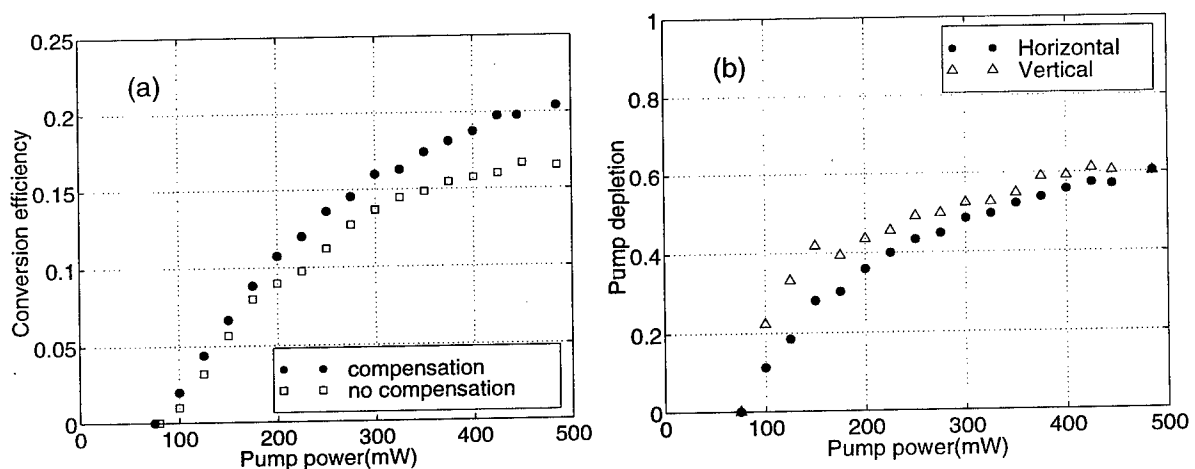

Figure 2: Conversion efficiency (a) and pump depletion (b) as functions of the input pump power.

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in terms of real field amplitudes as

$$\frac{da_i}{dz} = \kappa_a a_p a_s \tag{1}$$

$$\frac{da_s}{dz} = \kappa_a a_p a_i - \kappa_b a_{sf} a_{rp} \tag{2}$$

$$\frac{da_p}{dz} = -\kappa_a a_i a_s \tag{3}$$

$$\frac{da_{rp}}{dz} = -\kappa_b a_{sf} a_s \tag{4}$$

$$\frac{da_{sf}}{dz} = \kappa_b a_s a_{rp}. \tag{5}$$

In these equations, κ_a and κ_b are the coupling coefficients of the OPO and SFG processes, respectively. These coefficients depend on the frequencies of the interacting waves and the effective nonlinear coefficients of the respective processes. The field amplitudes are normalized such that their squares correspond to photon flux densities for each field.

Analytical solutions to these equations are available only in the small-signal regime, where depletion of both the pump and the rotated pump fields are negligible. The small-signal gain in this case is

$$\frac{a_s^2(l)}{a_s^2(0)} = \cosh^2\left(\cos\alpha\sqrt{1 - \beta^2 \tan^2\alpha}\,\kappa_a a_t(0)l\right) \tag{6}$$

where $a_t(0)$ is the total pump field amplitude before polarization rotation, α is the polarization rotation angle (twice the retarder rotation angle), β is the ratio of the coupling coefficients κ_b/κ_a, and l is the interaction length. For the small-signal gain to be larger than unity, the condition $\beta\tan\alpha < 1$ should be satisfied.

The expression for the small-signal gain is valid only near the threshold. To determine the intracavity signal in steady-state, we solved the coupled-mode equations numerically using the Runge-Kutta-Fehlberg method. Based on the intracavity signal, the photon conversion efficiency to the sum-frequency, and the depletion of both pump components are calculated. We found that the results can be characterized in terms of three parameters, the nonlinear drive $(\kappa_a a_t(0)l)^2$, the polarization rotation angle α, and the ratio of coupling constants β. Our plane wave model is in qualitative agreement with the experiments, especially when we extend our model to include the Gaussian intensity profile of the pump beam.

In conclusion, we demonstrated that compensating for the group velocity mismatch between the orthogonal pump components increases the conversion efficiency of femtosecond single-crystal sum-frequency OPO's. Further improvement may be achieved with a setup where the amount of compensation is adjustable. Numerical modeling of the sum-frequency OPO yields a better understanding of the processes involved in the conversion.

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Ultrafast Time-resolved Spectroscopic Imaging using Femtosecond Amplifying Optical Kerr Gate

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Ultrafast time-gated imaging has gained wide interests due to potential application such as visualization of ultrafast phenomena or objects in scattering media.   Optical Kerr gate (OKG) has been providing useful tools for such applications in picosecond region: (1) high sensitivity, (2) real time 2D imaging and (3) wide spectral bandwidth.   To meet recent demand to realize higher spatial and temporal resolution, especially shortening of opening time is necessary.   However, there is an inherent trade-off between high sensitivity and fast response in conventional OKG, which limits its performance for time-resolved imaging.   Jonusauskas et al have developed new setup (*fast* setup) to overcome this problem, which uses optical Kerr effect in gain medium and two perpendicularly polarized pump pulses.   They realized an amplifying OKG in picosecond region using dye solution. [1, 2]   Minoshima et al have applied femtosecond pulse to *fast* setup and make possible a femtosecond amplifying OKG (*fast* OKG). [3]   In this paper, we demonstrate ultrafast time-resolved spectroscopic imaging using modification of *fast* OKG setup and evaluation of its imaging performances.

Figure 1 shows the setup for *fast* OKG imaging system.   To realize opening time on femtosecond time scale, two perpendicularly polarized pulses with some time delay are used as pump, which are splitted in a BBO briefringent crystal from one pulse.   The first pump is used for opening of the shutter and excitation of the Kerr gain medium, while the second one is for forced closing.   The most efficient signal was obtained with a pyridine dye in acetonitrile solution (absorption peak = 475 nm, emission peak = 720 nm) as a Kerr material.   The excitation wavelength by the first pump is 395 nm, the upper edge of the main absorption band.   The probe pulse is a white-continuum ranging from 350 to 900 nm.   Here, we introduced imaging optics in probe beam pathway to apply *fast* OKG to time-resolved imaging.   A US Air Force test pattern is placed before the polarizer for evaluation of imaging performances.   To prevent loss of high spatial frequency information of the test pattern due to the limit size of the pump beam at the Kerr shutter plane, the Kerr cell is set in the imaging plane of the probe beam.   Amplified and time-sliced image signal after passing an analyzer is detected with a CCD camera through a imaging lens with long focal length.

Figure 2 shows the time-dependence of *fast* OKG signal, without the test

pattern, at probe wavelength of 670 nm which is in the upper edge of the gain band. The peak transmission of 160 % with *fast* OKG is more than 20 times larger than that (= 7 %) of CS_2 with the same experimental conditions. In addition, opening time is 400 fs and the tail is lower than 6 % relative to the peak intensity. The transient spectra with regard to each delay time is given in Fig. 3, showing that their widths are 60 nm at all delay times.

Next, we evaluate imaging performance of *fast* OKG imaging system using the test pattern (11.3 lp/mm). Contrast $(I_{max}-I_{min})/(I_{max}+I_{min})$ of the amplified probe image obtained with *fast* OKG is 0.76, whereas that of the reference probe image without *fast* OKG is 0.85. Due to the amplification process around 670 nm induced by the excited Kerr medium, there is no loss in the OKG (105 % transmission efficiency), demonstrating the efficiency of this technique to detect image signal with weak intensity. The opening time of this imaging system is also 400 fs due to use of the two perpendicularly polarized pump pulses.

We demonstrate ultrafast time-gated spectroscopic imaging by applying this *fast* OKG imaging system to colored object. Figure 4(a) shows colored object consisting of the test pattern and two color filters. The left pattern (8.0 lp/mm) is covered with an IR pass filter (λc = 695 nm, t = 3 mm), while the right pattern (10.1 lp/mm) with an IR cut filter (λc = 700 nm, t = 1.1 mm). Time-resolved image by *fast* OKG is detected with CCD camera through bandpass filters with center wavelength of either a 670 nm (FWHM = 10 nm) or 725 nm (FWHM = 13 nm) for spectroscopy. Figure 4(b) shows the reference probe image obtained without the OKG at each wavelength. Kinetics of *fast* OKG signal is also measured at two wavelengths as shown in Fig. 5(a). The *fast* OKG signal indicates defective closing at 725 nm, whereas closing of *fast* OKG signal is almost complete at 670 nm. Time delay between two signals is caused by thickness of filters and chirping in continuum probe. Figure 5(b) shows temporal evolution of the two images obtained with the *fast* OKG at 670 and 725 nm. Image signal at 725 nm reaches the maximum at delay time of 0 ps, while that of 3.2 ps corresponds to the maximum at 670 nm. One can find that ultrafast time-gated spectroscopic imaging is realized.

We have demonstrated that the *fast* OKG imaging system makes possible ultrafast time-gated spectroscopic imaging with a good spatial resolution as well as amplification.

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[2] G. Jonusauskas, J. Oberlé, E. Abraham and C. Rullière, Optics Commun., **112** (1994) 80.
[3] K. Minoshima, G. Jonusauskas, C. Rullière and H. Matsumoto, OSA Annual Meet. (1997) California.
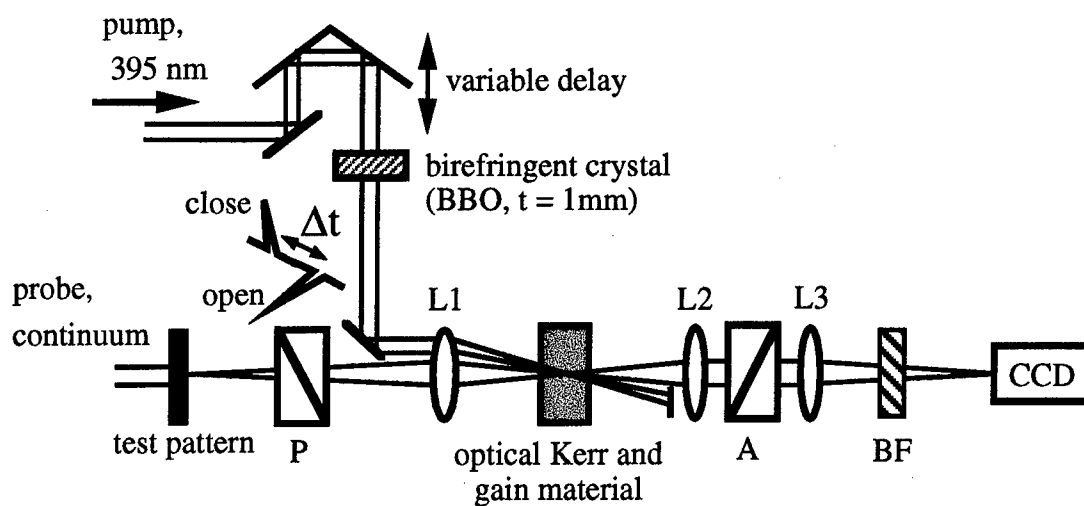
Fig. 1. Experimental setup for *fast* OKG imaging system.

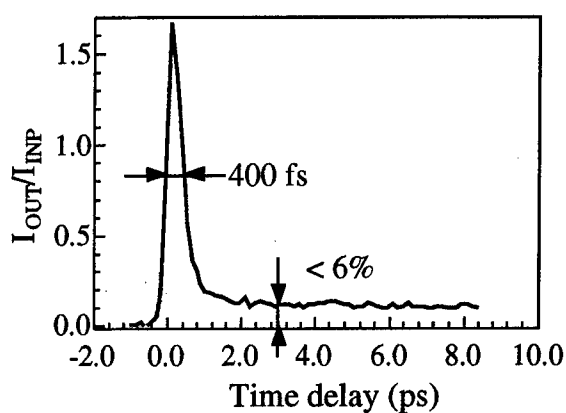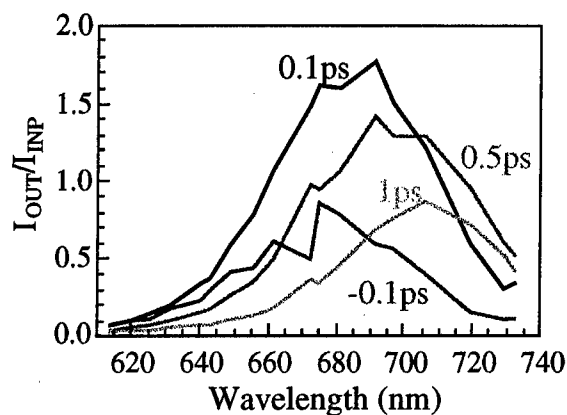Fig. 2. Time dependence of *fast* OKG signal.

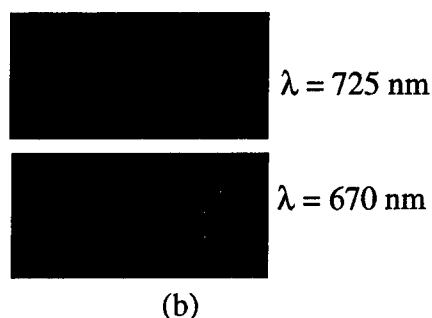Fig. 3. spectra of *fast* OKG signal for different time delays.
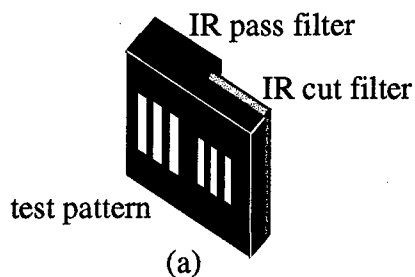
Fig. 4. (a) colored object and (b) reference image without OKG
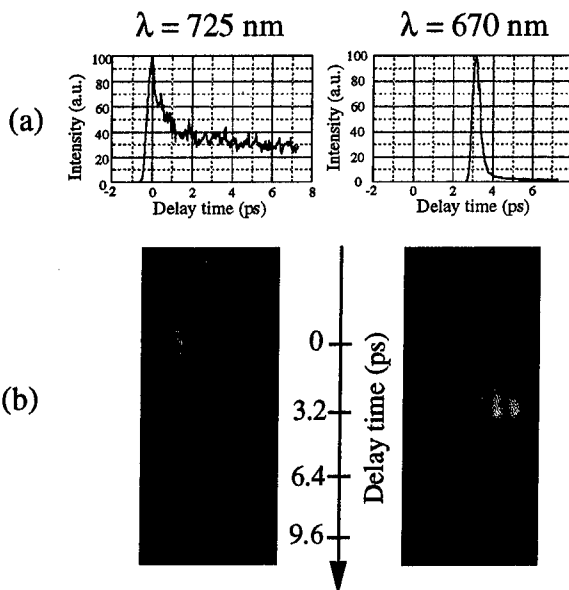
Fig. 5 (a) time dependence of image signal and (b) temporal evolution.

Bessel Function Solution for the Gain of a One-Pump Fiber Optical Parametric Amplifier

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The 1-pump fiber optical parametric amplifier (OPA) with dispersion and loss, but no pump depletion, has recently been characterized by a 2X2 transfer matrix, with each term expressed in terms of four Hankel functions, H_{iu}, of imaginary order iu [1]. Unfortunately, these functions are not commonly available in mathematical packages such as Mathematica, and their use in practical applications thus requires the development of special software. The evolution of the related modulation instability (MI) has also been studied in terms of modified Bessel functions I_{iv}, of imaginary order iv [2]. However, these results do not directly provide expressions for the complex output fields of an OPA; furthermore, they assume a simplified form for fiber dispersion. In this paper we present an alternate approach, which yields the complex OPA idler gain in terms of just four Bessel functions J_ν, which have the advantage of being commonly available in mathematical packages. In addition, fiber dispersion is handled in a most general manner.

Consider an optical fiber with nonlinearity coefficient γ, and power loss coefficient α. A strong pump, of initial power P_0, angular frequency ω_0, co-propagates with a signal (ω_1) and an idler (ω_2); the frequencies satisfy $\omega_1 + \omega_2 = 2\omega_0$. Assuming that the pump is not depleted by the nonlinear interaction, its electric field envelope A_0 is governed by

$$\frac{dA_0(z)}{dz} = \{-\frac{\alpha}{2} + i\gamma P_0 e^{-\alpha z}\} A_0(z), \tag{1}$$

which yields $A_0(z) = \sqrt{P_0}\, exp(-\frac{\alpha}{2}z + i\gamma P_0\xi)$, where P_0 is the initial pump power, and $\xi = (1 - e^{-\alpha z})/\alpha$. The four-wave mixing equations for the envelopes A_1 and A_2 of the signal and idler are [3]

$$\frac{dA_k(z)}{dz} = \{-\frac{\alpha}{2} + 2i\gamma P_0 e^{-\alpha z}\} A_k(z) + i\gamma P_0 e^{-\alpha z} e^{2i\gamma P_0\xi} e^{-i\Delta\beta z} A_l^*(z), \quad k=1,2, \ l=3-k, \tag{2}$$

where $\Delta\beta = \beta_1 + \beta_2 - 2\beta_0$, and β_k is the propagation constant at ω_k. Letting

$$B_k(z) = A_k(z)e^{\frac{\alpha}{2}z - 2i\gamma P_0\xi}, \quad k=1,2, \tag{3}$$

leads to

$$\frac{dB_k(z)}{dz} = i\gamma P_0 e^{-(\alpha+i\Delta\beta)z - 2i\gamma P_0\xi} B_l^*(z), \quad k=1,2, \quad l=3-k. \tag{4}$$

Eliminating $B_l(z)$ from these two equations yields

$$\frac{d^2 B_k(z)}{dz^2} + (\alpha + i\Delta\beta + 2i\gamma P_0 e^{-\alpha z})\frac{dB_k(z)}{dz} - (\gamma P_0)^2 e^{-2\alpha z} B_k(z) = 0, \quad k=1,2. \tag{5}$$

Letting

$$B_k(z) = C_k(z)exp\left\{-\frac{1}{2}\left[(\alpha + i\Delta\beta)z + 2i\gamma P_0\xi\right]\right\}, \quad k = 1, 2,$$ (6)

yields

$$\frac{d^2 C_k(z)}{dz^2} - g^2(z)C_k(z) = 0, \quad k = 1, 2,$$ (7)

where

$$g^2(z) = \frac{(\alpha + i\Delta\beta)^2}{4} - \Delta\beta\gamma P_0 e^{-\alpha z}.$$ (8)

Making now the change of variable $Z = \frac{2}{\alpha}(\Delta\beta\gamma P_0)^{1/2}e^{-\frac{\alpha z}{2}}$, Eq.(8) becomes

$$Z^2\frac{d^2 D_k(Z)}{dZ^2} + Z\frac{dD_k(Z)}{dZ} + (Z^2 - \nu^2)D_k(Z) = 0, \quad k = 1, 2$$ (9)

where $D_k(Z) = C_k(z)$, and $\nu = 1 + i\Delta\beta/\alpha$. Eq.(9) is Bessel's equation [4], hence $D_1(Z)$ and $D_2(Z)$ must have the forms

$$D_1(Z) = AJ_\nu(Z) + BJ_{-\nu}(Z),$$ (10)

$$D_2(Z) = CJ_\nu(Z) + DJ_{-\nu}(Z),$$ (11)

where A, B, C, and D are constants to be determined from the initial conditions at $z = 0$.

As is customary for OPA's, we assume that no idler is present at the input, i.e. that $A_2(0) = 0$, while the signal has a finite amplitude $A_1(0) = A_{10}$. The idler gain is thus simpler to calculate, and we study it first. Eq.(11) implies that

$$D_2(Z_0) = CJ_\nu(Z_0) + DJ_{-\nu}(Z_0) = 0,$$ (12)

$$D_2'(Z_0) = CJ_\nu'(Z_0) + DJ_{-\nu}'(Z_0),$$ (13)

where $Z_0 = Z(0)$, and prime means differentiation with respect to Z. It can be shown that $D_2'(Z_0) = -2i\gamma P_0 A_{10}^*/\alpha Z_0$. The system of linear equations (12) and (13) can then be solved by making use of the Wronskian for $J_\nu(Z)$ and $J_{-\nu}(Z)$, namely $W = -2\sin(\pi\nu)/\pi Z$ [4]. This leads to

$$C = -\frac{i\gamma P_0}{\alpha}\frac{\pi}{\sin(\pi\nu)}J_{-\nu}(Z_0)A_{10}^*, \qquad D = \frac{i\gamma P_0}{\alpha}\frac{\pi}{\sin(\pi\nu)}J_\nu(Z_0)A_{10}^*.$$ (14)

We may then define the idler field gain as $g_i(z) = A_2(z)/A_{10}^*$. It is given by

$$g_i(z) = \frac{i\pi r e^{i\Phi - \alpha z}}{\sin(\pi\nu)}\left[J_\nu(Z_0)J_{-\nu}(Z) - J_{-\nu}(Z_0)J_\nu(Z)\right],$$ (15)

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where $r = \gamma P_0/\alpha$, and $\Phi = \gamma P_0 \xi - \Delta\beta z/2$. Similarly, it can be shown that the signal gain $g_s(z) = A_1(z)/A_{10}$ is given by

$$g_s(z) = \frac{\pi e^{i\Phi - \alpha z}}{\sin(\pi\nu)} \left\{ [Z_1 J_\nu(Z_0) + Z_0 J'_\nu(Z_0)] J_{-\nu}(Z) - [Z_1 J_\nu(Z_0) + Z_0 J'_\nu(Z_0)] J_\nu(Z) \right\}, \quad (16)$$

where $Z_1 = \nu + 2ir$.

Alternatively, if one is interested in the power gains $G_s(z) = |g_s(z)|^2$ and $G_i(z) = |g_i(z)|^2$, $G_s(z)$ can be conveniently obtained from Eq.(16) and the following relation

$$G_s(z) = G_i(z) + e^{-\alpha z}, \quad (17)$$

which can be derived from Eq.(2).

In summary, we have derived a compact form for the output idler field of a 1-pump fiber OPA, for $\alpha \neq 0$ and $\Delta\beta \neq 0$; it is expressed in terms of four Bessel functions J_ν, which are commonly available in numerical packages. Another advantage of this approach is that $\Delta\beta$ can correspond to dispersion to arbitrary order, which is important in tailoring OPA gain profile [5]; the same feature is not incorporated in the models based on the nonlinear Schrodinger equation [1,2].

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Femtosecond Induced Susceptibility Change
due to a Two-Photon Population Grating in Carotenoid Solutions

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Organic materials with π-conjugated electron systems attract much attention because of their large optical nonlinearities. One of the organic molecules with an extended π-electron structure is carotene.[1,2] Moreover, excited states of carotenoids play crucial roles in the primary processes of photosynthesis. Information on electronic energy levels of carotenoids is essential to understand the mechanism of their-harvesting function in photosynthetic systems. In the present study, we have investigated femtosecond dynamics of optical nonlinearily in β, β-carotene (β-carotene) solutions by means of an optical heterodyne-detected optical Kerr effect (OHD-OKE) technique.

The OHD-OKE measurement was done in two types of pump-probe configuration (with linearly or circularly polarized pump beam) using a femtosecond mode-locked Ti:sapphire laser (center wavelength $\sim$780 nm, pulse repetition rate 100 MHz). Setup of the OHD-OKE measurement used was similar to that of McMorrow et al.[3] Samples were β-carotene solutions in tetrahydrofuran (THF). Sample cells of 1.0 mm pathlength were made of quartz. A high refractive index glass (HRG) with a thickness of 1.3 mm ($\chi^{(3)} = 1.03 \times 10^{-12}$ esu) was used as a reference.

Figure 1 (a) and (b) shows the results of the femtosecond time-resolved OHD-OKE measurement performed on HRG and a β-carotene solution in THF (3.1×10^{-2} M), respectively, with the linealy polarized pump beam. Since HRG has only positive instantaneous electronic nonlinearity, the signal is positive and has the form of auto-correlation $G^{(2)}(\tau)$. From this, the pulse duration at the sample position is evaluated to be 94 fs. On the other hand, it is found that, for the β-carotene/THF solution, a negative instantaneous signal is observed around the probe delay time origin.

To clarify mechanism of this negative signal around the time origin, we investigated an input-power dependence of the OHD-OKE signal, which is shown in Fig. 2. Whereas, in the heterodyne detection scheme, the signal of HRG depends on the second power of the input power, the signal of the β-carotene/THF solution depends on the third power. This fact reveals that the former is due to a one-photon process, while the latter is due to a two-photon process. This is also confirmed from the fact that the FWHM of the β-carotene solution signal is narrower than that of the HRG signal. Since the absorption peak wavelength λ_{max} of the β-carotene solution is $\sim$450 nm (S_2 ($^1B_u^+$) $\leftarrow$ S_0 ($^1A_g^-$)), excitation can occur through a two-photon process ($S_n \leftarrow S_0$ ($^1A_g^-$)) with $\sim$780 nm pump pulses. Thus, it is suggested that the negative signal around the time

origin for the β-carotene/THF solution originates from the creation of an excited state by a two-photon process. From the fact that the negative signal of the β-carotene/THF solution is only around the time origin, there are two possibilities as follows:

(1) a two-photon pump-excited population relaxation time shorter than the pulse duration is probed.

(2) a scattering of the pump into the probe direction by the two-photon population grating, which is produced only if the pump and probe fields are coherently overlapping in time, is observed.

Since the population lifetime of a higher S_n singlet is considered to be much longer than the pulse duration,[4] the possibility (1) can be ruled out. Thus, it is concluded that the observed negative signal around the time origin results from the coherent coupling effect through two-photon excitation, that is, the induced linear susceptibility change $\Delta\chi_g^{(1)}$ due to a two-photon grating induced by both the pump and probe beams.

The results for the circularly polarized pump is shown in Fig. 3. The imaginary part of $\Delta\chi_g^{(1)}$ through a two-photon process is observed in this case, while the real part is observed for the linearly polarized pump case. It is found that the imaginary part of $\Delta\chi_g^{(1)}$ is smaller than the real part and the sign of the imaginary part is changed from positive to negative at the time origin.
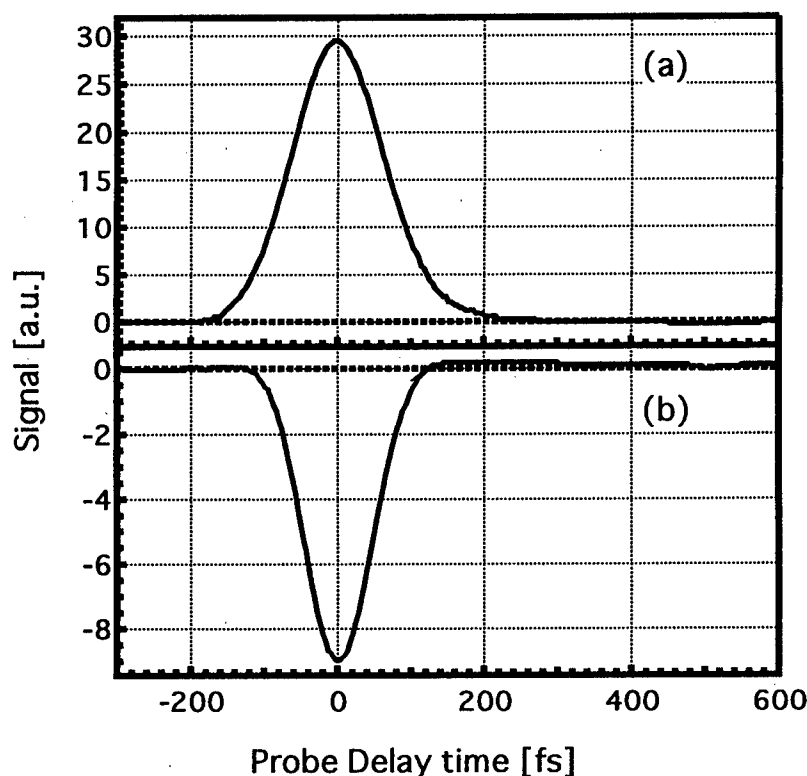
Fig. 1   Optical heterodyne-detected optical Kerr signals for (a) the high refractive index glass and (b) β-carotene/THF solution (3.1×10^{-2} M) with the linearly polarized pump.
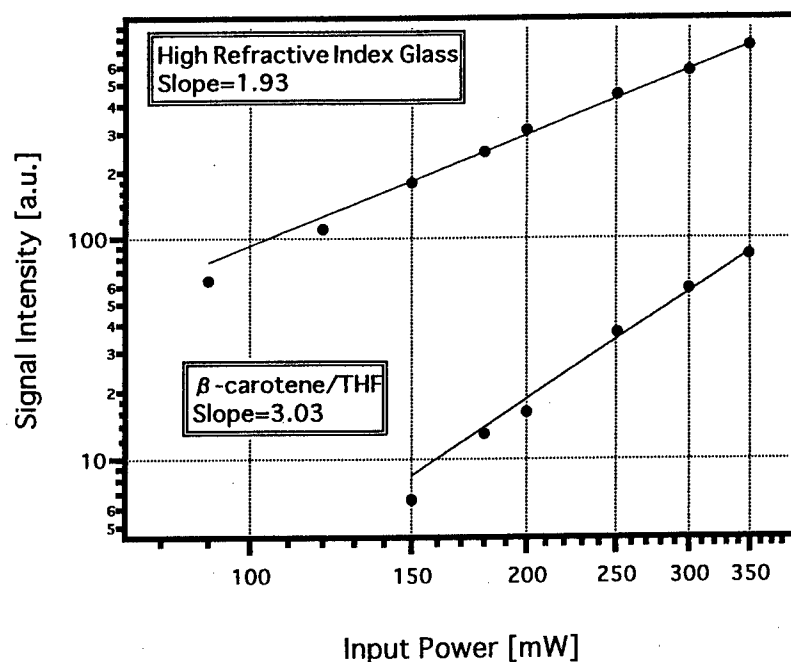
Fig. 2   The absolute values of the optical heterodyne-detected optical Kerr signals for the high refractive index glass and β-carotene/THF solution are plotted as a function of the input power.
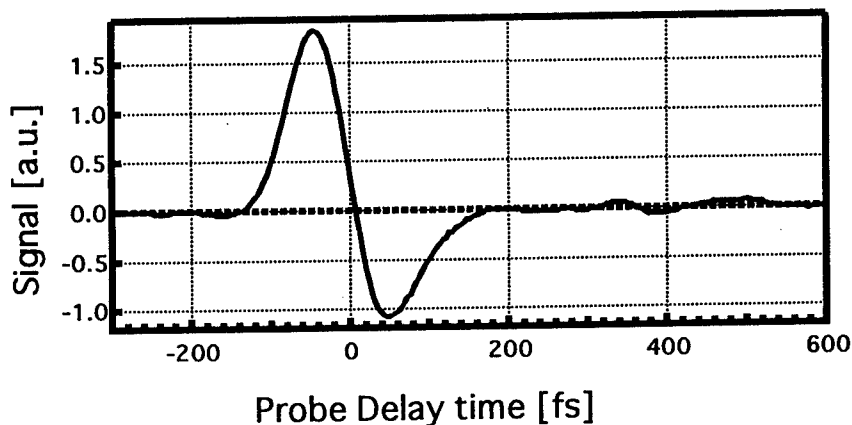
Fig. 3   Optical heterodyne-detected optical Kerr signals for β-carotene/THF solution (3.1×10^{-2} M) with the circularly polarized pump.

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Third-Order Optical Nonlinearities in a Regioregular Head-to-Tail Poly(3-(4-dodecylphenyl)thiophene)

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Optical properties of conjugated polymers have been studied extensively and they have been recognized as important nonlinear optical materials because of their high potentials, such as large nonresonant third-order optical susceptibility, $\chi^{(3)}$, and femtosecond response time derived from π-electron delocalization.[1-3] The effective conjugation length of polymer is an important factor for expanding optical nonlinearities. Recently, contrasting nonlinear optical properties between regioregular and irregular poly(3-dodecylthiophene) thin films with different effective conjugation lengths was reported.[4]

In this paper, we present the nonresonant third-order optical nonlinearities in a regioregular (Head to Tail) and irregular poly(3-(4-dodecylphenyl)-thiophene) thin films. A large $\chi^{(3)}$ (10^{-10}esu) and an ultrafast response (10^{-13}sec) were obtained from the experimental results of the transient four-wave mixing (FWM) and the z-scan method. The $\chi^{(3)}$ value of the regioregular polymer was about 1.5 times larger than the irregular one.

Fig. 1. The molecular structures of the Head-to-Tail regioregular and regio-irregular polymers.

Figure 1 shows the molecular structures of the regioregular (RR) and the regio-irregular (RI) polymers. The RR and RI polymers were prepared by oxidative coupling polymerization of the monomer using the VO(acac)$_2$ and FeCl$_3$ catalyst systems, respectively.[5] The polymers were formed into thin films by the spin coating.

Figure 2 shows the absorption spectra of the polymer films. The solid
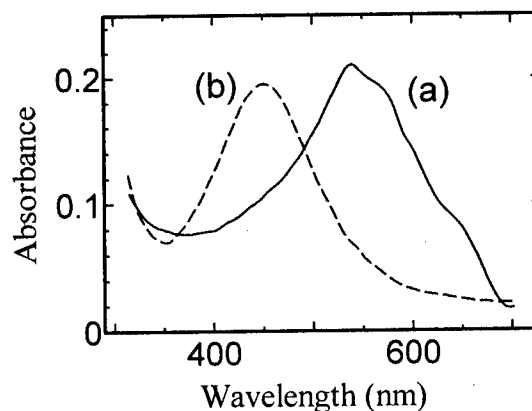
Fig. 2. The absorption spectra of the polymers.

line (a) and the broken line (b) show the absorption spectra of RR and IR polymer films with 100nm thick, respectively. The absorption peak of RR polymer shows a red shift of 90nm from the absorption peak of IR polymer. The red shift agrees with the experimental result reported by T. Bjørnholm, et al.,[4] and may be caused by the extending of the effective conjugation length.

The third-order nonlinear optical susceptibility $\chi^{(3)}$ of the polymers were measured by using a transient, degenerate four-wave mixing (DFWM) experiment and z-scan method. A mode-locked Ti:sapphire laser was used as a pump source. The pulse duration and the repetition rate of the laser system were $100 \sim 150$ fs and 80 MHz, respectively, and the central wavelength was tuned to nonresonant region of 775nm.

In Fig. 3, the time-resolved DFWM signals on the polymers are shown, and the inset shows the experimental configuration of the sample and the excitation pulses. A solid line and a dashed line correspond to the experimental results of RR and RI polymers, respectively. Open circles show the third-order auto-correlation function of the laser pulse. The signal decay time was very fast and close to the pump pulse duration. In a nonresonant excitation, the real carrier transition is absent and the nonlinear optical effect takes place only while the excitation pulse pumps a sample. The third-order nonlinear optical susceptibility $\left|\chi^{(3)}\right|$ of the polymers were calculated from the reflection
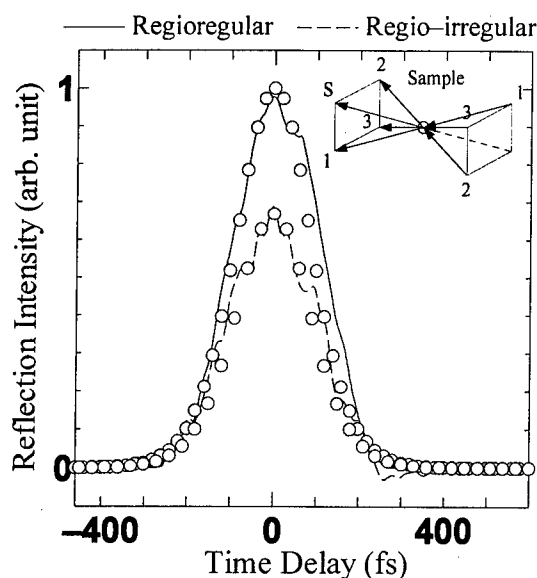
Fig. 3. The time-resolved DFWM signal.
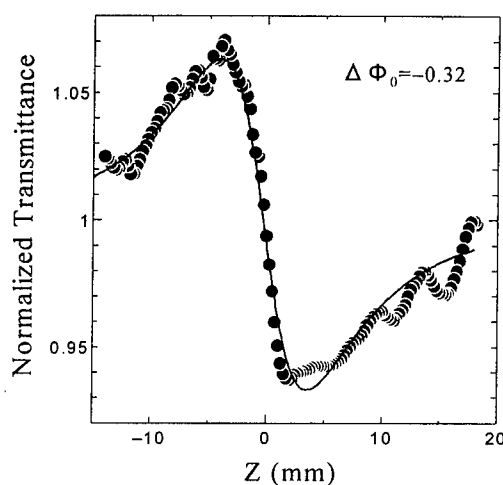
Fig. 4. The experimental result of z-scan method for RR polymer.
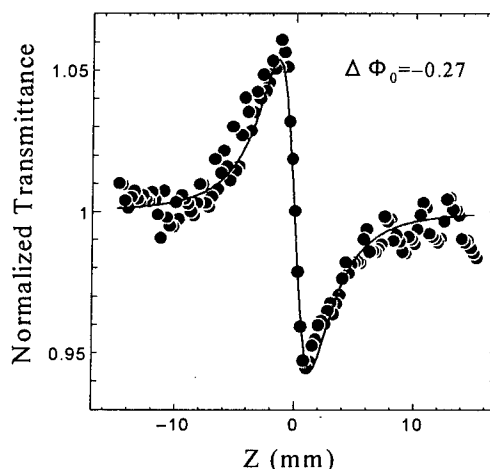
Fig. 5. The experimental result of z-scan method for IR polymer.

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intensity.[6]

Figures 4 and 5 show the experimental results of z-scan method for RR and RI polymers, respectively.[7] In the z-scan experiment, the central wavelength was 775nm. In the Figs. 4 and 5, dots are the experimental results and solid curves are the calculated results with the nonlinearly induced phase change $\Delta \phi_0 = -0.32$ and $\Delta \phi_0 = -0.27$. The experimental results are good agreement with the calculated results. The experimental results indicate the decrease in the refractive index, that is self-defocusing, of the polymers. Nonlinear indexes of refraction n_2 could be obtained from the fitting curves in the Figs. 4 and 5. Here, it has to be careful that the experimental results may include the thermal effect.

|	Four Wave Mixing		Z-scan	
	$\mid \chi^{(3)} \mid$	Relaxation Time	n_2	$\chi^{(3)}_{1111}$
Head to Tail	5.3×10^{-10}esu	<100fs	3.3×10^{-8}esu	1.3×10^{-9}esu
Random	3.5×10^{-10}esu	<100fs	0.95×10^{-8}esu	3.8×10^{-10}esu

Table 1. Nonlinear optical indexes and susceptibilities obtained by DFWM and z-scan method.

Obtained nonlinear optical indexes and susceptibilities of RR and IR polymers are summarized in Table 1. The third-order nonlinear optical susceptibilities $\chi^{(3)}_{1111}$ for the z-scan experiment were given by $\chi^{(3)}_{1111} = 12\pi n_2 / n_0$, where $n_0 = 1.5$ was the linear refraction index of the polymer obtained experimentally. Both experiments, DFWM and z-scan methods almost give the same results. $\left| \chi^{(3)} \right|$ of RR polymer was larger than that of RI polymer. This increase in the optical nonlinearities should come from the regioregularity of RR polymer.

In conclusion, we succeeded in the preparation of the head-to-tail regioregular poly[3-(4-dodecylphenyl)-thiophene] by oxidative coupling polymerization using the VO(acac)$_2$ catalyst system. The increase in the third-order nonlinear optical susceptibility $\left| \chi^{(3)} \right|$, caused by the regioregularity, was observed.

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Sublattice Inversion Epitaxy of Compound Semiconductors for Quadratic Nonlinear Optical Devices

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Compound semiconductors have not attracted much attention as materials for quadratic nonlinear optics such as frequency doubling and parametric amplification because most semiconductors are optically isotropic and hence do not allow phase matching required for efficient nonlinear optical processes. This is, however, unfortunate in view of the large optical nonlinearities exhibited by a number of semiconductors; for example, $d_{36}(GaAs) = 170$ pm/V and $d_{36}(GaP) = 71$ pm/V, both at 1.064 μm.[1] Furthermore, the sophisticated crystal growth and fabrication technologies available for semiconductors might make it possible monolithically to integrate semiconductor-based nonlinear optical devices with laser diodes, thus opening up a new possibility of compact laser sources. To exploit this potential requires spatial modulation of the optical nonlinearity, which should enable quasi phase matching.[2] The ideal method to exploit the optical nonlinearity of semiconductors will be to achieve crystal domain inversion, resulting in sign reversal of the nonlinear optical coefficient. Yoo *et al.* reported periodic domain inversion of AlGaAs by use of wafer bonding followed by overgrowth.[3] In this paper, we present an alternative, and potentially more versatile, technique to achieve domain inversion in compound semiconductors.

Let us consider III-V semiconductors of the zinc-blende structure. Spatial inversion operation in zinc-blende type crystals is equivalent to a 90° rotation around the [100] direction and its equivalents owing to the existence of four-fold inversion axes parallel to the [100] axes. Alternatively, an exchange of the sublattices would also result in spatial inversion since the zinc-blende structure consists of two mutually penetrating face-centered-cubic sublattices, one occupied by group-III atoms and the other by group-V atoms. Usual epitaxy, however, does not allow sublattice inversion because of the considerable difference in the valence characteristics of the group-III and group-V atoms. In order to achieve sublattice inversion, we trick the atoms into forgetting which sublattice they should occupy. Figure 1 illustrates the basic scheme of our sublattice inversion epitaxy for the simplest case, GaAs grown on a (100) GaAs substrate. By inserting a thin intermediate layer of group-IV atoms, Si in Fig. 1, we would be able to invert the sublattice occupation: sublattice A is occupied by As and sublattice B by Ga in the grown layer, while sublattice A is occupied by Ga and sublattice B by As in the substrate. For this to happen, we must terminate the substrate surface by As atoms and initiate the GaAs growth by As deposition after inserting two monolayers of Si. More generally, the intermediate Si layer should be even-numbered-monolayers thick, allowing even-numbered atomic steps on the interface.

O Ga ● As ⊚ Si

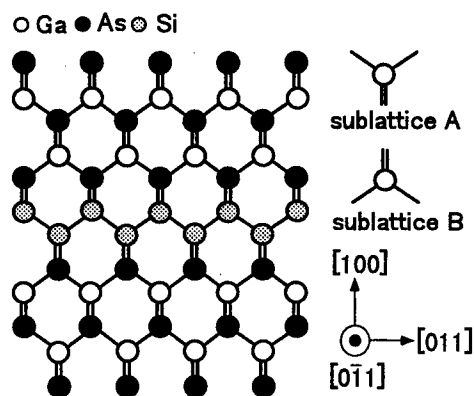sublattice A

sublattice B

[100]

[011]

[0̄1̄1]

Figure 1   Basic scheme of the sublattice inversion epitaxy for GaAs/Si/GaAs (100).

In order to demonstrate sublattice inversion epitaxy, we have grown GaAs on GaAs substrates with an Si or Ge intermediate layer (GaAs/Si/GaAs, or GaAs/Ge/GaAs) using a molecular beam epitaxy (MBE) system. The typical growth rate was 14 Å/h, 600 Å/h, and 8000 Å/h for Si, Ge, and GaAs, respectively. The substrates used were (100) GaAs wafers tilted by 4° toward [011] or [0̄1̄1]. Sublattice inverted GaAs has been successfully grown in certain growth conditions.[4] Spatial inversion achieved in the epilayers was confirmed by the reflected high energy electron diffraction (RHEED) observation and the anisotropic chemical etching.

The quadratic nonlinear optical properties of sublattice-inverted GaAs epilayers have been characterized by the method of reflected second harmonics. In order to obtain the thickness dependence of the second-harmonic powers from the epilayers, we grew wedge-shaped GaAs epilayers using the local-growth-rate nonuniformity in our MBE system. The dependence of the second-harmonic power on the film thickness was measured in a reflection geometry using a Q-switched Nd:YAG laser as the fundamental light source. Figure 2 shows an observed thickness dependence of the second harmonic power emanating from a sublattice-inverted GaAs layer grown with a Si intermediate layer. The reflected second harmonic power displays an oscillatory structure with a half period of 350 Å; this occurs as a result of the interference of second harmonic wave generated in the sublattice-inverted GaAs epilayer with that from the substrate. The observed half period exactly agrees with the coherence length, $l_c = \pi/(\kappa^{2\omega}+2\kappa^\omega)$, where $\kappa^{2\omega}$ and κ^ω are the wavenumbers in the GaAs film along the [100] direction at 2ω and ω, respectively. Similar experiments on non-inverted GaAs epilayers gave essentially flat dependence of the second harmonic power on the film thickness. The clear difference of the behavior of the reflected second harmonics between the inverted and non-inverted GaAs epilayers arises because the sign of the nonlinear optical coefficient of the sublattice-inverted GaAs epilayers is reversed with respect to that of the GaAs substrate.
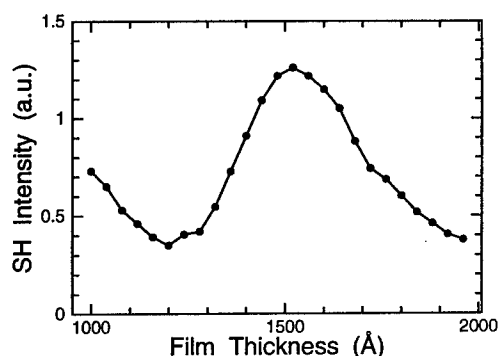
Figure 2   Reflected second-harmonic intensities of the sublattice-inverted GaAs film as a function of the film thickness.

In-situ RHEED observations and cross-sectional transmission electron microscopy (XTEM) observations revealed that initial GaAs crystals grown on group-IV intermediate layers were dominated by antiphase domains (APDs).[5] The APDs in the GaAs layers, however, grown on the intermediate layers were annihilated in the initial growth process. This behavior may be explained as follows. Antiphase boundaries (APBs) are known to be created on the terrace edges of odd-numbered-monolayer step heights on the Si surface

and propagate on {111} planes.[6] As the GaAs growth proceeds, the APBs are annihilated at intersecting lines, eventually resulting in the dominance of a single domain.[7] The sublattice allocation in the single-domain overgrown GaAs epicrystal is supposed to be determined by growth conditions such as the growth temperature, substrate misorientation, etc. Although the mechanism involved in the observed sublattice inversion epitaxy seems to be different from the one we had initially in mind, APD-free sublattice inversion epitaxy should be possible on Si (Ge) (100) surfaces with biatomic steps.[8] Surface treatment to enhance the creation of biatomic steps on Si (Ge) intermediate layers is a key technique to realize APD-free sublattice inversion.

1. Ge thin film growth on GaAs (100)

GaAs (100) _ misoriented to [01̄1]

2. Selective etching of Ge films by H_2O_2

3. GaAs growth by sublattice inversion epitaxy
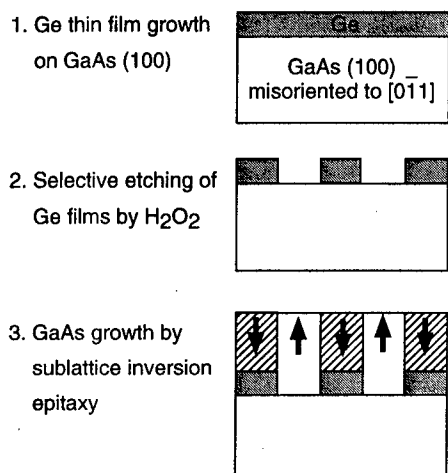
Figure 3 Preparation of the APD-free template for the overgrowth of periodically domain-inverted AlGaAs epilayers.

Another method to fabricate APD-free devices using the current sublattice inversion epitaxy is to make use of "template" substrates with APD-free surfaces for overgrowth. Figure 3 shows the process to prepare the APD-free "template" for the overgrowth of periodically domain-inverted AlGaAs epilayers. Fablication of AlGaAs quasi-phase-matching wavelength conversion devices using this technique is now under way.

In summary, a novel epitaxial growth technique, sublattice inversion epitaxy, has been proposed for fabricating nonlinear optical devices with domain-inverted semiconductor structures. Sublattice inversion epitaxy has been demonstrated in the GaAs/Si/GaAs (100) GaAs/Ge/GaAs (100) systems using MBE. Although the present sublattice inversion epitaxy is assisted by the APD self-annihilation mechanism, APD-free sublattice inversion should be possible by use of appropriate surface treatments, more favorable crystal orientations. Fablication process for APD-free domain-inverted devices has also been proposed based on the current sublattice inversion epitaxy technique.

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Third-order Optical Nonlinearity at Excitonic Resonance in Poly(3-[2-((S)-2-methylbutoxy)ethyl]thiophene)

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The optical properties of polythiophene have generated widespread interest. Because this material shows high electronic conductivity by doping [1] and demonstrate large third-order optical nonlinearity $|\chi^{(3)}|$ [2], it may be applicable as an active material in electro-optical devices. Recently, we reported the enhancement of third-order optical nonlinearity in Poly(3-hexylthiophene)(P3HT) by the control of regularity in the polymetric structure [3]. The enhancement is due to increasing the conjugation length. In the present study, we use the thin films of Poly(3-[2-((S)–2–methylbutoxy)ethyl]thiophene)(P(S)MBET) which is expected to have large conjugation length. We measured $|\chi^{(3)}|$ at excitonic resonance using degenerate four-wave mixing (DFWM), and estimate the conjugation length of samples .

Fig.1 shows the structure of P(S)MBET. This substance has a optically active group with the coupling of ether, which is more flexible than alkyl group. We used the thin films of P(S)MBET which were prepared by Spin-coating method and Langumuir-Blodgett (LB) method. Fig.2 and Fig.3 show the absorption spectra of P(S)MBET spin-coated film and LB film. The absorption spectrum

Fig.1   The structure of P(S)MBET

of LB film represents the lowest peak at 1.95eV, which is due to exciton absorption, and phonon side-bands of it around 2.13eV and 2.30eV. The interval of side-bands corresponds to C=C vibration energy (0.18eV). In spin-coated film, the exciton peak is found at 2.02eV, and there are phonon side-bands around 2.20eV and 2.38eV. Comparing their spectrum, it is found that LB film has larger conjugation length and smaller dispersion of conjugation length than spin-coated one.

In DFWM experiment, We used 200fs pulses from optical parametric amplifier (OPA) seeded by mode-locked Ti:Al₂O₃ laser. The pulse repetition rate was 100kHz and the maximum
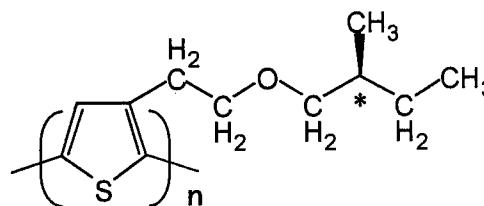
pulse energy was approximately 100nJ. In all measurements, the sample was maintained at a low temperature (8K) in a vacuum in order to prevent chemical changes. We measured $|\chi^{(3)}|$ with changing the incident photon energy around the exciton absorption peak. The DFWM signal intensity increased in proportion to the third power of the incident pulse intensity up to $I_{in}=5GW/cm^2$, which corresponds to the exciton density $\sim10^{15}/cm^2$. $|\chi^{(3)}|$ was estimated from the cubic dependence region considering the absorption and refractive index.

$|\chi^{(3)}|$ spectra are shown in Fig.2 and Fig.3. The peak value of $|\chi^{(3)}|$ in the spin-coated film and LB film are 2.7×10^{-10} esu and 3.6×10^{-9} esu, respectively. LB film of P(S)MBET shows the largest $|\chi^{(3)}|$ in conjugated polymers. Although there are phonon side-bands structure also in $|\chi^{(3)}|$ spectrum, exciton peak and side-band peaks in $|\chi^{(3)}|$ spectrum are shifted to lower energy side in comparison with the absorption peaks. These shifts can be explained by considering the distribution of the conjugation length. The absorption spectrum; $\alpha(\omega)$ is expressed as

$$\alpha(\omega) \propto |\mu|^2 f(N)/N \propto f(N)$$

where μ is the dipole moment which is proportional to square root of conjugation length N; $\mu \propto \sqrt{N}$, and $f(N)$ is the number of molecule with N-conjugation length; the density of molecule is proportional to $f(N)/N$. While the $|\chi^{(3)}|$ is proportional to the forth power of the dipole moment, then

$$\left|\chi^{(3)}(\omega)\right| \propto |\mu|^4 f(N)/N \propto Nf(N)$$

Since the exciton energy of the molecule with longer conjugation length locates at lower energy, the peaks of $|\chi^{(3)}|$ spectrum are shifted to lower energy side. We can estimate the conjugation length by these peak shifts, and 23 thiophene rings for the LB-film and 16 thiophene rings for spin-coated film are obtained. For LB film, $|\chi^{(3)}|$ of phonon side-band peaks is smaller than that of exciton peak. This may be attributed to small dispersion of conjugation length and small dephasing time of phonon side-bands.

In conclusion the third-order optical nonlinearity was observed in P(S)MBET at excitonic resonance, and the conjugation length was estimated from the absorption spectrum and $|\chi^{(3)}|$ spectrum.

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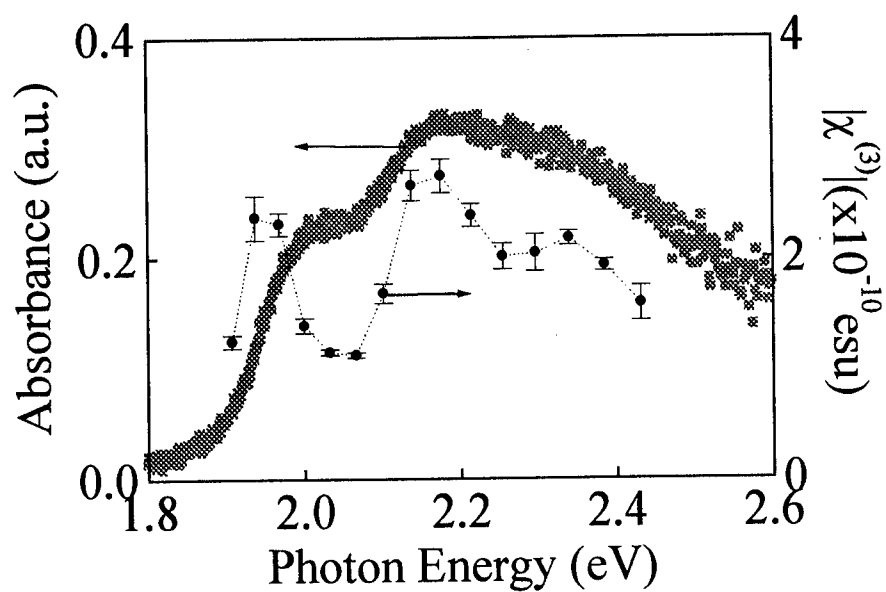[3] H.Kawahara, Y.Ueno, N.Abe, S.Kishino, and K.Ema, et. al., Opt.Rev. 4 (1997) 188

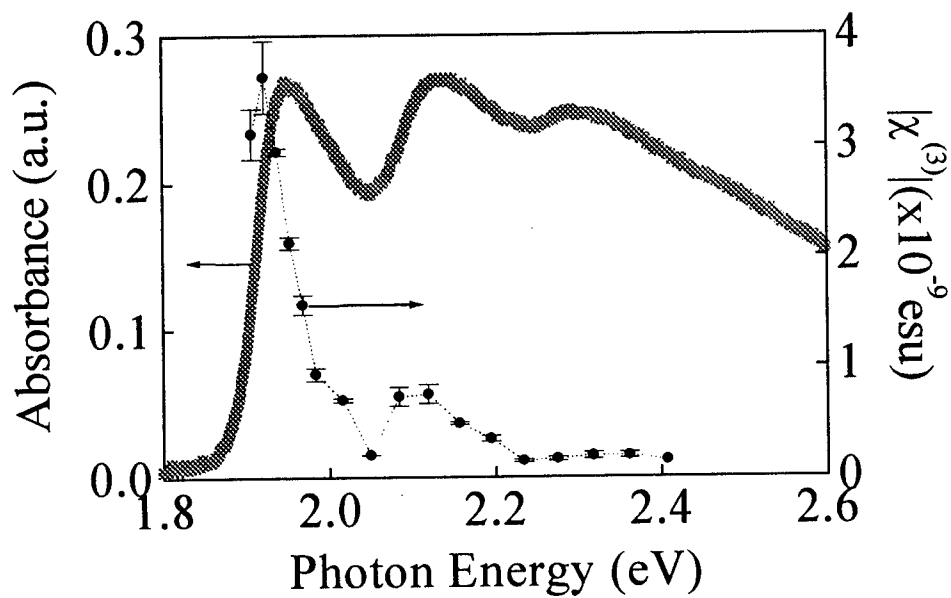Fig.2 Absorption spectrum and $|\chi^{(3)}|$ spectrum of P(S)MBET (spin-coated film)

Fig.3 Absorption spectrum and $|\chi^{(3)}|$ spectrum of P(S)MBET (LB film)

Soliton-like Propagation in Biexciton Two-Photon Resonant Region

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1. Introduction

Soliton-like pulse propagation in the exciton resonant region is the issue of current interest because of their importance in the ultrafast optical switching devices. Although several types of polariton solitons at exciton resonance have been theoretically proposed [1], experimental studies for soliton-like propagation in such region have not been fully explored. Recently, we proposed a new scheme of soliton-like propagation supported by biexciton two-photon dispersion, and demonstrated a preliminary indication of our scheme using biexciton two-photon resonance (TPR) in CuCl [2]. In the present study, we perform sub-ps pulse propagation experiments under several conditions using biexciton TPR in CuCl. We compare the results with the calculated results using the frequency domain wave equation. We obtain good agreement between them, and confirm soliton-like propagation supported by biexciton two-photon dispersion.

2. Principle of soliton-like propagation in biexciton two-photon resonant region

The Fourier components of the electric field of the pulse in the form of running wave are

$$E(\omega,z) \equiv \hat{E}(\omega,z)e^{ik(\omega)z}, \quad k^2(\omega) = \frac{\omega^2}{c^2}\left[1+\chi^{(1)}(\omega)\right], \tag{1}$$

where $k(\omega)$ is complex wave number. Within a slowly varying envelope approximation (SVEA), we obtain the following derivative of complex envelope function $\hat{E}(\omega,z)$ [3]:

$$\frac{\partial}{\partial Z}\hat{E}(\omega,z) = i\frac{1}{2k(\omega)}\left(\frac{\omega}{c}\right)^2 \int d\omega_1 \int d\omega_2 \chi^{(3)}(\omega;\omega_1,\omega_2,\omega_1+\omega_2-\omega) \tag{2}$$

$$\times \hat{E}(\omega_1,z)\hat{E}(\omega_2,z)\hat{E}^*(\omega_1+\omega_2-\omega,z)e^{i\Delta k\cdot z},$$

where we take account of only the lowest nonlinearity $\chi^{(3)}$, and Δk represents phase

mismatch.

If we neglect the phase-mismatched terms in Eq. (2), we can define the nonlinear dispersion $k^{NL}(\omega)$:

$$k^{NL}(\omega) = -\frac{1}{2k}\left(\frac{\omega}{c}\right)^2 \int d\omega \chi^{(3)} |\hat{E}(\omega)|^2 \ .$$

(3)

In the numerical calculation, we use material parameters of the bulk CuCl, and set the input pulse width to 300 fs and center frequency to biexciton TPR, 3.186 eV. In Fig.1, the dotted curve shows the linear polariton dispersion curve [k(ω)] and the solid one shows the total dispersion curve [k(ω)+k^{NL}(ω)]. As we can see in Fig. 1, the nonlinear dispersion compensates polariton dispersion in the frequency region of the input pulse at a certain intensity. We calculate changes of the pulse shape along z using Eq. (2), including phase-mismatched terms. Results of calculation are shown in Fig. 2. By increasing pulse intensity, soliton-like propagation occurs at a certain intensity.

3. Experimental results

We used a high purity single crystal of CuCl, thickness of 10 μm and kept them at 10 K. In order to obtain the transmitted pulse shape, we use different frequency generation (DFG) intensity correlation technique. Fig. 3(a) shows the transmitted pulse shapes with a linearly polarized beam tuned to the biexciton TPR. With increasing the intensity, we clearly see the narrowing of the pulse duration and the increase in the velocity. To clarify the biexciton TPR effect, we performed the experiments with a circularly polarized beam (the biexciton two-photon transition is forbidden for a single circularly polarized beam), and with beams whose energy are detuned to ±3 meV from the biexciton TPR energy. The results are shown in Fig. 3(b-d). In these cases, no pulse narrowing is observed. We conclude that the soliton-like propagation shown in Fig. 3(a) is attributed to the biexciton TPR nonlinearity.
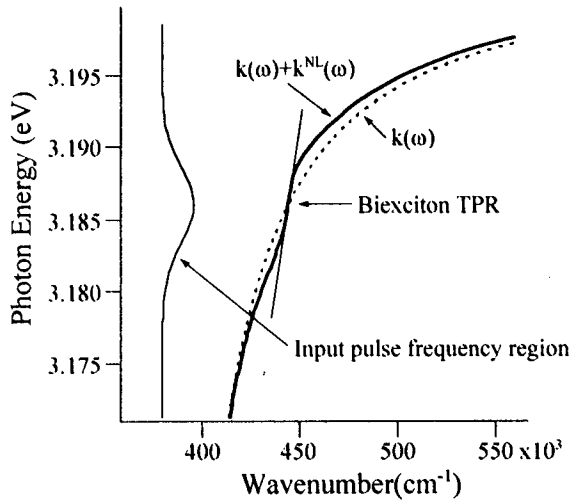
FIG. 1. The real part of the linear polariton dispersion k(ω) (dotted curve) and the total dispersion with the nonlinear dispersion k(ω)+k^{NL}(ω) (solid curve). The nonlinear dispersion compensates for polariton dispersion in the region of the input pulse frequency.
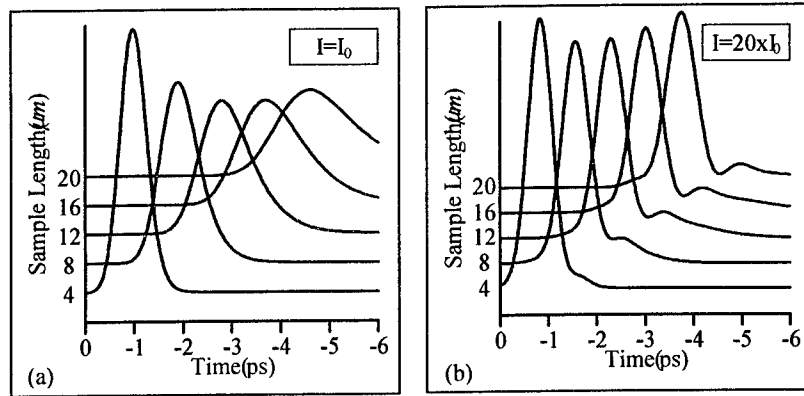
FIG. 2. The calculated pulse shapes with (a) low intensity, and (b) high intensity. By increasing pulse intensity, the pulse duration becomes shorter and the group velocity increases.
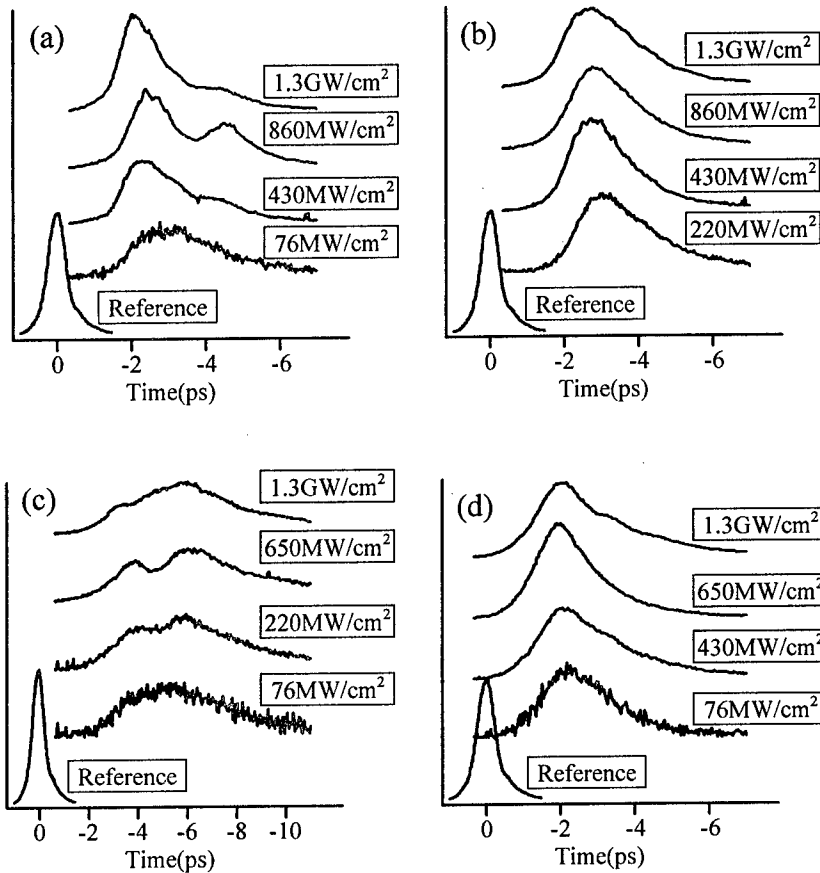
FIG. 3. The experimental results, (a) with a linearly polarized beam. (b) with a circularly polarized beam, (c) with a beam detuned +3meV from biexciton TPR, (d) with a beam detuned -3meV from biexciton TPR.

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Biexcitonic contribution to the four-wave-mixing signal from a self-organized quantum-well material $(C_6H_{13}NH_3)_2PbI_4$

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Numerous studies have shown that the nonlinear optical responses in quantum-well systems arise primarily from interactions between excitons, and recent investigations have demonstrated that biexcitons play an important role in the nonlinear optical processes. In order to observe biexcitonic effects in quantum-well systems, it is necessary to reduce the inhomogeneous broadening linewidth that originates from the well-width fluctuations. For this reason, observation of biexcitonic effects is limited in high quality crystals.

$(C_nH_{2n+1}NH_3)_2PbI_4$ are self-organized quantum-well systems with intrinsically flat well-barrier interfaces as well as a large band-gap energy difference between the well and the barrier layers. The lowest excitons are tightly confined within the very thin (6.36 Å) inorganic well layers. Moreover, the dielectric-confinement effect significantly enhances the effective Coulomb interaction, the excitons have an extremely large binding energy ($\simeq$ 400 meV) and oscillator strength ($\simeq$ 0.7 per formula unit).[1, 2] Recently, we demonstrated that the $\chi^{(3)}(\omega; \omega, -\omega, \omega)$ of $(C_6H_{13}NH_3)_2PbI_4$ at the exciton resonance was as large as $\simeq 10^{-6}$ esu[3] and that the biexcitons had a large binding energy ($\simeq$ 40 meV).[4]

In the present study, we have observed the biexciton contribution to nonlinear optical process in $(C_6H_{13}NH_3)_2PbI_4$ using four wave mixing (FWM). FWM experiment was performed using a two-incident-beam configuration (wave vectors were k_1 and k_2). The TI-FWM signals were obtained by monitoring the signal beam in the direction $k_s = 2k_1 - k_2$ as a function of the time delay between the two pulses $\tau = t_1 - t_2$ (positive when pulse 2 precedes pulse 1). .

Figure 1(a) shows the TI-FWM signals for the exciton resonance ($\Omega_X = 2.345$ eV) with co-linearly polarized pulses. In this case, the polarization created by pulse 2 interferes with the field of pulse 1 and creates a grating which diffracts the pulse 1 along $2k_1 - k_2$. Therefore, the TI-FWM signals are expected only at positive time-delays.[5] The observed signal intensity actually reaches a maximum at positive time-delays because of a finite relaxation time.[6] The phase relaxation time T_2 was approximately 0.2 ps. The absolute value of $\chi^{(3)}(\omega; \omega, -\omega, \omega) \simeq 10^{-6}$ esu was estimated from the cubic dependence region considering the absorption and reflection losses.[3] In the cross-linearly polarized

configuration, the intensity of the TI-FWM signals shows a large reduction because exciton one photon coherence (OPC) does not contribute to the coherent FWM process. Figure 1(b-d) shows the TI-FWM signals for excitation at 2.330 eV, which is slightly below the exciton resonance energy. The spectrum of the incident pulses are broadened with a 35-meV full width at half maximum, which includes the exciton resonance energy and the biexciton-exciton transition energy (Ω_M = 2.300 eV). In the co-linearly polarized configuration, another peak appears at negative time-delays. This signal can be explained by biexciton two-photon coherence (TPC). The two-photon absorption process induced by pulse 1 leads to TPC between the ground state and the biexciton state. The subsequent pulse 2 is diffracted from this TPC. This process (shown schematically in Fig. 2) introduces the TI-FWM signals at negative time-delays. In the circularly polarized configuration (k_1 and k_2 pulses are circularly and linearly polarized, respectively), this negative time-delay signal is largely reduced. According to the selection rules of biexciton transition, biexcitons cannot be excited in this configuration. The intensity of the positive time-delay signal is largely reduced in the cross-linearly polarized configuration. Thus, the coherent process related to exciton OPC is dominant in the positive time-delay signal. From the diagram shown in Fig. 2, we can see the photon energy of FWM signals which arise from the biexciton TPC processes. In the case of Fig. 2 (a), the FWM signal with broadband pulse excitation is generated at both positive and negative time-delays with a photon energy of Ω_M. In the case of Fig. 2 (b), the FWM signal is generated at only negative time-delays with a photon energy of Ω_X. In order to confirm this, we spectrally resolved the FWM signal in the cross-linearly polarized configuration as a function of delay time τ.(Figure 3) Although the exciton OPC cannot contribute to the FWM signal in the cross-linearly polarized configuration, we observes the Ω_X peak at negative time delay in this configuration. Consequently, the negative time-delay Ω_X peak is attributed to the biexciton TPC. At positive time delay, we can see the broad signal between Ω_M and Ω_X position, which decays to Ω_M peak. Since the M peak observed at positive time delay can be attributed to the process of Fig. 2 (a), this result suggest the existence of two exciton band above the biexciton level. No signal is observed at negative time-delays around the position of Ω_M in the present experiment. Thus, biexciton dephasing energy may be so large that the FWM signals rapidly decay at negative time-delays. According to the third order perturbation calculation, the signal intensity ratio of the M peak to the X peak at negative time-delays is γ/γ_M, where γ, γ_M are the dephasing energy of exciton-to-ground and biexciton-to-exciton, respectively.

In summary, polarization-dependent TI- and SR-FWM for excitation below the exciton resonance are measured to investigate the nonlinear optical response of excitons and biexcitons in a self-organized quantum-well material $(C_6H_{13}NH_3)_2PbI_4$. Biexciton contribution to FWM signals is clearly observed.

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Figure 2: Energy diagrams showing biexciton two photon coherence, where g, ex, and bx represent ground, exciton, and biexciton states, respectively. (a) Signals at Ω_M are generated at negative and positive time-delays. (b) Signals at Ω_X are generated at negative time-delays.

Figure 1: (a): TI-FWM signals for the exciton resonance (Ω_X = 2.345 eV) with co-linearly polarized pulses. (b,c,d): TI-FWM signal intensity for excitation with various polarized pulses . Photon energy of excitation pulses is slightly below exciton resonance, 2.330 e V. (b): Excitation pulses are co-linearly polarized and excitation intensity is 10.0MW/cm². (c): Excitation pulses are cross-linearly polarized and excitation intensity is 14.2 MW/cm². (d): k_1 and k_2 pulses are circularly and linearly polarized, respectively, and excitation intensity is 11.3 MW/cm².

Figure 3: Spectra of FWM signal at different time-delays. Excitation pulses are cross-linearly polarized.

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Demonstration of a Phase Conjugate Resonator using Degenerate Four-Wave Mixing via Coherent Population Trapping in Rubidium

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Optical phase conjugation (OPC) is of interest for many applications such as optical resonators, high speed turbulence correction, and the production of the squeezed vacuum state. In the interest of practical implementation of OPC, the simplest method is to produce a phase conjugate mirror (PCM). In this work we have used the PCM as well as the gain associated with the OPC to form a ring laser.

Previous work demonstrated a double-Λ system in sodium vapor to be an efficient optical phase conjugator[1,2]. This OPC used a CPT-based grating that produced a conjugate at low pump intensity (1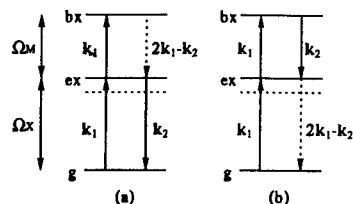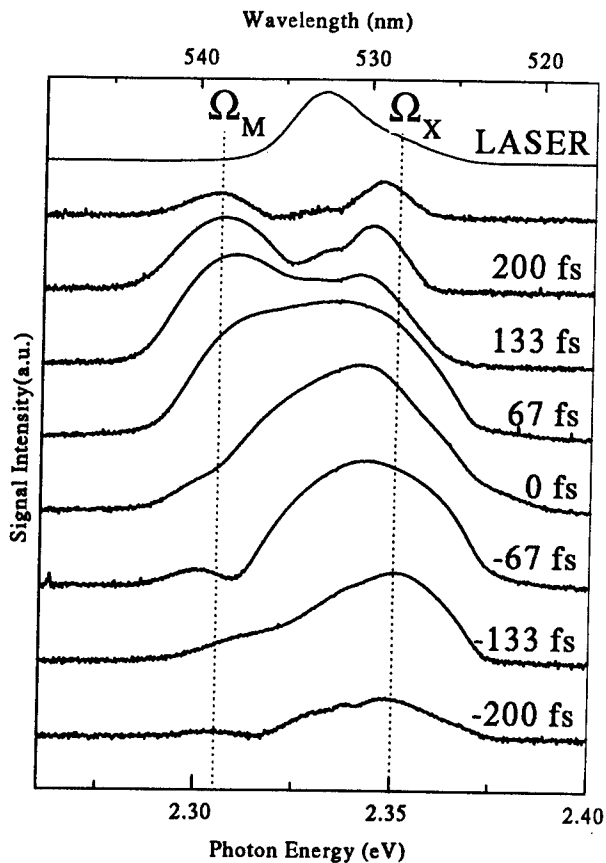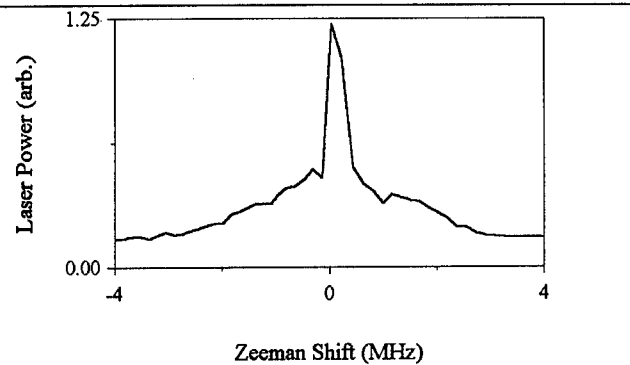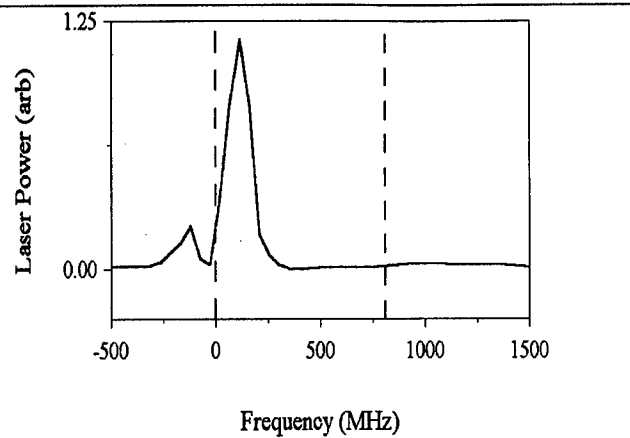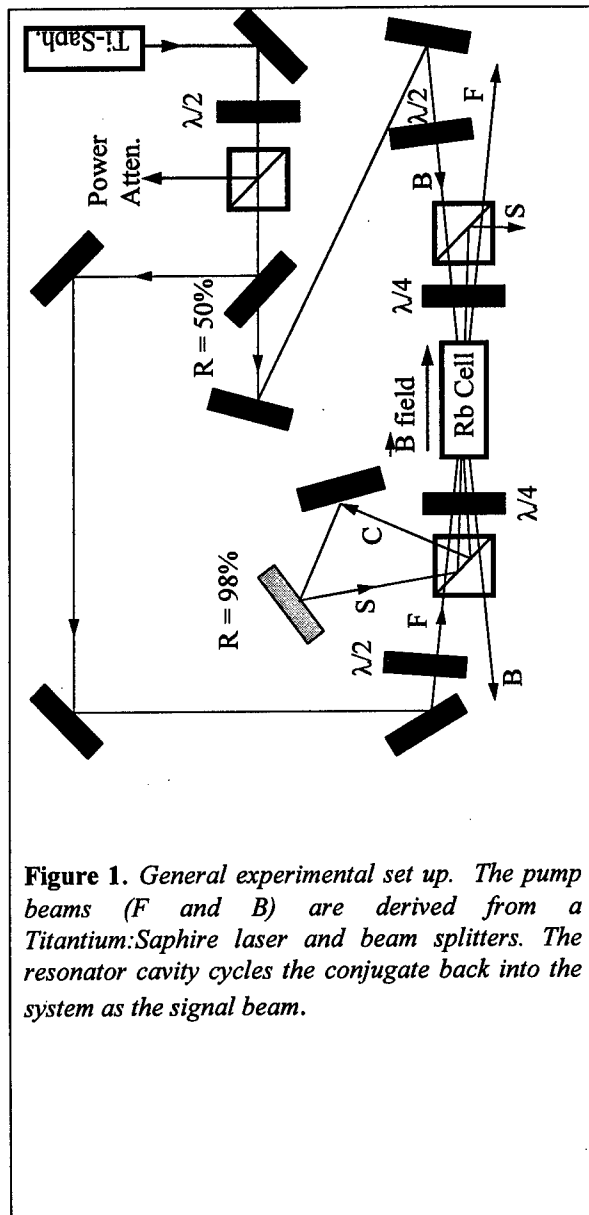 W/cm^2) with high efficiency (reflectivity greater than 50), and fast response time (less than 1 μs). The sodium double-Λ system corrected high speed turbulence which demonstrates the potential for using an atomic vapor as a non-linear material[3]. In order to use a CPT based grating on almost any resonant media, we reproduced the double-Λ system using Zeeman sublevels of a single hyperfine ground state and polarized light[4]. This particular experiment used rubidium and found similar performance as the sodium system. The transition frequencies and required power levels are also within the range of diode lasers for this rubidium system, potentially allowing inexpensive and practical systems in the future. This conjugation process can work with degenerate laser frequencies which further simplifies the experimental requirements[5].

The OPC process is based on coherent population trapping. For four-wave mixing, the forward beam and the signal beam are Raman resonant which puts vapor atoms in a dark state. A grating is formed in the coherence between to two ground states of the Raman transition. In this work, the two ground state levels are Zeeman sublevels of the same hyperfine ground state. Thus, we have oppositely polarized forward (σ_+) and signal beams (σ_-). The backward beam diffracts off this grating and forms the conjugate beam. In a similar manner, the backward beam and the conjugate beam form a grating since they are also Raman resonant. The forward beam diffracts off this grating creating an amplified signal beam. By feeding the output conjugate beam back into the system as a signal beam (using a $\lambda/4$ waveplate to correct the polarization), we create an optical resonator cavity.

The experimental set up is shown in figure 2. A single Ti:Saphire laser produces both pump beams via beam splitters. Each of the pump beams has a FWHM of 1.0 mm, and the angle between any of the beams is less than 5 mrad. We use a heat pipe rubidium oven as our rubidium source with Helmholtz coils to provide a magnetic field. The heat pipe oven and Helmholtz coils are then boxed in with mu metal to eliminate stray magnetic fields. A ring cavity is produced when one considers the PCM created by the four-wave mixing as part of the resonator cavity. We use a 98% reflector in the cavity as an output coupler. However, we also have an effective output coupler in the amplified signal beam. In practice, we found that the signal shapes produced by these different methods yield virtually identical results.

Figure 2 shows the lasing dependence on the pump beam frequency. The maximum occurs to the blue of the ^{87}Rb $5^2S_{1/2}$, F=2 to $5^2P_{1/2}$, F=1 transition. There is

162 mW in the forward beam and 91 mW in the backward beam. As we showed before[6] the

Figure 1. *General experimental set up. The pump beams (F and B) are derived from a Titantium:Saphire laser and beam splitters. The resonator cavity cycles the conjugate back into the system as the signal beam.*

Figure 2. *Pump frequency dependence of the ring laser. The largest maxima occurs blue shifted to the ^{87}Rb $5^2S_{1/2}$, F=2 to $5^2P_{1/2}$, F=1 transition (the left dotted line). The right dotted line is the $5^2S_{1/2}$, F=2 to $5^2P_{1/2}$, F=2 transition.*

Figure 3. *Lasing Dependance on magnetic field. The narrow sharp peak is attributable to a Raman resonance.*

open-loop gain shows a single Lorentzian shape, with three dips, two corresponding to the two resonances (vertical dotted lines), and one corresponding to a detuning exactly halfway between the resonances. The four peaks corresponding to the three dips would imply that we should get four peaks in the laser. The line shape observed in figure 2 indicates that only the first two of these peaks (located around the first resonance) had a gain large enough for lasing to occur.

The output power after the 98% reflector is 0.02 mW while the amplified signal output is around 1 mW. Taking account of loss in the system due to other factors, we can estimate the internal intensities to be approximately 0.85 mW for the incident beam, and 1 mW for the reflected. This indicates a saturated gain in the PCM of around 1.2. The linear gain during the lasing build-up is of course much higher (about 40, as reported in reference 6). Note that in a PCM laser of this form, the output power (observed as the amplified signal) is greater than the power circulating in the cavity.

Figure 3 demonstrates the lasing dependence on the magnetic field produced by the Helmholtz coils. By using the Zeeman shift, we are able to change the effective detunings the atom sees from both the forward and signal beams. As the Zeeman shift is opposite for these two beams (see figure 1), increasing the magnetic field takes the atom away from Raman resonance. As can be seen from figure 4, the lasing width is quite narrow (< 0.5 MHz FWHM). Under the open-loop conditions[5,6], we have observed this linewidth to be of the order of 2 MHz. The smaller linewidth observed here is again due to the fact that the gain has to be larger than a threshold value in order for lasing to occur.

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[6] High Gain Optical Phase Conjugation Using Degenerate Four Wave Mixing via Coherent Population Trapping in Moving Atoms," X. Xia, D. Hsiung, M.S. Shahriar, T.T. Grove, and P.R. Hemmer, to be presented at the *Quantum Electronics and Laser Science Confe*rence, 1998.

Nonlinear Optical Pulse Propagation Experiments in Photonic Bandgap Materials

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SUMMARY

Periodic dielectric structures, often called photonic bandgap materials, have now expanded from simple gratings to engineered structures in one, two and three dimensions that can be tailored with a wide variety of amplitude, phase, and defect structures. Photonic bandgap systems include one-dimensional fiber gratings, two dimensional planar waveguides with dielectric arrays, and three dimensional arrays. Photonic bandgap materials include glasses, organic materials and semiconductors. Optical dispersion is very strong and varies rapidly near the photonic bandgap where forward and backward traveling waves are strongly coupled by the periodic structure. The effective linear velocity of an optical pulse goes to zero at the edge of the gap. These linear characteristics can be engineered over distances of from a few wavelengths to meters depending on the magnitude of the index variation in the periodic dielectric.

Nonlinear phase shifts near π can be achieved in many photonic bandgap materials over distances of a few centimeters. The combination of nonlinear phase shifts near π and the tailored dispersive characteristics of photonic bandgap materials leads to a rich new set of nonlinear phenomena including solitons, modulational instabilities, and pulse compression. "Bragg" solitons were observed for the first time in 1995 in uniform fiber gratings[1,2]. These experiments revealed that at high intensities and wavelengths near the short wavelength edge of the photonic bandgap, the combination of the strong dispersion provided by the Bragg grating, along with the third order nonlinear response give rise to pulse compression and pulse retardation. Indeed it was confirmed by numerical simulation that solitons propagating at velocities substantially less than the speed of light had been observed. In this talk we discuss our recent experiments studying soliton propagation and soliton dynamics in novel fiber grating structures and highly nonlinear materials.

An important property of fiber gratings that can be effectively engineered is called apodization, i.e. the gradual increase in the index modulation at the beginning of the grating and gradual decrease at the end. The coupling of light into apodized gratings is more efficient than in uniform gratings. This increased

efficiency lowers the threshold for nonlinear phenomena and simplifies the analytical description of nonlinear pulse propagation. For example, modulational instabilities have been observed in apodized gratings in good agreement with numerical simulations and a simple analytical model based on the nonlinear Schroedinger equation. We have also used apodized grating to study slow soliton propagation and chirped gratings.

Interesting grating structures include chirped gratings where the grating period changes linearly along the length of the grating. Experimentally this chirp can be introduced in an easily controlled and variable manner by using a temperature gradient along the grating of the order of tens of degrees Centigrade.

Optical Pulse

Chirped Grating
Effective Potential

Nonlinear Potential Well

➡ Pulse Reflects @ Linear Intensities
➡ Pulse Transmits over Potential Barrier Nonlinearly
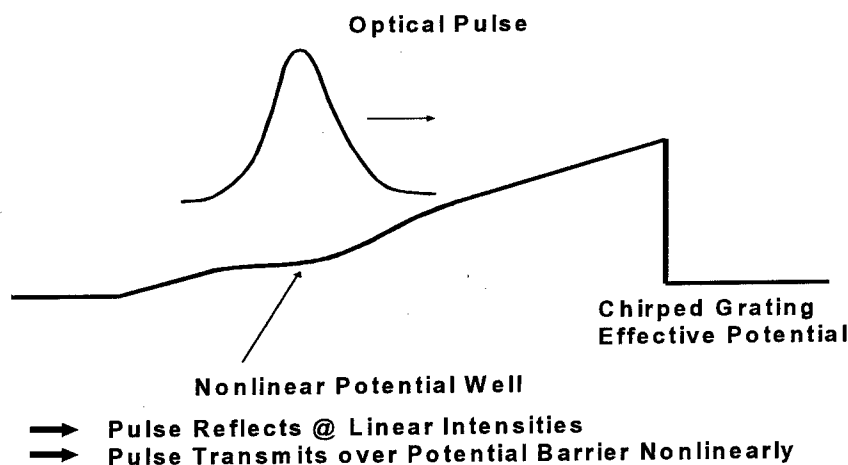
Figure 1 Schematic diagram for pulse propagation in a chirped fiber grating.

The chirp forms an effective potential for the optical pulse that is similar to that of an inclined plane. For example, if the period of the grating decreases from the beginning to the end of the grating the incident pulse wavelength can be tuned so that low intensity pulses will be reflected at some point in the grating. As the pulse intensity is increased, the pulse can be transmitted through the grating by nonlinear interactions similar to those responsible for soliton formation.

We are also exploring defect states to "trap" solitons. A defect can be formed using a phase slip or modulation of the grating intensity. These defects could be incorporated into chirped gratings as shown in Figure 2. As the pulse rolls up the potential hill formed by the grating it should slow down and if it is sufficiently slow it can be trapped by the defect. Of course some loss is required for trapping. In

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principle the pulse will decay slowly enough in the trap that it could be released by the nonlinear action of a second pulse at a later time.
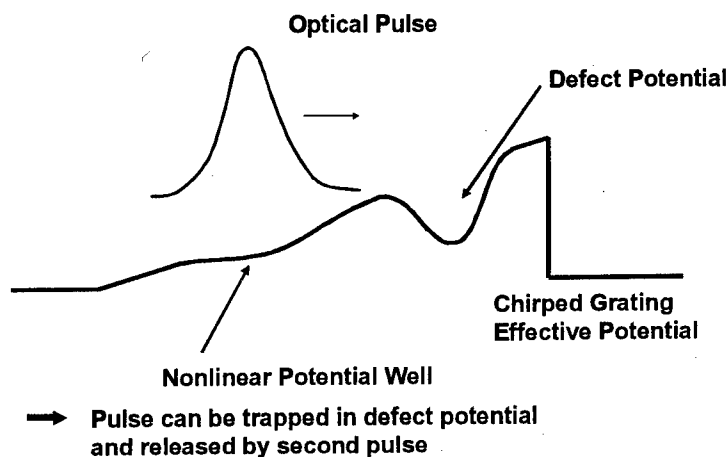

Figure 2 Schematic diagram of trapping an optical pulse in chirped fiber grating with a defect.

Initial experiments on soliton-soliton interactions will be described along with simulations that show behavior for the symmetric and anti-symmetric cases that are remarkably similar to solitons in normal fiber.

Exploratory work on pulse propagation in highly nonlinear chalcogenide fiber gratings is in progress. As_2S_3 fibers have optical nonlinearities nearly 100 times that of silica fiber. Gratings can be written in these nonlinear fibers using visible light.

Finally, we are planning planar waveguide experiments using thin organic films. A dielectric array is formed lithographically as pillars or holes in an SiO_2 layer grown on a Si substrate. An organic film is deposited over this array to form a patterned planar waveguide. The dielectric array can be further patterned to form defect states, linear waveguides and gratings, and efficient coupling structures. Organic materials with large nonlinear indices will be used that have electronic bandgap energies greater than twice the incident optical pulse photon energies.

1. B. J. Eggleton, R. E. Slusher, C. M. de Sterke, P. A. Krug, and J. E. Sipe, Phys. Rev. Lett. 76,1627(1996).
2. B. J. Eggleton, C. M. de Sterke, and R. E. Slusher, J. Opt. Soc. Am. B 14, 2980(1997).

WEDNESDAY, 12 August

WA   Storage
WB   Applications I
WC   Nonlinear Optics
WD   Terahertz Radiation

Wednesday Not Available

WB1   Engineered Nonlinear Materials: Progress in QPM Materials and Devices
Robert L. Byer, Stanford University, Stanford, CA

WB5   Toward an Optical Synthesizer: Widely Tunable c.w. Parametric Oscillators
J. Mlynek, Universitat Konstanz, Konstanz, Germany

WD2   Comparisons of Different Methods of Generation of Terahertz Radiation
Jacob Khurgin, Johns Hopkins University, Laurel, MD

Fundamental Issues Related to Digital Holographic Data Storage

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Digital holographic data storage fundamentally differs from bit-wise *surface* recording techniques by storing the *transform* of a *two-dimensional array* of bits *throughout* the *volume* of a recording medium. Upon readout the data are transformed and detected by a 2-D detector array, providing massively parallel data streams. The third dimension of the recording medium provides an additional degree of freedom to increase capacity per unit surface area. Data storage densities exceeding those of conventional optical and magnetic recording devices by up to ten times (200 bits/cm^2)have been achieved. These densities allow 200 GB of information to be stored on a single sided 5.25" disk. By reading out 2-D arrays of bits using an image capturing device, very large data transfer rates can be obtained, up to 1Gbit/sec. In addition, acousto-optic beam steering devices are capable of addressing each of the stored pages in a few microseconds, providing phenomenally short access times to blocks of data.

The advantages of digital holographic data storage were recognized almost immediately after the inception of off-axis holography. Much work has been done to overcome the principal challenges to holographic storage, namely maintaining data integrity during readout using light sensitive media. Components such as spatial light modulators for a page composers, charge coupled devices for data detection, lasers, and beam shaping and steering devices all have reached a level of maturity that, at least in principle, allow high performance systems to be constructed. Most recently, several demonstrations of complete systems making use of these improved components have been reported, starting with the work at Stanford University [1], and followed by demonstrations at Optitek[2], IBM[3] and Rockwell[4], Lucent[5] and others All these demonstrations used LiNbO3 as the recording medium in either a 90 degree or transmission geometry. In the 90 degree arrangement scatter is minimized and signal to noise ratios are sufficiently high to achieve reasonable data storage densities and data transfer rates similar to those of magnetic and optical devices. In these demonstrations, total capacities were typically on the order of 0.5 to 1 GB as mechanical transport mechanisms for spatial multiplexing were not implemented. This seemingly small matter turns out to be a very important issue. As the mass of the recording medium is on the order of a few hundred grams, access times are sacrificed and limited to values on the order of a few hundred milliseconds by the ability to accelerate the recording medium. This is only acceptable in a commercial product if the stored capacity per surface area is very large, on the order of a few GB. From an overall systems perspective this implies that other approaches need to be considered, and lighter materials are needed to achieve high performance.

Disk based rotating storage systems are attractive for their similarity with current magneto-optical and magnetic storage disk drives. By using a spinning disk access times

can be made short, but very high-powered lasers are needed to freeze disk motion during page recording, or a stop-and-go architecture is required at the expense of access time. The tradeoffs outlined in broad terms here bring up a critical question: *What are the fundamental limitations and tradeoffs for designing a digital holographic data storage system?*

In this paper we address this issue by computing the number of photons per bit required to achieve a certain signal to noise ratio at a minimal SNR and at a certain transfer rate. The results can be expressed as the number of pages than can be superimposed to achieve the required bit error rate, and is given by:

$$\# \ pages = \left(\frac{(M/\#)^2 \Theta QEP\eta_{opt}\eta_{fix}t_{read}2}{MNp_{min}} \right)^{1/2}$$

where $M/\#$ is a variable based on system and materials parameters, Θ is the number of photons per watt , QE the quantum efficiency of the detector array, η_{opt} the optical efficiency of the imaging system, η_{fix} is the fixing efficiency, t_{read} is the read time of the recalled data, M and N are the number of rows and columns on the data page, and p_{min} denotes the number of photo-electrons needed to get a 20dB SNR, and P is the power of the readout beam. It is assumed that bit patterns are balanced (although this does not have to be the case) and half the pixels in a page are on and half are off. From this equation, the tradeoff between capacity and data transfer rate is determined.

For thick media like LiNbO3 in the 90 degree geometry this assumes that capacity is limited by crosstalk and noise rather than the number of holograms that can be superimposed by multiplexing. For thin media, such as photopolymers, however, this is not the case, and the number of holograms that can be superimposed is largely determined by the limited number of degrees of freedom available for multiplexing. Angular multiplexing in the transmission geometry does not allow sufficient data storage density but shift or perisrophic multiplexing could to be implemented. Unfortunately, the displacements necessary for achieving low crosstalk in thin media are still too large and require the undesirable stop-and-go architecture for rotating disks. To avoid this problem a very sensitive, relatively thick storage medium is needed. Recent developments in the DARPA funded PRISM consortium have made substantial steps forward towards achieving such a medium for recording in the red region of the spectrum using a few hundred milliwatts of power. Photopolymers exhibit shrinkage, however, and this limits the number of holograms that can be superimposed. To achieve recording during constant rotation of the disk, a new multiplexing technique was implemented based on a phase modulated reference beam. In such an architecture, performance can be readily compared to more conventional technologies.

We have analyzed the number of photons required per bit for the 90 degree LiNbO3 geometry, and thin photopolymer disk systems as a function of data density, data transfer rate, and access time at a certain signal to noise ratio. These results are compared with other recording technologies, including magneto-optic recording, near-field recording and DVD. Fundamental limitations to page based systems are elucidated which tend to limit

the achievable storage capacity to a few tens of Gbytes in a system having no moving parts and sub-millisecond access times and high data transfer rates. Rotating disk based holographic systems have limitations similar to those imposed by conventional storage approaches, but with much higher data densities.

To test the results of this analysis, several experimental systems have been implemented at Optitek, Inc. and Stanford University demonstrating critical elements of a practical system. A 90 degree LiNbO3 system has been built at Optitek with a capacity of a few GB in a form factor of a 31/2" diskdrive. This system demonstrates that a functional system can be integrated into a small physical package, as shown in Figure 1. Fixing is achieved by either thermal processes or by highly efficient gated optical recording in stoichiometric LiNbO3 as described by Orlov et al[2]. A second system build at Optitek and Stanford University demonstrates 1Gbit/sec readout rates in a 90 degree LiNbO3 configuration using a 1Kx1Kx1K CCD detector array developed by Kodak, and a 1Kx1K SLM developed by IBM as part of the HDSS consortium. This system has a fully implemented electronic readout system incorporating both channel code and ECC capability using 64 parallel channels in a bench top demonstration. In a third demonstration, thin photopolymers are used with a phase encoded reference beam to achieve extremely high shift selectivity compared with previous approaches.

On the basis of the experimental results and the analysis fundamental issues are elucidated, and conclusions presented concerning the consequences for the various system architectures. Signal processing and coding approaches are discussed for overcoming some of the noise degradations. And tradeoffs between materials and systems performance characteristics are presented to achieve a competitive storage device.

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[3] G. W. Burr, J. Ashley, H. Coufal, R. K. Grygier, J. A. Hoffnagle, C. M. Jefferson, and B. Marcus, Opt. Lett. **22**, 639-641 (1997).

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Figure 1, Compact form factor
    Demonstration device

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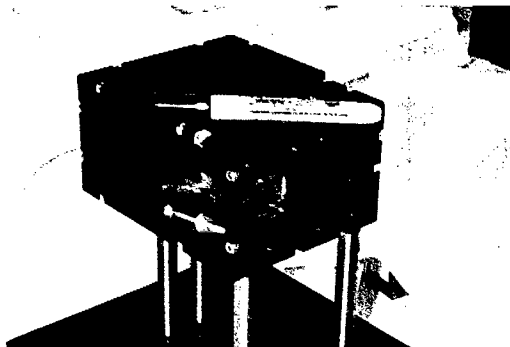
PHOTOREFRACTIVE CRYSTALS FOR HOLOGRAPHIC STORAGE: WHAT ARE THE PERFORMANCE LIMITS?

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FUNDAMENTALS

Practical volume holographic storage devices are becoming more and more feasible because of the availability of cheap and efficient lasers, spatial light modulators, and electronic cameras.[1] The critical issue is still the recording material. Phase holograms are necessary to minimize losses due to absorption and to get good diffraction efficiencies. Desired properties of the phase recording medium are a *large dynamic range* and a *high speed*. A measure for the dynamic range is the *M/#* (Ref. 2): multiplexing of *M* holograms of equal diffraction efficiency η yields for each hologram $\eta = (M/\# / M)^2$, if the diffraction efficiencies are much smaller than 1, which is always the case in storage applications. Here η *is* the ratio between the intensities of diffracted and incident light beams, i.e. absorption losses are considered. A measure for the speed is the *response time* τ, which is the time required to reach $1-1/e$ of the saturation value of the refractive index changes.

Further desired features of the recording material are excellent optical homogeneity, to avoid image distortions, and high stability against environmental attacks like temperature changes, mechanical shocks and chemically active atmospheres, to ensure data safety. Additional requirements are reversibility, nonvolatile readout and the ability of permanent fixing.

Photosensitive polymers and *photorefractive crystals* are investigated intensively as media for volume holographic storage. Low manufacturing costs and many opportunities for flexible design of their properties are strong arguments in favor of *polymers*. Dynamic ranges and response times are excellent. However, the homogeneity, especially of large samples, and the stability of polymers are still not satisfactory.

Inhomogeneous illumination of *photorefractive crystals* redistributes charge, space-charge fields build up and modulate the refractive index via the electrooptic effect. Impurities or intrinsic defects in different valence states are sources and traps of the charge carriers,[3] e.g. electrons are excited in lithium niobate ($LiNbO_3$) from Fe^{2+} into the conduction band and trapped by Fe^{3+}. The bulk photovoltaic effect, diffusion and drift are responsible for movement of the charge carriers. No development or further processing is required.

Photorefractive crystals suffer a lower dynamic range and a much lower speed. *However, the performance of crystals used in demonstrator systems is far below from their physical limits.* Iron-doped $LiNbO_3$ appears to be the best photorefractive material for storage applications so far. For several reasons: good availability, reproducible properties, excellent optical homogeneity, and detailed knowledge of the charge transport processes. Thus the analysis will be concentrated on this material.

DYNAMIC RANGE

The *M/#* of photorefractive crystals is given by

$$M/\# = \frac{\pi \, \Delta n \, d}{\lambda} \, e^{-\alpha d/2} \, \frac{\tau_r}{\tau_e} \, ,$$

where Δn is the amplitude of a single refractive index grating recorded into saturation, d is the effective thickness of the material, α is the intensity absorption coefficient, and τ_r and τ_e are the time constants of recording and erasure, respectively.

The *time constants can be complex,*[4] recording and erasure are governed by $|1-\exp[-t(1/\tau+i\omega)]|$ and $|\exp[-t(1/\tau+i\omega))]|$, respectively. Amplitude and phase position of the holograms vary with

time; the holograms are moving. A recording / erasure asymmetry is expected (see Fig. 1).
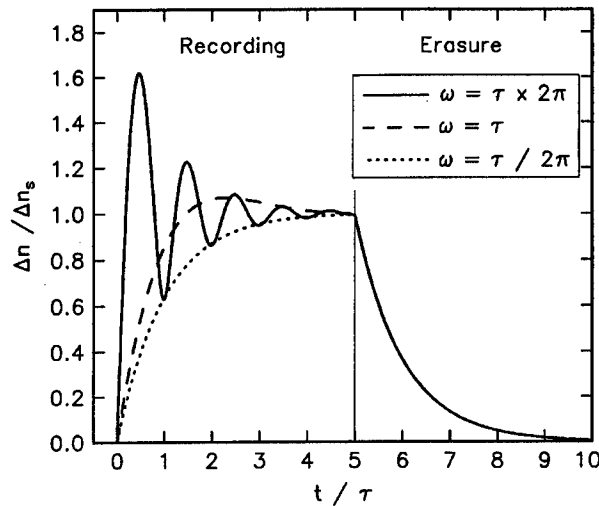

Figure 1: Recording / erasure curves of refractive index gratings for time constants with different complex contributions.

At first, this effect looks promising to improve the $M/\#$. However, in multiplexing experiments, erasure is caused by recording of subsequent holograms. The *inhomogeneous* erasure light yields fast movement of the charge in the bright and slow movement in the darker regions; the holograms are more quickly destroyed than for homogeneous illumination. Large modulation degrees of the light pattern are required to optimize the $M/\#$, and in this case *the time constants τ_r and τ_e are always almost equal*. This simplifies the formula for the $M/\#$.

The refractive index changes result from the electrooptic effect. On one hand, large concentrations of Fe^{2+} are desired to have *enough electrons for build-up of the space charge fields*. On the other hand, large concentrations of Fe^{2+} cause *strong absorption of light*, which decreases the diffraction efficiencies. Thus an *optimum Fe^{2+} concentration exists*.

Analysis yields for the optimum conditions

$$M/\# = \frac{\pi e}{\varepsilon_0 \exp(1)} \frac{n^3 r}{\varepsilon} \frac{1}{\lambda K} \frac{1}{S} \quad \text{and} \quad \alpha d = 2,$$

where e is the elementary charge, ε_0 the permittivity of free space, n the refractive index, r the electrooptic coefficient, ε the static dielectric constant, λ the vacuum wavelength, K the spatial frequency, and S the photon absorption cross section per Fe^{2+} ion. The right-hand side of the

equation is organized in the following way: constants, parameters of $LiNbO_3$, experimental parameters, impurity parameters. For optimized $LiNbO_3$:Fe under typical experimental conditions, the $M/\#$ is 10. Successful storage and retrieval of up to 10,000 holograms at a single location in crystals with an $M/\#$ of about 1 has been demonstrated. Thus a tenfold increase should be possible. All parameters are determined mostly by the material and by the experimental configuration. The S plays a crucial role. It is realistic to reduce this S by a factor of 10, which would yield an outstanding $M/\# = 100$. This would allow for storage of 1 million holograms at a single location. Assuming 1000×1000 pixels per hologram, we get a raw storage density of about 100 GByte at a single location.

Internal break-downs limit the space charge field in $LiNbO_3$ to about 100 kV/cm.[5] This yields an upper limit for the Δn value of the first recorded grating and the $M/\#$ is limited to about 10, if the conventional recording schedule is used. A modified recording schedule, which is illustrated in Fig. 2, is required to overcome this problem. All holograms are recorded several times for short and equal duration. Multiple recording of the same hologram requires precise locking of the interference pattern to the original position, which can be achieved easily with the help of beam-coupling.[6]

Figure 2: Recording schedule for $M/\# > 10$.
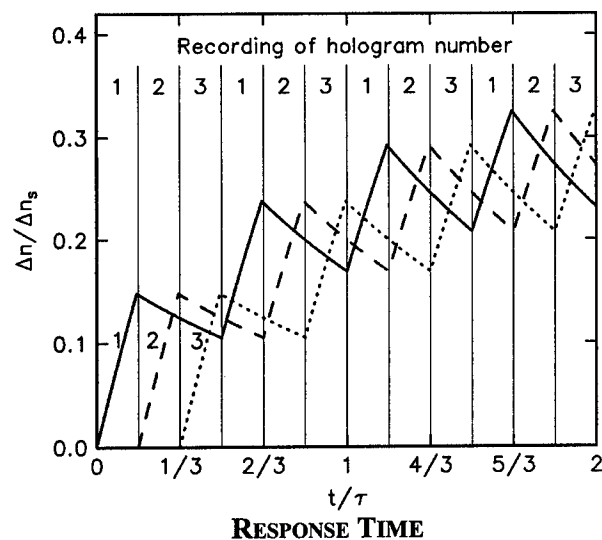
RESPONSE TIME

Many different dopants in photorefractive crystals have been tried with the aim to improve the response time, but no substantial improvement has

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been obtained. A typical response time of $LiNbO_3$ is $\tau = 3$ s (0.01 wt. % Fe_2O_3, Fe^{2+}/Fe^{3+} concentration ratio 0.1 [as grown crystal], intensity level of 1 W/cm^2, green light).[7] Is there a magic dopant for $LiNbO_3$, not discovered so far, which improves the speed dramatically? This can be answered, if we know the reason for the low speed of photorefractive crystals.

Recently a very complete picture of the charge transport in cerium-doped strontium-barium niobate crystals ($Sr_{0.61}Ba_{0.39}Nb_2O_6$, SBN) has been obtained.[8] Photoconductivity measurements with continuous wave and pulsed excitation, absorption measurements, X-ray photoelectron spectroscopy, neutron activation analysis, investigations with non-steady-state photocurrents and Hall measurements were required to get a full insight into the processes. Figure 3 illustrates the results in a band diagram (CB: conduction band, VB: valence band, e⁻: Electron, hv: Photon).
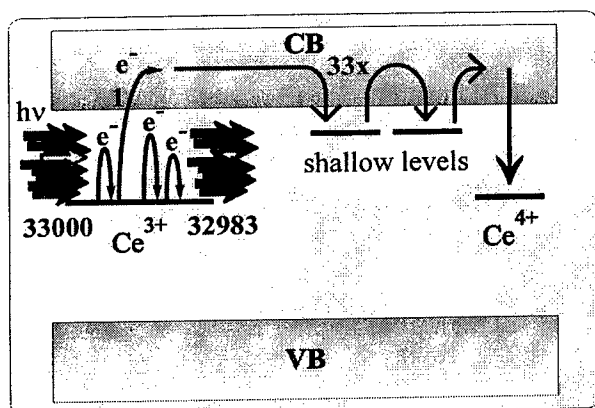

Figure 3: Charge transport in SBN:Ce.

It is very reasonable that the outcomes are valid not only for SBN:Ce, but for all photorefractive oxidic crystals: *Only some of the absorbed photons yield an excited electron*; this is a problem, because by this effect light intensity is lost and the sensitivity is reduced. *The trapping of free electrons is very efficient*; the electrons are attracted, even if there are several atomic layers between them and the trap. This reduces the conductivity and increases the response times τ.
A substantial improvement of the photorefractive response time for new crystal systems or dopants is not expected.

The response time can be reduced simply by an increase of the light intensity. However, the crystal is heated appreciably even at 1 W/cm^2, and

a further increase of the light intensity would cause thermal problems.

The overall recording time of 100,000 holograms ($M/\# = 10$) is about $15 \times \tau$ due to the exposure schedule. This yields a raw storage rate of about 250 MByte/s for 1000×1000 pixel holograms and $\tau = 3$ s. Readout will have roughly the same speed: With $\eta = 10^{-8}$ and the assumption that 20 photons are required for detection of an ON state, we end up with reading rates of 250 MByte/s.

CONCLUDING REMARKS

The performance limits of $LiNbO_3$ for holographic data storage are not yet reached experimentally. Optimization of the crystals and of the experimental conditions are necessary. Problems regarding holographic scattering and screening fields must be overcome. From the material point of view, *LiNbO_3 has the potential to yield a storage density of 100 GByte at a single location and continuous storage/readout rates up to 250 MBytes/s*.

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Science and Engineering of Two Photon 3D Storage Devices

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Materials and Method: Many commercial and military applications generate enormous amounts of data that need to be stored for rapid and parallel access and every fast processing. However, at the present time, CD-ROMs and DVDs and even the most advanced optical storage system, i. e. the 4.5GB DVD, is not sufficient to fulfill some of the strigent demands imposed today by multimedia, medical and other applications. To circumvent this deficiency we have developed a high density fast read-out 3D optical storage memory. Our method relies on the non-linear absorption of two photons which causes changes in the structure and spectra of the excited molecule, figure 1. The two states of the binary code, 0 and 1, are formed by the photo-chemical changes which lead to two distinct structures of the molecular species used as the storage medium. The stored information is read by illuminating the written bits with either one photon of the energy necessary to induce fluorescence, or by simply intersecting two optical beams at that point. In the case of the organic materials to be described the information is stored in the form of binary code. The non-linear, i.e. two photon virtual absorption, makes possible the storage of data inside the volume of the 3D device and in fact enables the selection of any arbitrary location within the device to where data is to be written. By this means, two-photon 3-D memory devices with over 100 2D planes, within an 8 mm cube, have been written, a few microns apart from each other.

This optical storage memory has the capability of dynamic parallel-access needed to accommodate the demands of today's technologies.

We may expect that by this means it is possible to construct an optical memory which could provide a data capacity of $1\,Gbit/cm^3$ with a $10\,ns$-$1\,ms$ access time and a $10\,Tbit/s$ data rate.

System: Two-photon 3-D memories are characterized by high density, data content stored in the form of numerous 2D planes, simple fabrication, parallel access and high transfer rates. Although single bits may be stored and read, parallel access of columns or planes of data is naturally achieved in two-photon 3-D memories, resulting in very high data transfer rates. Diffraction of the addressing beam as it propagates through the data image, imposes limits in the volumetric density of the memory and the parallelism, or data transfer rate. Writing, reading, and erasure of 3-D memory data has been demonstrated, and recently we have begun to evaluate the practical potential associated with this technology.
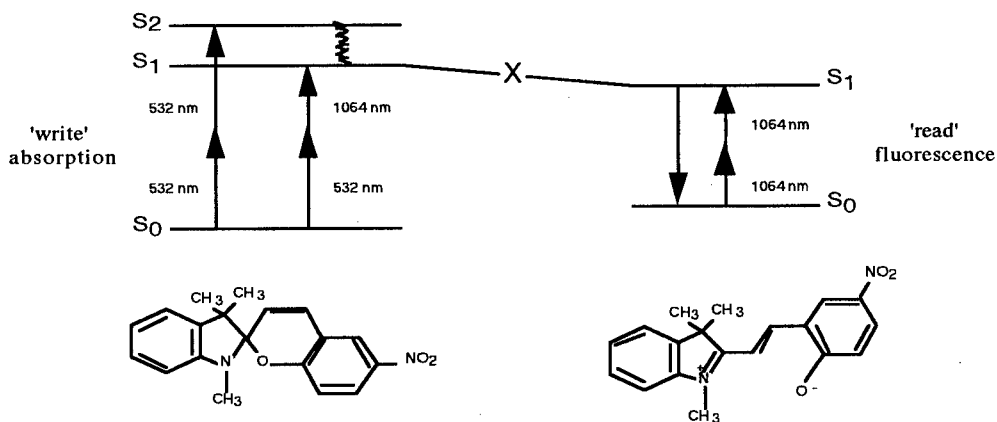

Figure 1: Two photon absorption (left) and readout (right) processes.

An automated recording and reading system has been constructed which is used to store data in 10x10x10 mm^3 samples. These cubes are fabricated by dispersing the photochromic molecule in a Polymethylmethacrylate, PMMA matrix, which is subsequently molded and polished, into 1/10, cube.
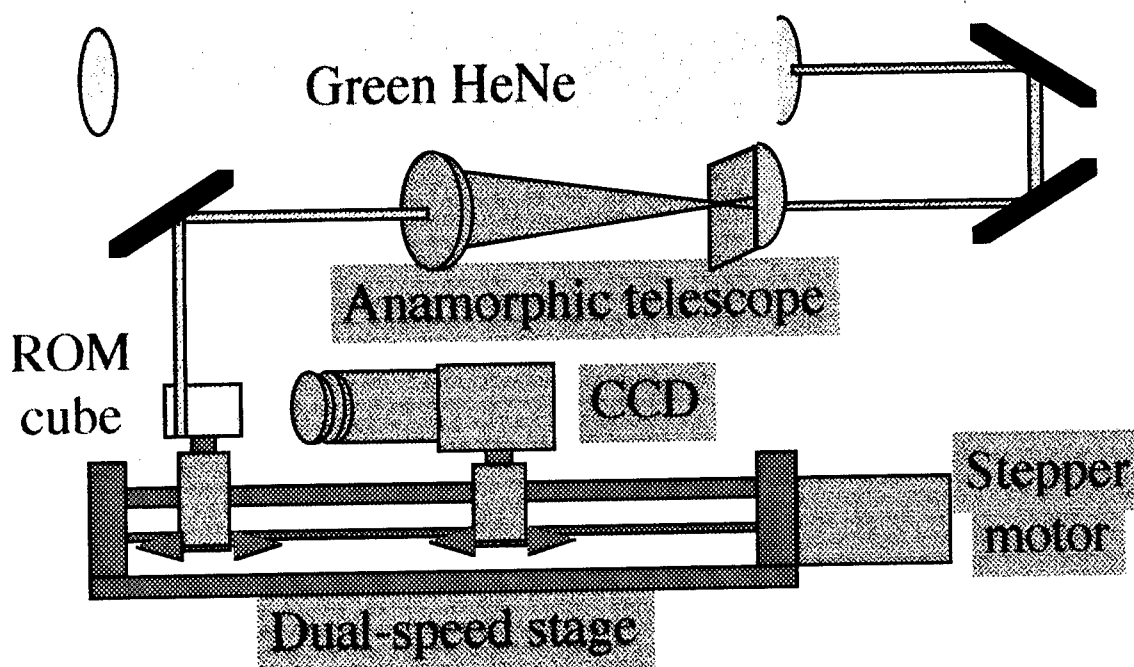

Figure 2. Portable 3D Reading System

Chrome or photographic film masks were illuminated by the 1064 nm beam of a mode-locked Nd:YAG laser and imaged into the media to form 4 mm x 4 mm data planes where they intersected with the SHG, 532 nm, of the same laser. The memory, mask, and imaging lens closest to the 3D storage cube were mounted on motor driven linear stages. Retrieval and analysis of the data is performed by illumination of the plane to be read with the 543 nm beam of a He/Ne laser. The induced fluorescence is imaged onto a simple CCD camera and subsequently processed. Retrieval of images from the memory has also been done using the portable ROM systems shown in figure 2. This and more sophisticated systems use a simple stepper motor driven stage, a He/Ne laser or diode laser and a low cost video camera.

Even though there are several limitations to the materials and system presently employed, there is strong evidence to suggest that the practical application of two photon 3D memory devices is feasible.

Recording of Permanent Holographic Gratings in Liquid Crystals

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SUMMARY

It has been previously shown[1] that in nematic liquid crystals doped with a small amount of azodye (<1%) it is possible to obtain a permanent surface orientation of the liquid crystal molecules in a direction parallel to the polarization of an exciting light beam. This effect seems to be caused by the adsorption on the surface of the excited dye molecules, which, in the process, align the liquid crystal molecules. This light-induced reorientation can be exploited to record permanent holographic gratings in a liquid crystal cell.

The experiments were carried out using a sandwich glass cell 50μm thick, filled with a mixture of nematic 5CB and azodye Methyl Red as dopant (1%). The inner surface of the first glass (the control surface) was coated by an isotropic nonrubbed layer of poly(vynil)-cinnammate, while the inner surface of the second glass (the reference surface) was coated by a polymide layer and rubbed to get strong planar anchoring. This surface determined the initial planar alignment of the cell. A conventional Mach-Zender geometry was used to produce in the sample the interference pattern of two beams originated from a He-Cd laser (λ=0.422 μm) and crossing each other at a small angle θ. The maximum light power of each beam was 0.5 mW , with a spot size diameter of 2 mm.

By using parallel polarizations of the two beams it was possible to write permanent intensity gratings on the sample[2] ; the grating efficiency was measured by a He-Ne probe beam: a detectable diffraction was observed after less than 1 minute of illumination.

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The origin of the appearance of the grating is the modulation of the molecular director orientation induced by the light and occurs if the direction of the impinging light polarization is different from the initial planar orientation of the sample. In fact the appearance of a preferred orientation (easy axis) on the control surface occurs only at the interference maxima. This new surface orientation gives rise to a twisted structure along the sample thickness since the orientation is fixed on the reference surface. On the contrary the sample orientation remains planar in correspondence of the interference minima. In this way regions of different average refractive index are created with a period which depends on the crossing angle θ between the beams, giving rise to a phase grating. The achievable spatial resolution is also strongly affected by nonlocal effects in liquid crystal where molecules "feels" the orientation of other molecules at long distances. However by this techique a diffraction efficiency $\eta = 3\%$ was achieved with a resolution higher than 100 lines/mm.

Higher sensitivity and higher resolution has been achieved by using orthogonal polarization of the interferring beams. In this case the intensity is uniform in the irradiated area while the polarization state varies periodically as function of the phase delay between the waves, from linear to elliptical to circular and so on.

The features of the polarization grating[3] which appears in this case are different from the ones of the intensity grating. In fact in the former case the surface director orientation pattern results from the periodic modulation of the anchoring energy associated to the easy axis; the orientation of this latter is fixed by the linear incident polarization and remains constant throughout the whole illuminated area. Differently, in the polarization grating the light-induced anchoring energy is spatially uniform because of the uniform intensity distribution over the illuminated surface; on the other hand, the induced easy axis is now modulated since it depends on the light polarization at the control surface, varying in agreement with the modulation of the long axis of the polarization ellipse.

In case of polarization grating a maximum diffraction efficiency $\eta=12\%$ was obtained for a grating constant $\Lambda = 20$ μm. , but a the value $\eta=8\%$ was still measured $\Lambda = 1$ μm., corresponding to a spatial resolution of 1000 lines/mm. The density energy E required to get 50% of the maximum efficiency was about 0.3 J/cm^2.

In order to compare the storage capabilities of different materials can be used the parameter $g=E(\eta)^{1/2}$, where E is the surface energy density corresponding to the diffraction efficiency η. In our case $g>1$ cm^2/J, which is among the highest ever obtained in nonlinear materials.

The presented method has been succesfully exploited to write binary images. By exploiting the high sensitivity of the medium, permanent recording has been also achieved by using a single pulse (4 ns) of the second harmonic of a Nd-YAG laser.

In conclusion we have demonstrated that dye-doped liquid crystals have very interesting storage capabilities : no image degradation after more than one year, high spatial resolution, high sensitivity, polarization sensitivity.

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A novel method for non-volatile holographic recording in lithium niobate

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Photorefractive materials are of high interest for read-write holographic data storage. One of the main problems that makes the practical implementation of these memories difficult is the erasure of stored information during read-out. Several solutions like thermal fixing, electrical fixing, and two-step recording have been proposed for this problem[1-3], but they need some special requirements like heating the crystal, using high electric fields, or high light intensities.

We present here a simple and practical solution to the erasure problem. The idea is to use two different deep traps, for example iron and manganese in lithium niobate. The energy band diagram for such a system is depicted in Fig. 1. The electrons are initially in the deeper traps. Light of short wavelength (with high energy photons) can transfer some of these electrons to the shallower traps via the conduction band. This makes the recording of the holograms using longer wavelength light feasible. The final hologram is recorded in the deeper traps, and therefore is not erased during the read-out by the recording (long wavelength) light.

We performed experiments with a 0.85 mm thick $LiNbO_3$ crystal doped with 0.075 wt.% Fe_2O_3 and 0.01 wt.% MnO. The crystal is sensitized for recording by homogeneous illumination with 365 nm light of a 100 W mercury lamp. The intensity of this illumination is 20 mW/cm^2. The recording beams are two plane waves at 633 nm with equal intensities of 300 mW/cm^2. The read-out is performed by one of these beams while the other one is blocked. The recording and read-out beams are all ordinarily polarized.

Initial illumination with homogeneous ultraviolet light sensitizes the crystal by transferring some of the electrons from the manganese sites to the iron traps. If we then record the
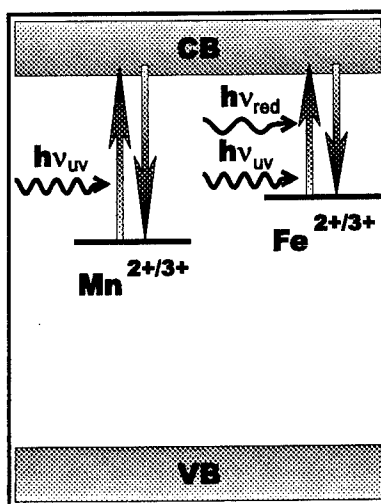
Figure 1: Energy band diagram for a doubly-doped lithium niobate crystal

hologram by red beams without simultaneous ultraviolet illumination, the final diffraction efficiency is very small as shown in Fig. 2. This is due to the total bleaching of the crystal, i.e. at first the electrons in the iron traps are transferred to the manganese centers at the high intensity regions, and then the same thing occurs for the low intensity regions. Therefore, all electrons move by approximately the same distance and are re-trapped by manganese centers. This results in a very small space charge field and diffraction efficiency. However, if we keep the ultraviolet light on during recording, the re-excitation of the electrons trapped in the manganese centers would be possible. This results in higher diffraction efficiencies. Finally, we block the ultraviolet light and illuminate the crystal with a homogeneous red beam to transfer the electrons from iron traps to the manganese centers. This in fact reduces the diffraction efficiency of the hologram, but the final hologram can not be erased by the read-out at red, since it is stored in the manganese centers. This is shown in Fig. 2.

If we use extraordinary polarization for the read-out beam, we can achieve a final diffraction efficiency of 32 %. This is due to the larger corresponding electro-optic coefficient. Besides the good diffraction efficiency, this method does not suffer from holographic scattering and screening fields due to the presence of the ultraviolet light.
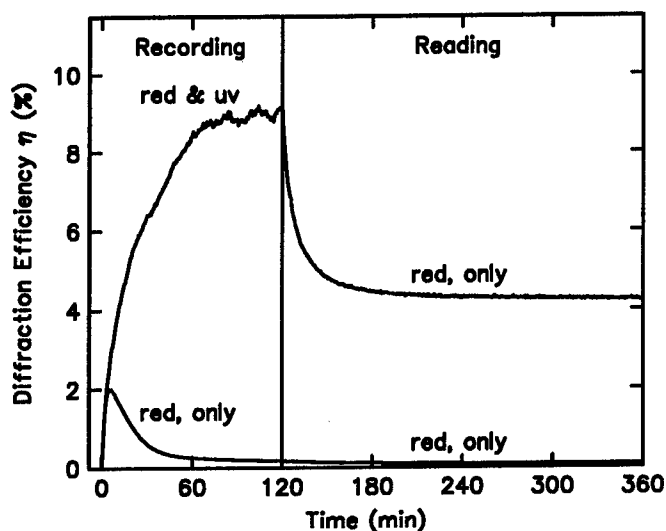
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Figure 2: Holographic recording and read-out curves for doubly-doped lithium niobate

The theoretical analysis indicates that the final diffraction efficiency depends only on the ratio of the intensities of the sensitizing (ultraviolet) and recording (red) beams and not on the absolute intensities. Depending on the traps and their corresponding doping levels, there is an optimum value for this intensity ratio that results in the maximum achievable diffraction efficiency.

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Robust high-power and wavelength-tunable femtosecond fiber system based on engineerable PPLN devices

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Introduction

Synchronously-pumped optical parametric oscillators (OPO) were traditionally regarded as being the only approach for providing wavelength tunable high-average-power and high-repetition-rate pulses from compact femtosecond laser systems. Relatively low peak powers are required by OPO for efficient frequency conversion. In contrast, an alternative approach based on optical parametric generation (OPG) was traditionally considered to be disadvantageous in this respect as being limited to low average power, low repetition rate and requiring complex table-top size femtosecond lasers for delivering high-energy pump pulses.

However, the concept of parametric generation possesses a significant potential advantage due to the simplicity and robustness of OPG compared to femtosecond OPO. Stability of synchronously pumped femtosecond OPO depends critically on matching the lengths of the femtosecond oscillator and parametric oscillator cavities, which constitutes a substantial hindrance for developing robust commercial devices based on this scheme.

Here we report a robust high-power, high-repetition rate and wavelength-tunable femtosecond laser system based on optical parametric generation and a compact fiber chirped-pulse-amplification circuit, both implemented using engineered PPLN devices.

Parametric generation using a compact fiber CPA system

The main advantage of using PPLN for OPG is that it requires substantially less pump energy than other available nonlinear materials. For femtosecond pulses an OPG-threshold of ~50 nJ has been experimentally demonstrated [1]. Efficient (20 %) energy conversion has been obtained with 100 nJ pump pulses from a table-top, diffraction-grating based fiber CPA system [1].

Chirped pulse amplification is essential for femtosecond pulse amplification in fiber amplifiers due to the limited peak power allowed by the limited cross-sectional area of a guided-wave mode. Previous implementations of compact fiber CPA using chirped fiber gratings [2] could not reach parametric generation thresholds due to the peak-power limitations in a fiber grating itself.

Here we use a compact CPA circuit based on a chirped-period, quasi-phase-matched (QPM) grating compressor in electric-field poled lithium niobate [3]. Unlike waveguide devices, bulk QPM compressors do not have limitations on the mode size and, therefore, on the recompressable pulse energies. Additionally, such a QPM compressor combines second-harmonic generation and pulse compression in one crystal, efficiently producing the 780 nm pump pulses required for 1 – 3 µm tunable OPG.

Experimental set-up

The compact fiber CPA system is shown in Fig. 1. It consists of a mode-locked femtosecond Er-fiber oscillator, Er-fiber preamplifier, high-power cladding-pumped Er/Yb fiber amplifier and a CPPLN pulse compressor. The simplicity and compactness of this frequency-doubled fiber CPA system is apparent. Essentially, it has the same number of components as direct pulse amplification in a fiber would require.

A 5 MHz oscillator produces 180 fs, 100 pJ seed pulses at 1560 nm, which are stretched in 12 meters of a positive-dispersion fiber ($\beta_2 = + 0.108$ ps^2/m) to about 18 ps. Pulse compression is accomplished at the output of the power amplifier by passing the amplified stretched pulse through a 6 cm long CPPLN crystal. The recompressed second-harmonic pulses at 780 nm are 380 fs long (assuming a Gaussian pulse shape). This pulse broadening occurs due to spectral gain narrowing in the fiber amplifier.

The important feature of the current system is that a specially designed large-core fiber was used in the power amplification stage. The maximum energy extractable from a fiber amplifier without nonlinear distortions is proportional to the stretched-pulse duration, which currently is limited by the maximum available CPPLN crystal length of ~6 cm. By using a 24 μm mode-size fiber, we were able to scale-up the maximum extractable energy for 18 ps stretched pulses in order to obtain efficient parametric generation.

Also shown in Fig. 1 is an optical parametric generator based on a 3 mm-long PPLN crystal. We used a set of filters to suppress 1550 nm throughput into the OPG crystal, and thus to avoid unwanted parametric amplification at this wavelength. OPG has been achieved using a multiple-grating device with QPM periods from 19.5 to 21.5 μm for wavelength tuning at a fixed temperature.

Experimental results

Fig. 2 shows compressed-pulse, second-harmonic output power as a function of stretched-pulse input power at the fundamental wavelength for CPPLN compressor. Up to 0.5 W of 780 nm output has been obtained for 1.2 W of fundamental amplified input internally in the PPLN crystal. This was achieved at 10 W of cladding-coupled 976 nm pump power from a broad-stripe diode array and 30 mW of injected preamplified signal into an Er/Yb power amplifier. Pulse energy extraction of this CPA system has been tested in a separate measurement yielding distortion-free pulses of up to 0.4 μJ at 1560 nm.

100 nJ second-harmonic pump pulses at 780 nm were sufficient to achieve ~ 20 % OPG conversion efficiency yielding up to 94 mW of wavelength-tunable output from the current system. A typical wavelength tuning curve obtained with a multigrating PPLN is shown in Fig. 3.

Conclusions

Combined use of conventional unchirped and chirped PPLN structures facilitates the implementation of compact and robust fiber-CPA systems, producing high average power and high repetition rate, femtosecond output tunable from 1 – 3 μm. The achievable pulse energies and average powers can be scaled further by increasing the stretched pulse duration, amplifier-fiber core size and by using higher power diode-laser arrays.

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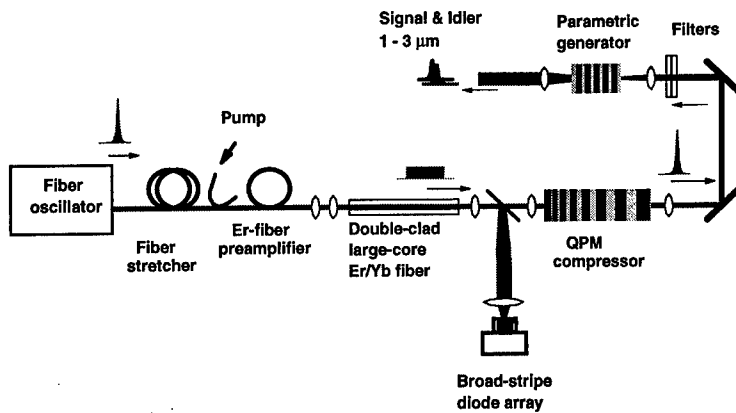3. M. A. Arbore, A. Galvanauskas, D. Harter, M. H. Chou and M. M. Fejer, Opt. Lett. **22**, 1341 (1997)
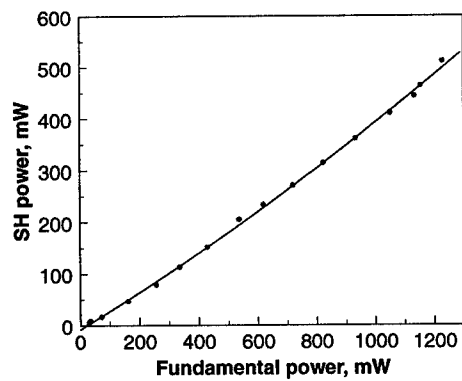
Fig. 1 Compact wavelength tunable fiber CPA system

Fig. 2 CPPLN compressor power characteristics
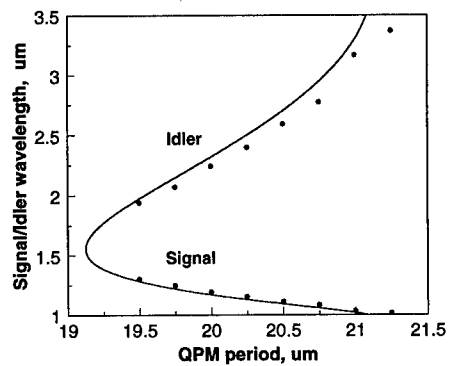
Fig. 3 Typical OPG tuning characteristics

High intensity direct third harmonic generation in BBO

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Generation of the third harmonic (THG) in a single process is an idea that has been around since the inception of nonlinear optics.[1-3] However, since the process $\omega + \omega + \omega \rightarrow 3\omega$ is governed by the third order nonlinear electric susceptibility $\chi^{(3)}$, the efficiency of such an interaction has been very low. To this date, the high intensities needed to drive this process has confined most research into higher order harmonic conversion to highly focused beams in gases or liquids. Recently, the increasing number of terawatt-class chirped-pulse amplification (CPA) lasers (and beyond) in recent years has made it possible to achieve very high intensities (> 100 GW/cm^2) in collimated beams in solids without damaging material.

Efficiencies for THG in solids have been limited to less than a percent with the highest conversion achieved using BBO. It has been suggested that unphasematched second-order processes can contribute significantly to THG,[4-6] similar to the use of cascaded second-order processes giving rise to an effective nonlinear refractive index.[7,8] However, experimental uncertainties in efforts to assess this contribution by measuring conversion efficiency[6,9] were too large to determine the relative contributions of the several processes. We were able to vary the azimuthal angle ϕ in BBO and measured directly the relative contributions of $\chi^{(2)}$ and $\chi^{(3)}$ to THG in BBO for both Type I and Type II phasematching. Consequently, we were also able to determine relative values for the tensor element $\chi_{10}^{(3)}$, $\chi_{11}^{(3)}$, and $\chi_{16}^{(3)}$, and have achieved conversion efficienies to the third harmonic (355 nm) of up to 6%.

It has been shown[4-6] that because the fundamental and third harmonic waves are in phase, $\Delta k = 0$, regardless of the intervening processes, it is possible to have energy transfer to the third harmonic by two cascaded second order processes. First the second harmonic is generated (SHG) and then is summed with the fundamental to generate the third harmonic (SFG). This can occur efficiently even though neither the SHG nor the SFG process are phasematched.

Using the fact that BBO is a uniaxial crystal and so direct THG should be independent of the azimuthal angle ϕ, we varied ϕ for a 3 mm long BBO crystal with a sol-gel AR coating to eliminate angular variations in surface reflectivity. Because the phasematching angles for Type I and Type II THG at the incident wavelength of 1053 nm, we were able to measure the THG efficiency for both Type I ($ooo \rightarrow e$, $\theta_m = 37.7°$) and Type II ($ooe \rightarrow e$, $\theta_m = 47.1°$) configurations using the same crystal of BBO (3 mm in length). The effective nonlinear susceptibility $C_{\text{eff}} = \chi_{\text{eff}}/4$ (including both second and third order effects) for Type I phasematching is

$$C_{\text{eff}} = A \sin 6\phi + (\sin \theta_m C_{10} - B) \cos 3\phi \tag{1}$$

with

$$A = \frac{\omega}{2c} d_{22}^2 \left[\frac{\cos \theta_m}{n_{2o} \Delta k_{ooe}^{\text{SFG}}} - \frac{\cos^3 \theta_m}{n_{2e} \Delta k_{eoe}^{\text{SFG}}} \right] = 77.92 \text{ pm}^2/\text{V}^2, \text{ and} \tag{2}$$
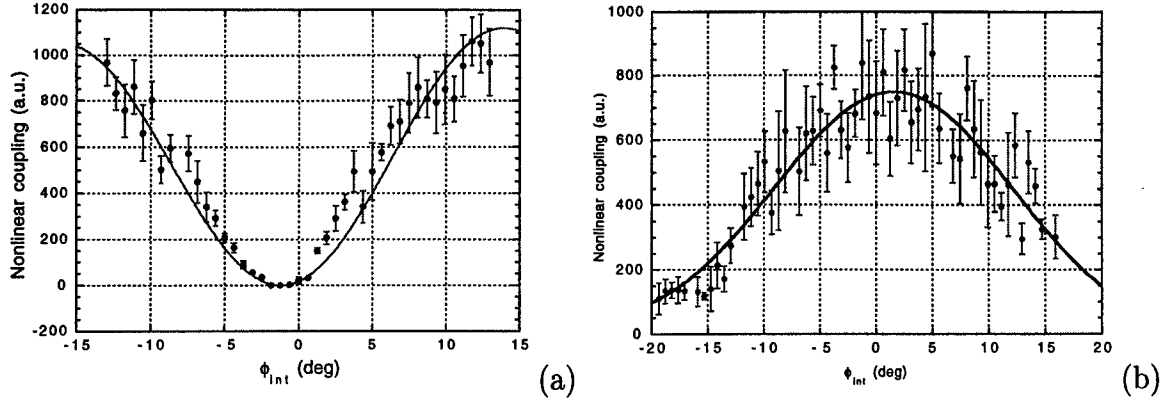
Figure 1: Effective nonlinear coupling for internal azimuthal angle ϕ_{int} for (a) Type I and (b) Type II phasematching.

$$B = \frac{\omega}{2c} d_{22} d_{15} \left[-\frac{\sin\theta_m}{n_{2o}\Delta k_{ooe}^{\text{SFG}}} - \frac{\cos^2\theta_m \sin\theta_m}{n_{2e}\Delta k_{eoe}^{\text{SFG}}} \right] = -8.76 \text{ pm}^2/\text{V}^2. \tag{3}$$

The values used for d_{22} and d_{15} for BBO were 2.2 pm/V and .16 pm/V,[10] respectively. The nonlinear coupling for Type I THG as a function of ϕ is shown in Fig. 1. Fitting Eq. (1) to the measured data gives a value for $C_{10} = \chi_{10}^{(3)}/4$ of $1.1 \times 10^{-23} \text{ m}^2/\text{V}^2$. This compares to an effective value for the cascaded second order coupling of $8 \times 10^{-23} \text{ m}^2/\text{V}^2$.

Similarly, for Type II phasematching, the effective nonlinear coupling is given by (neglecting all terms proportional to d_{15}^2)

$$\begin{aligned}
C_{\text{eff}} = {} & A\cos^2 3\phi + B\sin^2 3\phi \\
& + \sin 3\phi \left[D + Ed_{33} + 3C_{10}\sin 2\theta_m \right] \\
& + Fd_{33} + 3\left[\frac{C_{11}}{3}\cos^2\theta_m + C_{16}\sin^2\theta_m \right]
\end{aligned} \tag{4}$$

where

$$A = \frac{2\pi}{\lambda_{2\omega_0}} \left[2\frac{\cos^4\theta_m}{n_4\Delta k_5} - \frac{\cos^2\theta_m}{n_3\Delta k_8} \right] d_{22}^2 = 49.9 \text{ pm}^2/\text{V}^2,$$

$$B = \frac{2\pi}{\lambda_{2\omega_0}} \left[2\frac{\cos^2\theta_m}{n_3\Delta k_7} - \frac{\cos^4\theta_m}{n_4\Delta k_6} \right] d_{22}^2 = -21.8 \text{ pm}^2/\text{V}^2,$$

$$D = -2\frac{2\pi}{\lambda_{2\omega_0}} \left[\frac{\sin\theta_m\cos^3\theta_m}{n_4\Delta k_6} + \frac{\sin 2\theta_m}{n_3\Delta k_7} \right] d_{22}d_{15} = 2.0 \text{ pm}^2/\text{V}^2,$$

$$E = -\frac{2\pi}{\lambda_{2\omega_0}} \frac{\sin^3\theta_m\cos\theta_m}{n_4\Delta k_6} d_{22} = -2.6 \text{ pm/V, and}$$

$$F = \frac{2\pi}{\lambda_{2\omega_0}} \frac{\sin^4\theta_m}{n_4\Delta k_6} d_{15} = .20 \text{ pm/V}. \tag{5}$$

The value for C_{10} can be used from the Type I measurements since this is the same crystal. However, d_{33} is unknown and must be used as a parameter for the fit. We obtain a value for
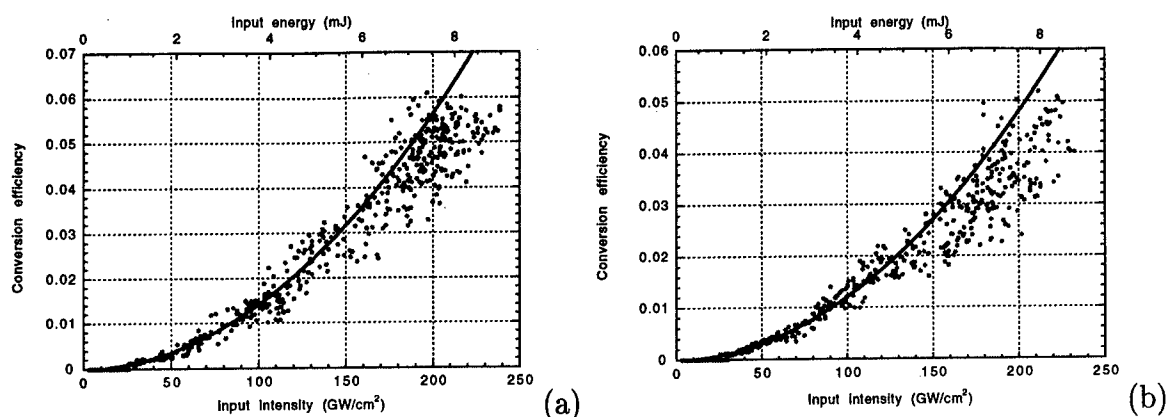
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Figure 2: Energy output from single BBO crystal at 351 nm along with cubic fit to low drive points. (a) Type I phasematching with $\phi_{int} = -15°$. (b) Type II phasematching ($\phi_{int} = 0$).

$C_{11} \cos^2 \theta_m + C_{16} \sin^2 \theta_m$ of 4×10^{-23} m^2/V^2 with $d_{33} = 1.7pm/V$. With this method, there is no way to further separate the contributions of C_{11} and C_{16}.

For both Type I and Type II processes, it is evident that the cascaded, second-order interactions can contribute strongly to THG. In fact, for Type I phasematching, there are configurations where it dominates over the expected third-order coupling and can lead to efficient generation of the third harmonic. major contribution to the THG observed is the cascaded process, not the third order process. Finally, Figs. 2(a) and 2(b) show conversion efficiency to the third harmonic (355 nm) from the fundamental (1055 nm) for 350 fs pulses. In both cases, efficiencies of 5-6% were achieved with saturation beginning to have an effect above 200 GW/cm^2.

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Phase-matched Generation of Short Wavelength, Ultrashort-pulse Light in Capillary Waveguides

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In recent work[1], we demonstrated a new technique for phase-matched frequency conversion of ultrashort laser pulses in a gas-filled capillary waveguide. Here we present several major new developments that demonstrate that this technique can be used to efficiently upconvert light into the deep-UV and the XUV. This technique is particularly well suited to the generation of very short 10-20fs pulses, making it possible to leverage recent advances in near-infrared ultrashort-pulse lasers[2]. In the past, third harmonic generation by sum-frequency mixing in nonlinear crystals has been the primary means for the generation of μJ-level light in the deep-ultraviolet[3]. However, maintaining reasonable efficiency along with ultrashort pulse durations (≤100fs) has proven difficult. In contrast, frequency conversion in gases exhibits a dramatically lower group velocity walkoff, making it possible to generate much shorter UV pulses[4, 5]. However, due to poor phase matching in a simple focused beam configuration, the conversion efficiency is relatively low (0.1%).

In our novel phase-matching technique[1] light is generated in the deep-UV through nonlinear parametric amplification ($\omega_{signal} = 2\omega_{pump} - \omega_{idler}$) in a gas-filled capillary waveguide. Phase-matching is achieved through a balance between the waveguide dispersion of the lowest order mode with the pressure-dependent gas dispersion. This results in near-Gaussian beam quality and high conversion efficiency. In these new results, by using a larger-bore capillary, we have increased the conversion efficiency from the 400nm pump light into 267nm to 18%, with a pulse energy of 10μJ at 1 kHz. This pulse energy can be generated using only ~0.5mJ at 800nm. Using self-diffraction frequency-resolved optical gating, we have measured the 267nm pulse at <40fs, with a linear chirp that would allow compression to < 25fs. Due to the nonresonant nature
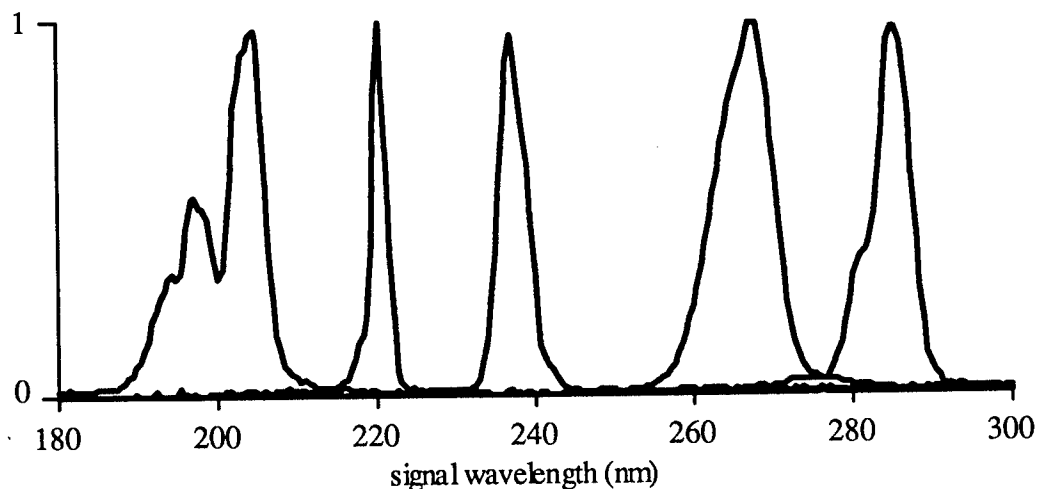

Figure 1: Normalized spectra of mixing signal with 400nm pump and various idler wavelengths.

of the phase matching in this configuration, and small degree of group velocity walkoff, we expect to obtain sub 10-fs pulses by optimizing the chirp and energy of the input pulses.

We have also demonstrated that this technique can generate light tunable throughout the ultraviolet, by mixing 400nm output with the light from an infrared optical parametric amplifier. A 300µm BBO crystal was used to frequency double the kilohertz multipass amplifier[6-8] output, with 20% conversion efficiency. After separation of the two colors, the blue light was combined with the output (signal, idler or their second harmonics) of a two-pass BBO OPA (Spectra-Physics) in the gas-filled capillary. Representative spectra from the output are shown in Figure 1. The full tuning range for this four-wave mixing process is 217 to 305nm. Also shown is a spectrum at 200nm resulting from a phase-matched $\chi^{(5)}$ process ($3\omega(400)-2\omega(800)$). Similar higher-order mixing processes promise to allow efficient ultrafast conversion throughout the VUV, up to 50eV photon energy.
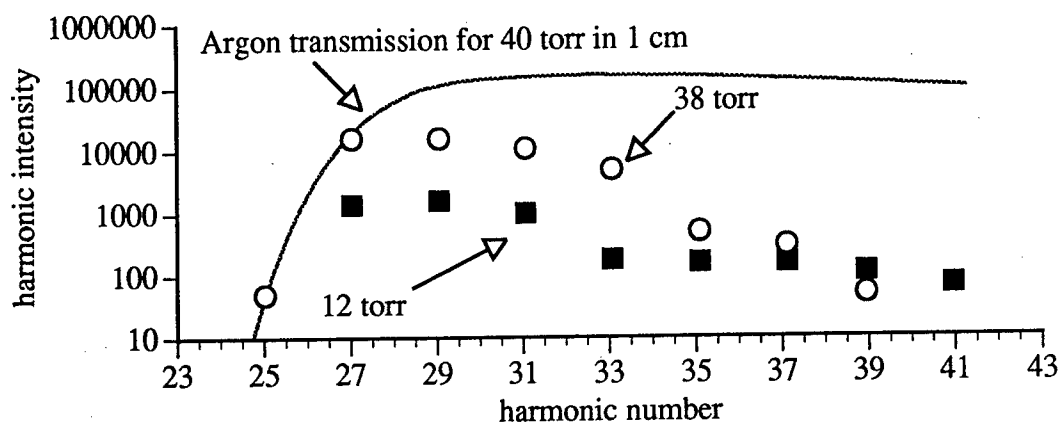
Figure 2: Harmonic spectra from a capillary waveguide filled with 12 and 38 torr of argon. Transmision curve for argon is also shown (solid line).

Finally, we have evidence for phase matching of high-order harmonic generation in a capillary waveguide. For photon energies well above the ionization potential, the refractive index of neutral or partially ionized gas is very close to unity. Phase matching of high-order harmonics is therefore possible by making $k_{fund} \approx k_{vacuum}$. Pressure tuning allows the gas dispersion to balance that of the waveguide. This can be achieved with up to a few percent of ionization, so we expect phase-matched HHG to be possible for those mid-plateau harmonics that are generated before strong ionization occurs. The capillary cell used in the experiment is of a novel design that allows a relatively high gas pressure within the capillary while greatly restricting the flow of gas into the vacuum regions at either end. Three sections of capillary were held in a V-groove with ~1 mm spacing between the segments. This allowed a guided laser beam to pass from one section to another with minimal loss. Figure 2 shows the harmonic spectrum generated in argon in a 150µm core diameter capillary. At low pressure, the harmonics show the typical plateau structure seen with gas jet targets. At higher pressure, there is a strong increase in the mid-range harmonics, in contrast to the lower harmonic orders that are dominated by gas absorption and the higher harmonics which are more affected by strong ionization effects such as dispersion. The output beam in these experiments showed no effect of defocusing found at high density in gas jet experiments. In very recent experiments, we have obtained a significant improvement in the harmonic yield in this manner [9].

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Chi-3's: Their Characterization and Understanding

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This paper will discuss in general the so-called third-order nonlinear optical susceptibility $\chi^{(3)}$. Values for this quantity are often quoted that vary wildly in magnitude depending on the material and source used, in particular the source pulsewidth. It would be "nice" if $\chi^{(3)}$ were constant rather than depending on the illumination parameters.[1] So, when does it make sense to quote $\chi^{(3)}$, and/or when is it convenient to use $\chi^{(3)}$? We will look at ultrafast and slow nonlinearities, for example, two-photon absorption and excited-state absorption, along with the nonlinear refraction associated with each of these. In this regard, we will look at the causal relations between the refractive and absorptive components and show experiments where the dispersion of the nonlinear refraction can be directly calculated from the nonlinear absorption spectrum.[2] This is possible for both slow (cumulative) and ultrafast nonlinearities. It is important to note that the nonlinear spectrum needed to apply causality and obtain Kramers-Kronig relations is the nondegenerate spectrum, i.e. the change in absorption at all frequencies ω due to the presence of a strong excitation beam at frequency ω_e. This is true for ultrafast

and slow nonlinearities, however, for cumulative nonlinearities the excitation may be followed by other dynamic processes that can mask the value of ω_e, for example, intramolecular relaxation. In such a case the nonlinear refraction and absorption spectra may be insensitive to the excitation frequency and depend only on the density of excited states.

A method for directly obtaining nonlinear spectra as well as determining the response time of the nonlinearity is to use a fixed frequency pump and a white-light-continuum probe. By temporally delaying the probe the ultrafast and cumulative nonlinearities, such as two-photon absorption and excited-state absorption, can be separated even though both represent third-order responses.

One conclusion from this work is that $\chi^{(3)}$ is very much overused and can often be replaced by more physical quantities that *are* material constants.

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Tunable Parametric Downconverter
with Photon-Conversion Efficiencies Greater Than 100%

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The optical parametric oscillator (OPO) is a device for tunably downconverting light of frequency ω_p to two frequencies, the signal frequency ω_s and the idler frequency ω_i which sum to ω_p. We use the convention that $\omega_s \geq \omega_i$ and assume the signal wave is resonated in the OPO cavity. The Manley-Rowe relations for the three-wave mixing process indicate that one signal and one idler photon are generated for each pump photon that is converted. This limits the idler photon-conversion efficiency η_i — the number of idler photons out of the device divided by the number of pump photons incident on the device — to a maximum of 100% for an OPO. In this paper we report on a device that uses intracavity difference-frequency mixing (DFM) between the signal and idler frequencies to better this maximum performance. In the DFM interaction $\omega_d = \omega_s - \omega_i$ not only is radiation produced at the difference frequency, but for each difference-frequency photon produced an additional idler photon is produced allowing for idler photon-conversion efficiencies greater than 100%.

We recently reported theoretical results indicating that a DFM process inside the OPO cavity resonant for the signal wave has the advantage of providing maximum energy extraction at the idler frequency.[1] Using a plane-wave model, we found that operation at high conversion efficiency occurs over a large dynamic range of incident pump intensity for proper choices of the ratio of the coupling parameters between the OPO and DFM processes. A high-Q cavity is used, since no linear out-coupling at ω_s is necessary. Here we report experimental results of the device called an OPO-DFM, which is a singly resonant OPO cavity with an intracavity crystal that mixes the signal and idler waves, enhancing the idler power-conversion efficiency.

Figure 1 is a sketch of the experimental set-up which has been described in detail elsewhere.[2] The OPO and DFM interactions are quasi-phasematched (QPM) with periodically poled lithium niobate (PPLN). The OPO and DFM crystals are placed in separate ovens that are independently controlled, allowing temperature tuning of the device. The cavity is high-Q and singly resonant for the signal radiation. Mirror M2 is >93% transmitting at the idler frequency. Mirror M4 is on a translation stage.

Figure 2 shows pump depletion and idler power versus pump power for the OPO and OPO-DFM. For these plots OPO-DFM operation is defined by setting the OPO crystal temperature at 72.5° C and the DFM crystal temperature to an experimentally determined phasematching temperature of 125° C with a grating period of 33.7 μm. We define OPO operation by setting the DFM crystal on a grating period which is far from the phasematching grating period. At full pump power, the idler power of the OPO-DFM device is 5.8 W, 80% greater than the idler output of the OPO. Also, pump depletion of the OPO-DFM device has increased from 65% for the OPO to 79% and appears to be still increasing at the maximum pump power. Pump depletion for the OPO falls off at pump powers greater than 8.3 W

because of back-conversion of the pump. Back-conversion of the pump in the OPO-DFM is mitigated due to resonated signal being nonlinearly outcoupled in the DFM interaction.

In Fig. 3 we plot photon-conversion efficiencies for the idler as a function of incident power for the two cases when the DFM interaction is and is not phasematched, and the difference-frequency photon-conversion efficiency when the DFM interaction is phasematched. The phasematched idler photon-conversion efficiency η_i is determined two separate ways. First, the measured wavelengths and powers of the idler and incident pump are used directly to calculate a photon-conversion efficiency. Second, an independent determination for η_i (and the only determination for the photon-conversion efficiency for the difference radiation η_d) can be inferred. Inferred calculations are made by employing Manley-Rowe equations and closure relations for the OPO and the OPO-DFM, as well as the measured ratios of the transmitted pump and signal with and without DFM, and the ratio of transmitted to incident pump in the OPO. Both methods for η_i are in good agreement. The outstanding feature in Fig. 3 is that the idler photon-conversion efficiency for the OPO-DFM is greater than 100% for pump powers higher than 11.0 W. At full pump power it reaches 110% and is still increasing.

We temperature tuned the OPO-DFM device. In Fig. 4 we plot the signal, idler and difference-frequency wavelengths versus OPO crystal temperature. The solid curve is calculated from Sellmeier relations[3] and thermal expansion relations for LiNBO$_3$.[4] To tune the OPO-DFM device over the entire wavelength range it was necessary to translate the DFM crystal to access several grating periods. Fine tuning on the appropriate DFM grating so that the interaction was phasematched was accomplished with temperature control.

Figure 5(a) plots contours of room-temperature DFM grating periods necessary to phasematch the DFM interaction for the respective idler wavelength. The solid contour lines are calculated as in Fig. 4. Figure 5(b) is a typical temperature bandwidth plot for the DFM interaction. For this plot the idler wavelength is $\lambda_i = 3.46$ μm and the DFM grating period is 33.7 μm. The FWHM temperature bandwidth of 30° C was constant over the idler tuning range of 3.2–3.5 μm and agrees well with calculated results. The dip in the powers at 117° C is due to third-order QPM in the DFM crystal for sum-frequency generation of red light.

We have demonstrated, for the first time to our knowledge, operation of a synchronously pumped OPO-DFM device. The OPO-DFM device is designed to maximize power-conversion efficiency from the pump to the idler in the mid-infrared. The OPO-DFM device has the same threshold as the OPO and operates with an idler photon-conversion efficiency over one, producing 80% more idler radiation than the OPO. Suppression of back-conversion of the pump increases pump depletion from 65% to 79% when the DFM interaction is phasematched. With a multi-grating DFM PPLN crystal, the OPO-DFM device was continuously tunable, and able to produce mid-infrared idler radiation with enhanced efficiency from 3.2–3.5 μm. In addition the OPO-DFM device produced signal radiation (1.5–1.6 μm) and difference-frequency radiation (2.7–3.2 μm).

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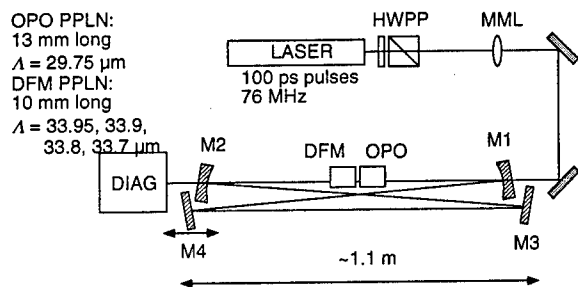[4.] Y. S. Kim and R. T. Smith, J. App. Phys., 40, 4637–4641 (1969).

Fig. 1. Schematic diagram of experimental setup. A half-wave plate and polarizer (HWPP) are used to adjust the power from the laser, MML is the mode matching optics, and DIAG represents the diagnostics for the measurements.
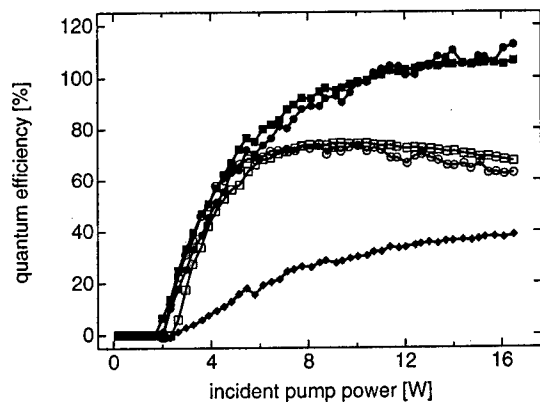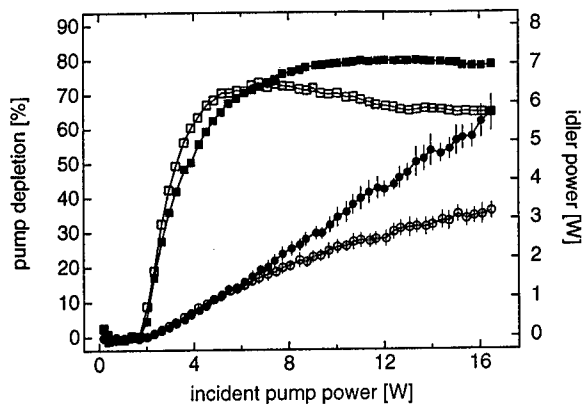

Fig. 2. Percent pump depletion (squares) and idler powers (circles) for the OPO (open symbols) and OPO-DFM (filled symbols) versus incident pump power.
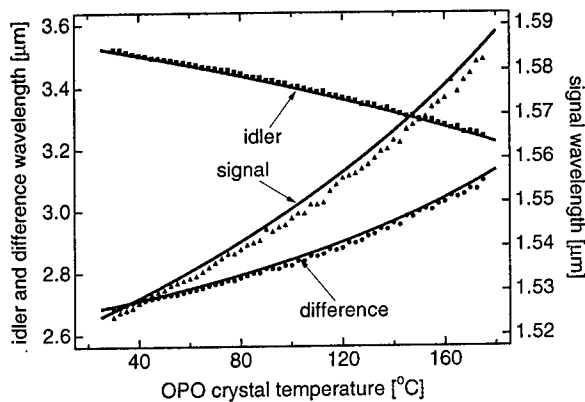

Fig. 3. Measured (circles) and inferred (squares) idler photon-conversion efficiencies for the OPO (open symbols) and OPO-DFM (filled symbols) and difference-frequency photon-conversion efficiency (filled diamonds) for the OPO-DFM as a function of incident pump power.

Fig. 4. Idler, difference-frequency, and signal wavelengths versus OPO crystal temperature.

Fig. 5.(a) DFM grating contours. (b) Idler and difference-frequency power versus DFM crystal temperature.
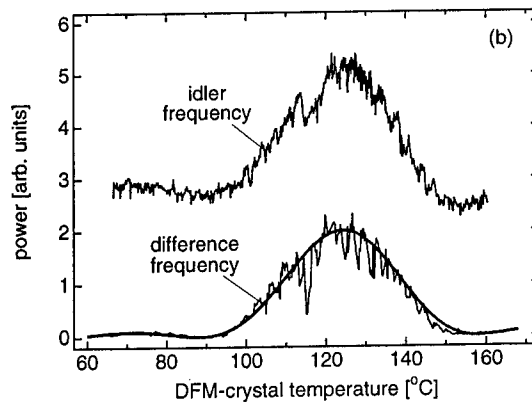
Nonlinear optical activity induced by linearly and circularly polarized light

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Chiral systems possess no mirror planes and occur in two enantiomers that are mirror images of each other. Chirality is usually associated with molecular structure. In linear optics, chiral molecules exhibit optical activity, e.g., rotation of the plane of polarization as the light traverses the chiral medium. Optical activity arises from the different interaction of chiral media with left- and right-hand circularly polarized light. Such circular-difference effects can occur also in nonlinear optics, e.g., in second-harmonic generation from thin chiral films [1].

Optical activity can occur also when the material is achiral. This is possible if the experimental arrangement is chiral, i.e., it possesses a definite handedness and is characterized by three non-coplanar vectors. For example, the efficiency of photoelectron emission from oriented molecules is different for left- and right-hand circularly polarized light when the molecular axis, the wave vector of incoming light, and the direction of detection of photoelectrons are non-coplanar [2]. In nonlinear optics, similar circular-difference effects were recently observed in second-harmonic generation from achiral but anisotropic surfaces when the orientation of the sample made the setup chiral [3].

Atoms (and molecules of high symmetry) have no preferred axis. However, optical activity in photoelectron emission can nevertheless occur if the atoms are prepared in an angular-momentum-aligned state before photoionization with a probe beam. The alignment is achieved by a control beam, whose direction of polarization provides the third vector to define the handedness of the experiment. A circularly polarized control beam is characterized by its spin, which is directed parallel or antiparallel with respect to the wave vector. Hence, circularly polarized control beams should not lead to optical activity [4]. On the other hand, one can argue that circularly polarized light must possess a handedness associated with the sense of polarization rotation [5].

In this paper, we use two-beam coherent second-harmonic generation from achiral thin films with in-plane isotropy to investigate how the polarization of a light beam induces chirality on an experimental setup. We show that optical activity occurs when the polarization breaks the reflection symmetry of an otherwise achiral setup. As expected from the analogy with photoelectron emission experiments [5], the symmetry can be broken using appropriate linear polarizations. In addition, we show that the symmetry can also be broken using circular polarizations.
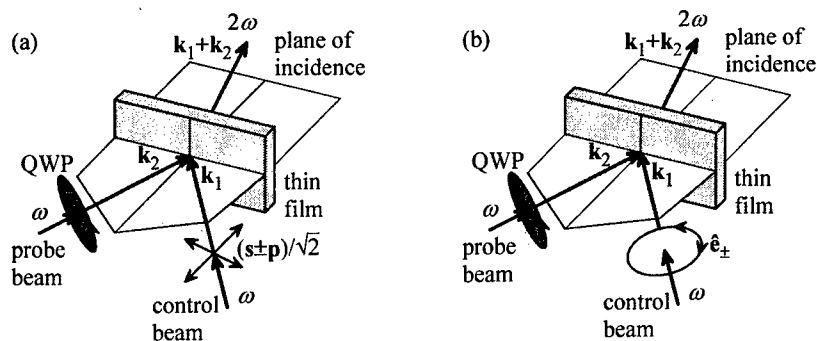
Figure 1. Experimental setup. The linear (a) or circular (b) polarization of the control beam breaks the reflection symmetry of the experimental setup. In both cases, two possibilities with different handednesses occur. The polarization of the probe beam is controlled by a rotating quarter-wave plate (QWP) to record the circular-difference response in second-harmonic generation.

Our samples consisted of poled polymer films of second-order nonlinear molecules. The samples are isotropic in the plane of the substrate but have broken symmetry in the direction of the surface normal, which is the only vector associated with the sample. Two infrared beams (1064 nm) with wave vectors k_1 and k_2 were applied on the sample and second-harmonic light was detected in the transmitted direction $k_1 + k_2$. All wave vectors were in the same plane of incidence with respect to the sample. Any of the wave vectors can be chosen as the second vector characterizing the setup and the additional wave vectors have no influence on the chirality of the setup.

The fundamental beam with wave vector k_1 was used as the control beam and its polarization provides the third vector characterizing the chirality of the setup. The chirality was probed by using a rotating quarter-wave plate to control the polarization of an initially p-polarized probe beam (wave vector k_2). The use of a quarter-wave plate also allowed recording the difference in the response for the left- and right-hand circularly polarized probe beams. The detection was unpolarized to avoid symmetry breaking from the possible misalignment of the analyzing polarizer. When the control beam was s- or p-polarized, the plane of incidence was a mirror plane and the setup was achiral. For these cases, the efficiency of second-harmonic generation was measured to be independent of the sense of circular polarization of the probe beam.

In the first set of experiments (Fig. 1a), we used control beams with linear polarizations given by $(s \pm p)/\sqrt{2}$. These two cases are related to each other by reflection in the plane of incidence and therefore have opposite handedness. The second-harmonic signals as functions of the rotation angle of the quarter-wave plate are shown in Fig. 2a. The two lineshapes are mirror images of each other and the circular-difference response reverses sign between the two cases. Analogous to the results obtained for photoelectron emission [5], our results confirm that a linearly polarized control beam can be used to make the setup chiral. In further analogy, the polarization of the control beam selects a set of intermediate virtual
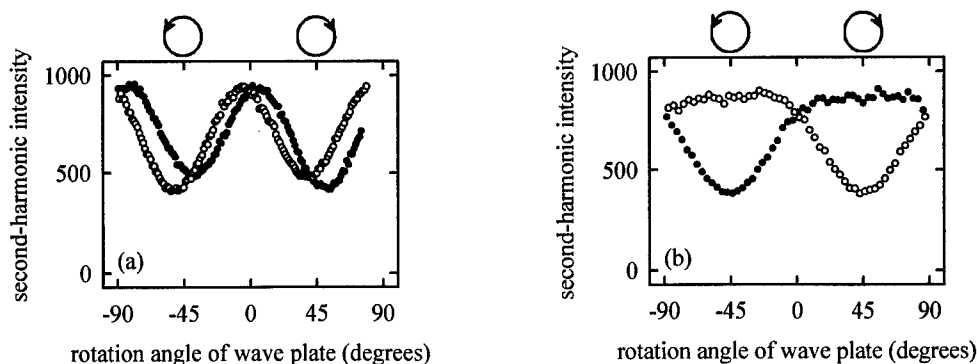
Figure 2. Second-harmonic intensities as functions of the rotation angle of the quarter-wave plate. The rotation angles of -45° and 45° correspond to the left- and right-hand circularly polarized probe beams, respectively. Circular-difference effects are evident in all cases. (a) Linearly polarized control beams $(s+p)/\sqrt{2}$ (solid dots) and $(s-p)/\sqrt{2}$ (open dots). (b) Circularly polarized control beams e_+ (solid dots) and e_- (open dots).

states with symmetry such that one of the circular polarizations of the probe beam is favorable to complete the process of second-harmonic generation.

In the second set of experiments, circularly polarized control beams were used (Fig. 1b) and the second-harmonic signals are shown in Fig. 2b. The two lineshapes are again seen to be mirror images of each other and the circular-difference effects are seen to reverse sign between the two circular polarizations of the control beam. These results clearly show that circularly polarized control beams can also be used to break the symmetry of the setup. To our knowledge, this is the first experimental demonstration of the use of circularly polarized control beams to induce chirality in any type of experiment.

We recall that, for both circular polarizations, the spin vector lies in the plane of incidence and one can argue that the symmetry should not be broken [4]. This contradiction is easily resolved by noting that the spin vector is an axial, not a polar, vector. Therefore, it inherently possesses a handedness, which is also evident when the sense of rotation of the circular polarization is considered.

In conclusion, we have used second-harmonic generation from isotropic achiral thin films to investigate optical activity induced by light polarization. Coherent second-harmonic generation between a control beam and a probe beam is sensitive to the sense of circular polarization of the probe beam when the polarization of the control beam induces chirality on the otherwise achiral setup. Both linearly and circularly polarized control beams can be used to induce chirality.

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Nonlinear effects due to optical pumping of sodium vapor by single-pass laser beams

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Circularly polarized laser beams propagating through sodium vapor and tuned to the buffergas broadened atomic D1 transition can optically pump sodium atoms into a nonabsorbing groundstate. The corresponding groundstate populations depend on the intensity distribution, the polarization and the magnetic fields. They lead to refractive index gradients along as well as transverse to the laser beam propagation direction and therefore modify the light path (Fig.1) giving rise to a number of nonlinear spatio-temporal intensity and polarization pattern creating processes [1, 2].
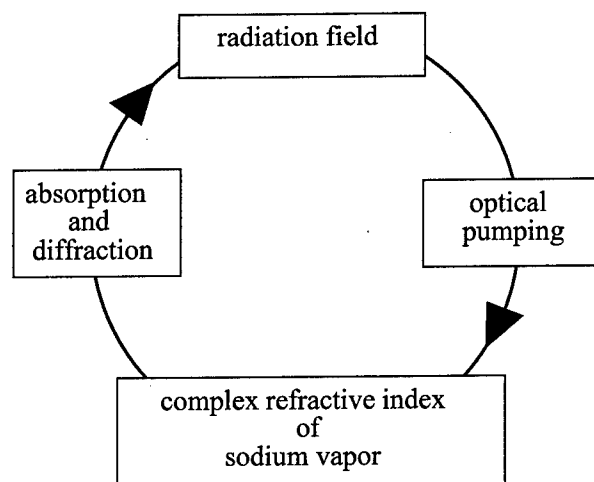
Fig.1   Basic mechanism of the interaction between laser light and sodium atoms

Surprizing effects also occur when weak magnetic fields influence the alignement of optical pumping induced atomic magnetic dipols. Fig. 2 shows several experimental arrangements.

In the case of a single circularly polarized laser beam we have studied the dynamics of optical pumping and observed self-focusing and defocusing, the transformation of incident Gaussian beam intensity profiles into ring profiles, a large shift of the maximum of the absorption profile when suitable magnetic fields are applied, and the deflection of a beam by the inhomogeneous transverse magnetic field of a current carrying wire [3].

one beam

pumping dynamics

CCD PM

rings

two beams

beam switching
all optical logical XOR gate
switching frequency doubler
optical transistor

beam bouncing

beam attraction

beam splitting

beam dancing
3 beams linearly polarized
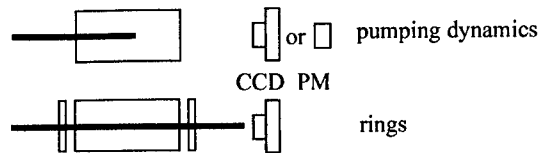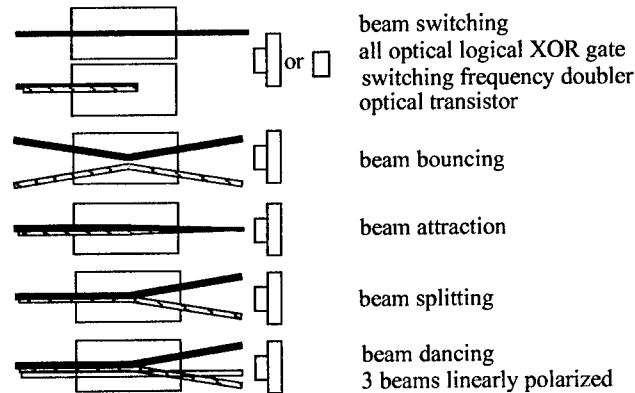
one beam and magnetic field

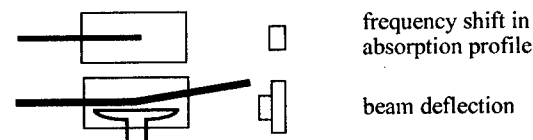

frequency shift in
absorption profile

beam deflection

Fig.2   Collection of observed effects. CCD: recording by a CCD camera, PM: recording by a photo multiplyer.

When two beams of opposite circular polarization co-propagate and partly overlap astonishing effects like the mutual extinction of both beams (beam switching), the mutual deflection of both beams (beam bouncing), the mutual attraction of initially overlapping beams (beam attraction) and the separation of both beams (beam splitting) can be observed. While most of the effects are well described for the stationary state by a J=1/2 to J=1/2 atomic transition model, the correct description of the dynamics requires the consideration of all hyper fine states [4] as indicated in Fig.3.

This new model is used to investigate the role of fluctuations in pattern formation processes. Of partcular interest is the case of spontaneous symmetry breaking of a linearly polarized laser beam into two beams of opposite circular polarization. Up to now it is not understood why this break-up occurs without buffergas [5] but does not occur if Ar buffergas is present. With Ar buffergas, the beam break-up only takes place if the linearly polarized beam consists of the superposition two individual left and right hand circularly polarized beams [6] .

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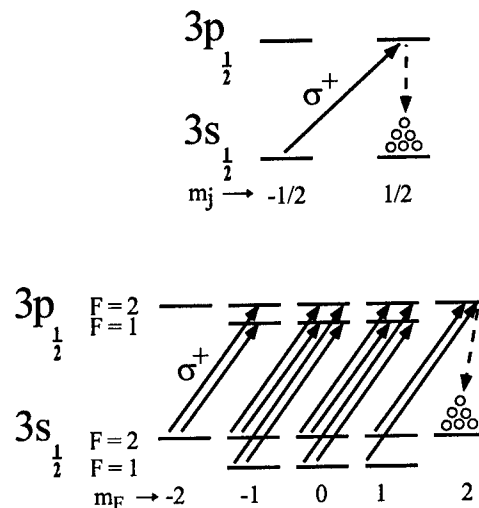
Fig.3 Schematic representation of the sodium D_1 atomic transition :
Top: neglecting the hyperfine structure leaves only 4 states (J=1/2 to J=1/2 model). Optical pumping by circularly polarized light can occur directly between both ground states.
Bottom: full model with all hyperfine levels. Optical pumping occurs in several steps and is about an order of magnitude slower than in the J=1/2 to J=1/2 model.

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Measurements of SBS Reflectivity and Phase Conjugation Fidelity in Light Guides

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SBS phase conjugation is a widely used technique with Q-switched pulses to correct aberrations in amplifiers. For a mildly aberrated beam with peak power > 100kW, simple bulk focusing in an appropriate medium to generate SBS works sufficiently well. On the other hand for low peak power laser pulses (100W to 100kW) or very highly aberrated beams (20 to 100 times DL) to be phase conjugated, a light guide can be used in principle to obtain a long interaction length to reach the SBS threshold. Previously we had demonstrated an SBS threshold lower than 100 W using a CS_2 filled capillary [1]. We found that phase conjugation fidelity is low in these light guides if the input beam is highly aberrated, so that the input beam fills a significant fraction (~1/3) of the numerical aperture of the guide. The same limitation is also observed in multimode fibers used as phase conjugate mirrors (PCM). Thus we have undertaken a study of reflectivity and phase conjugate (PC) fidelity of light guides as a function of input power, angle of incidence with respect to the guide axis, and input beam polarization.

The experimental setup is shown schematically in figure 1. The input beam is generated by a passively Q-switched Nd:YLF oscillator, producing single longitudinal and transverse mode output beam in a 65 ns FWHM pulse. The 3 mm dia. input beam is aberrated by an etched glass plate so that there is no SBS due to bulk focusing from the region in front of the light guide. The fast lens (5 cm efl) images the aberrator to the entrance of the 0.53 mm diameter, 2m long CS_2 filled quartz capillary. By inserting a $\lambda/4$ plate in front of the capillary, we choose between a linearly or circularly polarized input into the light guide.
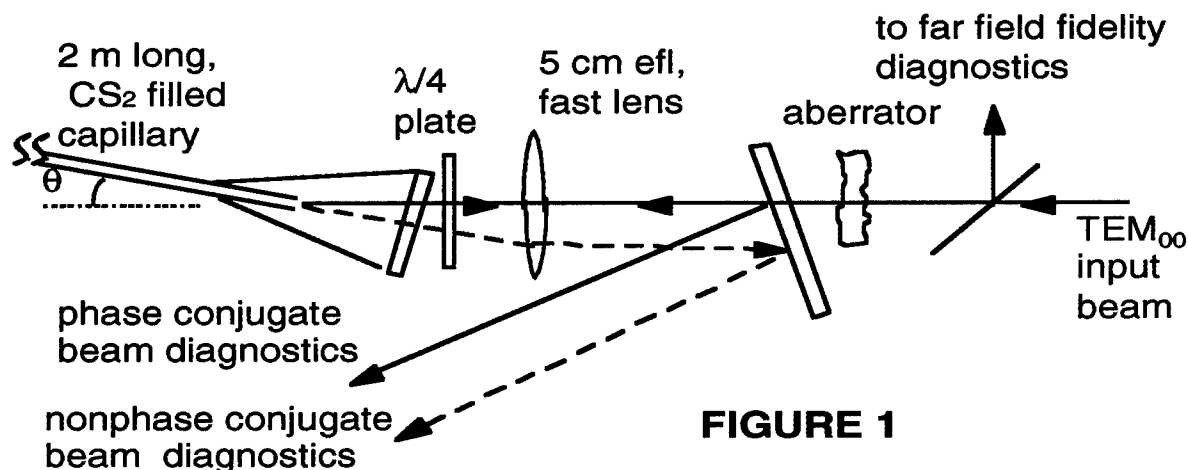

FIGURE 1

The reflectivity of the SBS beam as a function of the input energy at different angles between the light guide axis and the linearly pol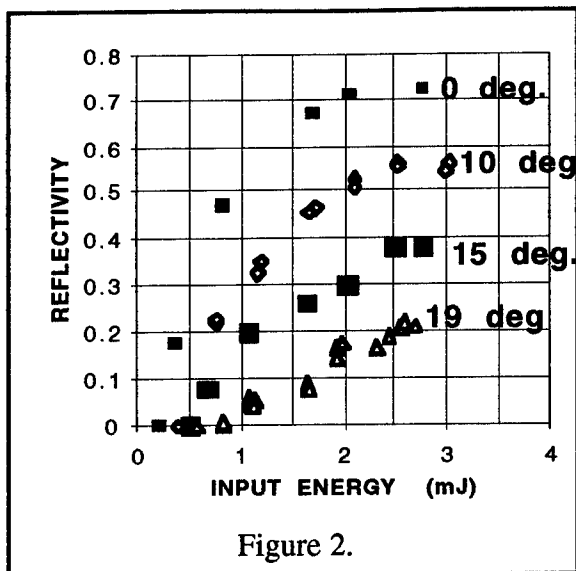arized input beam is depicted in figure 2. Note that the numerical aperture of this light guide corresponds to ~60 degrees, and the input beam subtends ~4 degrees full angle. The data clearly indicates that as the incidence angle increases, the SBS threshold increases and the reflectivity decreases for the same input energy. Also maximum reflectivity saturates at a lower value. Even when the input energy is normalized to the SBS threshold energy, we find that the reflectivity is lower at higher incidence angles although the difference is not as pronounced.

Figure 2.

By replacing the $\lambda/4$ plate in figure 1 with a $\lambda/2$ plate and rotating the direction of linear polarization we determined that there was no directional dependence of reflectivity on the orientation of the linearly polarized light. In a PCM to obtain good fidelity all parts of the input beam must have equal reflectivity. Thus to fulfill this condition in a light guide PCM, we can use only a small fraction of the available numerical aperture.

At large incidence angles (~15 degrees) we found that there is substantial differences in SBS reflectivity, PC fidelity, and pulse shapes depending on the input polarization state. For circularly polarized input, SBS threshold is 1.5 times lower than linearly polarized input, but once the input energy exceeds the SBS threshold, depletion of the input pulse transmitted through the capillary follow the same curve as shown in figure 3.
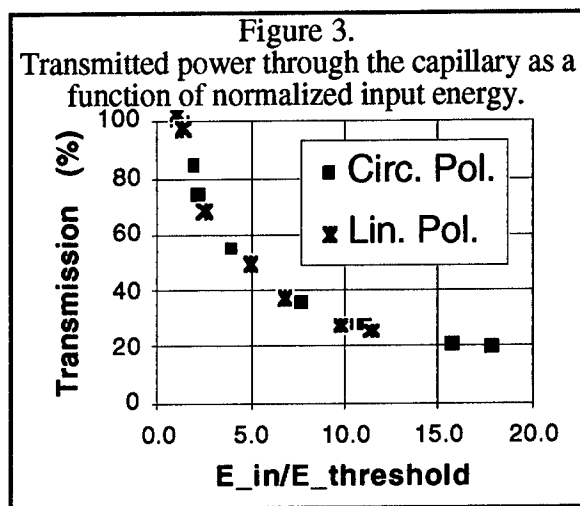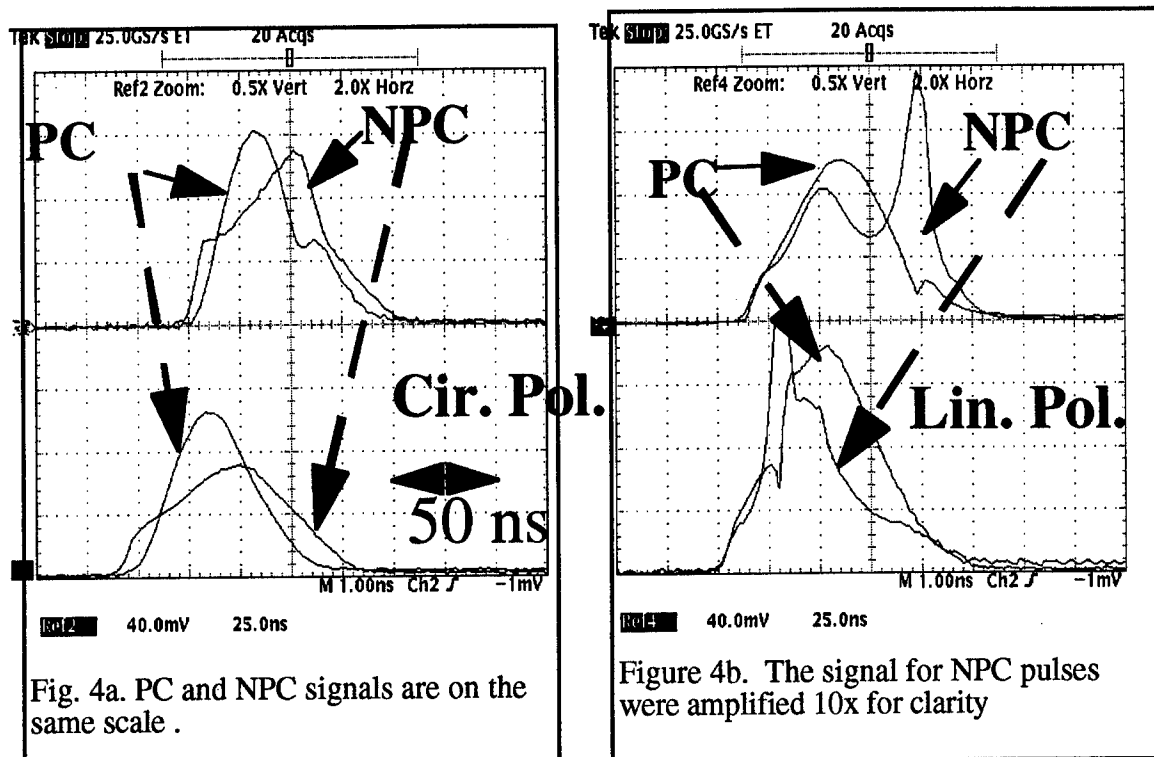

Figure 3.
Transmitted power through the capillary as a function of normalized input energy.

On the other hand with circularly polarized input beam, on the average more than 50 % of the energy in the reflected SBS light is in a nonphase conjugate beam emitted along the capillary axis as indicated in figure 1. The non phase conjugate (NPC) beam always starts earlier, has the characteristic sharp rise time of SBS pulses, and its shape fluctuates from pulse to pulse. In contrast, the phase conjugate pulse starts later and ends earlier than the NPC pulse. PC pulse has a repeatable Gaussian-like pulse, with a slow risetime. Nevertheless both the conjugate and nonphase conjugate beams are highly (>98%) polarized. These data indicate that PC beam is generated via some Brillioun enhanced four-wave mixing (BEFM).

With linearly polarized input, on the average more 90% of the SBS reflected light is in the phase conjugate (PC) beam which is also >99% polarized, whereas the NPC beam which is emitted broadly along the light guide axis, carries only 8 to 10% of the energy, and this NPC beam is depolarized. Both PC and NPC pulses start simultaneously with a sharp rising front edge typical of transient SBS turn on. PC and NPC pulses are in competition to convert the input pulse power. This competition becomes especially obvious during phase jumps [2] in the PC pulse, when power in the PC pulse is momentarily reduced with a corresponding increase in the NPC pulse power.

The representative pulse shapes with circular and linear polarized beams incident at 15 degrees are shown in figure 4 a and 4b.

Fig. 4a. PC and NPC signals are on the same scale .

Figure 4b. The signal for NPC pulses were amplified 10x for clarity

Although currently there is no quantitative model to fit our data, observations are consistent with the effect of depolarization of the beam propagating in a light guide and the consequent loss of PC fidelity [1,3]. Our results confirm why only a small fraction (<1/5) of the numerical aperture can be used in SBS light guide PCM.

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Phase Conjugation of Depolarized Light with a Loop PCM

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The loop phase conjugate mirror (PCM) has attracted much of attention recently for application to high power solid state lasers, because of its ability to work with a unique combination of laser radiation parameters, such as high aberrations, Q-switched through CW pulse formats, low peak and high average powers, broad spectral bandwidths [1,2]. Another challenge for any kind of PCM in general is to accommodate depolarized laser beams, especially, that the commonly used technique, first shown for SBS [3], and based on the transformation of depolarized light before entering a PCM into linear polarized component, is very difficult to apply for a loop type of PCM. In [4] we suggested an idea how to modify the basic loop PCM optical scheme in order to work with depolarized radiation. In this paper we present experimental results showing near 100% polarization conjugation fidelity, in combination with compensation of phase distortions and high reflectivity.

Basically, loop PCM is a laser that is seeded and triggered by an external signal beam, which we want to be phase conjugated. The optical scheme is shown in Fig.1. The ring resonator of this laser contains a nonlinear medium, which acts as one of the resonator mirrors after the signal beam writes a hologram (or grating) in it. The signal beam E_1 enters the loop, passes through the nonlinear medium, reflects from mirrors, and then as beam E_3 it intersects with E_1 inside the nonlinear medium. The interference pattern of these two beams records a hologram via a nonlinear mechanism. When the hologram reflectivity, combined with the gain of laser amplifier fulfills a threshold condition, spontaneous emission builds up, giving rise of a strong return E_2, which, under certain conditions, may be close to a phase conjugate replica of the signal beam E_1. A 'leaky" optical diode (which usually consists of a Faraday rotator, polarizers, and other optical elements) inside the resonator serves to prevent saturation of the amplifier by the input signal E_1, and to support only unidirectional generation of the return beam E_2, providing controllable attenuation for the input signal and insignificant losses for the generated return E_2.

For an arbitrary polarized signal beam, we actually have two loop resonators operating in parallel: one for the S and another for the P polarization components, which are wraped up together in one optical scheme. To accommodate the loop scheme with this situation, we first modified the optical diode to allow it to work with two independent S and P polarization components of the input and return beams. In addition, we placed a half-wave plate inside the loop resonator to serve as a polarization mixing element. This

Figure 1
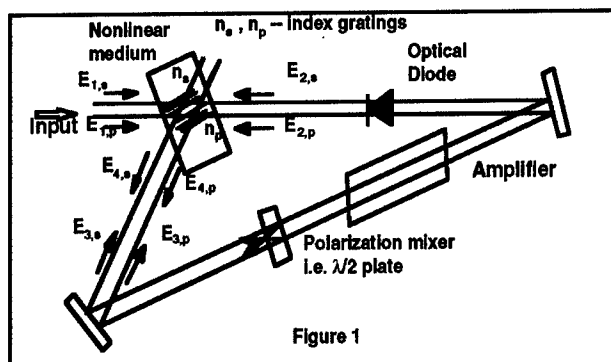
half-wave plate plays very important role, providing controllable coupling between the two loop resonators. Rotation of the half-wave plate allows controllable energy exchange up to 100% between the S and P components. For a general depolarized input, there are two holograms formed inside the nonlinear medium as a result of the interference of the S components of beams E_1 and E_3, and the P components of beams E_1 and E_3. Rotation of the half-wave plate causes each of the holograms to contain information about the spatial distribution of phase and amplitude for both S and P components of the input beam E_1. As a result, when the S and P components of the generated beam E_2 diffract from the S and P holograms respectively, they also contain spatial information about the entire input beam E_1. Also, the exchange of energy between S and P components of beam E_4 at the half-wave plate provides coupled generation of both components of beam E_2. Ultimately, this leads to a phase locking of the independent polarization components of the generated beam E_2, resulting in a vector phase conjugated return of the input beam E_1. In addition, any depolarizing or biefringent optical elements inside the loop PCM itself, such as a laser amplifier under high pumping level, will be automatically compensated.

For this scheme to work well, it is also necessary to minimize the cross-talk for reading S and P holograms (in the nonlinear medium) by S and P components of beam E_2. For this, one can use any or a combination of three methods: (a) spatial or (b) angular separation of the two holograms, and (c) reflection selectivity of the volume holograms recorded by highly aberrated beams with a spatial correlation length much less than total length of hologram.

We successfully demonstrated the feasibility of vector phase conjugation for a loop PCM at 1.06µm using a Nd:YAG laser amplifier and recording thermal holograms in the nonlinear medium, consisting of a mixture of acetone and copper nitrate. At first, we started with relatively simple situation, shown in Fig.2. A quarter-wave plate was placed in the beam path prior to the loop PCM in order to convert the linear polarized Gaussian beam from master oscillator to an elliptically or circularly polarized input beam. We measured the polarization conjugation by comparing the relative energy in the correct polarization, that is coaligned with polarization state of initial signal beam, with the wrong (orthogonal) polarization of the return beam from the

- Input: 30 nsec; SLM; 3.3 mm diameter; TEM_{00}, linear polarized
- Cell: acetone with copper nitrate; ~ 0.2mm thick; transmission ~ 80%
- Optical diode: Faraday rotator, λ/2 plate, polarizers;
- Amplifier: single pass small signal gain up to 20

Figure 2

Figure 3

Figure 4

loop PCM after it passed the quarter-wave plate in backward direction. We found that the loop PCM generation was quite sensitive to alignment of loop resonator elements, and the polarization conjugation strongly depended on the angle of half-wave plate inside the loop. Rotating this half-wave plate, we were able to get polarization conjugation fidelity better than 96% for an arbitrary elliptically polarized input beam, including circular polarization (see Fig.3). We used the setup,
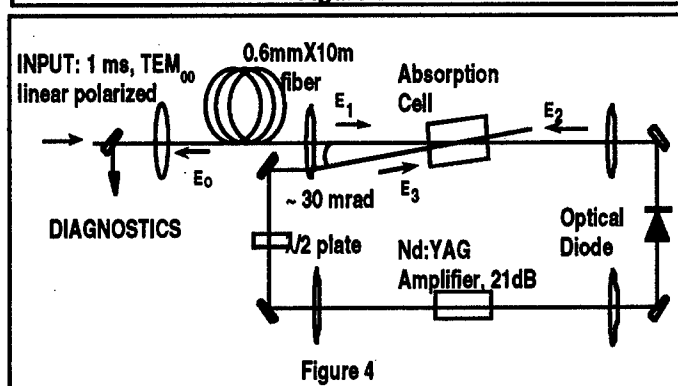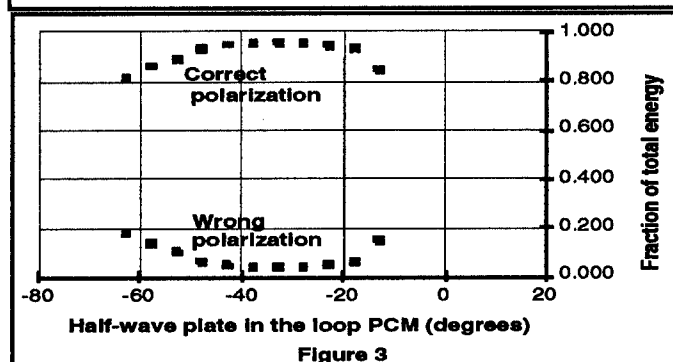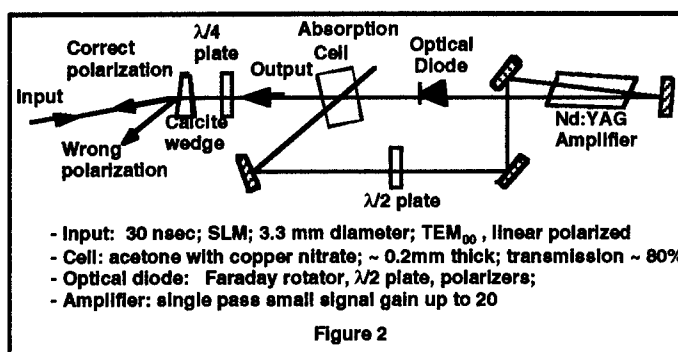
shown in Fig.4, to demonstrate phase conjugation for totally depolarized, highly aberrated (up to 100mmXmrad) beams from a multimode fiber (0.6 mm diameter, 10m long) and found that in this case the loop PCM return was not so sensitive to alignment of loop resonator and even to the rotating angle position of half-wave plate, unless it was close to the position, where there was no energy exchange between the S and P polarization components. We observed that phase conjugated return had practically 100% polarization conjugation fidelity (see Fig.5). We achieved 50% overall phase conjugation fidelity (see Fig.6) and the reflected energy from the loop PCM 2-3 times the input energy.

Figure 5

This work was partially supported by a consortium agreement with DARPA (MDA972-94-3-0020).

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Figure 6

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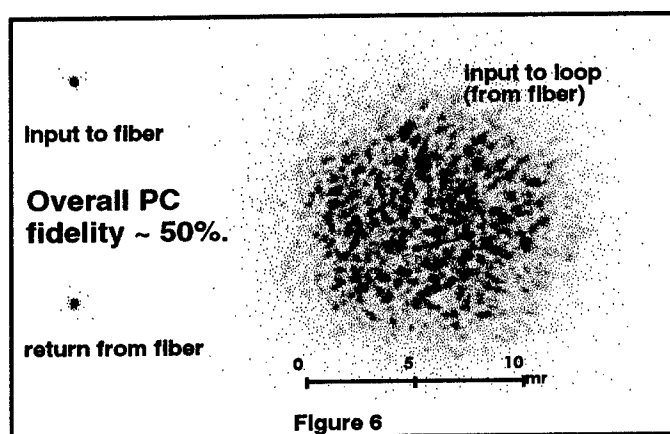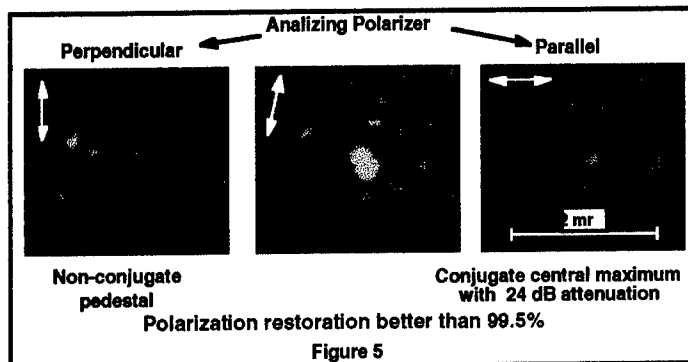
Visualization of the Frequency-Doubling Space-Charge Region in Thermally Poled Fused Silica: Measurement Technique and Model

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A large second-order nonlinearity is induced in fused silica glass under electrical poling at elevated temperature (thermal poling).[1] This technique has been applied in both bulk fused silica and optical fibers. In optical fibers, this technique has been used to demonstrate an electrooptically active fiber[2] (for switching or modulation) as well as quasi-phase matching by periodic poling[3]. Investigations in bulk fused silica have shown that a thin (~ 10 μm) region with a $\chi^{(2)} \sim 1$ pm/V is formed under the positive electrode.

The initial measurement[1] indicated that the nonlinearity peaked at the surface and extended a few micrometers into the glass. Subsequent measurements have shown a buried nonlinearity.[4-7] However, all of the techniques used to date lack sufficient resolution to detail the extent of the affected nonlinear region. We report a technique that allows visualization of the details of the nonlinear region with atomic force microscope resolution.

After poling, type II fused silica (Hereaus TO8) cover slips were cleaved and etched in 49% hydrofluoric acid for 30 s. The topography of cover slip cross-sections was examined after etching with an atomic force microscope. Peaked ridges, one near the anodic surface edge and a second deeper in the sample, were identified (Figure 1). The ridges correspond to slower etch rates compared to the remainder of the glass. Several samples with different poling times were studied. The second ridge moves deeper into the glass with increased poling time (Figure 2). The position of the first ridge shows some relatively small variation as well but does not show a consistent trend with poling time. For long poling times (≥ 60 min), additional features are seen including a very deep etched groove between the two peaks that is approximately 2 μm wide and 1 μm deep (in contrast to the approximately 200-300 nm vertical extent of the ridge heights), indicating a much faster etch rate than of that of the bulk material. Interestingly, the sidewalls of this groove are very well defined (sidewall angle ~ 45 degrees) indicating a strongly localized region in the sample.

Earlier studies found that etching rates in glass are affected by the space charge region that forms in poled glass[5]. Changes in glass etch rates have been attributed to fictive temperature, hydrostatic pressure, and structural stress[8], as well as to local electric fields[9]. We use the second peaked ridge as an indicator of the depth of the space charge region. This ridge, shows that a steady state is not achieved on the same short time scale that characterizes the growth of the second-order nonlinearity.

Previously, the formation of the region in bulk fused silica has been explained by a single-ion carrier model involving sodium ions, mobile at the elevated poling temperature, responding to the applied electric field to give a negative space-charge region under the anode. The exposed space charge in the depletion region results in a large electric field (peak value $E_{dc} \sim 10^7$ V/cm) which grows as sodium ions move until

essentially all the applied voltage drops across this depletion region. The electric field leads to a second-order nonlinearity through $\chi^{(2)} = 3\chi^{(3)}E_{dc}$, or also possibly through the orientation of polar defects.

We have recently presented a model that extends this single-ion carrier model and allows for ion exchange of hydrogenated ions at or under the surface as the large field grows.[10] The hydrogenated ions have a mobility several orders of magnitude ($\sim 10^4$) smaller than sodium. Hydrogenated ions can be supplied either from surface hydration or from moisture in the environment. The model predicts continual depth increase in the space-charge region over long periods of poling time, when a source of hydrogenated ions is available. As the space charge region extends deeper into the glass, the strength of the electric field decreases, but the integrated electric field (voltage drop) remains the same over the region. Because the second harmonic (SH) power is proportional to the integrated electric field strength ($P_{SH} \propto \int E_{dc}e^{i\Delta kx}dx$), the SH signal remains relatively constant as long as the nonlinearity depth is less than the coherence length ($\sim 24 \, \mu m$). The experimental observation that the space-charge depth lacks a short term steady state agrees with this ion exchange model.
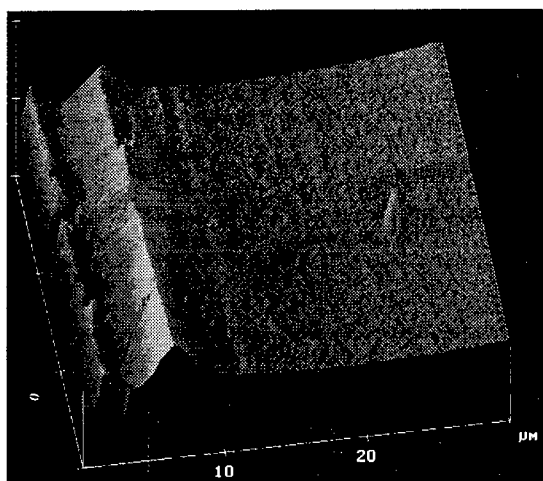
Figure 1. Atomic force microscope profile for etched cross section of a sample poled for ½ min at 4.3 kV and ~ 275 °C. x = 0 indicates anodic surface.
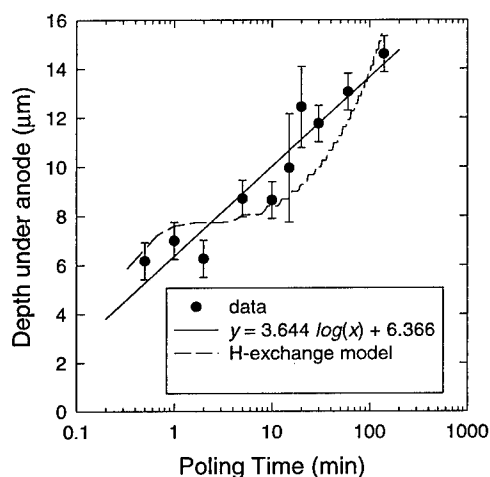
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Applications of Terahertz Imaging

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1. Introduction

The recent advances involving imaging with sub-picosecond terahertz pulses [1,2] have opened up a wide range of possibilities in the applications of far-infrared technology. For the first time, a commercially viable terahertz imaging spectrometer seems a realizable prospect. However, several substantial engineering research challenges remain to be overcome before this goal can be achieved. One of these involves the necessity for a femtosecond laser system, required for gating the emitter and receiver antennas used in the THz-TDS system. Although the solid-state mode-locked laser systems have made substantial progress in recent years, they may not yet be suitable for a commercially viable spectrometer. An alternative laser source, the erbium-doped fiber laser is a better option, but adapting the THz-TDS system for use with this laser is not trivial.

A second challenge to be faced involves the signal processing used to extract information from measured THz waveforms. The demonstration experiments performed to date have employed rather crude signal processing algorithms. The shortcomings of these are evident in some of the results presented here, highlighting the need for a more sophisticated treatment. Although the details of the signal processing procedures will depend to a great degree on the particular application of interest, there are several core issues that are common to a wide range of problems. These include the deconvolution of instrument response functions and the implementation of effective noise removal procedures. Since the underlying waveforms in the THz system (e.g. Figure 1) closely resemble the elements of a *wavelet* basis, wavelet-based signal processing strategies are likely to be extremely effective.

2. Applications of THz Imaging

One of the most interesting characteristics of THz-TDS is the extremely short duration of the THz pulses. Because many materials have strong absorption or dispersion features in the far infrared, the temporal distortions which are imposed on the sub-picosecond pulses are characteristic of the materials with which the radiation has interacted. As a consequence, the distortions of the time-domain THz waveform can be used to identify or characterize the materials [3]. An example is shown in Figure 1, which depicts the waveforms (a) incident on and (b) transmitted through a block of epoxy. The strongly frequency-dependent refractive index of this material is evident in the
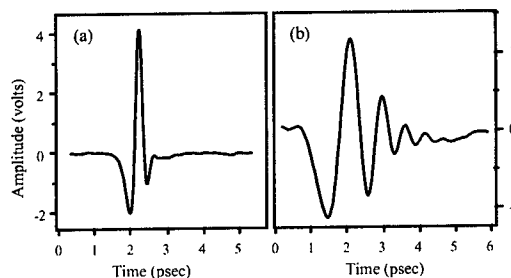

Figure 1 THz waveforms (a) incident on and (b) transmitted through a block of epoxy. The chirp imposed on the waveform is characteristic of the material, and may be used for materials identification, in conjunction with imaging.
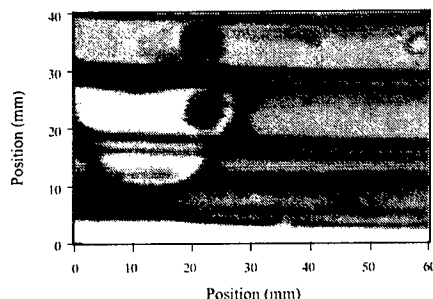
'chirp' exhibited by the transmitted waveform.

Many industries require monitoring technologies which can perform quality control inspections through opaque materials such as cardboard or plastic. Because these materials are transparent in the THz range, this technology is well suited for such applications. An example is shown in Figure 2, which is a THz image of a portion of a dashboard from an automobile; this structure consists of two molded hard plastic shells with a soft shock-absorbent foam filling the region in between. An air bubble in the foam filling, invisible through the opaque plastic shells, is easily visible in the THz image. This image was generated using real-time signal processing on the transmitted terahertz waveforms [1,2].

This technique is quite general; images can be generated of a wide range of samples, including many packaged food products, and countless plastic and composite parts such as the packaging for
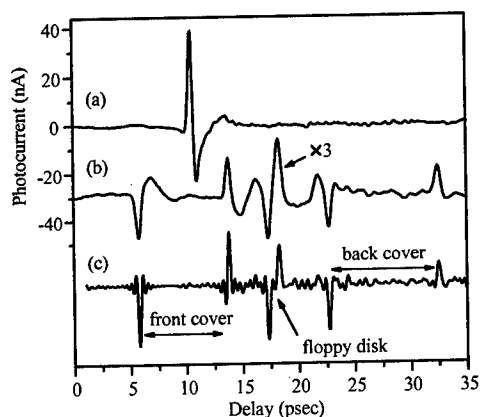

Figure 2 THz image of a portion of an automobile dashboard, in which a small bubble in the impact foam is clearly evident (white area, at left).

Figure 3 THz waveforms (a) incident on and (b) reflected from a 3.5-inch floppy disk. Curve (c) represents curve (b) after deconvolution of the instrument response.

integrated circuit chips. Images can also be generated in a reflection, rather than transmission, geometry. The sub-picosecond duration of the reflected waveform can be used in a tomographic imaging mode, to construct a three-dimensional image of the sample [4]. Figure 3 shows an example, in which an incident waveform (a) is reflected off of a conventional 3.5-inch floppy disk. Each of the dielectric interfaces which comprise the sample is visible in the reflected waveform (b), including the front and back surfaces of both the front and rear plastic cover, and the magnetic recording material. Curve (c) represents the results of curve (b) after deconvolution of the instrument response, represented by (a). This procedure permits resolution of the front and back surfaces of the thin magnetic recording material, unresolved in the raw waveform (b). This highlights the importance of a robust deconvolution procedure, which can be implemented in a real-time imaging mode.

The unique aspects of this new imaging technology have attracted interest from a number of industries, primarily in the manufacturing sector. The ability to image through most common packaging materials without the need for x-rays or other ionizing radiation is attractive in many quality control applications, particularly in consumer products manufacturing. The coherent detection of the THz waveform also permits imaging of thermally active media, an ability possessed by no other far-infrared detection system. This may be of interest in high temperature materials processing such as ceramic sintering, or in the monitoring of moderate to high density plasmas. The extreme sensitivity of THz radiation to liquid water is of interest to the plastics industry. Other applications include cutaneous imaging for biomedical diagnostics, agricultural monitoring, and gas sensing and detection [3].

3. Towards a Commercially Viable THz Imaging System

A number of improvements must be made to the next generation THz-TDS system in order for any of the applications under consideration to be feasible. Primary among these is the issue of the femtosecond laser system. Currently, there exists no femtosecond laser that is sufficiently isolated from mechanical perturbation, except for the erbium-doped fiber laser systems. However, adapting the THz-TDS system for operation with a fiber laser raises a number of significant engineering challenges. Of equal importance is the need for robust signal processing methods, for extraction of meaningful data from the measured THz waveforms. The signal processing challenge in the THz system addresses the following question: given an input waveform (which may be taken as characterizing the system response) and a reflected or transmitted waveform (which has been modified by interaction with a sample), what is the optimal procedure for extracting the desired information about the sample under study? Of course, the information to be extracted varies with each application. Despite this, a number of common issues exist, including noise reduction, artifact removal, and deconvolution.

The engineering challenges which arise in modifying the THz-TDS system for use with a fiber laser lie primarily in the THz transmitter antenna. These antennas are typically lithographically defined on a gallium arsenide (GaAs) or low-temperature-grown GaAs (LT-GaAs) substrate, which acts as the photoconductive switch for the generation process. However, these semiconductors do not absorb light at the wavelength of the fiber laser.

One strategy for dealing with this difficulty involves shifting the wavelength of the output pulses via non-linear mixing. Frequency doubling the output of a femtosecond fiber laser has been explored by a number of researchers recently [5,6]. Average powers as high as 8 mW have been reported in the second harmonic pulses, at ~780 nm. While this power level is not sufficient for THz generation and detection, the recent advances in the development of periodically-poled non-linear materials are quite promising.

A second strategy is to develop new materials which are active at 1.55 μm. For a photoconductor to efficiently generate and detect femtosecond electrical transients, it must meet the following benchmarks: 1) carrier lifetime less than one picosecond, 2) mobility greater than 100 cm^2/V-s, 3) resistivity greater than 10^5 Ω-cm, and 4) optical absorption greater than 10^4 cm^{-1}. Non-stoichiometric GaAs (LT-GaAs) possesses all of these qualities when pumped at a wavelength near 800 nm, as do other semiconductors of comparable band gap, such as InP [7]. Other materials, such as InSb [8], have been explored at longer pump wavelengths. A number of candidate materials exist for operation at the fiber laser wavelength, including ion-implanted germanium [9] and low-temperature-grown quantum wells [10].

Effective means for processing the measured THz waveforms, including noise removal, artifact and system response deconvolution, and advanced image formation, are a crucial component of a useful THz system.

Traditionally, signal processing has been carried out in the frequency domain using the Fourier transform, which analyzes and represents signals in terms of sinusoids of different frequencies. While the narrowband sinusoids of the Fourier representation may be an excellent match for continuous wave signals, these functions do not match the broadband, transient nature of THz pulses. Since THz pulses are localized in both time and frequency, they are naturally suited to signal processing methods based on *wavelets*. The wavelet transform performs a 'local Fourier analysis' by analyzing and representing signals in terms of shifted and dilated versions of time-localized, oscillating functions. It has been shown that noise removal, compression, and signal recovery methods based on wavelet coefficient shrinkage or wavelet series truncation enjoy excellent asymptotic performance and moreover, do not introduce excessive artifacts in the signal reconstruction [11]. The same properties are *not* shared by the Fourier representation. Thus wavelets are a natural tool for addressing the challenges presented by the THz-TDS system.

An excellent example of a case where wavelet signal processing will be extremely beneficial is in signal denoising. White Gaussian noise can arise in signal acquisition from a number of intrinsic sources, such as Johnson noise in the detectors. A simple yet effective approach to dealing with this is *wavelet thresholding* [12]. Since the basis functions of a wavelet analysis closely match the transient pulses of a THz waveform, the wavelet transforms of the THz waveforms are strongly peaked, with only a few coefficients of large amplitude required to represent the waveform. In contrast, the wavelet transform of white noise is distributed, with many coefficients of smaller amplitude. Thus, a simple strategy to separate signal from noise is to threshold the wavelet transform of the noisy signal. Figure 4 is an example which demonstrates the power of wavelet thresholding in signal denoising.

Another important source of noise in these measurements is the pulse-to-pulse amplitude fluctuations of the femtosecond laser. The spectrum of this noise source closely resembles a 1/f source [13]. Current wavelet denoising strategies are not optimized for colored noise, and so modified algorithms must be developed.
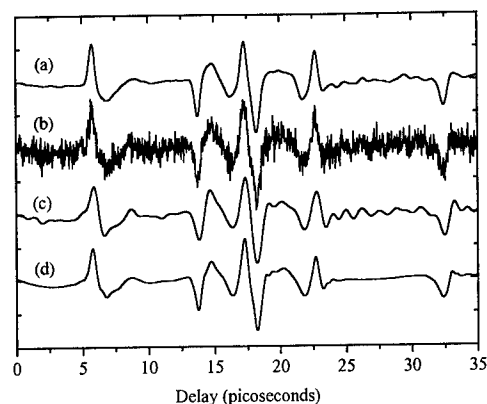
Figure 4 (a) Raw signal (typical waveform reflected from floppy disk; see Figure 3). (b) Curve a, supplemented by additive white Gaussian noise, to artificially simulate noisy data (c) Signal denoised with a Fourier method (convolution with a Butterworth filter) (d) Signal denoised using wavelet thresholding. Note how in addition wavelet thresholding can be used to remove most of the signal artifacts.

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THz Pulse Measurement with a Chirped Optical Beam

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Summary

Electro-optic sampling is a powerful technique for the characterization of a repetitive electrical waveform, such as an electrical signal in an integrated circuit [1,2] or a THz beam in a free-space environment [3-5]. However, due to the nature of the repetitive measurement of the sampling system, for each time-delay between the electrical signal and a synchronized optical probe pulse, only a small portion of the temporal signal is measured. The entire waveform is reassembled by sequentially plotting the signal versus the time-delay. Until now, there has been no report of a successful measurement of a picosecond electrical pulse on a single-shot basis.

One possible way to perform a single-shot measurement is by parallel sampling. With the introduction of a chirped optical probe beam in the electro-optic sampling experiment [6,7], it is finally possible to achieve a single-shot THz pulse measurement. Using a linearly chirped optical probe pulse in free-space electro-optic measurements, a temporal waveform of a co-propagating THz field is linearly encoded onto the frequency spectrum of the optical probe pulse, and then decoded by dispersing the probe beam from a grating to a detector array. We achieve acquisition of picosecond THz field pulses without using mechanical time-delay device. We also demonstrate a single-shot electro-optic measurement of the temporal waveform of a THz pulse.

Figure 1 schematically illustrates electro-optic sampling with a chirped optical probe beam. A femtosecond laser beam is split into pump and probe beams. The pump beam is used to generate THz beam from an emitter, then focused onto the electro-optic sensor by a polylens. The probe beam is frequency chirped and temporally stretched by a grating pair. The linearly chirped pulse is equivalent to a series of sub-pulses that have different wavelengths and are temporally delayed. When the chirped probe beam and a THz pulse co-propagate in the electro-optic crystal, the different wavelength components of the chirped pulse are modulated by different portions of the THz pulse through the Pockels effect. Therefore, the THz waveform is encoded onto the wavelength spectrum of the probe beam. A spectrometer (here, a lens-grating combination) and a detector array are used to measure the spectral distribution. The THz waveform is obtained by subtracting the spectral distributions with and without the THz pulse applied on the electro-optic crystal. The fixed delay-line is used only for positioning the THz pulse within the duration of the chirped pulse and for temporal calibration.
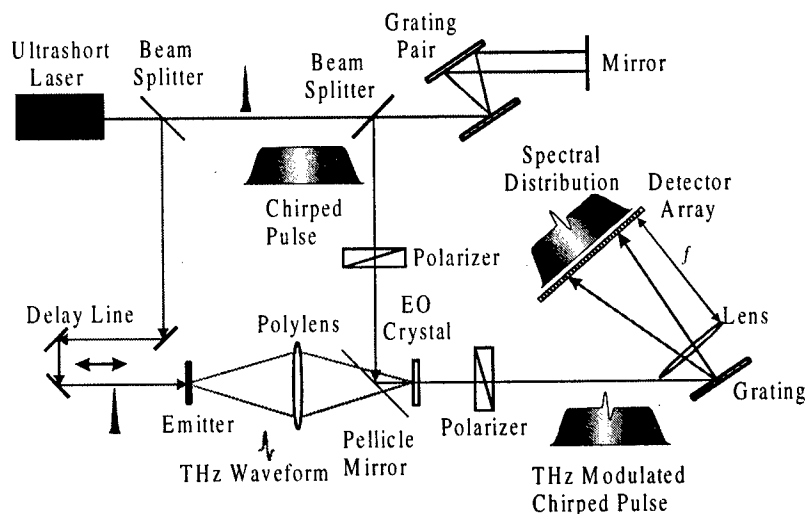
Fig. 1: Schematic illustration of the electro-optic sampling system with a chirped probe beam.

Figure 2 plots the spectral distributions of the chirped probe pulse measured by the linear diode array, with and without the present of the THz pulse in ZnTe crystal. The fine structure on the waveform peak comes from the spectrum of the Rega amplified laser.
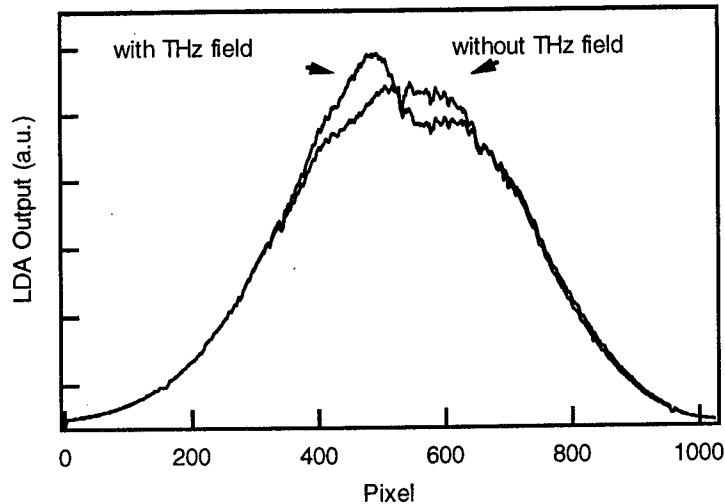

Fig. 2: Spectral distribution of the chirped probe pulse with and without a co-propagating THz field pulse.

To get a single laser pulse, laser low repetition rate of 10 kHz is used. An optical chopper with 1:220 on/off ratio reduces the repetition rate to 45 Hz. Therefore, if the exposure time of the detector is less than 22 ms, only one pulse is captured for single exposure. The minimum 10 ms shutter-time of the PI CCD-1242 camera satisfies this condition. This camera has 576x1242 pixels and dynamic range of 18 bits. The THz emitter is an 8-mm wide GaAs photoconductor with a biased voltage of 3 kV. The electro-optic crystal is a 4-mm thick <110> ZnTe.
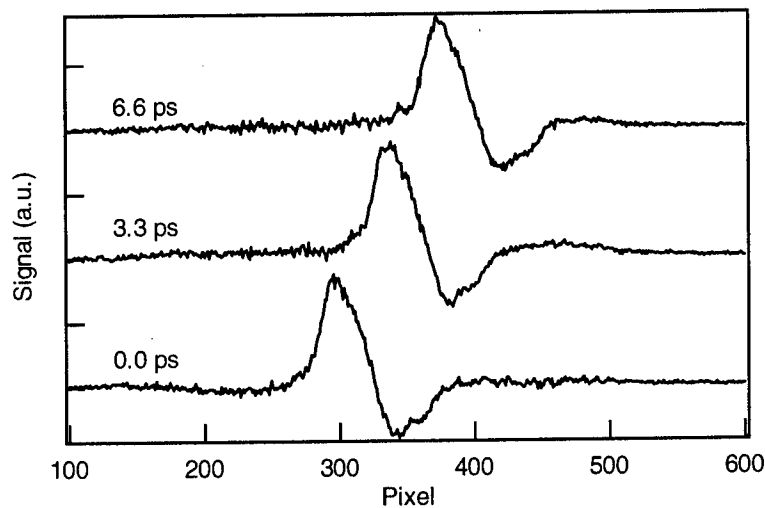
Fig. 3: Three single-shot measurements of the THz pulses by adjusting the fixed time delay with a step of 3.3 ps. The waveforms are vertically offset for better display.

Figure 3 plots the measured THz pulses at three different fixed time-delays with a step of 3.3 ps in the single-shot arrangement. The total spectrum on the 600 pixels corresponds to a 44-ps temporal window. The measured signal has a 2-ps rise time (10% to 90%) with a signal-to-noise ratio better than 60:1. In this system, the optimal temporal resolution equals to the square root of the product of the original optical pulse duration and the chirped pulse duration. A chirped optical pulse, without a mechanical moving element for the time delay, measures the temporal waveform of a THz pulse.

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A chirped optical pulse, without a mechanical moving element for the time delay, measures the temporal waveform of a THz pulse. The single-shot measurement capability allows us to capture a THz pulse with an unprecedented data acquisition rate.

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Spectrum control of intense THz-radiation from InAs under magnetic field irradiated with stretched femtosecond laser pulses

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Various THz-radiation sources have been intensively studied including photo conductive switches irradiated with ultrashort optical pulses.[1,2] For applications to sensing or imaging[3] and time-resolved spectroscopy in the far infrared region, an intense, compact, and simple light source is required. Zhang et al. reported quadratic dependence of the laser induced THz-radiation on the magnetic field.[4] In this presentation, we report the significant enhancement of THz-radiation power from InAs under magnetic field irradiated with femtosecond optical pulses. The power reaches sub-mW in a 1.7-T magnetic field with 1.5-W excitation power. This is the highest average power ever achieved in THz radiation at around a 100-MHz repetition rate. Furthermore, spectrum of this intense THz-radiation is well-controlled by the width of the excitation pulses.

The experimental setup for the THz-radiation emitter in a magnetic field is shown in Fig. 1. A Ti:sapphire laser delivered 70-fsec pulses at 800 nm with an 80-MHz repetition rate using 1.5-W average power for the excitation. The sample was non-doped bulk InAs with a (100) surface. The InAs sample itself is highly reflective. The reflectivity of the THz radiation was measured to be approximately 70% for a 45 degree incidence angle. Therefore, the THz radiation was totally generated in the reflection direction. A liquid-helium-cooled InSb bolometer was provided for detection. The InAs sample was placed 45 degrees to the magnetic field, and the excitation laser was parallel to the magnetic field. In this geometry, the THz radiation was detected even without the magnetic field, similar to GaAs in ref. 5. In this case, the mechanism of the THz radiation was attributed to the carrier motion in the surface depletion electric field.[6,7] The THz-radiation power dependence on the excitation power was measured in a 1.7-T magnetic field as shown in Fig. 2. The radiation power exhibits almost quadratic dependence on the excitation power. We have also found that the power of the THz radiation from an InAs sample in a magnetic field is over one order of magnitude higher than that from non-doped GaAs with a (100) surface in the same conditions shown in Fig. 2. The magnetic-field dependence of the THz-radiation power is shown in Fig. 3. The

radiation power is quadratically related to the higher magnetic field region as was the case for GaAs.[4] Owing to this quadratic dependence on the magnetic field and the excitation power, the total THz-radiation power reaches 650 μW. The spectra of the horizontal and vertical components of the THz radiation were obtained by a Polarizing Michelson interferometer. The spectral shape for the different magnetic field was almost identical. However, the spectral shapes for different polarization components are significantly different as shown in Figs. 4(a) and (b). The higher frequency spectrum is enhanced in horizontal polarization components. For this optical configuration, the ellipticity of the THz radiation was measured for the different magnetic field using two wire-grid polarizers. In the zero field, the radiation was completely polarized parallel to the magnetic field. At around 0.25 T, the radiation polarization became nearly circular as shown in Fig. 5. This elliptical polarization nature can be attributed to the vertical and horizontal projections of the photo current in semiconductor surface caused by the magnetic field and surface depletion electric field as described in ref. 4. For the control of the spectrum of this intense THz radiation, we introduced a high dispersion prism pair to stretch the pulse duration. The pulse duration was changed from 260 to 1100 fsec (FWHM) in autocorrelation. With this stretched pulse for the excitation, we can control center frequency of THz-radiation as shown in Fig. 6

In conclusion, we have demonstrated a new, simple, and intense THz-radiation source just using bulk InAs. An average power of 650-μW was achieved, owing to the quadratic relationship to the magnetic field and excitation laser power, the high reflectivity of InAs, and smaller effective mass of the electron in InAs. A dramatic change of ellipticity was also observed for different magnetic fields. Furthermore, the radiation spectrum is well-controlled by the excitation pulsewidth. Further increase of magnetic field and excitation power will increase the THz radiation. Development of such simple and intense radiation sources will open up new applications of THz radiation.

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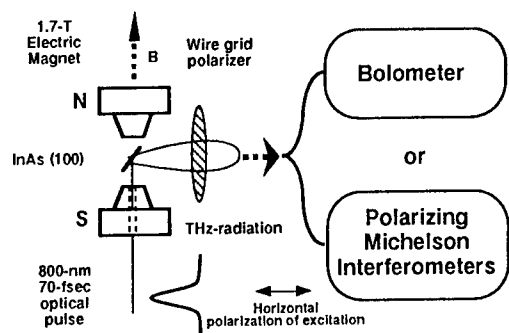
Fig. 1 The experimental setup for THz-radiation emitter in a magnetic field. The sample was non-doped bulk InAs with a (100) surface. A liquid-helium-cooled InSb bolometer with calibrated sensitivity was provided for detection. The maximum field of the electric magnet was 1.7 T, and the magnetic field can also be applied in the opposite direction.
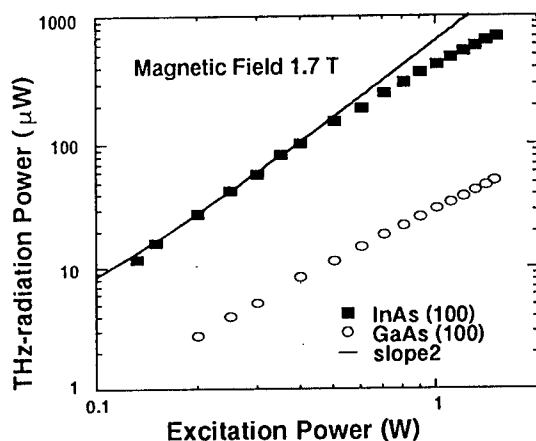

Fig. 2 Excitation-power dependence of THz-radiation power. THz radiation from InAs (circle) increases rapidly as excitation-power increases. THz radiation from GaAs (square) is also shown for comparison. The dotted line indicates slope 2.
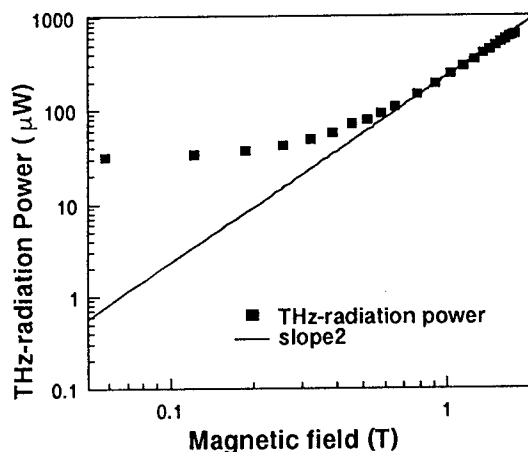
Fig. 3 Magnetic field dependence of THz-radiation power. THz radiation from InAs (solid squares) increases dramatically as magnetic field increases. The solid line indicates slope 2.
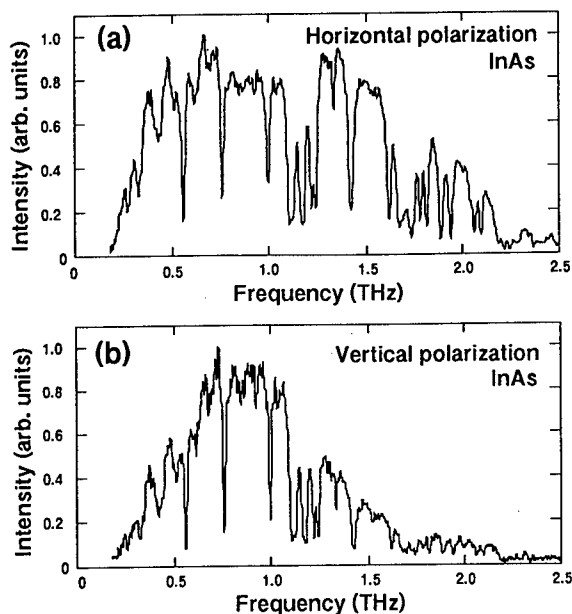
Fig. 4 Spectrum of THz radiation from InAs in a 1.7-T magnetic field obtained by Fourier transformation of the autocorrelation using a Polarizing Michelson interferometer. (a) Horizontal polarization. (b) Vertical polarization. The dips correspond to water vapor absorption.
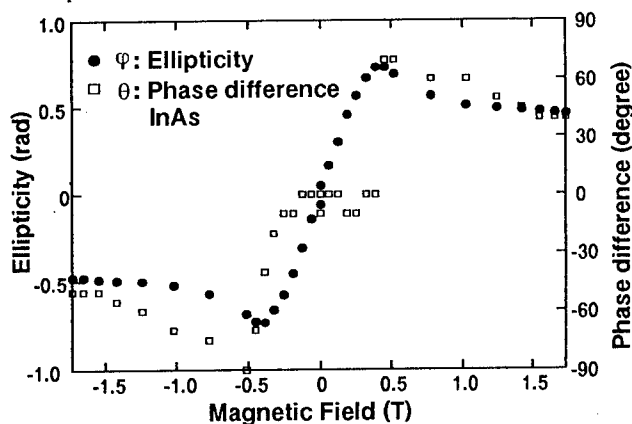
Fig. 5 Ellipticity of THz radiation from InAs. The ellipticity changes dramatically.
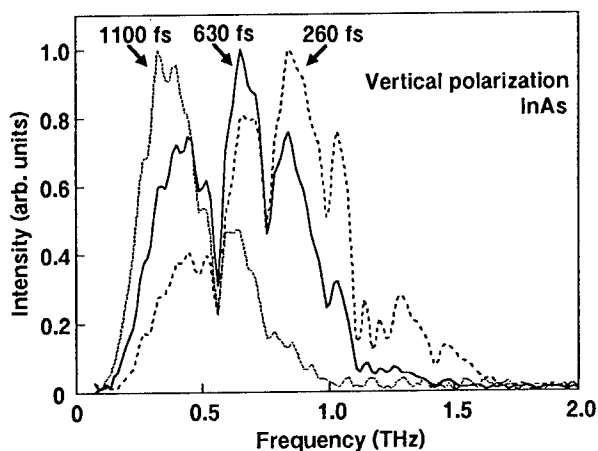
Fig. 6 Spectrum of THz radiation excited with different pulse duration.

THURSDAY, 13 August

ThA   New Materials
ThB   Panel Discussion Session
ThC   Poster Session III
ThD   Photorefractives
ThE   Nonlinear Dynamics

Efficient wavelength shifting through cascaded second-order nonlinear processes in organic and inorganic crystals

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We report an investigation on wavelength-shifting based on cascaded second-order processes.[1] In our experiment a pump pulse p at frequency ω_p and a signal pulse s at $\omega_s \approx \omega_p$ interact to generate the frequency-shifted signal f at $\omega_f = 2\omega_p - \omega_s$. This takes place through the generation of the second-harmonic (sh) of p and subsequent parametric amplification, driven by sh, of s. In the latter process f is generated and amplified as well. Defining η as the ratio of the energy of f at the output to s at the input, the goal is to achieve $\eta \approx 1$ with a moderate pump intensity.

The materials we investigated are an organic N-(4-nitrophenyl)-L-prolinol crystal[2] (denoted NPP) with a length L=2.8 mm, and a periodically-poled lithium niobate (PPLN) crystal with L=19mm. By exploiting the large effective nonlinearities of these materials, wavelength shifting with $\eta \approx 1$ was obtained with a pump pulse intensity I_p below 10 MW/cm^2, and signal amplification of the order of 50 was achieved for $I_p \approx 50$ MW/cm^2.

In our experiment beams p and s were provided by two synchronous optical parametric oscillators (both pumped by a frequency-doubled Nd:YAG laser) delivering 20 ps pulses. The beams were spatially filtered, combined and focused into the sample. At the output, f was separated from p and s by a monochromator.

In the case of NPP we used λ_p=1.15 μm (to achieve noncritical phase-matching for second-harmonic generation) and λ_s=1.16 μm.[3] Figure 1 shows the dependence of the efficiency η on the peak intensity of the pump pulse. The solid line is the result of a numerical simulation performed by solving the coupled propagation equations and by integrating the solution in space and time to account for the pulse profile. In the simulation

we adopted, for the effective second-order coefficient d_{eff}, the value 48 pm/V which we obtained from second-harmonic generation measurements.
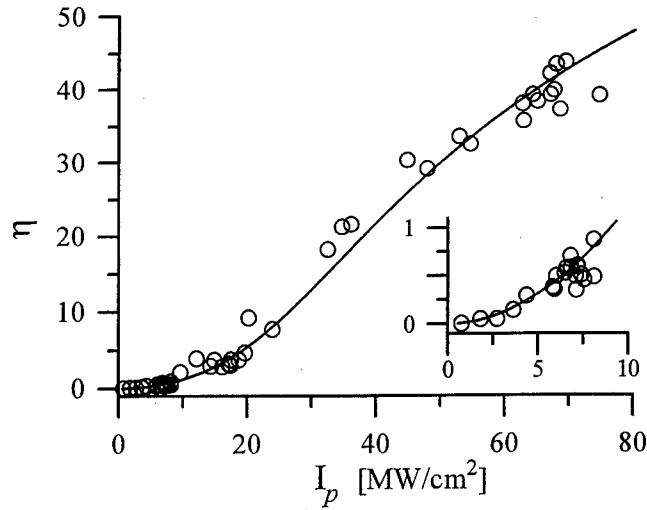
Figure 1: Wavelength shifting efficiency of the 2.8-mm NPP crystal as a function of the pump intensity. Solid line: the result of the numerical simulation. Inset, enlarged view of the low-intensity regime.

The experiment on PPLN was performed following a similar procedure, but we had to use λ_p=1.8 μm to comply with the poling period Λ=25.5 μm of the available crystal. From second-harmonic-generation we measured $d_{eff} = 10.5$ pm/V. The obtained η is similar to the one achieved with NPP. In fact, the longer propagation length, allowed by the lower dispersion of PPLN compared to NPP, compensates for the lower d_{eff}.

It should be noted that the solution of the propagation equations depends on the normalized intensities $i_j = I_j d_{eff}^2 L^2 \lambda^{-2} n^{-3}$: the applicability of such a scaling rule can be checked by plotting the measured η as a function of the normalized intensity for both NPP and PPLN, and also for the data of previous experiments performed with β–barium borate (BBO)[4] and with the organic crystal MBA-NP.[5] As shown in Figure 2, all the experimental data seem to fall on a single master curve, in agreement with the scaling rule.

$$I_p \, d_{eff}^2 \, L^2 \, \lambda^{-2} \, n^{-3} \quad \text{[S.I. units]}$$
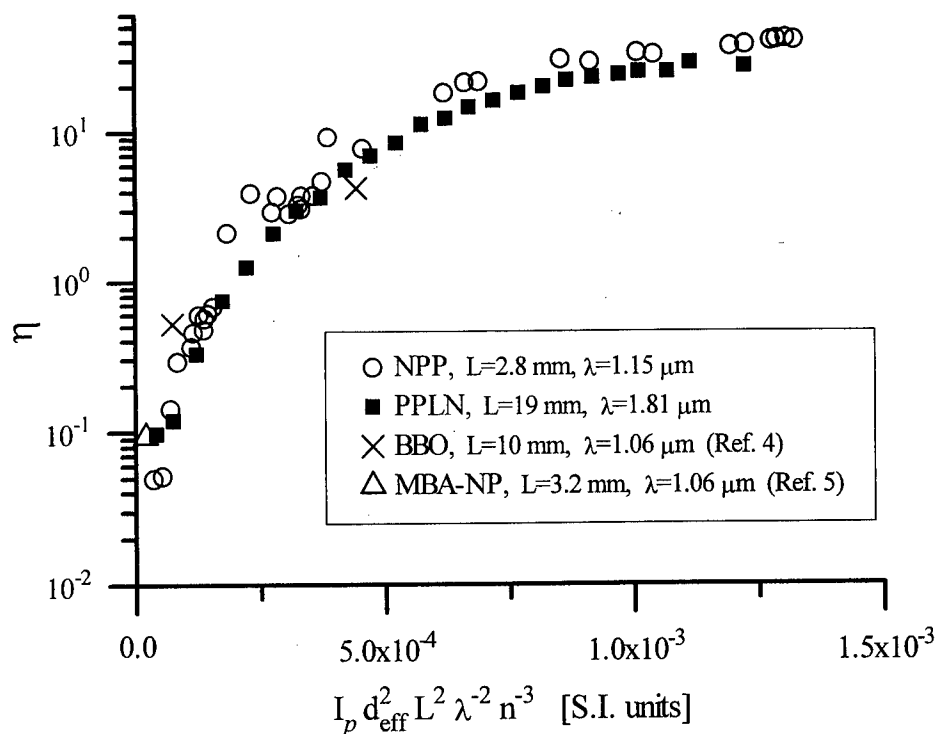
Figure 2: Wavelength shifting efficiency of different crystals as a function of the normalized pump intensity

In conclusion, we performed experiments of wavelength shifting in novel second-order materials possessing high nonlinearities. Results are encouraging for applications to optical signal processing, especially for PPLN in view of the possible realization of waveguide devices.

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THIRD-ORDER OPTICAL NONLINEARITIES IN RETINAL DERIVATIVES AND MESOIONIC COMPOUNDS

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Organic materials are important to photonic applications due to their fast response times and the possibility of optimizing molecular structures to maximize nonlinear responses [1]. In recent years the possibility of using biological materials in photonic devices has been seriously considered by different authors[2]. In particular, the polarization response and corresponding dynamics of retinal - a molecular structure related to vitamin A - and its derivatives have been subject to intense scrutiny, since this family of molecules plays a prevalent role in photobiological processes. In organic molecules the electronic delocalization due to π electrons result in high (microscopic) hyperpolarizabilities that manifest themselves, macroscopically, as nonlinear susceptibilities. Consequently, the first stage in a search for a promising material is to look for a lead molecule with large hyperpolarizabilities. This is usually done by using a polyene bridging two substituent groups: one, electron-donor, and the other, electron-acceptor. However, in a recent theoretical study, first order hyperpolarizabilities of organic compounds with polyene bridges were compared to mesoionic ones [3] indicating larger values to the later.

In this communication we describe the application of the Z-scan technique to determine the nonlinear refractive index, n_2, of *13-cis* retinal aldehyde (13CRA), *13-cis* retinoic acid (13CAR), *all-trans* retinal aldehyde (ATRA) and *all-trans* retinoic acid (ATAR), whose molecular structures are shown in Fig. 1. The first application of the Z-scan technique to these materials is reported in Ref. [4]. We also employ the same technique to determine n_2 of seven different mesoionic compounds whose basic structures are shown in Fig. 2 and Table 1. Mesoionic rings are cyclic dipolar structures in which the positive and negative charges are both separated and delocalised within the π electron system. By choosing adequate donor and acceptor substituents, one can obtain high hyperpolarizabilities and, hence, high $\chi^{(2)}$ and $\chi^{(3)}$ values.
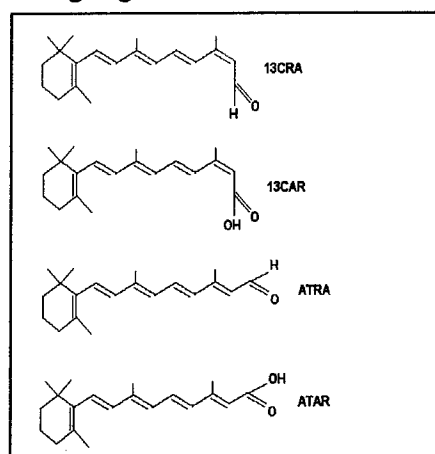
Figure 1
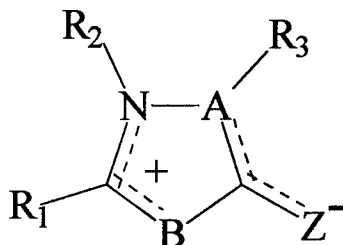
Figure 2

COMPOUND	A	B	Z	R$_1$	R$_2$	R$_3$
MI-1	N	S	S	Ph	Ph	-
MI-2	N	S	S	p-Cl-Ph		-
MI-3	N	S	S	p-F-Ph	Ph	-
MI-4	N	S	S	Ph-vinyl	Ph	-
MI-5	N	S	S	5-NO$_2$-2-furanyl	Ph	-
MI-6	N	S	S	furanyl	Ph	-
MI-7	N	O	O	p-Cl-Ph	H	Ph

Table 1

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The Z-scan method exploits the photon-matter interaction so that a single incident beam induces a phase distortion inside the sample which gives origin to an amplitude distortion of the beam due to the sample nonlinearity. By the measurement of the transmitted beam intensity through a circular aperture in front of a detector placed in the far-field region, the Z-scan method yields directly the sign and the absolute value of the magnitude of both the nonlinear refraction and the nonlinear absorption.

The light source used in the experiments was the second harmonic of a cw pumped Q-switched and mode-locked Nd:YAG laser delivering pulses of 100ps (FWHM) at 532nm, in pulse trains containing ~20 pulses. A single pulse at a 5 Hz repetition rate was selected using a "pulse picker". The use of a single 100ps pulse avoids inducing thermal effects.

The retinal derivatives samples (acquired from Sigma-Aldrich) were dissolved in chloroform with concentrations around 10^{19} molecules/cm^3 and the linear absorption coefficient at the pump wavelength is about $0.1cm^{-1}$ for all the samples. The observed peak-to-valley shape of the transmitance variation as a function of the linear displacement of the sample along the propagation direction, Z-axis, as shown in figure 3 is characteristic of a self-defocusing (negative) nonlinearity. The measured values of n_2 are of the order of $10^{-14}cm^2$/W.
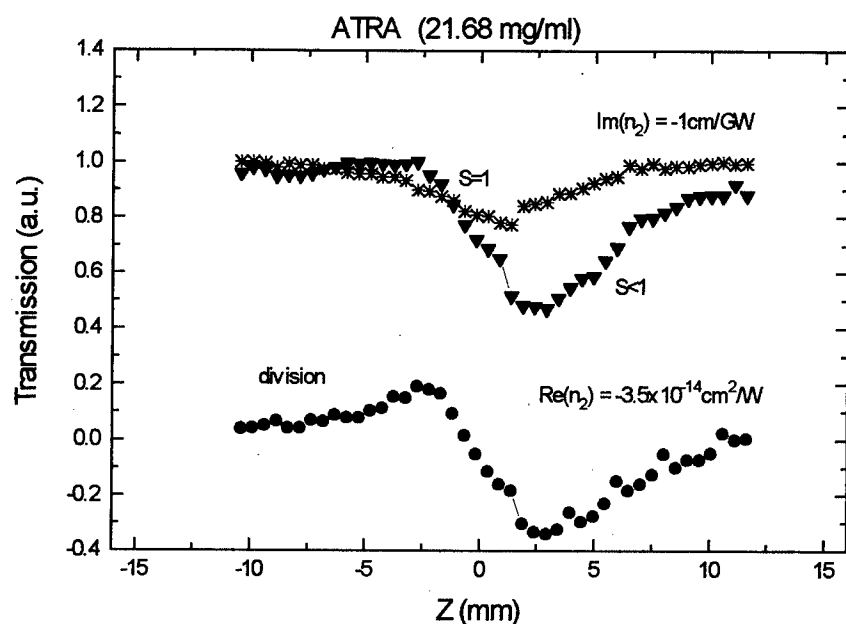
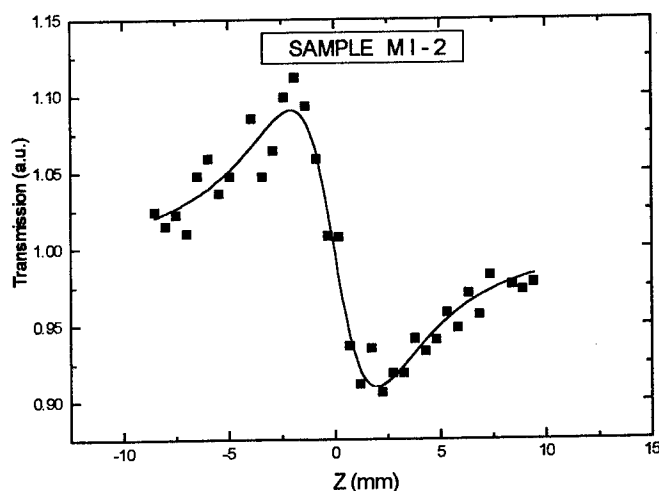**Figure 3**

The macroscopic and microscopic third order optical nonlinear coefficients can be related to each other through $\chi^{(3)} = Nf^4\gamma$, where f is a local field correction factor given by $f = [n_0^2\ (\omega) + 2] / 3$, N is the number of molecules per cm^3 and n_0 is the linear index of refraction. Using this relation we have determined the values of the second order hyperpolarizability, γ, which are shown in table 2. This table also shows our quantum chemical calculations of γ by using the AM1-TDHF method. We have found that the hyperpolarizabilities in the *trans* molecules are greater than those in *cis* molecules, a result that can be understood in terms of the more linear character of the *trans* chain. Also the aldehydes have higher hyperpolarizabilities than the corresponding acids due to a higher electronic delocalization of π electrons in aldehyde compounds.

COMPOUND	γ_{EXP}.(esu)	$\gamma_{AM1-TDHF}$. (esu)
ATRA	1.6×10^{-32}	0.53×10^{-32}
ATAR	0.5×10^{-32}	0.45×10^{-32}
13CRA	0.9×10^{-32}	0.39×10^{-32}
13CAR	0.3×10^{-32}	0.45×10^{-32}

Table 2

The mesoionic samples were synthetized in our laboratory, based on quantum chemical AM1-TDHF calculated structures [3]. Solutions in DMSO with concentrations around 10^{19} molecules/cm^3 were prepared.

Typical sample length varied between 0.2mm and 5mm. The linear absorption coefficient at the pump wavelength varies between $0.4cm^{-1}$ and $60cm^{-1}$ for all the samples. The observed peak-to-valley shape of the transmitance variation as a function of the linear displacement of the sample along the propagation direction, Z-axis, shown in figure 4, is characteristic of a self-defocusing (negative) nonlinearity. The measured values of n_2 are given in Table 3.

Figure 4

The macroscopic and microscopic third order optical nonlinear coefficients can be related to each other, as before, through $\chi^{(3)} = Nf^4\gamma$. Using this relation we have estimated the values of the second order hyperpolarizability, γ, which are also presented in Table 3. Notice, for instance, that our results indicate that a structure like MI-7, which is planar, presents a better disposition of the donor and acceptor groups when compared to MI-2, a non-planar structure, and thus a larger nonlinearity is expected for structure MI - 7.

COMPOUND	MOLAR CONCENTRATION $(x10^{-1}M)$	α (cm^{-1})	$-n_2$ $(x10^{-14} cm^2/W)$	γ $(x10^{-32} esu)$
MI-1	10^{-1}	0.4	0.1	1.8
MI-2	1	0.4	1.7	1.7
MI-3	1	0.4	2.0	2.0
MI-4	1	0.4	0.6	0.8
MI-5	1	60.0	111.3	146.4
MI-6	1	8.0	10.5	13.8
MI-7	10^{-2}	0.4	0.1	10.0

Table 3

Finally we note that in a recent theoretical study [3], first order hyperpolarizabilities of organic compounds with polyene bridges were compared to mesoionic ones indicating larger values to the later. This may be related to the intrinsic push-pull characteristics of mesoionic rings which must influence higher order hyperpolarizabilities too. So it is also interesting to compare, from the point of view of basic physics and applications, the magnitude of the second order hyperpolarizabilities for the different organic materials studied in this work. In general, they are bigger for the mesoionic compounds which is in a good agreement with our theoretical predictions. The facility to engineer and synthesize these mesoionic materials may lead to the highest possible nonlinear susceptibilities for these structures, which may have potential applications to photonics.

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A Birefringent Polymer for Holographic Recording

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Polymer based holographic recording materials are promising media for data storage applications. Among these, PMMA based materials have a long history. We present new results with one such material, consisting of PMMA with phenanthrenequinone (PQ) molecules[1]. Specifically, the suitability of this material for holographic data storage will be discussed as well as applications to optical correlation systems.

The M/# is a commonly used measure to characterize a materials ability to store multiple holographic data pages within a single recording location. One hundred peristrophically multiplexed[2] holograms were recorded in samples of various thicknesses, and with varying exposure energies. After recording, samples are baked for 24 hours at 55 degrees centigrade to reveal the holograms via a PQ diffusion process. For each sample, the diffraction efficiency of each hologram is measured, and the square roots of the diffraction efficiency of each summed to form a running cumulative grating strength with exposure energy. A typical example is shown in Figure 1, yielding an M/# of approximately 1.1. This experiment was repeated with multiple samples, each being recorded to a different cumulative exposure energy. The result is a measure of the M/# as a function of exposure energy, shown in Figure 2 for 1mm and 3mm sample thicknesses.

Figure 1 - Cumulative grating strength measurement

When exposed to a single linearly polarized beam, the material becomes birefringent. The mechanism believed to be responsible for this is a preferential absorption by the PQ molecules based on their orientation relative to the polarization of the incident light. Before exposure the material is isotropic and homogeneous. During exposure, those PQ molecules with a certain orientation relative to the incident polarization are absorbed more strongly, and bond to the polymer matrix. The material will now tend to have bonds with PQ molecules that are in a similar orientation, breaking the symmetry and causing birefringence. This effect was measured in a 3mm thick sample by monitoring in real-time the increasing birefringence. The effect was induced by a 488nm beam and measured by a 633nm beam which is outside the absorption band of the material. Figure 3 is a plot of the change in the difference between ordinary and extraordinary indices of refraction (for 633nm) as a function of exposure energy of the 488nm beam.
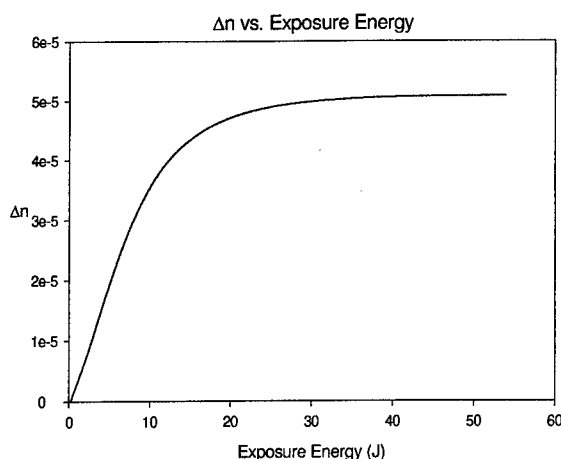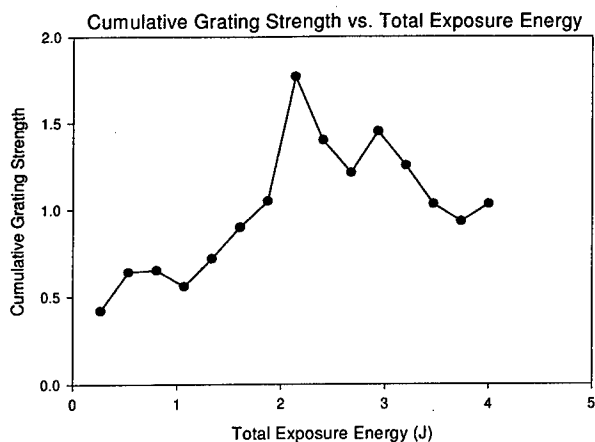
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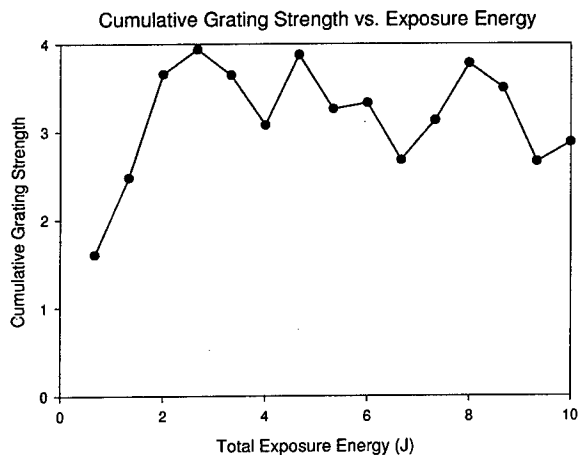Figure 3 - Optically induced birefringence

Figure 2 - Cumulative grating strength variations with total exposure energy for 1mm (top) and 3mm (bottom) thick samples.

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Light-Induced Index Change in a Waveguide of a Novel Organic Quinoid Dye

and its Applications to All-Optical Devices with Localized Nonlinearity

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1. Introduction

All-optical guided-wave devices are attractive to potential applications in optical communication and high-speed optical signal processing systems. A lot of nonlinear materials have been implemented to fabrications of optical waveguides and their third order optical nonlinearities have been measured. However, there are few reports of nonlinear optical waveguides exhibiting a light-induced phase shift of more than π, which is necessary to drive the all-optical operation, because of the saturation of the nonlinearity, the presence of other competitive nonlinear effects, a large propagation loss of the waveguides, and so on.

We have studied optical nonlinearities in a waveguide fabricated from a thin film of a novel quinoid dye and conventional glass materials, and reported a light-induced phase shift of over 2π with a response time below 10ps in the waveguide [1]. However, there was a large insertion loss over 20dB in the waveguide of 25mm length, which may become a problem for applications to all-optical functional devices. Here, we report an improvement of the propagation characteristics and further measurements of the nonlinearity in the quinoid dye waveguide. Applications to all-optical devices are also discussed.

2. Fabrication of the waveguide

The structure of the organic quinoid dye used in the study, 4,8-dihydroxy-2,6-bis(butylamino)-1,5-naphthoquinone is shown in Fig. 1. This dye has been reported to show relatively large third order optical nonlinearity compared to conventional dyes measured by THG method at a fundamental wavelength of 2.1μm [2]. A schematic of the fabricated waveguide is also shown in Fig. 1. It consists of a base linear glass waveguide and a thin film of the quinoid dye as the nonlinear material. The base waveguide was fabricated by using the K^+/Na^+ ion-exchanged method. The ion-exchanged core was about 1.1μm thick and 3.5μm wide. A quinoid dye film 0.1μm thick was fabricated on the base glass waveguide by vapor

deposition. In our previous reports [1], there was a large propagation loss in the waveguide, because the quality of the crystal in the dye film was not so good; the dye film was polycrystalline and the orientation of the crystal in each domain was at random, which we believe was one of main reasons for large propagation loss of the waveguide. To improve the quality of the crystal in the dye film, we rubbed the surface of the waveguide with a Teflon plate just before depositing the dye film and heated the waveguide at about 160°C during the vapor deposition. Adopting the rubbing and the substrate heating techniques, the orientation of the crystal in each domain of the polycrystalline dye film became to be parallel to the direction of the rubbing, which was clearly observed by using a polarizing microscope, and the insertion loss of a 23mm long single-mode waveguide was reduced by about 8dB. The refractive indices of the dye film parallel and vertical to the rubbing direction in the substrate plane were 2.31 and 2.27 at 780nm respectively measured by the prism coupling method.
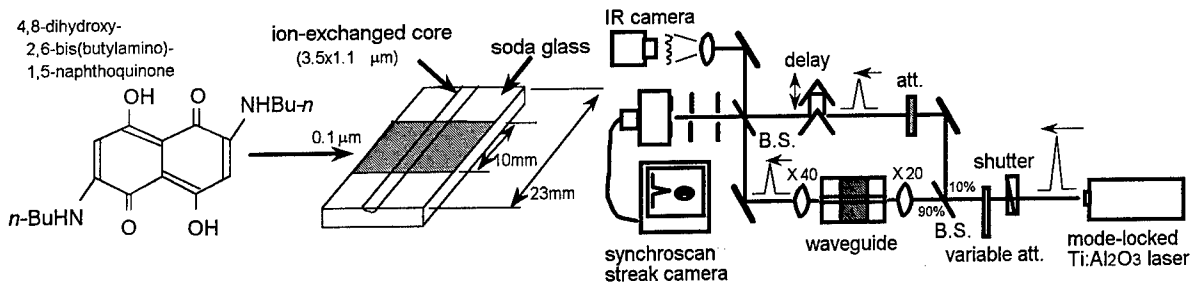
Fig. 1. Structure of the quinoid dye and the waveguide.   Fig. 2.   Experimental setup
for measuring the nonlinear index change.

3.   Measurement of optical nonlinearity

For measuring light induced phase shifts in the fabricated waveguide, we used a Mach-Zehnder interferometer with a mode-locked Ti:Al_2O_3 laser (a pulse energy of about 2nJ, a pulse width of about 10ps, a repetition rate of 80MHz) and a synchroscan streak camera of a pico-second time resolution as shown in Fig. 2. By changing the average input light power launched to the waveguide and monitoring the transient variation in the envelopes of output pulses from the interferometer by the streak camera, we can measure both an amount of the nonlinear phase shift of the light from the waveguide and its response time. The measured nonlinearity were strongly dependent on the polarization of light propagating the waveguides. Light induced phase shifts greater than 2π with a response time of less than 10ps were clearly observed by using TM light (an averaged input power of about 150mW, wavelengths of 780nm and 800nm), however, we could not observe a nonlinear phase shift of TE light in the measured power range. We believe that one of the main reasons for the dependence of the

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nonlinearity to the polarization is the planer molecular stacking structure in the dye crystal films [2]. Nonlinear absorption effects were also measured. The nonlinear coefficients of the dye and the figures of merits of the waveguide were estimated using the measured results taking into account the propagation loss of the guide and the structure of the guide in cross section. The obtained results are shown in Table 1.

Table 1. Estimated values of the nonlinear coefficients of the dye and the figures of merits of the waveguide.

wavelength (nm)	Polarization	n^2_{dye} (cm²/W)	α^2_{dye} (cm/W)	$W = n^2_{eff} I_{max} / \alpha^0_{eff} \lambda$	$T = \alpha^2_{eff} \lambda / n^2_{eff}$
780	TE	—	—	—	—
800	TE	—	—	—	—
780	TM	-1.7×10^{-12}	—	> 1.1	< 0.4
800	TM	-1.4×10^{-12}	—	> 0.88	< 0.5
740	TM	—	-8.7×10^{-9}	—	—

4. Applications to all-optical integrated devices

Utilizing the observed large and fast light-induced index change, we can construct all-optical functional devices. The structure of the dye waveguide is suitable for changing the distribution of optical nonlinearity by control of the pattern of the dye films, so with this guide it is possible to realize all-optical guided-wave devices with the localized nonlinearity that we have proposed [3]. One of the promising scheme to the all-optical devices with the localized nonlinearity is shown in Fig. 3. Making a thick upper cladding layer selectively on a base guide and depositing a dye film on it, we can obtain the structure with the localized nonlinearity. We are now fabricating all-optical integrated Mach-Zehnder interferometers and measuring their performances. The results will be presented at the conference.

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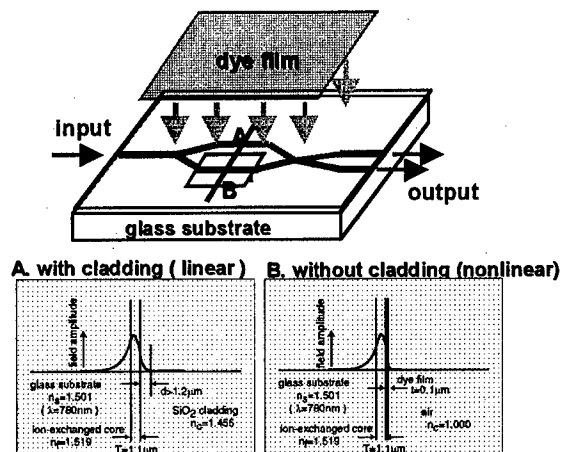
Fig. 3. Structure of the all-optical integrated Mach-Zehnder interferometer with localized nonlinearity using the dye guide.

Two-Photon Organic Photochemistry for 2-D Spatial Multiplexing
in Volume Holographic Storage

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To minimize scattering into the detector array that retrieves data in volume holographic storage, a geometry is used where the reference beam and image bearing beam are at 90 degrees with respect to each other. However, when doing 2-d spatial multiplexing in the recording medium, the reference beam will propagate through previously recorded regions and cause erasure. One way to avoid this problem is to record in separate media and to construct a larger media from them. This is unattractive due to the assembly required and also due to the optical quality surface preparation that must be done for every piece used to construct the larger media. A more attractive solution is to use a two-photon process for the storage media in which light of one wavelength, the sensitizing wavelength, must be present in order to sensitize the media for writing at some other wavelength (the writing wavelength). In a holographic storage system, a beam at the sensitizing wavelength is used to illuminate only the region of the recording media where writing is desired, and thus erasure by the reference beam does not take place outside of this region. We describe the study of organic photochemistry exhibiting irreversible reactions in the presence of two light beams of different wavelengths that indicate the potential of this class of materials as holographic storage media for 2-d spatial multiplexing. In addition to the promising results with respect to diffraction efficiency, these materials are much easier to make and thus much less expensive than the single crystal photorefractives that are currently being used to study holographic storage systems.

The organic photochemistry of o-methylbenzophenone that we studied is shown in Fig. 1. Under exposure to UV at approximately 340 μm, the ketone is excited to the n → π* triplet state from which it undergoes a chemical reaction to form the enols 2a-b via the intermediates 4a-b. In the dark, the enol will revert to 1. The enol has an absorption in the deep blue, and if exposed it will undergo a photochemical reaction to form the final product 3.

CH3 hυ CH2 CH2 H
Δ OH + Ph hυ
C=O C C
Ph C OH
Ph Ph OH 3 OH
1 2a 2b

3 ⌈ CH2 CH2 ⌉
| C OH Ph |
| C C |
⌊ Ph 4a 4b OH ⌋
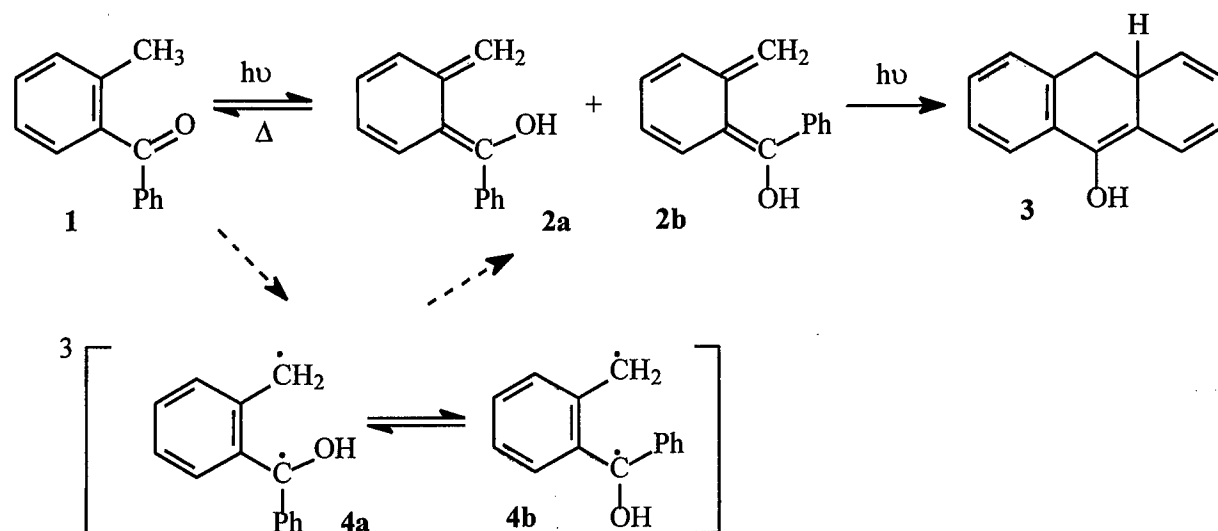
Fig. 1. Photochemistry of o-Methylbenzophenone

To determine the potential of the system as a holographic storage medium, we made measurements of the diffraction efficiency of holographic gratings. The exciting wavelength was obtained from a HeCd laser operating at 325 nm, and the gratings were written using an argon ion laser operating at 477 nm. The UV power was 5 mW and the spot size was 1.2 mm. The angle between the two visible writing beams was 30 degrees, they had powers of 5 and 10 mW, and their spot size was 2.5 mm.

We obtained a nearly saturated diffraction efficiency of 2×10^{-3} after a 100 s exposure using a 0.5 mm thick sample of 20% by weight o-methylbenzophenone that was coated on quartz. If we assume that a reduction in the diffraction efficiency by a factor of 4 results from the incomplete overlap of the UV beam with the visible beams (spot diameters differ by a factor of 2) and we further assume that the saturated diffraction efficiency goes like $\sin^2$ of some constant times the sample length, then we project that a thickness of 4 mm would be sufficient to obtain a diffraction efficiency of 50%. Numbers like these indicate that the system is a potential candidate for holographic storage media. However, the diffraction efficiency noted above is that obtained immediately after exposure. This initial diffraction efficiency decays by a factor of two in approximately 10 minutes, and within a day it appears to reach a steady state value equal to approximately 5% of the initial value. The nature of the decay is currently under investigation and this must be solved before these materials can be can be considered useful for holographic storage.

Thick samples of 10% by weight *o*-methylbenzophenone in PMMA were also studied. We obtained an initial diffraction efficiency of 3% using a sample that was 13 mm thick. Correcting for the incomplete overlap of the UV and visible beams, we project that a diffraction efficiency of 12% should be possible in this sample. However, the initial diffraction efficiency decayed in this sample much like it did in the 0.5 mm sample. In the 0.5 mm sample the absorption of the UV exciting wavelength was about 50%. Therefore, it is likely that the effective interaction length and thus the diffraction efficiency in the thick sample were limited by absorption of the UV exciting wavelength. One method to avoid this problem would be to use either a shorter or a longer wavelength where the UV absorption is lower. However, the use of a longer wavelength may not be possible, since it is likely to cause erasure by overlapping with the deep blue absorption that is responsible for writing.

In conclusion, the two-photon photochemistry described here is a potential candidate for 2-d spatial multiplexing in volume holographic storage. The initial diffraction efficiency obtained in these materials is promising. Issues remain with understanding and avoiding the decay of the initial diffraction efficiency, and with reducing the absorption of the exciting wavelength without simultaneously reducing the diffraction efficiency. The ease of manufacture of the two-photon photochemical system compared with the inorganic photorefractives makes them attractive for the holographic storage application.

Anomalous nonlinearity in hierarchical J-aggregates of three-level porphyrin

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Summary

Ultrafast optical nonlinearity of molecular J-aggregates has recently attracted increasing interest of many scientists [1]. A simple model described by a one-dimensional chain of two-level molecules has been used to discuss femtosecond nonlinear absorption in cyanine J-aggregates [2]. The optical nonlinearlity of excited exciton (S_2 exciton) in three-level molecules was discussed theoretically [3], but no experimental study has been reported.

In the present paper, we report ultrafast two-photon nonlinearity in one-dimensional J-aggregates of three-level molecules. The molecule studied is tetraphenyl porphine sulfonic acid (TPPS), which is also very important as a model material for solar cells and light-harvesting systems.

The sample was aqueous solution of TPPS J-aggregates stabilized with KCl. The excitation and probe pulses were both derived from a Ti:sapphire regenerative amplifier seeded by a mode-locked Er-doped fiber laser. The wavelength, pulse energy, and temporal duration of the amplifier output were 775nm, 200 μJ, and 130 fs, respectively. The incident light was sub-resonant with the excitonic transition (Q-band) of the TPPS J-aggregates at 706 nm. Experiment is performed in a Kerr-shutter configuration.

Figure 1 shows the time dependence of the Kerr signal intensity measured at several excitation power densities. At 19 GW/cm^2 and other intensity levels, the decay profile can be fitted to a convolution of the system response function with a sum of instantaneous response and two exponential decay components as,

$$A_0 \delta(t) + A_1 e^{-t/\tau_1} + A_2 e^{-t/\tau_2}.$$

The time constant of the slow component, τ_2, was determined to be about 50 ps, which agrees with the luminescence lifetime previously reported. The decay constant of the fast component τ_1 was 1.2±0.1 ps. Below 5.8 GW/cm^2, the amount of the fast component was negligibly small.

The main feature to be emphasized is that the contribution of the fast component is strongly dependent on the excitation power. The obtained

amplitudes of the three contributions are plotted as a function of the excitation power density in Fig. 2(a). The signal amplitude of the fast component shows the remarkable quadratic dependence, while the amplitudes of the instantaneous response and slow component are propotional to the incident power. Using the experimental data together with others not shown here, the three components were assigned in the following way. The hierarchical structure of J-aggregates proposed by the present author is a key to the assignment of these relaxation channels [4]. The slow component (~ 50 ps) corresponds to the S_1-exciton lifetime in the isolated mesoaggregates, where the decay channel is internal conversion from S_1 to S_0. The short relaxation time in the order of 1 ps is due to an exciton in macroaggregates with two photons. The two-photon accessible S_2-exciton (excited state of an exciton) relaxes to the S_1-exciton state in mesoaggregates composing the macroaggregate within the time resolution of the measurement. The fast component is due to bi-excitonic annihilation among inhomogeneously distributed mesoaggregates in a macroaggregates [2, 5]. Figure 2(b) shows the excitation power dependence of the decay constant of the fast component. The lifetime depends only weekly on the excitation density, indicating that the annihilation rate is not determined by the exciton concentration as previously observed in our group [2]. The 1-ps decay time corresponds to the energy transfer time of excitons among mesoaggregates in a macroaggregate, and is consistent with our previous experiment of the anomalous wavelength dependence of hole-burning experiment.

We also measured time-resolved complex nonlinear susceptibility using a Sagnac interferometer [6]. As shown in Fig. 3, the real part changes its sign around zero delay. The instantaneous nonlinearity is considered to come from the solvent. From the polarization selection, the contribution from diffusional motion of J-aggregates was found to be negligible.

In conclusion, we observed 1-ps relaxation due to energy transfer in three-level porphyrin macroaggregates, which shows a quadratic excitation power dependence.

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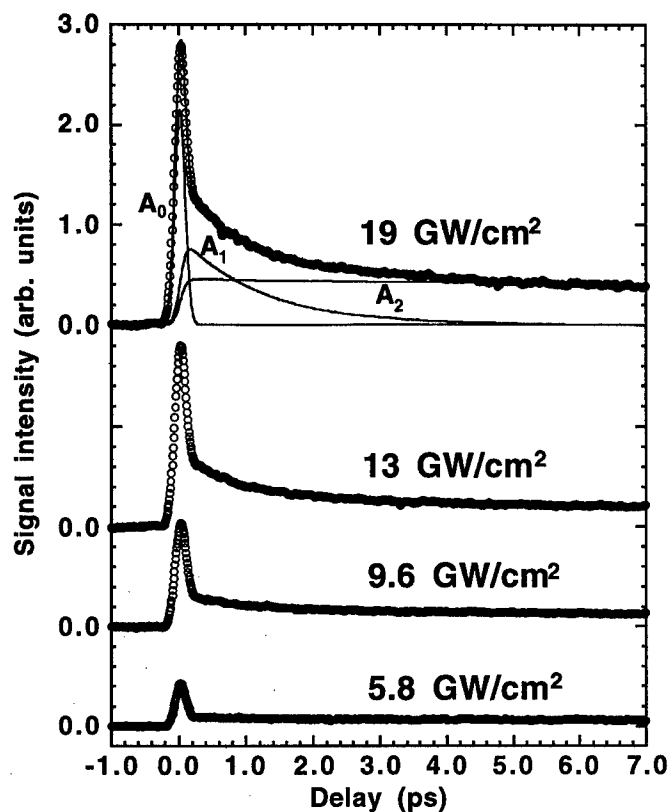
Fig. 1: Time dependence of the Kerr signal intensity at 19, 13, 9.6, and 5.8 GW/cm^2. Thin lines represent the instantaneous (A_0) and two exponential (A_1 and A_2) components of the numerical fits.
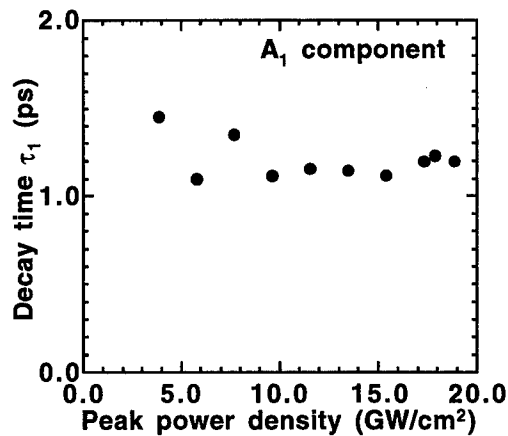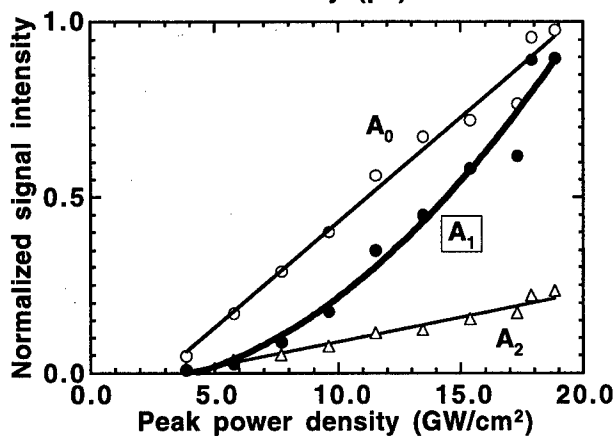
Fig. 2: (a) Excitation power density dependence of the amplitudes of the instantaneous response (A_0), fast (A_1) and slow (A_2) components. (b) Excitation power density dependence of the time constant of the fast decay components.
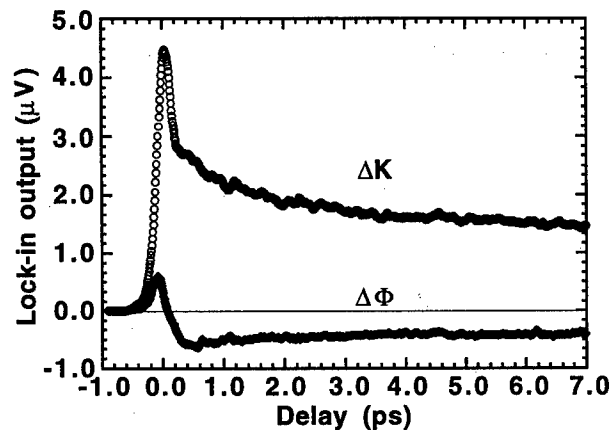
Fig. 3: Transient response corresponding to the real ($\Delta\Phi$) and imaginary (ΔK) parts of nonlinear optical susceptibility measured with a femtosecond Sagnac interferometer.

HYPERPOLARIZABILITY OF GENETICALLY ENGINEERED BACTERIORHODOPSIN

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Introduction

Materials with large nonlinear optical (NLO) coefficients are needed for a variety of photonic applications such as: frequency doubling, optical switches and electrooptic devices. Organic chromophores have the potential to meet this need by suitable modification of their structure to optimize the hyperpolarizability, β. In particular, it has been shown that the synthesis of chromophores with large β depends on optimum tuning between the donor-acceptor strengths and the π-electron system (Marder, et al., 1994).

Bacteriorhodopsin (BR), a material of biological origin, has been used in a number of photonic applications (Oesterhelt, et al., 1991; Downie, 1995; Lindvold and Ramanujam, 1995) and has very large nonlinear optical (NLO) coefficients, among the largest yet found (Birge, et al., 1990; Schmidt and Rayfield, 1994; Aranda, et al., 1995). The large hyperpolarizablilty of BR is due to the interaction of the retinal chromophore with the opsin or amino acid structure surrounding it (Chen, et al., 1994). This suggests that amino acid substitution in the opsin rather than modification of the chromophore could optimize the NLO properties of BR. The result described below shows that this is indeed the case. Genetic engineering can be used to enhance the hyperpolarizablity of BR.

Background

Bacteriorhodopsin is a protein found in the cell membrane wall of Halobacterium *halobium* where it functions as a light driven proton pump (Lanyi, 1993). A single BR molecule, or monomer, consists of two parts: 1) the opsin, and 2) a retinal chromophore. Opsin is a seven-alpha helical array of 248 amino acids and has a molecular weight of 26,000. Each of the seven alpha helices spans the membrane and is roughly perpendicular to it. The retinal chromophore lies in a pocket formed by the seven alpha helices (Henderson, et al., 1990; Landau, et al., 1997). A Schiff base, which is normally protonated, binds the retinal to the opsin at Lys 216. The retinal chromophore is responsible for visible-light absorption by BR and interaction between it and the opsin causes the absorption spectrum of the retinal to be strongly red shifted from that of free retinal. Within the cell membrane wall the BR molecules are arranged in a two-dimensional crystalline sheet called the purple membrane (PM). Each PM is approximately one micron in diameter, 45 angstroms thick and contains about 10^5 BR molecules. The BR molecules are vectorially oriented in the PM to pump protons from the cytoplasmic side to the extracellular side of the cell membrane wall. BR molecules in the PM are located on a hexagonal lattice with three BR molecules --a trimer--at each lattice site. The region between trimers is filled with lipid which accounts for about 15% of the PM by weight. The crystalline structure of the PM is retained after it is isolated from the bacteria and the BR molecules are still functional in an aqueous suspension of PM.

Expression System

Genetic engineering of the wild type bop gene was done using pUC19 plasmids, E. Coli XL-Blue cells and polymerase chain reactions (PCR). Primers containing the desired DNA sequence were ordered from Biosource in Camarillo, CA. The modified bop gene was cut and pasted into an expression vector for *H.halobium*. This expression vector contains the *H. halobium* plasmid pUBP2 (obtained as a gift from M. Betlack). The selective marker is Mev^r, a gene conferring resistance to the HMG-CoA reductase inhibitor mevinolin (6-alpha-methylcompactin) in *H. halobium*In. Mevinolin or lovastatin was obtained from an inexpensive commercial drug (Mevacor) manufactured by Merck Sharpe & Dohm. The pUBP2 plasmid containing the modified bop gene is used to transform L33 *H. halobium* cells which are bop minus.

H. halobium cells containing the modified bop gene were grown following the same protocol as that used to grow wild type BR (Becher and Cassim, 1975). Typical yields of mutant BR's in this system are about the same as that for wild type BR i.e. 10-20 mg/l. Mutant BR was

BR's in this system are about the same as that for wild type BR i.e. 10-20 mg/l. Mutant BR was purified from the cells following previously established protocols for wild type BR (Becher and Cassim, 1975). Aqueous samples of mutant BR were prepared at a concentration of 0.8 mg/ml (OD≈2).

Hyper-Rayleigh light scattering

Hyper-Rayleigh light scattering from an aqueous PM suspension was used to measure the hyperpolarizability, β, of BR mutants relative to WT (3). Figure 1 shows the experimental apparatus. Determining the hyperpolarizability using light scattering from a PM suspension
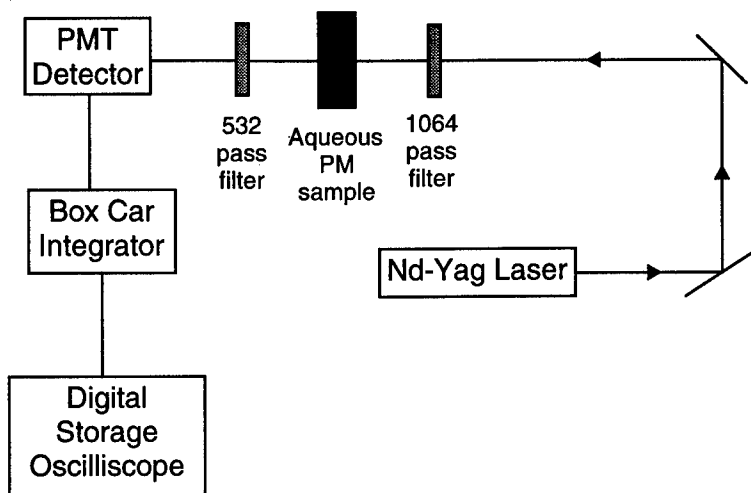
Figure 1 The hyper-Rayleigh light scattering apparatus is shown.

requires a knowledge of the PM size (Schmidt and Rayfield, 1994). This is because light scattered from different PM's is incoherent while light scattered by individual BR molecules in a PM is coherent. Initially, we assumed that the isolated PM patches all have the same size. However electron microscopy experiments showed that this was not so. Efforts to correct the data were difficult because of uncertainties in using an "average size PM". In order to overcome this problem we used detergent to solubilize all the PM fragments into BR monomers (Clays, et al., 1993). Determining the hyperpolarizablity using light scattering from BR monomers requires a knowledge only of the molar concentration. However, less light is scattered from the BR monomer solution and this requires an increase in the sensitivity of the experimental apparatus (Schmidt and Rayfield, 1994).

Results and Conclusions

The hyperpolarizability of the following BR mutants was measured: G31R, G31R/D96N, D38R, 38R/155D, L93T, G155D, E166G, Y185F. All the mutants except Y185F had $\beta \approx \beta(WT)$. Y185F had a significantly larger hyperpolarizability than that of WT BR:

$$\beta(WT) = 2.1 \pm 0.4 \times 10^{-27} \text{ esu}$$

$$\beta(Y185F) = 6.4 \pm 0.1 \times 10^{-27} \text{ esu}$$

This is a significant increase for a material (BR) that already has a large hyperpolarizability compared to other materials and demonstrates the potential of genetic engineering to develop new NLO materials.

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A theoretical analysis of optical clock extraction using a self pulsating laser diode

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The ever increasing demand for higher rates of data transfer has led to a significant research effort in the area of all optical communications. It is widely believed that the low cost and availability of certain types of self-pulsating laser diodes means that these devices will play a major role in all optical subsystems. The potential for optical clock extraction and clock distribution by the synchronization of a self pulsating laser diode (SPLD) has already been demonstrated [1,2]. In this paper we have used a standard model for a SPLD to examine the dynamics of the laser when an optical synchronizing signal is applied and how the synchronization is effected by changes in the optical input signal. This allows us to assess their potential for use in optical clock extraction subsystems and to optimize the laser operating conditions for low bit error transmission.

Self-pulsation occurs in compact disk (CD) lasers under normal dc biasing conditions due to saturable absorption [3]. The optical field in the electrically pumped active region of the laser expands and overlaps the neighboring unpumped regions, which act as a distributed saturable absorber. Self-pulsation occurs when the electric field overlaps a large enough portion of the saturation absorber. Carrier diffusion and gain guiding can also play an important role in the process. The photon and carrier dynamics of such a laser can be described using three rate-equations [3]. Two equations govern the rate of change of the carrier density in the central active region and the neighboring absorbing regions, while a third equation governs the rate of change of the photon density in the laser cavity. We have previously used this kind of calculation to successfully predict the behavior of the both electrical and optical synchronization of a compact disk (CD) self-pulsating laser diode [4,5].

In order to be able to read each data bit in an optical data signal the receiver must know the precise time at which to detect if the signal is '0' or '1'. The receiver must therefore produce a periodic signal of exactly the same frequency as the data stream which can trigger the detector. If a periodic optical signal is applied to a free-running SPLD then providing the power of the optical signal is sufficient and the difference between the applied and free running SPLD frequencies is not too great, the laser output will synchronize to the applied signal. We have investigated the possibility of synchronizing a SPLD to a received data stream and using the synchronized output of the laser diode to generate a clock in order to trigger the decision making process on each data bit.

First we investigate the effect of the application and removal of the optical synchronising signal on the dynamics of the CD laser output. Typical results are shown in figure 1 where a 2GHz optical signal is applied at pulse 20 and removed at pulse 100. We have plotted the instantaneous frequency versus the pulse number; the instantaneous frequency being the inverse of the time between successive pulses which allows us to monitor the laser output frequency on the pulse to pulse level. It is clear that when the optical signal is turned on, the frequency of the SP is

modified to the input frequency. When the applied signal and laser output pulses are unsynchronised the system cannot make a decision about any data bits received and so this gives an indication of how many redundant pulses must be sent before the data can be transmitted.
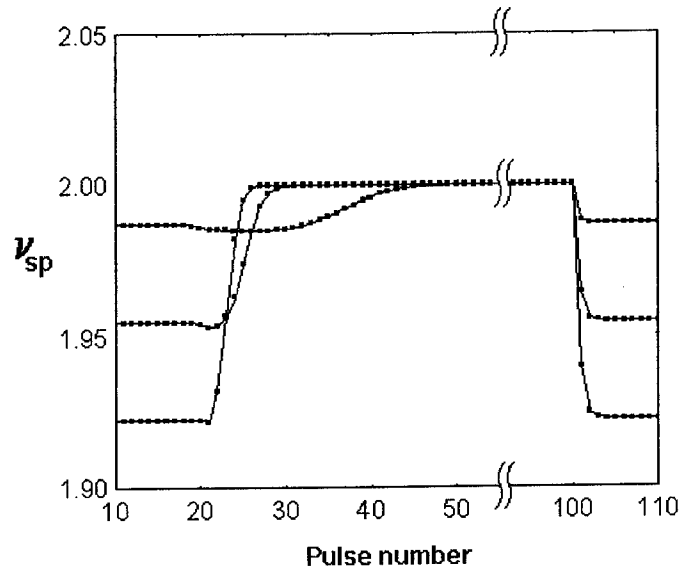

Fig. 1. The instantaneous self pulsation frequency is shown versus pulse number for three free running self pulsation frequencies of 1.922, 1.955 and 1.987GHz. The optical synchronisation signal of 2GHz is applied at pulse 20 and removed at pulse 100.

We will outline our previous work [4] showing that a phase difference (time delay) exists between the input signal and the output pulse which is dependent on the input power and frequency detuning. The consequences of this phase difference on the performance of clock extraction sub system will be outlined in this presentation.

In figure 1 we show that when the synchronizing optical signal is removed the SPLD reverts back to it's free running pulsation frequency within a couple of pulses. Initially this would suggest that the laser can no longer be used to successfully produce a clock for the read process. Figure 2 shows the instantaneous phase difference between the input optical signal and the laser output after the synchronizing signal is removed at pulse number 100. We see that when the signal detuning is very small, the phase introduced between the signal and laser output is reasonably small thus avoiding read errors. This over simplifies the problem somewhat and we highlight what are the optimal properties of the data signal for efficient clock generation.

The effect of the detuning between the signal and free running self pulsation frequencies can be clearly seen in figure 1. In this presentation we will also demonstrate that increasing the power of the input signal reduces the time it takes for the laser to lock to the synchronizing signal which is desirable in order to reduce errors in clock extraction systems. We also identify a problem with achieving lock when the input signal is too powerful and so a precise operating signal power must be identified.
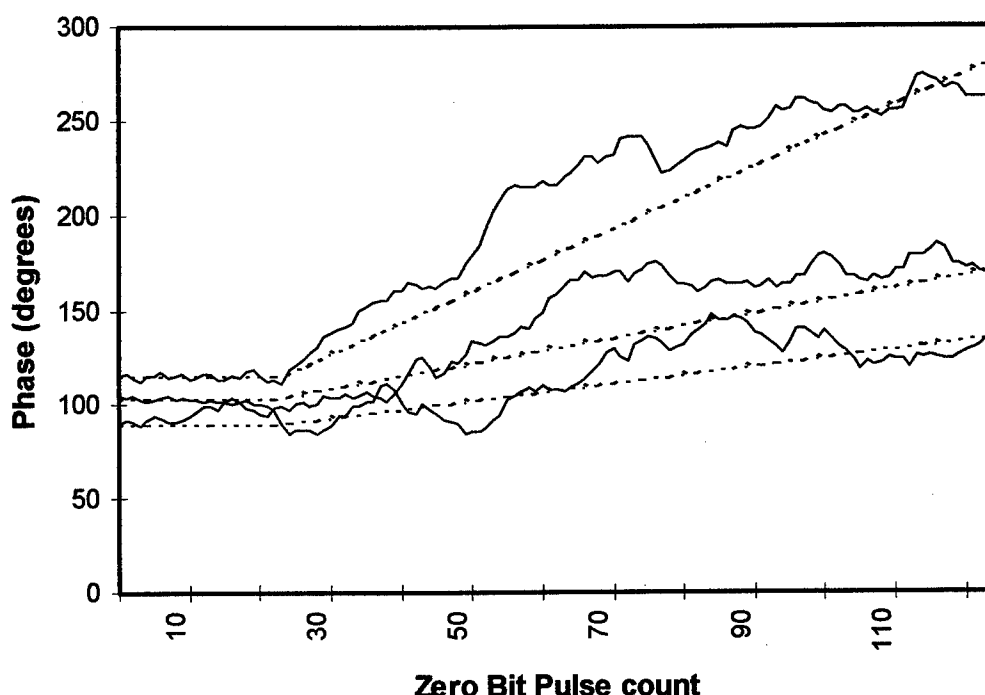
Fig. 2. The instantaneous phase difference between the input optical signal and the laser output after the synchronizing signal is removed at pulse number 25. Curves are shown for free running frequencies of 1.99, 1.996 and 1.9975GHz. The solid and broken lines represent results with and without the inclusion of spontaneous emission noise respectively.

Finally we including noise sources in the model and simulate the synchronization of a self pulsating laser diode to a pseudo random data signal in order to perform a full bit error calculation for the data signal using the clock generated by the laser diode. Our investigation enables us to make recommendations about the properties of the data signal relative to the laser output in order to reduce read errors due to a miss-timed clock.

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Self-Consistent Analysis of Nonlinear Multimode Dynamics in External Cavity Laser Diodes

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Semiconductor lasers exposed to external optical feedback have been found to exhibit a wide variety of behaviour. In particular dramatic spectral broadening has been observed over a large range of feedback levels. This phenomenon, commonly referred to as Coherence Collapse, [1], has been found to be a consequence of chaotic dynamics.

Experimentally, back reflections of the order of 10^{-4} of the emitted power have been found to be sufficient to induce Coherence Collapse. Typically the laser's linewidth broadens from a few MHz to a few tens of GHz [2]. This vulnerability places severe demands on the optical isolation required for applications, like coherent communication systems, that require narrow linewidth operation. However, coherence collapse is not always detrimental; for example, it is an essential ingredient in chaotic data encryption schemes for secure data communication.

Semiconductor lasers subjected to external optical feedback have also been studied from a more fundamental perspective because they are an important example of an active type of delayed feedback system, with behaviour quite different from other well known nonlinear dynamical systems, (eg. Ikeda system, [3]). The phase space associated with a delayed feedback system is of infinite dimension, which makes analytic investigations very difficult. Consequently, in order to gain insight into the behaviour of the system theoretical investigations have usually been based around the Lang-Kobayashi rate equations for a single longitudinal mode laser [4,5]. Alternative single mode models have also been used and have shown good qualitative agreement with the Lang-Kobayashi model and experiment, [6]. However, the broad gain bandwidth and weak longitudinal mode selection of conventional Fabry-Perot semiconductor lasers ensures that, experimentally they operate in a multi-mode manner, i.e. several longitudinal modes lase simultaneously.

Recently, considerable debate has arisen concerning the validity of the single mode models and their ability to fully describe all the relevant dynamics of the configuration. Particular attention has been focussed on the Low Frequency fluctuations (LFF) observed in the coherence collapse regime when laser diodes are biased near threshold

[7]. Some experimental results have indicated that LFF is a multi-mode phenomenon [8], while others have indicated a single mode nature [7,9].

The few multi-mode theoretical studies that have been undertaken have used models that generally ignore any interaction between the modes, and use phenomenological models to describe the phase-amplitude coupling present in semiconductors [6,10-12]. These models neglect the complicated inter-dependence of the optical susceptibility and longitudinal modes of the optical cavity. In particular, the models ignore the frequency dependence of the phase-amplitude coupling and the effect of spectral hole burning on the gain. Both features need to be addressed to obtain a better description of multi-mode laser diode dynamics.

The model presented in this paper views the system as a coupled-cavity problem, and self-consistently calculates the longitudinal modes of the cavity and the optical susceptibility. The optical susceptibility is calculated over the full frequency range of expected optical cavity modes and includes many-body effects [13]. In this way the evolution of the mode spectrum from spontaneous emission can be obtained.

The model is applied to a specific topical phenomenon—the observation of low frequency fluctuations, and the results are compared with those of single mode models, in order to assess the importance of multi-mode effects on LFF dynamics.

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Modelling Pulse Propagation in Optical Communication Systems using Wavelets

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The process of designing optical communication systems requires extensive simulations of the effect of system components such as optical fibres and semiconductor optical amplifiers on the propagating pulses. Optical fibres introduce loss, group velocity dispersion and self-phase modulation due to the nonlinear refractive index. These effects are described by the nonlinear Schrödinger equation [1]. Semiconductor optical amplifiers (SOAs) lead to pulse shaping in the time domain, and to changes in the pulse spectrum. Pulse shaping in SOAs is described in this work by an extension of the nonlinear Schrödinger equation proposed by Hong et. al. [2]. The split-step Fourier method [1, 3] is the method of choice for solving the nonlinear Schrödinger equation at present, due mostly to the existence of fast algorithms for performing the discrete Fourier transform. This paper describes an alternative solution method based on wavelets and the fast wavelet transform.

The transform at the heart of the algorithm splits a signal into 'wavelets' rather than frequencies that stretch over the whole width of the signal [4, 5]. Some wavelets in a basis set are broad, with only a few required to cover the whole pulse width and correspond to a large scale approximation of the signal. Other wavelets are narrow and only cover a small fraction of the same time interval, and correspond to local, high-frequency details in the signal. A strength of this representation is that only a subset of the possible wavelets are required to adequately describe an optical signal. The wide wavelets give a large scale approximation, which is refined *only where needed* by smaller scale, narrow wavelets. This means that the wavelet tranform of an optical signal is a sparse representation.

When a signal is represented by N samples the FFT method is executed in $O(N \log N)$ operations. In contrast the present method requires $O(N)$ operations [6] with an associated speed advantage.

Figure 1 shows the wavelet-calculated evolution of a 1.55μm wavelength hyperbolic cosecant pulse of initial width 5×10^{-11}s and inital power 10.0mW along

125km of standard communications fibre. It shows reduced pulse broadening compared with a purely dispersive analysis due to the combined effects of anomalous dispersion and self-phase modulation. These results are in close agreement with those obtained from the split-step Fourier method.

Figure 2 shows the wavelet-calculated output of an SOA (whose parameters are given in [2]) for a hyperbolic cosecant input pulse. This result is again in close agreement with the Fourier method, as reported in [7].

This paper presents results from a wavelet based model for pulse propagation in optical fibres and semiconductor optical amplifiers that agree closely with split-step Fourier results. The propagation is simulated with $O(N)$ computational complexity compared with the $O(N \log N)$ complexity of fast Fourier transform based methods, yielding a possible speed advantage, especially if N is large. The method could be extended to other optical communications components, *e.g.* the Ginzberg-Landau equations for propagation in fibre amplifiers [1].

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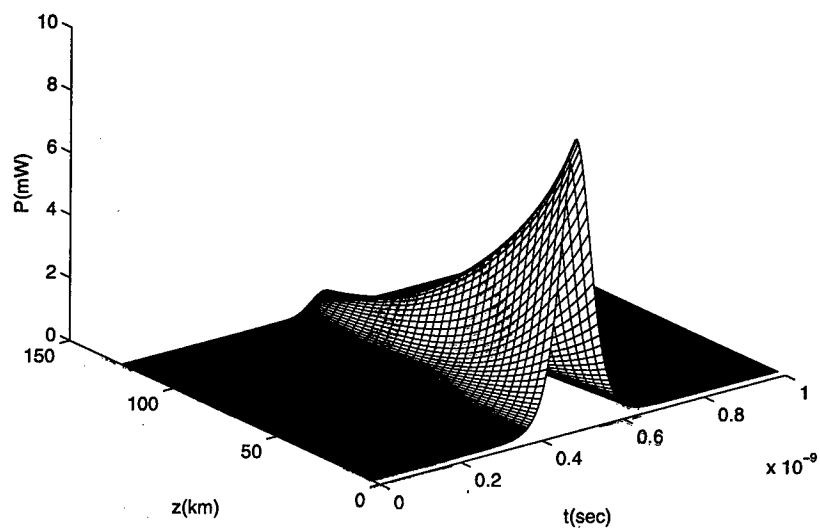
Figure 1: Pulse propagation in optical fibre.
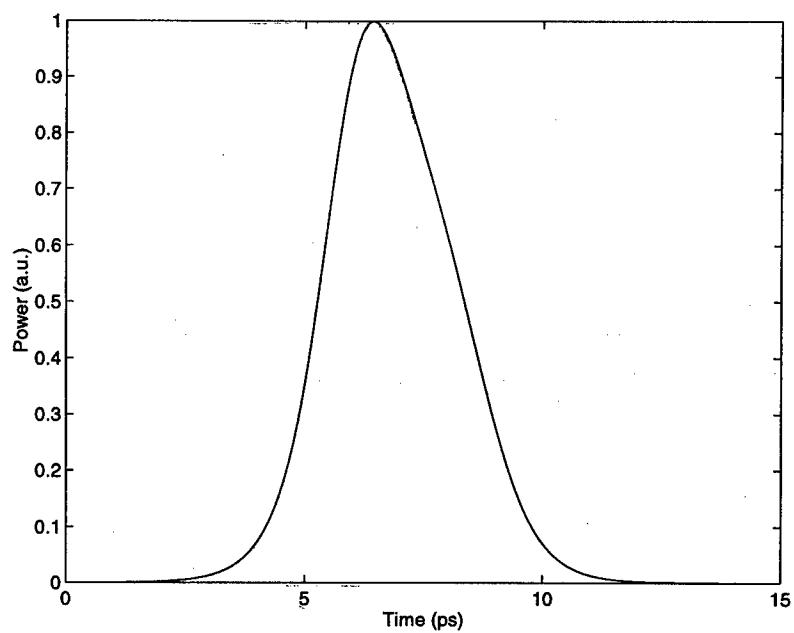
Figure 2: Semiconductor optical amplifier output pulse.

Spatial soliton arrays in a ring shaped complex nonlinear medium

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Spatial solitons were extensively studied in Kerr like media[1]. Here we explore solitons evolution in media characterized by a complex non-linearity. Specifically, we choose to model solitons formation in semiconductor lasers where both gain and index of refraction are nonlinear. Vertical cavity surface emitting laser (VCSEL) is an ideal micro-laboratory to test the formation of the spatial soliton arrays – since the VCSEL can be shaped in arbitrary 2D forms, supports a single longitudal mode and has high internal light intensity due the high quality mirrors. A related subject of filamentation in broad area semiconductor lasers was studied in the past years [e.g. 2-5] attributing the main nonlinear mechanism to the gain saturation (spatial hole burning). Here we show that as a result of this mechanism – a ring VCSEL has two thresholds – the first is the lasing threshold and the second is the solitonic threshold, where the VCSEL switches to be a soliton laser.

We modeled the evolution of spatial solitons in the semiconductor laser medium using the 1D wave equation which is applicable for large enough ring shaped lasers. The paraxial wave equation, which is applicable for the VCSEL geometry, is written for the electrical field:

$$\frac{\partial E}{\partial z} - \frac{i}{2k_0 n_0}\frac{\partial^2 E}{\partial x^2} - ik_0 n_2 \cdot |E|^2 E = \frac{1}{2}[g_0 - \alpha_{tot}]\cdot E - \frac{k_0 n_2}{R}\cdot |E|^2 E \tag{1}$$

where k_0 is the wave number, n_0 – the linear index of refraction, R – the anti-guiding factor and α_{tot} – the loses. g_0 is the unsaturated gain defined as $g_0(N_p) = \Gamma\alpha\cdot(N_p - N_0)$ where Γ is the confinement factor, α - the differential gain, N_p – the carrier density due to the pump and N_0 – the carrier density for transparency. n_2 the effective nonlinear refraction index is given by $n_2 = \dfrac{R\cdot g_0}{2k_0 I_{sat}}$, where I_{sat} is the saturation energy - $I_{sat} = h\nu/(\Gamma\alpha\tau_{sp})$.

Equation (1) is similar to the conventional nonlinear Schrodinger (NLS) equation, however in addition to the self phase modulation (self focusing) compensation of the diffraction terms, the gain hole-burning has to be compensated as well. This equation exhibits solitary wave solutions, which are not the conventional sech functions and due to the nonlinear gain, they have a non-uniform phase profile. A surprising feature of Eq. (1) is that although it is based on a self-focusing term ($n_2>0$), it supports also dark solitary wave solutions:

$$E_{bright}(x,z) = A \cdot sech^{(1-i\cdot\mu)}\left(\frac{x}{w_s}\right) \cdot e^{in_2k_0A^2\left(1+\frac{1}{3\mu R}\right)\cdot z} \qquad \mu = \frac{3R - \sqrt{9R^2+8}}{2}$$

$$E_{dark}(x,z) = B \cdot tanh\left(\frac{x}{w_s}\right) \cdot sech^{(-i\mu)}\left(\frac{x}{w_s}\right) \cdot e^{-i\left(\frac{2k_0n_2B^2}{3\mu R}\right)\cdot z} \qquad \mu = \frac{3R + \sqrt{9R^2+8}}{2} \qquad (2)$$

$$g_0 - \alpha_{tot} = \frac{4k_0n_2}{3R} \cdot A^2, \quad (Aw_s)^2 = -\frac{3\mu\cdot R}{2k_0^2n_0n_2}$$

$$g_0 - \alpha_{tot} = \frac{2k_0n_2}{R} \cdot B^2, \quad (Bw_s)^2 = \frac{3\mu\cdot R}{2k_0^2n_0n_2}$$

A, B and w_s are the solitons amplitudes and width respectively. μ can be interpreted as the chirp parameter of the soliton. The solitary wave intensity and phase distributions are depicted in Fig. (1).

Eq. (1) has also a uniform steady state solution- $|A_0| = \sqrt{R \cdot (g_0 - \alpha_{tot})/2k_0n_2}$. In order to find the *soliton formation threshold* we analyzed the stability of the uniform solution to small spatial harmonic perturbation. A field component with a spatial frequency k will experience modulation instability (MI) if it is lower than a certain frequency k_{max}, which depends on the pumping and laser parameters:

$$k_{max}^2 < n_0k_0 \cdot (g_0 - \alpha_{tot}) \cdot \sqrt{(R^2-1)} \qquad (3)$$

Thus we see that a necessary condition for achieving the solitonic threshold is R>1, satisfied by all semiconductor lasers, however may be not satisfied for other types of lasers. Furthermore – in a ring, due to the cyclic boundary conditions, only discrete spatial frequencies are supported with a minimal frequency of $k_{min} = 2\pi/l$ where l is the laser's perimeter. For low injected currents, k_{min} will exceed the k_{max} value and the soliton threshold will not be reached.

Figure 2 shows BPM simulation of Eq. (1) when the current is below the solitonic threshold. The perturbed electric field reached a uniform steady state distribution and in Fig. 3 - the BPM simulation above the solitonic threshold evolved into four oscillating solitons.

All the above predictions were tested and verified experimentally. The near-field intensity pattern emerging from a proton implanted ring shaped VCSELs (20-40μm in diameter) with three 8nm $In_{0.2}Ga_{0.8}As$ QW, emitting at ~0.95 μm [6] were monitored. The near-field patterns were examined at room temperature under pulsed operation.

A non solitonic near-field was registered until the injected current was increased to ~1.5 times the threshold current. Then the pattern switched to ordered arrays of solitons – equally spaced on the ring perimeter and with equal width. As the current was increased, the number of solitons in the array increased, but not monotonically. The number of the spatial solitons was always even, implying a π phase shift between two solitons. Fig. 4 shows the near-field intensity pattern of 40μm and 28μm diameter lasers recorded at various currents. In Fig. 5 we plot the width of solitons in the cyclic solitonic array, predicted analytically by the above theory (formula will be given in the talk) and compared to the measured values, as a function of the injected current. Again – a good match is demonstrated.

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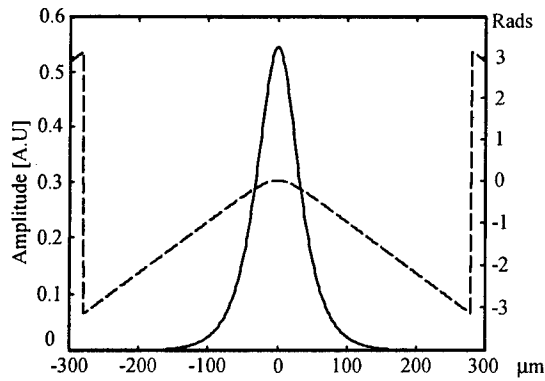
Figure 1a - Bright soliton; Amplitude (solid)
and phase (dashed) distributions
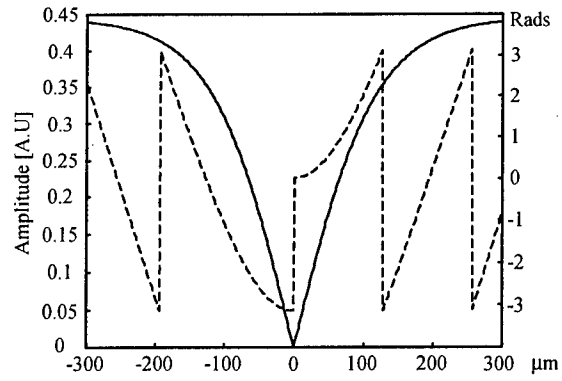
Figure 1b - Dark soliton; Amplitude (solid)
and phase (dashed) distributions
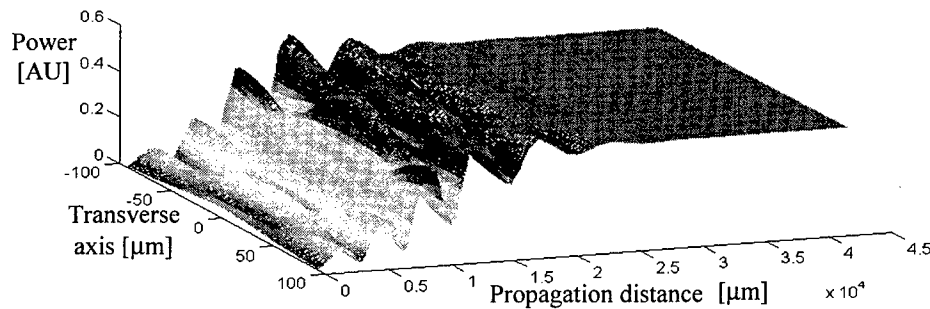
Figure 2 – Stability for current below the solitonic threshold, $I_{sat}=20$,
$l=100$, $g_0=0.0101$, $a_{tot}=0.01$
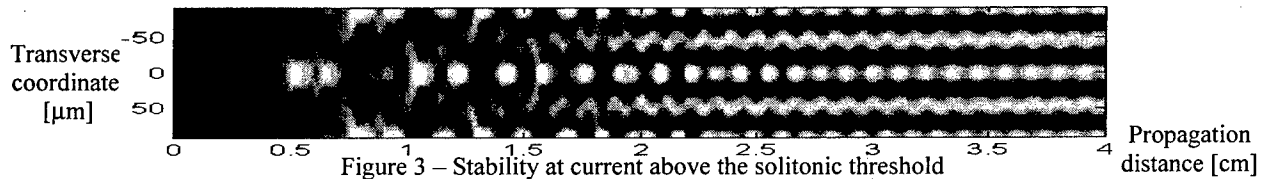
Figure 3 – Stability at current above the solitonic threshold

I_{th}=20mA I/I_{th}=1.5,n=10 I/I_{th}=1.8, n=14 I/I_{th}=2.1,n=16

I_{th}=40mA I/I_{th}=1.5,n=24 I/I_{th}=2.5, n=30 I/I_{th}=3, n=38
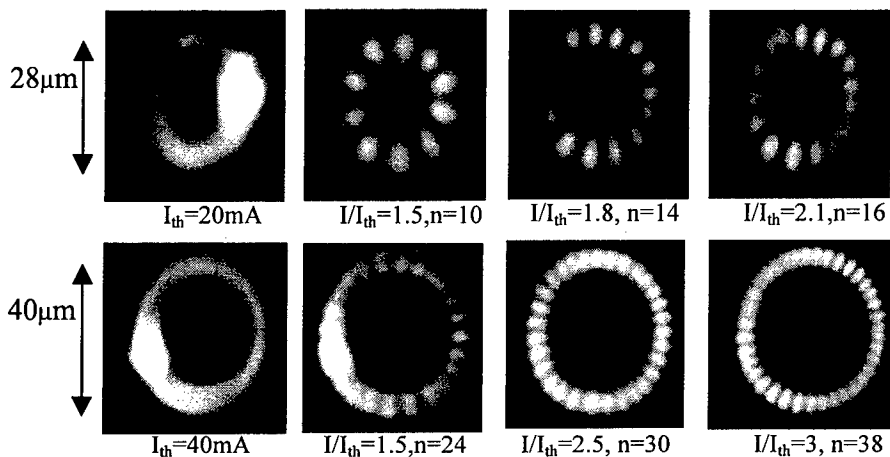
Figure 4 – Ring VCSEL near-field patterns - experimental results
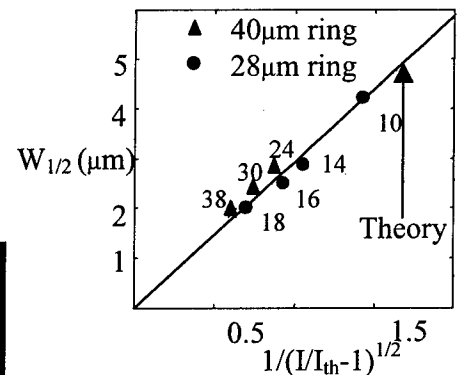
Figure 5 – Soliton width - Theory
Vs. Experiment

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Saturation and oscillation of SBS reflectivity in fiber phase conjugators

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Undoped multimode quartz fibers based on stimulated Brillouin-scattering (SBS) are effective phase conjugators with low power threshold and high fidelity in a broad wavelength range from the near infrared over the visible down to the near ultraviolet [1-3], offering a good alternative to fluid and gaseous SBS-media that are toxic or operated under high pressure.

In the first investigation of fiber phase conjugators for input pulses with a coherence length of about 50 cm at 1064 nm wavelength [1], saturation of the SBS reflectivity was observed at a value of about 50 %, not considering the reflection losses on the fiber surface. The following investigation of temporal and spectral structures of the transmitted and reflected light from fiber phase conjugators indicates that saturation of SBS reflectivity is due to oscillations in the temporal structure of the SBS reflected and transmitted light.

Experimental results

Commercial all-silica multimode glass fibers with a step-index structure, core diameters of 100 μm and 200 μm and cladding diameters of 110 μm and 220 μm were used. The numerical aperture NA is 0.22. The lengths of both investigated fibers were more than 2 m. A third all-silica multimode glass fiber with a tapered structure from a core diameter of 400 μm tapered down to 100 μm over 1 m length and a corresponding cladding diameter of 440 μm down to 110 μm was also investigated. The numerical aperture NA of the non-tapered end is 0.22. The whole length of the tapered fiber is 2 m.

Fig. 1 shows the experimental set-up. The laser system for the measurement of SBS parameters at 1064 nm was described already in Ref. 1. The spectrum of a SBS-reflected beam at 1064 nm was resolved with Fabry-Perot-Interferometer and a CCD camera. Also by the fiber phase conjugator transmitted radiation was investigated by these devices.

The saturation behavior of the SBS reflectivity was observed for all investigated fibers. However, the incident powers for the on-set of the saturation and the saturation reflectivities were different depending on the pulse width of incident beams and fiber parameters.
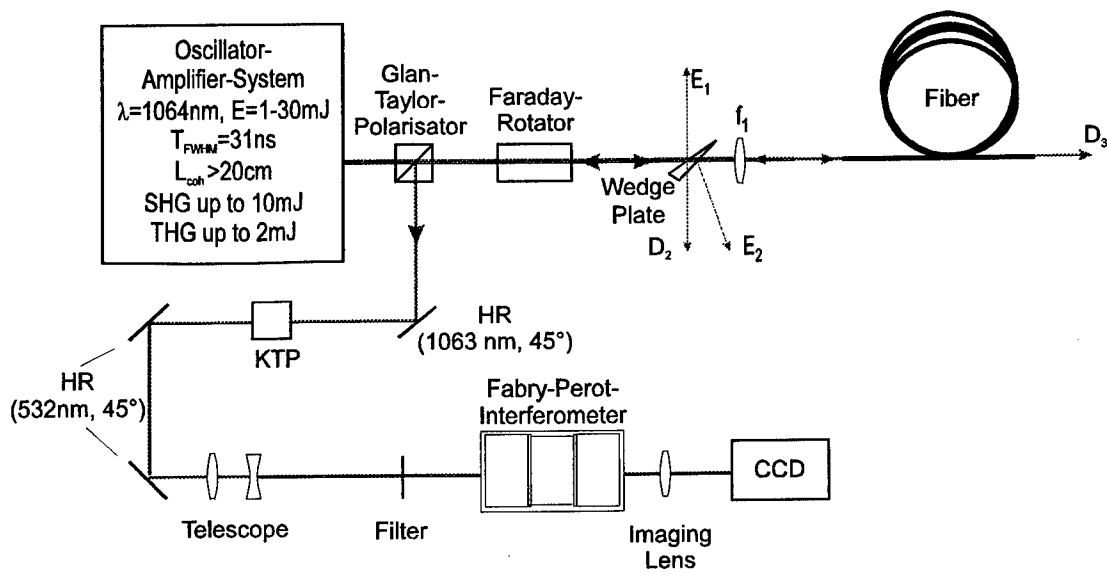
Fig. 1: Experimental set-up

Fig. 2 shows the Fabry-Perot ring patterns of both the transmitted pulse and the SBS reflected pulse for the 100 μm fiber. The two ring systems of the transmitted and the SBS reflected pulses are shifted against each other with a frequency difference of 16 GHz, which corresponds to the Brillouin shift of quartz. Furthermore, two additional weak rings with a larger frequency and a smaller frequency of about ±16 GHz were observed for the transmitted pulse. These frequency components are related to reflections of the fiber surfaces and the excited sound wave. Second order SBS could not be observed in the interference ring pattern of the transmitted pulse, because no ring with a frequency shift of 32 GHz was present. This implies that the reflectivity saturation of fiber phase conjugators is not due to the generation of second order SBS. Similar results were also obtained with the 200 μm fibers.
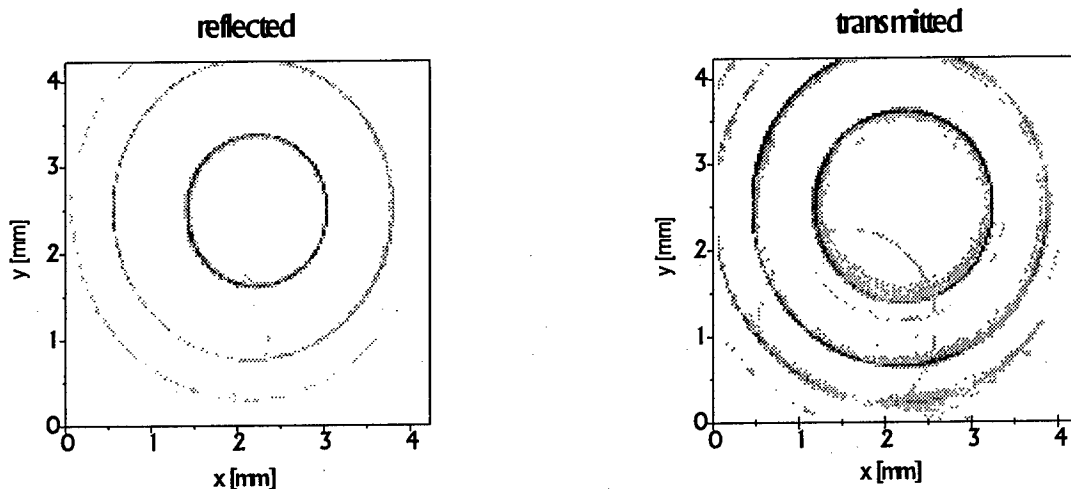
Fig. 2: Interference ring patterns of both the transmitted pulse and the SBS reflected pulse

Fig. 3 shows that the time resolved structure in the transmitted and reflected pulses for the tapered fiber for different powers of 30 ns long incident pulses. More complicated time structures were observed with the 200 μm fiber for a longer incident pulse. This is probably due to the fact that the SBS process can be excited several times inside the fiber at an increasing input power, which may result in a less efficient conversion of input energy into the reflected pulse.
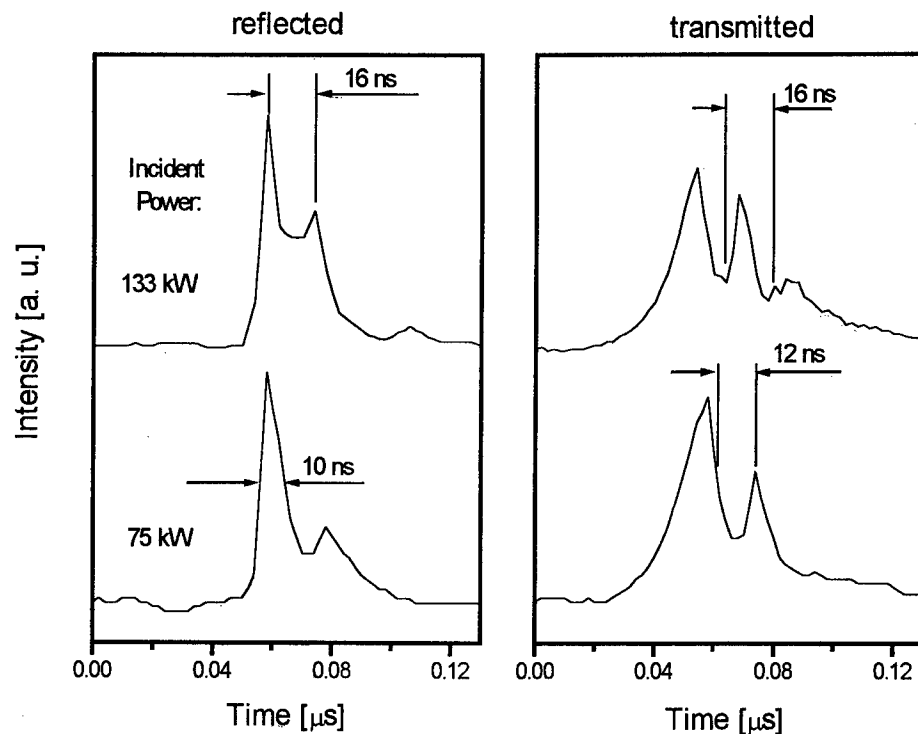
Fig. 3: Temporal structures of the transmitted and the SBS reflected pulses at input powers of 75 and 133 kW

The observed oscillation of the power of the reflected pulse has been observed in a theoretical analysis on pulse compression in waveguides [4].

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Demonstration of all-optical switching in a GaInAsP distributed feedback waveguide

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Introduction

Waveguide optical switching devices controlled with light, such as optical logic gates and optical memory, are expected to be fundamental elements for future all-optical networks. The waveguide bistable device was reported for the Fabry-Perot resonator constructed with a cleaved GaAs/GaAlAs MQW waveguide[1]. In order to integrate the bistable device with other optical functional devices, it is the most promising way to use distributed Bragg reflectors for optical feedback. An intensity-dependent Bragg condition derives all-optical switching in a Bragg reflector-loaded waveguide having an intensity-dependent refractive index. The bistability in a distributed feedback (DFB) waveguide was reported in a GaInAs MQW material[2]. The all-optical set-reset operation in a GaInAsP DFB waveguide has been demonstrated[3].

The present paper reports the characteristics of all-optical switching operation in a GaInAsP waveguides with a Bragg reflector.

Theoretical consideration

A schematic of a DFB waveguide is shown in Fig.1. We calculated the transmission characteristics of the device.

The effective index n_o of TE mode at 1545nm wavelength is 3.325. The length of Bragg reflector region L = 3 mm is assumed together with grating coupling coefficient κ = 50cm^{-1}. Also, intensity-dependent refractive index coefficient n_2 = - 5.9×10^{-12} cm^2/W [4][5] and absorption coefficient α_0 = 1cm^{-1} are
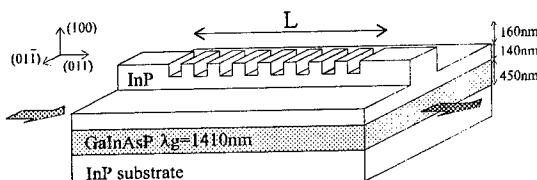
Fig.1   The structure of GaInAsP waveguide bistable device.
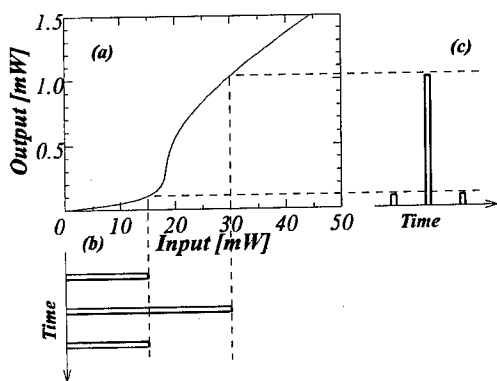
Fig. 2 The calculated characteristics of the device.
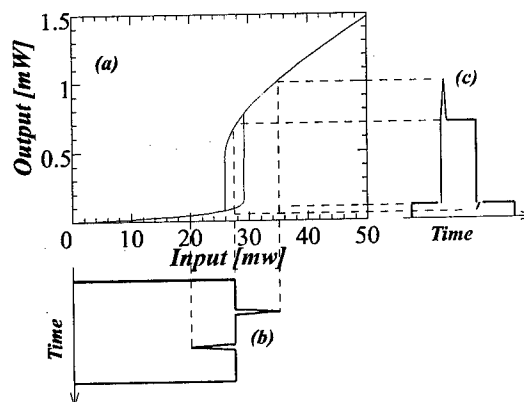
Fig. 3 The calculated characteristics of the device.

assumed.

The calculated transmission characteristic for detuning $\Delta n/n_0$ = -0.037% is shown in Fig.2 as a function of input power together with the corresponding threshold operation. Fig. 2(b) shows temporal input light waveform which includes pluses with different amplitude. High and low pulses have peak power of 30 and 15 mW, respectively. The lower one gets suppressed as shown in the output waveform (Fig. 2(c)).

Fig. 3 shows a typical bistable characteristic as a function of input intensity together with the corresponding set-reset operation. The detuning $\Delta n/n_0$ is assumed to be -0.0365%.

Experiment

The device is fabricated using a GaInAsP/InP wafer, in which a 300 nm-thick InP upper cladding layer and a 450 nm-thick GaInAsP (λg =1410 nm) guiding layer are grown on a (100) InP substrate. After the grating pattern was produced by the electron beam exposure technique, it was transferred on the cladding layer by CH_4/H_2-RIE. The grating periodicity and depth are 233nm and 50nm, respectively. The strip-loaded waveguide with 160 nm strip height was also fabricated using the electron beam exposure procedure followed by CH_4/H_2-RIE.

Fig. 4 Experimental setup for the observation of optical bistability in GaInAsP DFB waveguide

The intensity-dependent transmission characteristic of a completed device was measured. The experimental set-up is shown in Fig. 4. We used a CW tunable semiconductor laser as a light source. An electro-optic modulator was used to generate a desired temporal pulse pattern. The generated input pulse stream was amplified by EDFA. The input power is estimated by taking into account the coupling loss between a fiber and a waveguide and the branching ratio of fiber coupler. The waveguides were mounted on a Cu heat sink whose temperature was maintained at 20 °C.

Fig. 5 shows the temporal profile of input and transmitted light observed in a 1.5 μm-width

Fig. 5 Measured (a) input and (b) output temporal waveforms.

Fig. 6 Dependency of the transmitted peak power on the corresponding input peak power at 1543.88nm (closed circle) and 1543.80nm(open circle)

waveguide at 1543.80nm wavelength. It is clearly shown that all-optical threshold operation was obtained. The transmitted output peak power of the input pulse with high peak power is 0.7 mW and that of low peak power input pulse is less than 0.1 mW, respectively. The temporal width of pulses is 8 nsec. The transmission spectrum for low input power has a stopband with a center wavelength of 1543.36 nm and a width of 0.95 nm (-10dB). Wavelength dependence of input-output characteristic was also examined. It is concluded that the device does exhibit optical threshold operation due to the intensity-dependent Bragg condition. Fig. 6 shows the dependency of the transmitted peak power on the input peak power observed at 1543.88nm and 1543.80nm. It is found that threshold input power observed at 1543.88nm wavelength is less than at 1543.80nm, since 1543.88nm wavelength is closer to the stop band edge.

Fig. 7 shows the all-optical set-reset operation having the lowest off-state of transmitted light. The waveguide width of the device is 2.0 μm. The temporal profiles of input and transmitted light are observed at 1544.88 nm wavelength. The transmitted output power holds on-state (0.15 mW) after being switched with set pulses. After being switched with reset pulses, the transmitted light is well suppressed. The temporal width of set and reset pulses is 8 nsec. Wavelength dependence of input-output characteristic was also examined. It is concluded that the device does exhibit optical bistability due to the distributed feedback structure.
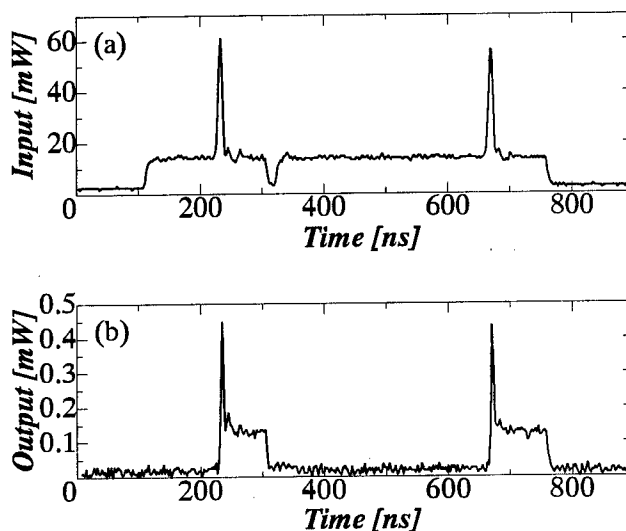
Fig. 7 Measured (a) input and (b) output temporal waveforms.

Conclusions

All-Optical threshold operation and set-reset operation are successfully demonstrated in a GaInAsP waveguide with a Bragg reflector. Further study toward improving the on-state transmittance is now under way.

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All-solid-state tunable ultraviolet Ce activated fluoride laser systems directly pumped by the fourth and fifth harmonic of Nd:YAG lasers

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New solid-state tunable ultraviolet laser materials based on Cerium activated fluoride crystals have been extensively studied for practical laser systems. Their wide tunability is attractive for ultrashort pulse generation and amplification just like Ti:sapphire lasers. In this sense, Ce-activated fluoride lasers such as $Ce^{3+}:LiCaAlF_6$ (Ce:LiCAF) [1] and $Ce^{3+}:LiLuF_4$ (Ce:LLF) [2] in the ultraviolet region can potentially be the second core ultrafast lasers. Among all such known laser media, only Ce:LiCAF and Ce:LiSAF are suitable for direct excitation by solid-state lasers, and their practical tuning region is 280 to 315 nm. Ce:LLF which we recently found to be pumped with the fifth harmonic of Nd:YAG lasers instead of KrF excimer lasers has a longer-wavelength tuning region of around 308 to 330 nm. They are attractive for spectroscopy of wide band-gap semiconductors such as GaN used for the blue laser diodes and laser radar application for the environmental measurements. Moreover, the fifth and fourth harmonic of Nd:YAG lasers for the pumping sources of these new laser media have become practical due to the improved efficiency and stability, with the recent development of new nonlinear borate crystal materials such as $CsLiB_6O_{10}$ and $Li_2B_4O_7$. In this presentation, we will report tunable short pulse generation from Ce:LiCAF and Ce:LLF laser systems and deep ultraviolet light generation by sum-frequency process.

Ce:LiCAF and Ce:LLF have large effective gain cross-section compared with Ti:sapphire, which is favorable for oscillators. They have larger saturation fluence ($\sim$100 mJ/cm^2) compared with organic dyes, which is attractive feature for power amplifiers. Their bandwidth is large enough to sustain 10-fsec pulse generation and amplification. However, direct ultrashort-pulse generation has not been obtained from these ultraviolet solid-state lasers as it has been for near infrared tunable laser materials such as Ti:sapphire. This is due to the difficulty of obtaining CW laser operation, which is required for Kerr lens mode-locking schemes. Therefore, we have chosen completely different approach by constructing low-Q short cavity laser. The

experimental setup of the low-Q, short-cavity Ce:LiCAF and Ce:LLF lasers are shown in Fig. 1. The Ce:LiCAF laser consisted of a Littrow prism with a high reflection coating at one face used as the end mirror and a 10-mm-long Brewster-cut Ce:LiCAF crystal (Fig. 1a). The 266-nm pulses from a Q-switched Nd:YAG laser were focused longitudinally from the output mirror side. The Ce:LLF crystal was cut to form a cylinder with a flat polished window on the side (Fig. 1b). To increase the efficiency of side pumping, we geometrically reduced the effective pumping penetration depth using the novel tilted-incidence-angle side pumping scheme. Using a cylindrical lens also tilted to be parallel to the side window of the laser crystal, the pumping pulse at 213 nm was focused down to a line-shaped area at a 70-degree incidence angle. The obvious advantages of the above-mentioned tilted-incidence-angle, side-pumping scheme are very simple focusing geometry, reduced pumping fluence at the rod surface, and reduced risk of damaging optics. Under the appropriate pumping fluence control, satellite-free tunable subnanosecond pulses (Ce:LiCAF 700 ps, Ce:LLF 880 ps) were observed from the spectrally and temporally resolved streak camera image in both cases. (Tunability is shown in Fig. 2) [3]

For obtaining higher energy, we designed Ce:LiCAF MOPA system using a similar Ce:LiCAF laser as a master oscillator stage. The power amplifier with 20-dB small-signal gain was designed with a confocal double-pass configuration. The pumping source of the amplifier was another Q-switched Nd:YAG laser operated synchronously to the Nd:YAG laser for pumping the oscillator. ~40 mJ, 266-nm pulses from each side were weakly focused. The amplifier consisted of a gain medium located at the beam waist of a confocal lens pair and a roof-reflector for a small vertical displacement of each pass. This configuration allowed the signal beams from different passes to overlap well in a small pumped region. The 1.0-nsec, 1-mJ input pulse was amplified up to 14-mJ with 14 MW peak power. The energy-extraction efficiency in the amplifier stage exceeded 18%, which is sufficient for practical use. [4]

For generating much shorter wavelength, we have also developed another Ce:LiCAF MOPA system pumped with a single Nd:YAG laser (40 mJ, @266nm). The demonstrated tuning range was 281 nm to 314 nm with 4 mJ after the double-pass amplifier. The tuning from 223 nm to 232 nm was realized by the sum-frequency-mixing of the Ce:LiCAF laser and the Q-sw Nd:YAG laser using a 45-degree cut BBO crystal. [5]

In conclusion, we have demonstrated the direct generation, efficient amplification, and SFG of tunable UV short pulses from the all-solid-state Ce-activated laser system pumped by Q-switched Nd:YAG lasers. These approach will open up new possibility of ultrashort pulse lasers in ultraviolet region.

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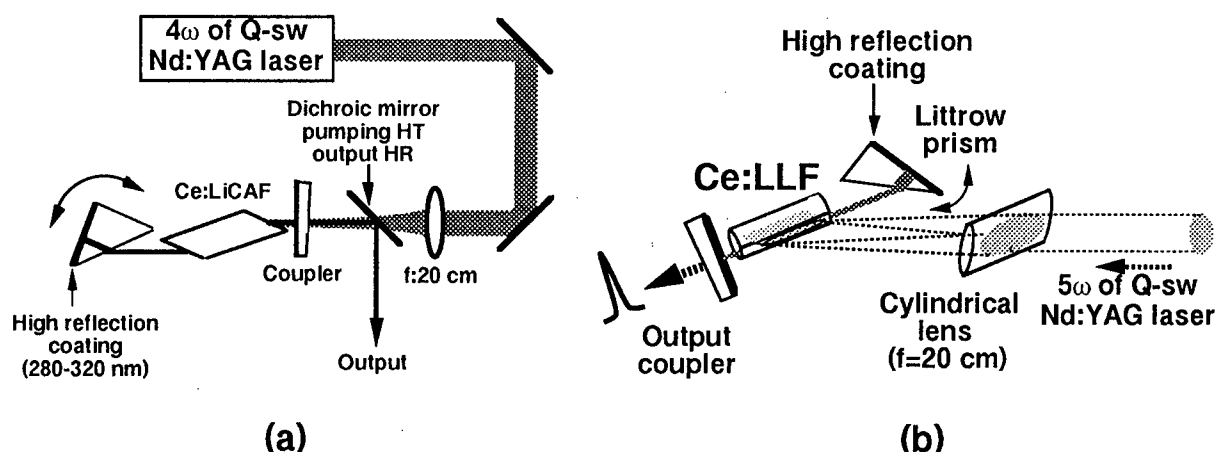
Fig. 1. Experimental setup of the tunable, short-cavity (a) Ce:LiCAF and (b) Ce:LLF laser systems pumped by the fourth or fifth harmonics of a Q-switched Nd:YAG laser.
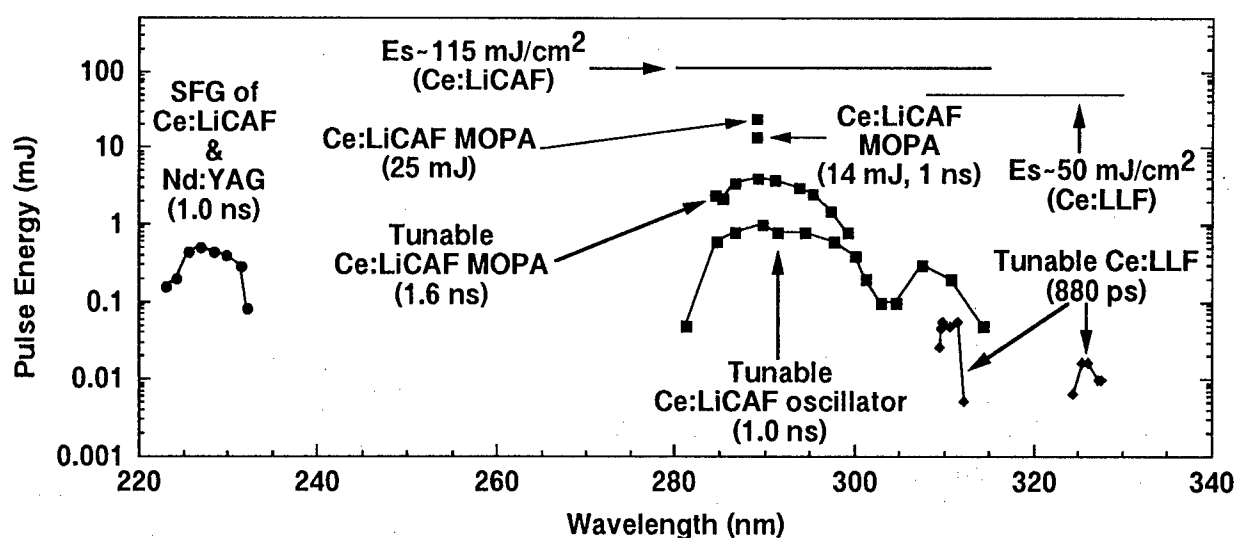
Fig. 2. Tuning curves for Ce activated laser systems.

Limit of amplitude squeezing in quasi-phase-matched device for harmonic generation

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Quasi-phase-matched (QPM) nonlinear optical devices have been attracting great attention for their large effective nonlinearity. Recently, they have been used not only for wavelength conversion but for control of quantum state of light. [1,2] Theoretical analyses of squeezing in QPM devices have been performed so far, [3,4] though they have not mentioned nonuniformity of domain lengths that is inevitable in real devices.

In this paper, we numerically study amplitude squeezing characteristics of a periodically-poled QPM device designed for the harmonic generation, and discuss a relation between precision of the domain length and available amplitude squeezing.

We consider a periodically poled device as shown in Fig. 1. Boundaries of domains are assumed to be planar, [5] and domain lengths have normal distribution with an average and a standard deviation of d and σd, respectively. Total length of the device is l.

The fundamental field and the harmonic field are assumed to propagate into $+z$ direction, and are confined in xy plane within a cross section of S. Spatial mode profiles in the xy plane are neglected.

We denote the electric field of the fundamental field and that of the harmonic field as [6]

$$E_1 = i\sqrt{\frac{\hbar\omega_0}{2\epsilon_0 S n_1 c}} \int d\omega\, a(z,\omega) \exp\{i\omega(n_1 z/c - t)\} + \text{h.c.}, \tag{1}$$

$$E_2 = i\sqrt{\frac{\hbar 2\omega_0}{2\epsilon_0 S n_2 c}} \int d\omega\, b(z,\omega) \exp\{i\omega(n_2 z/c - t)\} + \text{h.c.}, \tag{2}$$

respectively, where ϵ_0 is the dielectric constant of vacuum, c is the speed of light in vacuum, $\hbar$ is Planck's constant, h.c. means Hermitian conjugate, and n_1 and n_2 are refractive index for the fundamental field and that for the harmonic field, respectively. The origin of the z axis is at the input end of
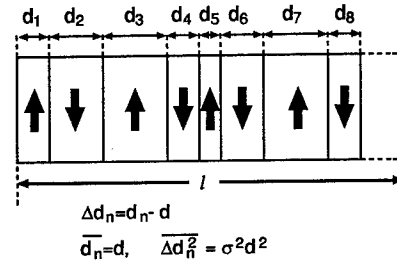
Fig.1: Model of the device under consideration. Up arrows and down arrows indicate the normal and the inverted polarization, respectively.

the device. Commutation relations for $a(z,\omega)$ and $b(z,\omega)$ are

$$[a(z,\omega), a(z,\omega')] = [a^\dagger(z,\omega), a^\dagger(z,\omega')] = 0, \tag{3}$$

$$[a(z,\omega), a^\dagger(z,\omega')] = \delta(\omega - \omega'), \tag{4}$$

$$[b(z,\omega), b(z,\omega')] = [b^\dagger(z,\omega), b^\dagger(z,\omega')] = 0, \tag{5}$$

$$[b(z,\omega), b^\dagger(z,\omega')] = \delta(\omega - \omega'). \tag{6}$$

Propagation of the fields inside the crystal under the presence of a phase mismatch Δk are described by [7]

$$\frac{da(z,\omega)}{dz} = p\kappa \int d\omega'\, a^\dagger(z,\omega') b(z,\omega + \omega') \times \exp(-i\Delta k z), \tag{7}$$

$$\frac{db(z,\omega)}{dz} = -p\frac{\kappa}{2} \int d\omega'\, a(z,\omega') a(z,\omega - \omega') \times \exp(i\Delta k z), \tag{8}$$

where the coupling coefficient κ is related to conventional parameters by

$$\kappa = \frac{\chi^{(2)}\omega_0}{n_1 c}\sqrt{\frac{\hbar\omega_0}{2\pi\epsilon_0 S n_2 c}}, \tag{9}$$

Table 1: Parameters used in calculation

nonlinear coupling coefficient κ	$9.86\times10^{-11}\sqrt{s}/\text{mm}$
average domain length d	$2.88\mu m$
device length l	10mm
phase mismatch Δk	$1.09\ \mu m^{-1}$

where $\chi^{(2)}$ is the nonlinear susceptibility. The index p is 1 for regular domains, and -1 for inverted domains.

We decompose the field operators into their mean and fluctuation as

$$a(z,\omega_0+\delta\omega) = u(z)\delta(\delta\omega) + \Delta\tilde{a}(z,\delta\omega), \quad (10)$$

$$b(z,2\omega_0+\delta\omega) = v(z)\delta(\delta\omega) + \Delta\tilde{b}(z,\delta\omega), \quad (11)$$

respectively, where $u(z)$ and $v(z)$ are mean amplitudes in c-number. Nonlinear propagation equations for $u(z)$ and $v(z)$ are then described as

$$\frac{du(z)}{dz} = p\kappa u^*(z)v(z)\exp(-i\Delta kz), \quad (12)$$

$$\frac{dv(z)}{dz} = -\frac{p\kappa}{2}u^2(z)\exp(i\Delta kz), \quad (13)$$

whereas those for the linearized fluctuations $\Delta\tilde{a}(z,\delta\omega)$ and $\Delta\tilde{b}(z,\delta\omega)$ are

$$\frac{d\Delta\tilde{a}(z,\delta\omega)}{dz} = -p\kappa\{\Delta\tilde{a}^\dagger(z,-\delta\omega)v(z) -u^*(z)\Delta\tilde{b}(z,\delta\omega)\}\exp(-i\Delta kz), \quad (14)$$

$$\frac{d\Delta\tilde{b}(z,\delta\omega)}{dz} = p\kappa u(z)\Delta\tilde{a}(z,\delta\omega)\exp(i\Delta kz). \quad (15)$$

At each domain, we numerically solve nonlinear equations for the means (12) and (13), and linearized equations for the fluctuations (14) and (15), where each component is assumed to be conserved at each boundary of domains.

The evolution of the linearized fluctuations is described in a matrix form: if we define a column vector $\Delta x(z,\delta\omega)$ as

$$\Delta x(z,\delta\omega) = {}^\tau\big(\Delta\tilde{a}(z,\delta\omega), \Delta\tilde{a}^\dagger(z,-\delta\omega),$$
$$\Delta\tilde{b}(z,\delta\omega), \Delta\tilde{b}^\dagger(z,-\delta\omega)\big), \quad (16)$$

the evolution of the fluctuation is written with a transfer matrix $T(z)$ as [4]

$$\Delta x(z,\delta\omega) = T(z)\Delta x(0,\delta\omega). \quad (17)$$

If we let ϕ_a and ϕ_b as a phase of $u(z)$ and that of $v(z)$, respectively, the detected amplitude fluctua-
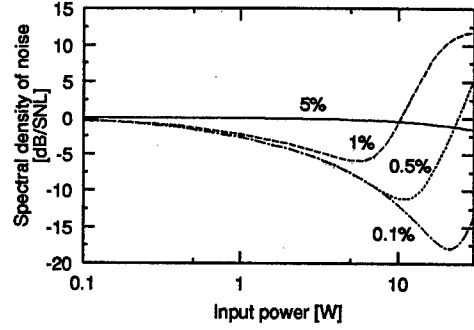
Fig.2: Spectral density of noise of the fundamental output as a function of input power. SNL, shot-noise level.
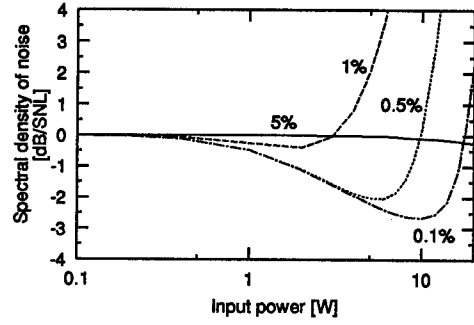
Fig.3: Spectral density of noise of the harmonic output as a function of input power. SNL, shot-noise level.

tion of the fundamental output is proportional to

$$S_f = \frac{1}{4}[T_{11}T_{22} + T_{21}T_{12} + T_{13}T_{24} + T_{23}T_{14}]$$
$$+\frac{1}{4}[T_{11}T_{12} + T_{13}T_{14}]\exp(-2i\phi_a)$$
$$+\frac{1}{4}[T_{21}T_{22} + T_{23}T_{24}]\exp(2i\phi_a), \quad (18)$$

whereas that of the harmonic output is proportional to

$$S_s = \frac{1}{4}[T_{31}T_{42} + T_{41}T_{32} + T_{33}T_{44} + T_{43}T_{34}]$$
$$+\frac{1}{4}[T_{31}T_{32} + T_{33}T_{34}]\exp(-2i\phi_b)$$
$$+\frac{1}{4}[T_{41}T_{42} + T_{43}T_{44}]\exp(2i\phi_b), \quad (19)$$

where the shot-noise level is 1/4. Here we denote i,j component of the transfer matrix $T(l)$ as T_{ij}.

In Fig. 2 and 3, we show spectral densities of noise of the fundamental output and those of the

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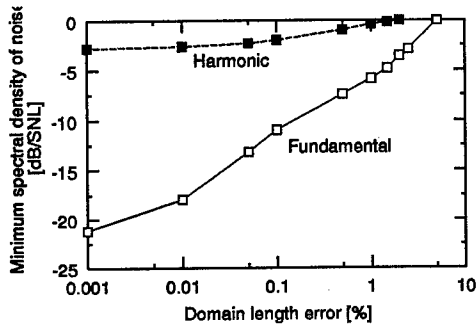
Fig.4: The minimum spectral density of noise as a function of the variation of domain lengths. SNL, shot-noise level.
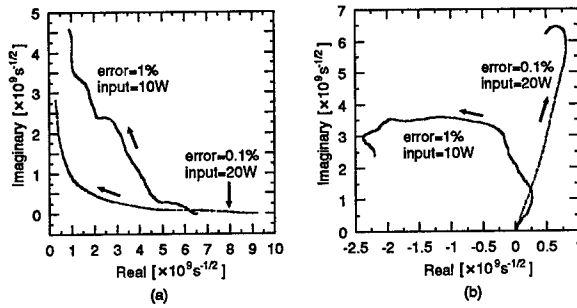
Fig.5: Spatial evolution of mean amplitude of the fundamental field (a) and that of the harmonic field (b).

harmonic output, respectively, as a function of the input power. Used parameters, which correspond to those required by the first order quasi-phase-matching in LiNbO$_3$ waveguide (cross section $S = 25\mu$m) for the harmonic conversion from 850nm to 425nm, are summarized in Table 1.

The curve for 5% variation scarcely decreases below the shot-noise level. Fig. 4 shows the minimum spectral density of noise of the fundamental output with respect to the variation of the domain length. The result suggests that the amplitude squeezing is available only if the variation of domain length is less than 5%.

To investigate the mechanism of the degradation, we consider the spatial evolution of the mean amplitudes $u(z)$ and $v(z)$, shown in Fig. 5(a) and (b), respectively. The mean amplitudes for an error of 1% experience large phase rotation due to large fluctuation of the domain lengths. As a result, the phase of the amplified/compressed component is also fluctuated, hence the compression of the quantum noise

becomes less effective.

The origin of the degradation for an error of 0.1% is more complicated. As the amplitude of the harmonic grows, the cascaded $\chi^{(2)}$ effect that forces phase rotation of the fundamental field is enlarged. Only a slight error of the domain length leads to a large phase rotation, and consequently causes the parametric amplification of the quadrature component that is orthogonal to the incident amplitude in phase. As a result, the amplitude noise of the fundamental field is no more decreased, nor reaching the theoretical limit (the fundamental: 100%, the harmonic: 50%) for birefringence-phase-matched devices.

In conclusion, we have numerically studied amplitude squeezing of a quasi-phase-matched nonlinear device used for the harmonic generation. It is predicted that the amplitude squeezing would be scarcely observed for several per cent of domain length variation. Even if the further precision was achieved, the maximum squeezing would be limited to a finite value because of parametric amplification of the quadrature amplitude.

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Memo

Numerical Study of Second Harmonic Generation in Semiconductor Waveguides

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Introduction

Since it was first observed, the exploitation of second harmonic generation (SHG) has been made difficult by technological problems, as well as finding suitable materials that meet the required phase matching conditions[1]. Besides the importance of SHG for optical storage, it has also generated interest in all optical switching using cascaded effects. Earlier research into SHG has mainly been focused on anisotropic materials where the natural birefringence of material allows the refractive indexes of the waves to be matched. Birefringent phase matching is an optical technique, based on the difference in refractive index of orthogonally polarized waves in birefringent crystals. It is thus possible to have the phase velocities of the interacting waves to be equal [2]. For a given material, the wavelength range over which birefringent phase matching can be achieved practically is very narrow, thereby limiting the use of materials which could otherwise be attractive.

Recent advances in fabricating technology have led to a growing interest in semiconductor materials with a reasonable nonlinear coefficients such as GaAs, In such materials, because of normal dispersion, the phase matching conditions cannot be satisfied since the refractive index is frequency dependent [2]. It is therefore necessary to correct the phase mismatch at regular intervals. One technique used to achieve this is known as quasi-phase matching (QPM), in which the relative phase is corrected at regular intervals by means of a structural periodicity built into the non-linear material at the stage of fabrication. The effect of this is to compensate for the phase mismatch and hence allow for coherent mixing over the entire device length. The device performance is therefore not dependent on the birefringent properties of the material, hence making it possible to use the material over its entire transparency range rather than over the range for which birefringent phase matching only is possible. Another technique is domain disordering in which the nonlinear susceptibility tensor is periodically destroyed in alternate half periods of the waveguide structure [3]. Generation of the second harmonic wave by this method is ideal for use in integrated optics due to its tightly confined modes. It also requires, however, that the waveguide parameters are tightly controlled.

In this paper we report on the use of the finite element based beam propagation method to model second harmonic generation in a waveguide of practical interest. We show how the design of such a waveguide could be optimized with respect to the various guide parameters. The finite element method has previously been shown to be a powerful, accurate and versatile method [4] and has been used to model waveguides of arbitrary guide parameters [5] and in the modelling of second harmonic generation in LiNbO3 waveguides. The modal solution for optical waveguides with 2-D confinement is best done using the vector H-field formulation, This method has been discussed in detail in earlier work [4,5]. However for the evolution of the harmonic field, the finite element based beam propagation method is most suitable. This method is discussed in detail in a recent paper [1].

Results

The waveguide under consideration is as shown in Fig. 1. Such a waveguide has an air cladding, the substrate is made of $Ga_xAl_{1-x}As$ and the core is GaAs. W is the guide width, h is the height of the rib and t is the height of the slab waveguide underneath. The refractive index of the substrate depends on

the fractional aluminum concentration. From [6] the following values of refractive indexes are obtained for the substrate and core respectively for a fractional aluminum concentration of x=0.2 at a fundamental wavelength of 1.064μm, n_s=3.13 and n_g=3.54. The fundamental field confinement obtained from modal analysis is shown in Fig. 1 for W=1.0μm, h=1.0μm, t=0.2μm. In Fig 2 the profile of the generated second harmonic field after one coherence length of propagation is illustrated.

It is well known that the generated second harmonic power depends critically on the waveguide parameters[1,7], where the generated power could be optimized with respect to the various guide parameters. It has been observed that for a guide height of h=1.0μm and rib height of t=0.2μm, greater second harmonic efficiency is obtained when the guide width W=0.8μm, where the power has been calculated after one coherence length. The guide could also be optimized with respect to both the rib height and the height of the arm for particular values of the width. Using the values thus obtained, the spot size of both the fundamental and second harmonic waves as well as the overlap between the two modal profiles for the fundamental and second harmonic waves were calculated along the propagation direction. Since the fundamental wave is assumed to be independent of the direction of propagation, its spot size remains unchanged. The variation of the second harmonic power, the spot size of the harmonic field, and the overlap between the fundamental and harmonic with propagation direction, is shown in Fig.3.

In Fig. 4 two schemes are illustrated by which greater second harmonic efficiency can be achieved in a semiconductor waveguide. The waveguide considered is as described earlier, with h=1.0μm, t=0.2μm, W=0.8μm, n_s=3.05 and n_g=3.5. In the first scheme, labelled **A**, the nonlinear coefficient is periodically reversed after each coherence length. Fabricating technology might render this technique difficult to realize and in that case a second method, labelled **B**, of domain dispersion can be considered. In this scheme, the nonlinear coefficient is destroyed in alternate half periods of the waveguide. It can be seen that the first method achieves a much greater efficiency.

Conclusion

An accurate and efficient numerical method has been described for the study of second harmonic generation in semiconductor waveguides. Although there has been an enormous amount of research work carried out to achieve optical switching using the third order nonlinearity, the unavailability of suitable material with high nonlinear coefficients has made it difficult to achieve low power optical switching. This has led to a shift of interest to the use of cascaded second order nonlinear effects for all optical switching. The numerical approach presented here will help in the study and optimization of such devices.

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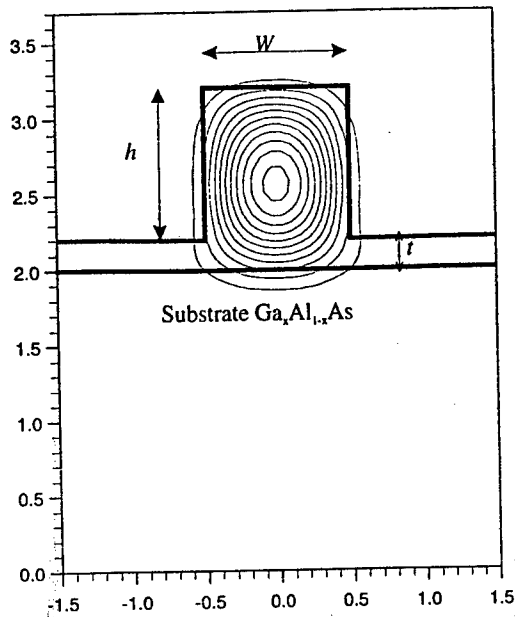
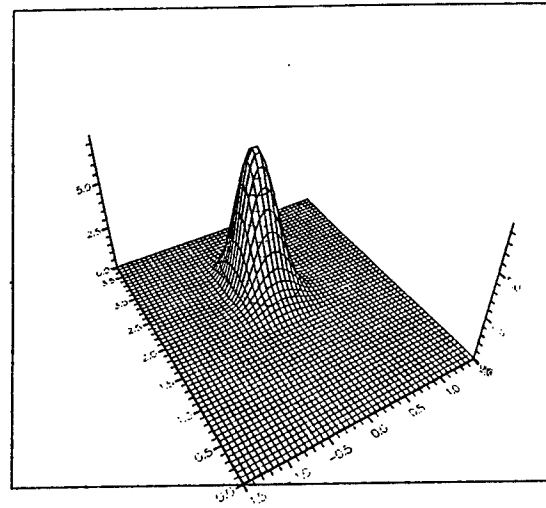Fig.1 The field profile of the fundamental mode.

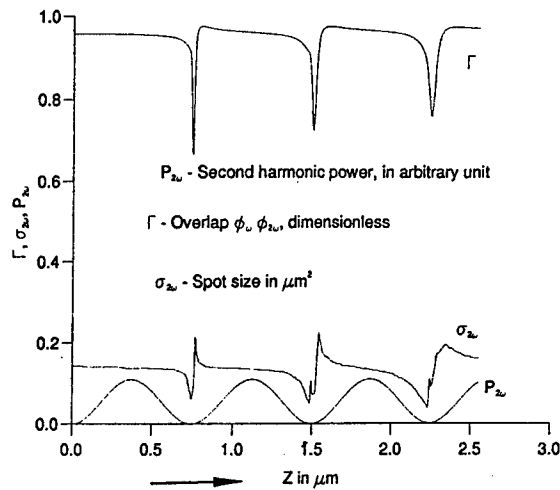Fig.2 The field profile for the second harmonic wave.

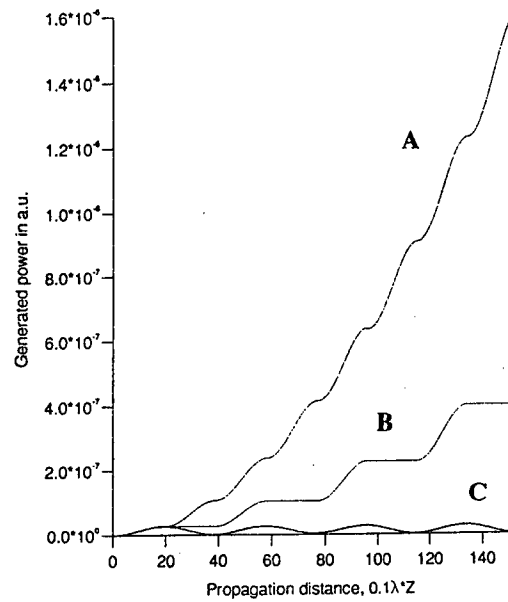Fig.3 The variation of the optical parameters along the propagation direction.

Fig.4 The phase matching scheme: (A) QPM, (B) Domain disordered, (C) with out phase matching.

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Design of all-optical logic gates in polydiacetylene PTS-clad waveguides.

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Introduction.

Analog-to-digital converters (ADC) provide an essential link between analog sensor systems and digital signal processing systems. Nowadays, electronic circuits are not able to meet the requirement of very high resolution and high signal bandwidth, because of technological limitations; eventually, advanced photonic components, and in particular all-optical devices, will improve the progress of A/D modules. In fact, sampling rates ranging from 2 to 15 Gbit/s and resolutions from 4 to 16 bits have been demonstrated [1]. With the advent of nonlinear (NL) optics and the progress on NL materials, signal with bandwidth higher than 100 GHz can now be converted. An original configuration of an all-optical ADC was proposed in [2], an integrated optic version of the electronic "flash" ADC. In this original architecture the two basic devices, the threshold (THR) and exclusive OR (XOR) gates are conceived in NL materials. Our aim has been to model and design the two gates in order to properly cascade them for the desired A/D conversion. In particular, we considered polydiatecetylene (PDA) PTS since, due to the low dimensionality and extensive electron delocalization, it posses the largest nonresonant NL refractive index n_2 known for any material [3]. This feature, along with low loss (linear $\alpha < 0.3$ cm^{-1}; NL $\alpha_2 < 0.5$ GWcm^{-1}) and sub-ps response time, make them ideal candidates for application in ultrafast logic operations [3].

A BPM/FFT approach has been used to analyze the two gates, basically slab waveguides with a third-order nonlinear cladding. Their behavior is based on the Kerr effect becoming significant at very high power. This induces a change in the cladding refractive index, such that the power initially confined in the film is deviated into the cladding, giving rise to spatial solitons [4]. The modeling results have been derived for PTS at $\lambda = 1.6$ µm. The transfer functions of the gates are here presented, along with the simulation results of the propagation along the length of the gates, for several power intensities.

Waveguide and Simulation Parameters

The architecture we considered, for both the THR and XOR gates, is a standard three-layer slab waveguide. In our analysis we considered a waveguide in PTS/Si$_3$N$_4$/SiO$_2$, a realistic choice, since waveguides with PDA on SiO$_2$/Si or Si$_3$N$_4$/SiO$_2$ have been fabricated [3]. The PTS cladding has a linear refractive index $n_c = 1.88$, and a NL refractive index $n_{2c} = 2.2 \cdot 10^{-12}$ cm^2/W along the direction parallel to the polymer chain [3]. The film and the substrate are considerable linear since their NL refractive index is four orders of magnitude lower than in PTS [3], and their refractive indices are, respectively, $n_f = 2.17$ and $n_s = 1.553$. The waveguide width $h = 0.6$ µm is used for both gates. In Fig. 1, the waveguide is presented with its oriented axes (x, z), z being the direction of propagation.

A BPM code based on an FFT algorithm has been developed and applied to model and design the gates, following the model used in [5]. The sampling step size along x and z are $\Delta x \approx 0.058$ µm and $\Delta z = 0.1$ µm, respectively. The simulation length of the device is $L = 60$ µm. The waveguide has been designed to be single-mode in the low-power linear case. Only the TE$_0$ mode has been considered, since its electric field is polarized along the y-direction of the polymer chain.

THR Gate.

For the THR we derived the transfer function shown in Fig.2a, where the output power from the film is plotted against the analog input power. To obtain such a transfer function, an additional laser is required to provide a bias power of ~ 10 MW/cm (for 1mm laser beam corresponds to ~ 0.1 GW/cm^2 < 3 GW/cm^2, the optical damage threshold. We considered a CW source, as an ideal approximation to the real case of Q-switched laser with very high output intensities (up to GW/cm^2) and pulses much longer (ps to µs) than the relaxation time (sub-ps) [3].

The pictures in Figs. 3 and 4 show the behavior of the waveguide for low (5 MW/m) and for high (30 MW/m) input power. In the former case, the TE$_0$ field remains confined inside the film, with the peak position at

x_{max} = 0.29 μm. Inversely, for the latter case, after a propagation along L, the field is inside the cladding with x_{max} = 0.79 μm. The oscillations observable in the propagation plots, are due to the instability of the nonlinear waveguide, related to the negative slope of the dispersion curves of the NL waveguide [4].

XOR Gate.

The transfer function for the XOR is shown in Fig.2b. This gate has been designed to be cascaded with a pair of THR gates for ADC applications. In fact, the XOR gate input we considered in the simulations. is the sum of the powers coming out from two THRs. The input to the XOR is indicated by the pairs (00), (01), (11), depending on whether the output from each THR is high (1) or low (0). The behavior of the XOR for input (11) and (01) is shown in Figs. 5 and 6, in which the soliton split-off from the film into the cladding is better observed.

Conclusions.

We have demonstrated the behavior of a standard slab waveguide as either a THR or XOR gate, for all-optical ADC applications. The two transfer functions suggest the possibility of high accuracy and resolution in the quantization and encoding phases of ADCs . The designed devices offer a high degree of integration. since each gate can be as short as 60 μm. The total propagation loss for a pair of cascaded gates is < 0.028 dB, considering both one-photon and two-photon absorption. The response time of the cascaded gates is of the order of ps, with comparable relaxation time and transit time (~ 0.8 ps). Hence, a terahertz signal could be eventually processed. A more detailed dynamic analysis of the devices will follow. The experimental verification of these simulations will be pursued. Additional research will also regard the analysis and design of the gates in channel waveguides, where the higher confinement requires low powers. The design and optimization of ADCs, in terms of signal resolution and conversion time and error, will be considered as well.

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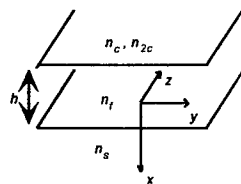
Fig.1 Waveguide structure.

a)

b)
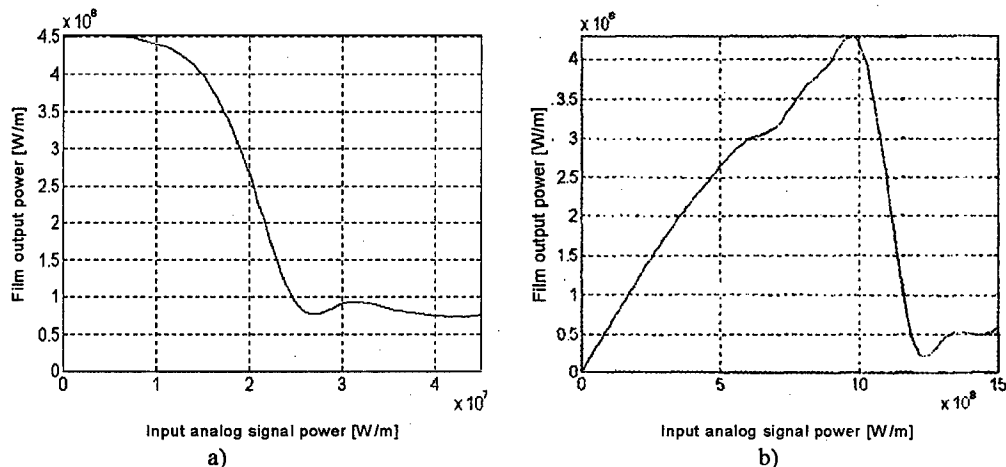
Fig.2 a) Transfer function for the THG gate; b) transfer function for the XOR gate.

$P_{in} = 5$ MW/m

a)

$P_{in} = 30$ MW/m

a)
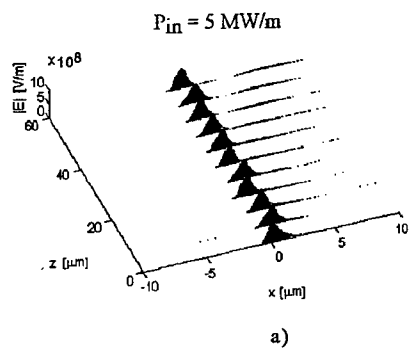
Fig.3. THG:
a) propagation of the field
b) distribution at z=60μm.
P_{in}=5MW/m.
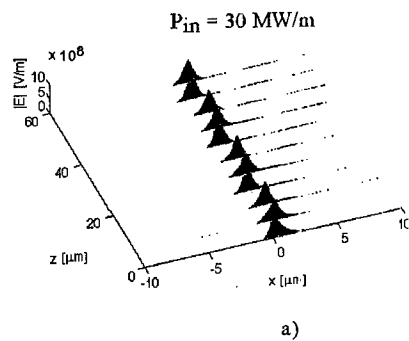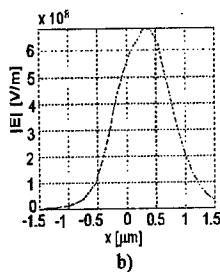
Fig.4. THG:
a) propagation of the field
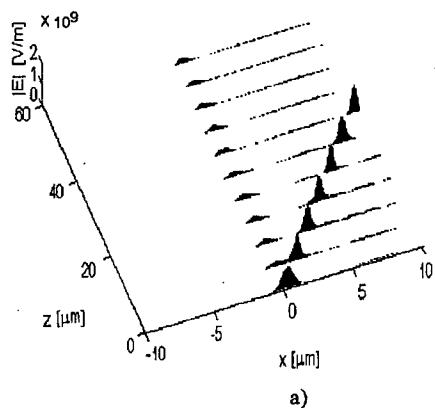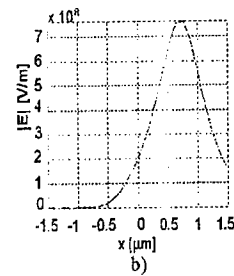b) distribution at z=60μm.
P_{in}=30MW/m.

b)

b)

a)

b)

c)
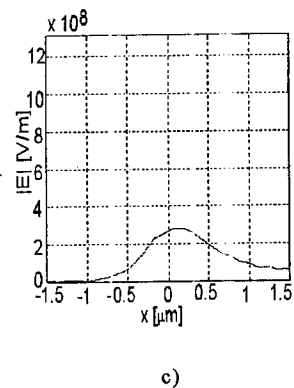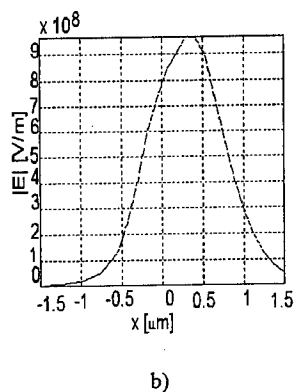
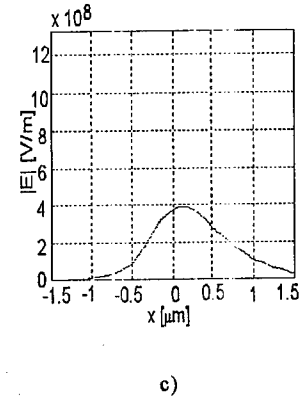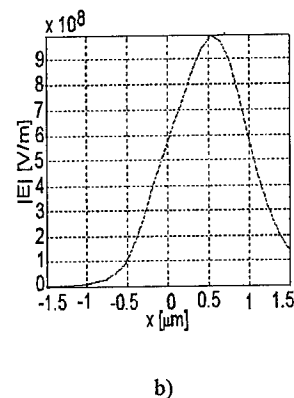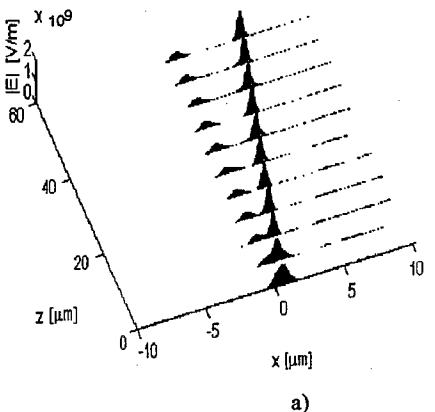Fig.5 XOR: a) field propagation, b) field distribution at input, and c) output sections, for input (1 1).

a)

b)

c)

Fig.6 XOR: a) field propagation, b) field distribution at input, and c) output sections , for input (0 1).

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Photorefractive gratings recording in reversible polymer films containing 4-keto bacteriorhodopsin under absorption saturation.

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Bacteriorhodopsin (BR) films belong to the class of reversible photosensitive media. BR has been widely investigated for use in real-time holography [1], erasable optical storage [2], dynamic holography interferometry [3], etc. These media has high photosensitivity (up to 10^{-3} J/cm^2), excellent reversibility, spatial resolution more then 5×10^3 lines/mm, and suitable interval of recording time (from 10^{-3} to 10^3s).

Usually the optical nonlinearity of bacteriorhodopsin is analyzed in terms of one photocycle and simplified two-level model of absorbing centers [4, 5]. A number of authors discuss the few-photocycles model of the BR molecule excitation [6]. After excitation with light, molecules of BR passes through several short-lived intermediates to metastable M-state. From there it relaxes thermally to the initial B-state. The nonlinear local photoresponse of BR is due to the difference of the dipole moments of the BR molecules found in the initial and photoexcited states. Because the change in the dielectric constant of the medium is proportional to the concentration of BR molecules in photoexcited state, the absorption saturation leads to steady-state amplitudes of phase grating written in BR by sufficiently intense light beams. Here we describe peculiarities of the initial stage of the grating writing under the absorption saturation, which can be explained by existence of two photocycles.

The theoretical analysis based on two-level photoexcitation model gives the stationary amplitude of fundamental spatial harmonic of photoexcited BR molecule concentration (n_1) for the contrast of interferometric pattern closed to unit in the form:

$$\frac{n_1}{n} = \frac{2I_s}{I_0}\left(\frac{1+I_0/I_s}{\sqrt{1+2I_0/I_s}}-1\right)$$

where n is the total concentration of BR molecules, I_0 is averaged intensity of writing beams, $I_s = h\nu/\sigma\tau$ is the saturation intensity, σ is the absorption cross-section of BR molecules, τ is the thermal relaxation time. Analysis of this equation shows that the stationary amplitude of the fundamental spatial harmonic n_1 has a maximum corresponding to the light intensity $I_0 = (1+\sqrt{2})I_s$. If the light intensity is more then this value, n_1 is decreased due to a considerable of the photoexcited molecules grating (Fig.1). During the recording process the photoexcited molecules grating shape goes through all its stages from sinusoidal form at low amplitude to a little curved form at the maximum of its

fundamental spatial harmonic, and then to the strong shape curvature at smaller amplitude of the fundamental harmonic.
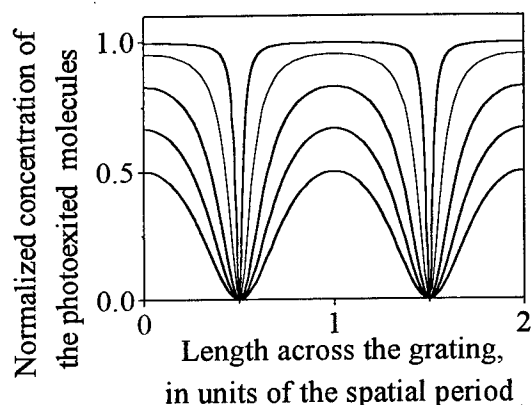

Fig.1. Numerical simulation of the shape of the photoexcited molecules grating. Curves from bottom to top corresponds to the light intensities of 0.5, 1, 2, $1+\sqrt{2}$, 10 and 100 times of saturation intensity.
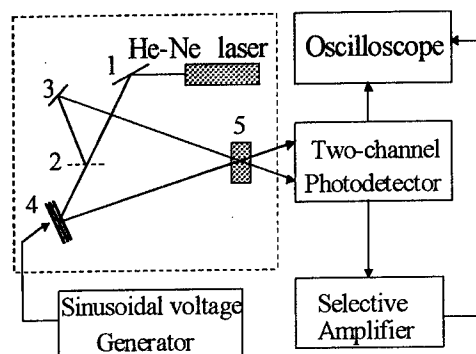
Fig.2. Experimental setup of the holographic interferometer utilizing phase-modulated beam method.

The experimental study of photorefractive grating recording in polymer films containing 4-keto BR was done using the high sensitivity phase-modulated beams method [5], which consist in the study of spectral composition of the output beams oscillation upon a high-frequency ($\Omega \gg 1/\tau$, where τ is the relaxation time) harmonic modulation of the mutual phase difference of the writing beams. The relative amplitudes of the first harmonic I_Ω/I_0 of the intensity modulation of output beams is proportional to the recorded phase gratings amplitude averaged over the interaction length of the beams. The experimental setup of the two-beam interferometer utilizing phase-modulated beams method for experimental studying of photoresponse is shown in Fig. 2. To record photorefractive grating being studied, radiation from He-Ne laser was divided by semitransparent plate 2 into two beams $I_{+1} = I_{-1} = I_0/2$, which were sent by mirrors 3 and 4 to BR-containing polymer film 5. Mirror 4 was attached to an electromagnetic modulator, which was connected to a sinusoidal voltage generator for the output voltage frequency of Ω. For decreasing a laser noise influence a two-channel differential photodetector and a selective amplifier were used. Photodetector output voltage of the interferometer at the first harmonic of the external phase modulation is proportional to the averaged refractive index grating amplitude.

The experimental results describing the refractive grating recording were obtained for polymer films containing 4-keto BR [4]. This BR-variant was prepared by replacement of the all-trans-retinal of native chromoprotein with the 4-keto retinal analogue. The measurements were taken under continuous 543 nm laser intensity of 5 mW/mm^2. In fact, after a preliminary dark adaptation we observed two independent maxima of the interferometer output signal amplitude. These maxima have a difference of a photoresponse time of two orders (Fig.3a). Such behavior of the grating recording can be

explained by superposition of the photoresponses corresponding respectively to the fast and to the slow photocycles. The fast photocycle defines the first maximum of the photoexcited molecules grating, the slow photocycle defines the second maximum. The saturation absorption effect is observed for both photoexcited molecule gratings.

There was made also a numerical simulation for the initial stage of grating recording at the average light intensity corresponding to 20 times of the saturation intensity for the first photocycle and at the relaxation time difference of 100 times (Fig.3b). It is easy to see that the shape of the theoretical curve obtained for specified above parameters looks like the shape of the interferometer output signal envelop.

a   b
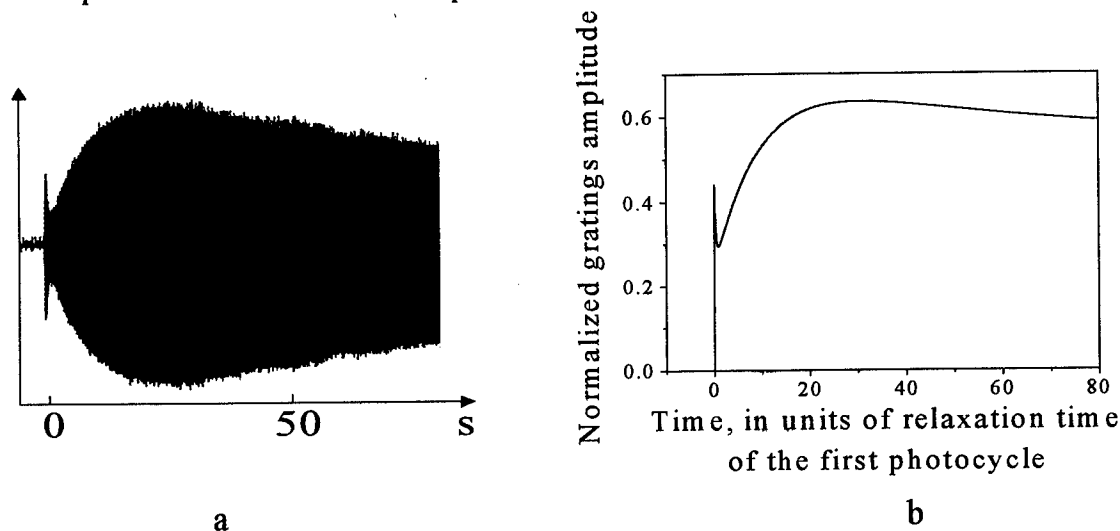
FIG.3. Temporal dependence of the output interferometer signal at the first harmonic of the phase modulation frequency (a) and the numerical simulation of the fundamental spatial harmonic of the photoexcited molecules concentration grating (b) for the initial stage of the grating recording.

Thus, it is experimentally showed that under the absorption saturation there are observed two maxima of the photoresponse during initial stage of the photorefractive grating recording in the film containing 4-keto BR. This effect can be explained using two-photocycles model of photoexcitation of BR molecules. These two photocycles determines different photoresponse amplitudes for the very different relaxation time.

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All-fiber optical switch based on Raman scattering

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Fiber Sagnac interferometers, known also as the nonlinear loop mirror (NOLM), have been proposed for all-optical switching owing to their excellent stability and simplicity of fabrication [1,2]. The standard NOLM uses cross-phase modulation between co-propagating control and signal pulses to switch a signal pulse from one arm to the other. Since operation of this device depends on phase relation between two counter-propagating pulses, the NOLM transmission is an oscillating function of the control pulse power. Here we demonstrate for the first time to our knowledge a nonlinear optical switch based on the Raman effect in a Sagnac loop with highly-GeO2-doped fiber. In addition to providing all-optical switching, this device also amplifies the signal pulse. Because the Raman amplification dominates over the Kerr effect in highly-germanium-doped fibers, the transfer function of this switch exhibits a behavior different to that of the purely Kerr switch.

The experimental setup is shown in Fig.1. A mode-locked Nd-YAG laser with pulses of 120 ps length at wavelength of 1064 nm was used in order to generate signal pulses at the first Stokes wavelength. Pump pulses with peak power of 130 W produced Stokes signal pulses in 20 mol.% germanium-doped fiber of 6m length. A wavelength division multiplexer (WDM) with a coupling ratio of 97:3 for the pump wave and of 1:99 for the Stokes wave was used in the optical scheme in order to separate control (pump) and signal (Stokes) pulse trains. An attenuator and a polarization controller were placed in the upper arm in order to vary control pulse intensity. The loop consisted of 16m length of the 20mol.% GeO2-doped fiber (fiber 2) with a core radius a=1.4 μm and a numerical aperture of 0.27.Taking into account the phase shifts of the fields into a coupler, the transmission transfer function of a 50:50 loop can be written as

$$T=0.25 \beta \ [1+\exp x -2\exp(x/2) \cos \alpha x]$$

where $\alpha=2kn_2/g$, $x=gI_pL$, k is the wavenumber of the signal pulse, n_2 is the nonlinear Kerr coefficient, g is the Raman gain, L is the length of the fiber 2, I_p is the control pulse intensity in the fiber 2, β is the total loss coefficient. Coefficient α characterizes the ratio between the Kerr effect and the Raman amplification. We have analyzed the α coefficient behavior with germanium concentration growth. The Raman gain in GeO_2-doped silica glass is proportional to its doping ratio and may be presented as

$$g_{max}(cm/W)=8.83\times10^{-12}(1+0.08 \ X)$$

where X(mol.%) is the germanium concentration. Assuming that the nonlinear refractive index
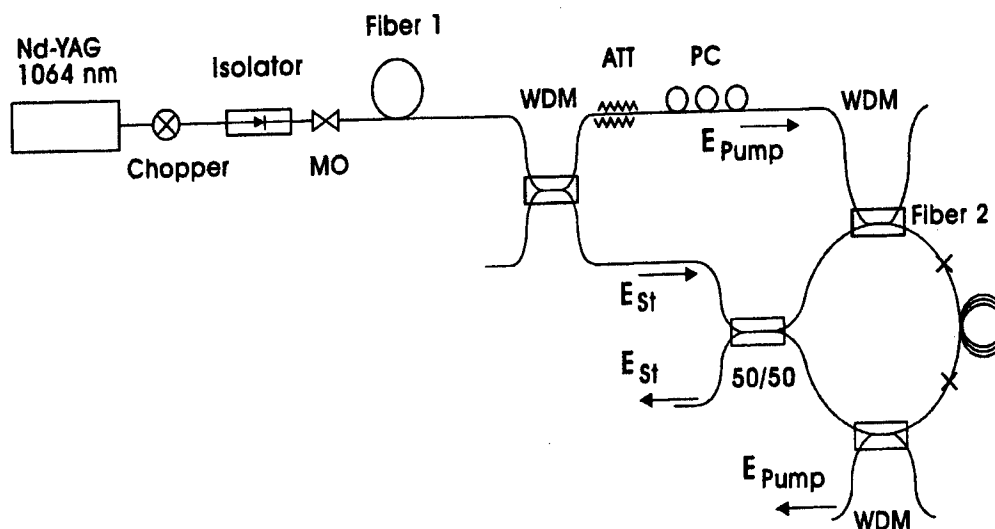
Fig. 1. Experimental setup.

of the germanium-doped silica changes linearly with the amount of dopant, α is given by

$$\alpha=3.45 \ (1+0.02 \ X)/(1+0.08 \ X)$$

An increase of germanium concentration lowers the α coefficient. The α value changes from 3.45 for pure silica to 1.62 for 30mol.% GeO_2- doped silica. At greater values of this coefficient the loop transfer function is an oscillating function of the switching pulse power. With the germanium concentration growth stimulated Raman scattering prevails over the Kerr effect in the transfer function.

The experimentally obtained transfer function is presented in Fig.2 as a function of a normalized Raman gain $g_{max}I_pL$. The signal pulse growth owing to the Raman amplification in highly-germanium-doped fiber occurs more rapidly than phase changes caused by cross-phase modulation. As a result, the transfer function oscillations become less pronounced, resulting in an exponential growth at greater Raman gains. The control pulse power, which is necessary in order to switch the signal pulse and get an amplification factor of 2.75, is of the same order as for the pure Kerr switching.

In conclusion, we have demonstrated a new device for all-optical switching, based on stimulated Raman scattering and the Kerr effect in a fiber loop mirror. Raman switching is shown to dominate over Kerr switching in the loop with highly-germanium-doped fibers. An optical switching of picosecond pulses with an amplification factor of 10 have been

demonstrated in 20mol.% GeO_2-doped fiber. This required a control pulse intensity of only ×2.35 the intensity required for purely Kerr switching.
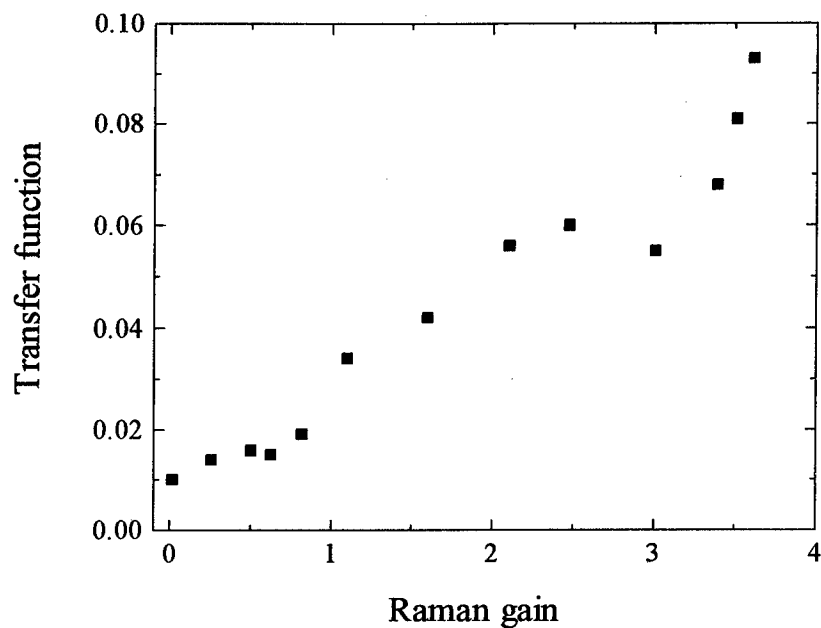
Fig. 2. The NOLM transfer function over Raman gain.

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Spontaneous vortice arrays formation in broad area vertical cavity semiconductor lasers

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The formation of a variety of stable complex transverse light patterns in nonlinear optical cavities was studied [1-2]. A laser, which is based on a nonlinear resonator, can emit spontaneously some of these patterns [3]. Vertical cavity surface emitting lasers (VCSELs) - unique within the family of semiconductor lasers by having a 2-dimensional resonator – may emit these patterns and thus can serve as a tool for the exploration and exploitation of these fields. The patterns described here, consisted mainly of ordered arrays of optical vortices, and are shown to stem from the non-linear induced mode-locking of transverse modes. Within the laser medium the field is consisting of arrays of vortex solitons.

We examined experimentally the transverse intensity patterns emerging from a proton implanted, broad area (20μm diameter) VCSELs with three 8nm $In_{0.2}Ga_{0.8}As$ wells, emitting at ~0.95μm[4]. The near-field and the spectrally resolved near-field intensity patterns were examined at room temperature under CW operation.

As the injection current was increased, the near-field lasing pattern switched from the conventional modes (e.g. Hermit-Gaussian mode) to a "single mode" but with a more complex pattern, characterized by a grid of "dark" peaks rather than the grid of "bright" peaks of a conventional mode. The first complex pattern family to emerge (2p+l=2 as explained below) (Fig. 1), had patterns with field singularities of charge "0" or "2". Increasing the current further resulted in patterns consisting of combinations of functions from the 2p+l=3 family (Fig. 2), characterized by singularities of charge "1" or "3" and include arrays of 3, 5, 7 vortices. Increasing the current further resulted in a multi-mode operation – yet the near-field preserved its high regularity, exhibiting arrays of dark hexagons (Fig. 3).

To understand the evolution of the complex sequence of patterns, we modeled a broad area circular VCSEL. The transverse field was represented as a combination of the Gauss-Laguerre functions:

$$A_{p0}(r,\varphi) = \frac{2}{\sqrt{2\pi}} L_p^0 (2r^2) \cdot e^{-r^2}$$

$$A_{plj}(r,\varphi) = \frac{2}{\sqrt{2\pi}} (2r^2) \cdot \left(\frac{p!}{(p+1)!} \right)^{\frac{1}{2}} \cdot L_p^1 (2r^2) \cdot e^{-r^2} \times \begin{cases} e^{+il\varphi} & j=1 \\ e^{-il\varphi} & j=2 \end{cases}$$

where p, l are the radial, angular index, and L_p^1 are Laguerre polynomials. Modes satisfying $2p + l = const$, are degenerated and have the same propagation constant.

The VCSEL non-linear rate equations,

$$\dot{E}_n = \frac{c}{\mu} \cdot \left\{ \mathrm{Re}\left[\left(\int g \cdot |\Psi_n|^2 ds - \alpha_{tot}\right) \cdot E_n\right] + \mathrm{Re}\left[\sum_{m \neq n} E_m \cdot \int g \cdot \Psi_m \cdot \Psi_n^* \cdot e^{i(\Phi_m - \Phi_n)} ds\right]\right\}$$

$$+ \frac{c}{\mu} \cdot R \cdot \mathrm{Im}\left[\sum_{m \neq n} E_m \cdot \int g \cdot \Psi_m \cdot \Psi_n^* \cdot e^{i(\Phi_m - \Phi_n)} ds\right]$$

$$\dot{\Phi}_n = \frac{c}{\mu} \cdot \left\{\frac{1}{E_n} \mathrm{Im}\left[\sum_{m \neq n} E_m \cdot \int g \cdot \Psi_m \cdot \Psi_n^* \cdot e^{i(\Phi_m - \Phi_n)} ds\right] - R \cdot \int g \cdot |\Psi_n|^2 ds\right\}$$

$$g = \frac{\Gamma \cdot \alpha \cdot (N - N_{tr})}{1 + \varepsilon \cdot \mu \cdot \frac{\varepsilon_0}{2 c k_0 \hbar} \cdot |E|^2}$$

$$\dot{N} = \frac{J}{ed} - \frac{N}{T_1} - \frac{2}{k_0 \hbar} \varepsilon_0 \cdot \alpha \cdot (N - N_{tr}) \cdot |E|^2$$

E_n, Ψ_n, Φ_n - the nth field coefficient, phase, modal field and N - the carrier density, were solved assuming the field is a linear combination of the Gauss-Lagurre functions within a particular degenerated family. It should be mentioned that these functions are not the self modes of the actual laser, thus their degeneracy is removed. However here comes the non-linearity of the laser – mainly the index of refraction accompanying the gain saturation and induces the locking of the close-to-degenerate mode family, which will be described in details. Thus a stable solution, supported by the non-linearities of the VCSEL, was a transverse mode-locked solution consisting of a specific mode combination within the family. When varying the current density J or its lateral extent σ, a different combination of the family modes was mode-locked (see Figs. 1-2 theoretical part).

The theoretical results agree with the experimental observations as can be seen from comparing A and B of Figs. 1,2 and the dark spots were confirmed to have phase singularities. We will present the turn-on mechanism of the mode-locking by the laser non-linearities and the coupled non-linear wave equation extracted from the non-linear rate equations - supporting vortex soliton arrays as stable solution. It should be emphasized that the formation of these specific patterns is generic rather than specific since the same patterns were obtained for passive non-linearity as well as for Sodium laser where the non-linear mechanisms were substantially different.

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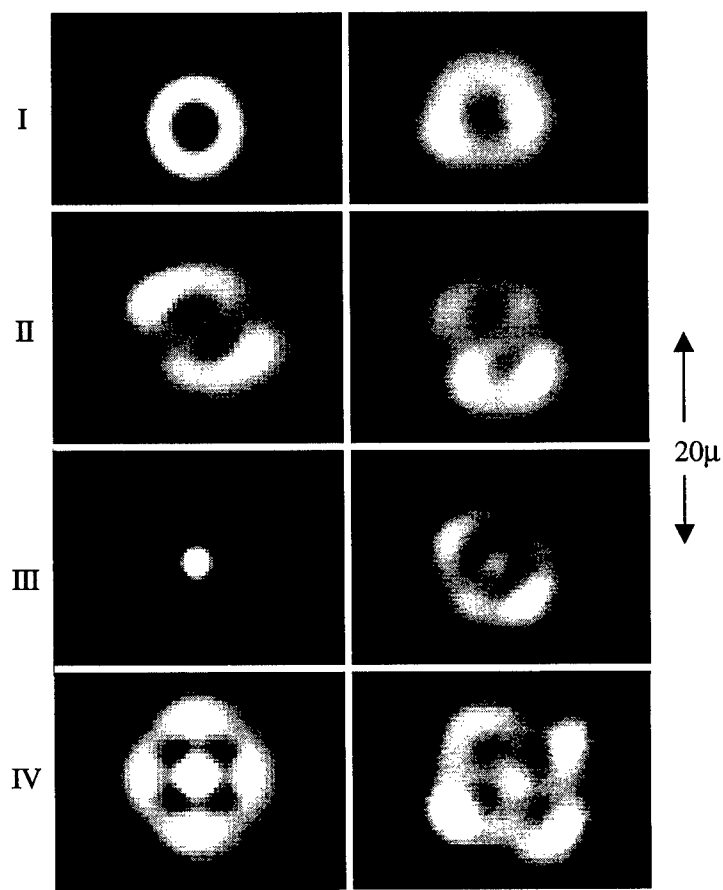
Figure 1 – patterns of the 2p+l=2 family;
theoretical (A) and experimental (B);
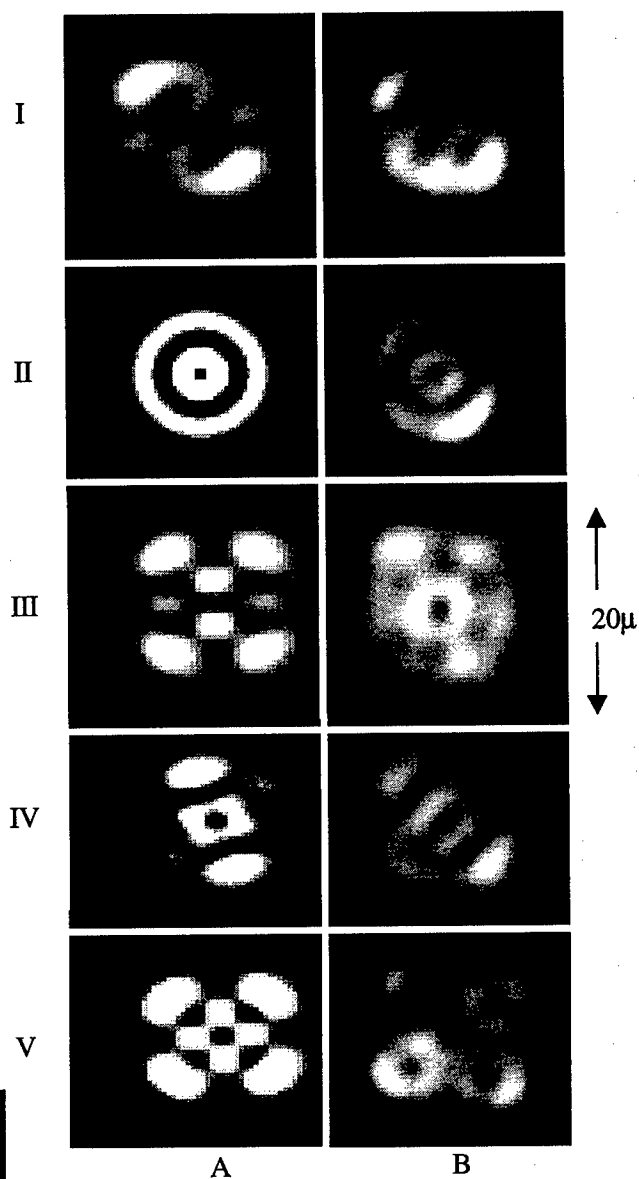
Figure 2 - patterns of the 2p+l=2 family;
theoretical (A) and experimental (B);
25mA<I<29mA
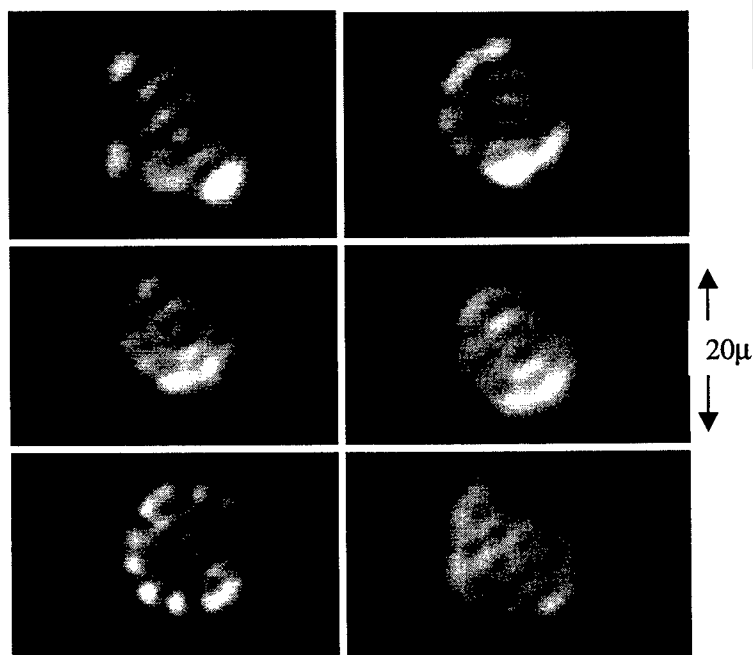
Figure 3 – multimode patterns; I>30mA

Enhancement of Nonlinear Optical Properties through Supramolecular Chirality

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Second-order nonlinear optics (NLO) requires materials that are noncentrosymmetric on a molecular and macroscopic scale. Usually such materials are designed by incorporating noncentrosymmetric chromophores into a noncentrosymmetric macroscopic structure such as poled polymer films, Langmuir-Blodgett films or crystals. The nonlinear optical properties can be improved by optimizing the molecular NLO response or the alignment of the chromophores in the macroscopic structure. In this paper, we demonstrate a new approach to optimize the NLO properties of a material by using supramolecular aggregation and chirality.
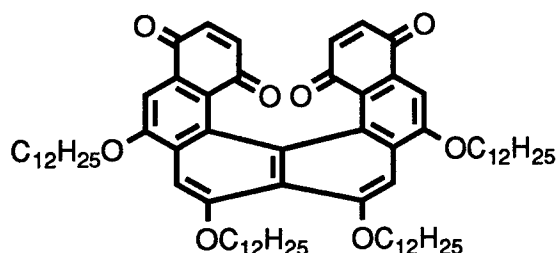
Fig. 1: chemical structure of the helicene molecule.

The system we studied is a chiral helicene (Fig. 1) which exhibits extraordinary properties: in concentrated nonracemic dodecane solutions it forms long corkscrew-shaped aggregates with enhanced chiral properties as compared to the non-aggregated form. In solid samples, these aggregates self-organize from the melt into long macroscopic fibers. In racemic solutions and solid samples, the helicene does not form aggregates and visible fibers[1,2].

We investigated Langmuir-Blodgett films of the helicene. Both racemic and nonracemic samples of the helicene were spread from dilute chloroform solutions onto the water subphase of a Langmuir-Blodgett trough. Multilayer X-type films were prepared by the horizontal dipping method. The films obtained were of good optical quality. An AFM study showed that both racemic and nonracemic films are composed of fiberlike supramolecular aggregates of several tens of nm long and 5 nm diameter. The diameter of these structures closely corresponds with the diameter of the helicene molecule (4.4 nm). Only the nonracemic film exhibits extremely strong chiral effects, as observed by optical rotation and circular dichroism measurements.

Second-harmonic generation (SHG) from the films was measured in transmission with a Nd:YAG laser. The fundamental beam was incident on the sample at an angle of 45°. The nonracemic films exhibited strong SHG which increased quadratically with the number of layers, indicating good film quality and X-packing arrangement. On the other hand, SHG from the racemic films was very weak. The highest SHG-signal from a 1 layer nonracemic film was more than three orders of magnitude higher than the signal from a racemic film. This is a suprising result, since in both films the individual molecules have the same structure and are organized in similar aggregates.

The surface symmetry of the highly efficient nonracemic films was determined by rotating the film about the surface normal while monitoring the second-harmonic signal. The azimuthal rotation pattern for p-polarized fundamental and s-polarized second-harmonic light (Fig. 2) has a 180° periodicity, which indicates a chiral C_2-symmetry. Note also, that for an achiral sample, this particular signal must vanish for some azimuthal angle. Therefore, the rotation pattern implies that chirality contributes significantly to the nonlinearity of the film.
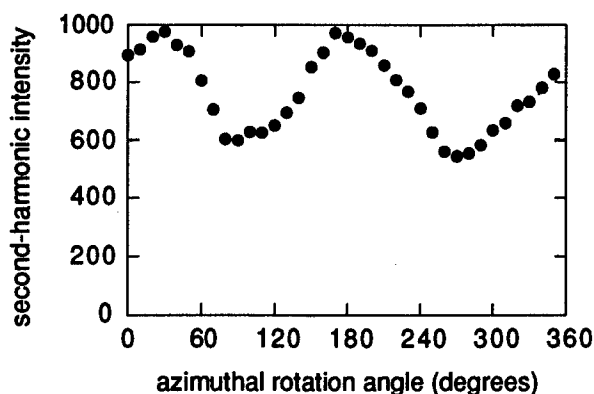
Fig. 2: Azimuthal rotation pattern for p-polarized fundamental and s-polarized second-harmonic light.

Using a recently developed measuring procedure, we were able to determine relative values of all susceptibility components of a 5-layer nonracemic film[3]. Because of the low symmetry of the film, the choice of coordinate system is not obvious. We fixed the z-axis parallel to the surface normal, while the x and y axes were arbitrarily chosen in the plane of the film. Since the choice of coordinate system affects the values of the susceptibility components, we calculated combinations of susceptibility components that are invariant under rotation about the surface normal. These are given in Table 1. From Table 1 it is clear that the nonlinearity is dominated by a combination of chiral tensor components, which are an order of magnitude larger than the achiral components. If we assume that both racemic and nonracemic films are composed of similar supramolecular units, the enhancement in SHG from the nonracemic film can easily be explained in terms of the chiral and achiral components. In the nonracemic film, the dominant chiral tensor components add up. On the other hand, in the racemic films these components average to zero and only the weak achiral components survive. Therefore, the SHG effciency of the nonracemic film is several orders of magnitude higher than that of the nonracemic film. Hence, we

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conclude that supramolecular chirality can be profitably used to enhance the nonlinear optical response of thin films.

Table 1: (complex) relative values of the nonvanishing combinations of tensorcomponents of a nonracemic film. The chirality classification indicates if a certain component is only allowed in a chiral sample (chiral) or not (achiral). For simplicity, the susceptibility components are represented by their cartesian indices.

tensor component	chirality classification	relative value
zzz	achiral	$-4.8-1.8i$
zxx+zyy	achiral	$7.2-1.6i$
xxz+yyz	achiral	$1.8+0.4i$
xyz-yxz	chiral	$61.1+3.7i$
zxy	chiral	$3.5-1.2i$

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Computational Modeling of
Vertical-Cavity Surface-Emitting Lasers

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http://www.nas.nasa.gov/cning

Vertical-Cavity Surface-Emitting Lasers (VCSELs) have become increasingly important for many applications, such as optical interconnects and optical data storages. In many applications, critical requirements are very often high beam quality and/or high output powers. To help the design and optimization of VCSELs for such applications, it is essential to have a simulation model that includes the time evolution of the transverse space dependence of the beam. Such models allow arbitrary transverse profiles of laser intensity or carrier density to develop in time without any a priori assumption about the number and types of transverse modes. In addition, extensive research [1] in the past has shown that many-body effects are important in influencing the optical gain and the refractive index of semiconductor quantum wells. It is thus important to include the key many-body effects in simulation models for semiconductor lasers.

There are basically two approaches to developing models with the above features. The first is to solve the coupled Maxwell-Semiconductor Bloch equations [1] directly. This has been done either with an envelope equation or with the original Maxwell-Semiconductor Bloch equations [2-4]. An example of the past simulation based on this second approach for the case of femtosecond pulse propagation is shown in Fig.1. However, due to the time scales and the large set of equations involved, it is only feasible to solve this set of equations for a time development of up to picoseconds for a 1-dimensional simulation. It becomes increasingly impractical to simulate semiconductor lasers for a time development longer than nanoseconds, at which time scale most of the interesting features of lasers occur.

An alternative model, called Maxwell-Effective Bloch Equations (MEBE) was developed recently [5]. This model combines the space-time resolution with microscopic many-body effects in a way that is both accurate enough and computationally manageable. This model has been used to simulate edge-emitting high power lasers recently [6,7]. We note that different models based on rate equations were used by other researchers [9] to simulate multi-mode dynamics of VCSELs.

In this paper, we report our recent progress in applying this model to VCSELs. In our approach, the MEBE-model is adapted to VCSEL situation by assuming a fixed mode profile along the longitudinal direction in VCSELs. This is a valid approximation for VCSELs since after about a picosecond, the longitudinal mode structure is established [8]. Not much deviation from the assumed mode structure occurs thereafter, because of very large mode spacing between the adjacent longitudinal modes. The resulting equations [5] are given as follows:

$$\frac{n_g}{c}\frac{\partial E}{\partial t} = \frac{i}{2K}\nabla_\perp^2 E - \kappa E + \frac{iK\Gamma}{2\epsilon_0\epsilon_b}(P_0 + P_1) \tag{1}$$

$$\frac{dP_j(t)}{dt} = [-\Gamma_j(N) + i[\delta_0 - \delta_j(N)]]\, P_j(t) - i\epsilon_0\epsilon_b A_j(N)E(t) \tag{2}$$

$$\frac{dN}{dt} = \nabla_\perp D_N \nabla_\perp N + \frac{\eta J}{e} + \frac{L\Gamma}{2}\frac{i}{4\hbar}\left[(P_0 + P_1)^* E + (P_0 + P_1)E^*\right] \tag{3}$$

where E and N are laser field envelope and carrier density, respectively. P_0 and P_1 are effective material polarizations constructed from microscopic theory [5]. J is the pumping current density, $\nabla_\perp^2$ is the Laplacian in the transverse plane, Γ is the confinement factor, and L is the cavity length. Many-body effects and quantum well structure information are contained in the density dependent coefficients Γ_j, δ_j, and A_j [5]. In our simulation, we first compute the optical gain and refractive index using the microscopic many-body theory including the realistic bandstructure for the given quantum well structure. A sample new result of the calculated gain is shown in Fig. 2. The parameterization of the computed gain and refractive index in terms of Lorentzian oscillators allows determination of these density dependent functions. The other parameters are well-known and explained elsewhere [6].

A new finite-difference algorithm has been developed for solving Eqs. (1)-(3) and will be shown in the presentation. That algorithm will be used to calculate the detailed time evolution of the transverse mode profiles of VCSELs. The VCSELs are based on InGaAs/GaAs quantum well structures. Effects of pumping current and refractive index profiles will be investigated in detail. The simulation results will be compared with other results the use the rate-equation model.

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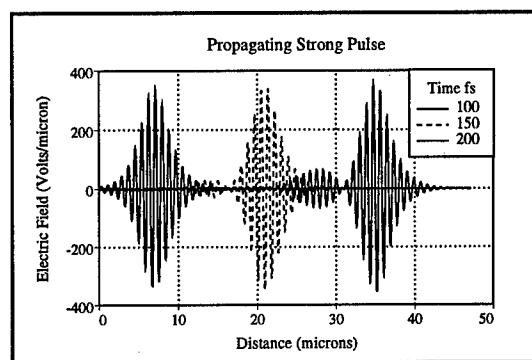
Fig. 1. Nonlinear effects on pulse propagation
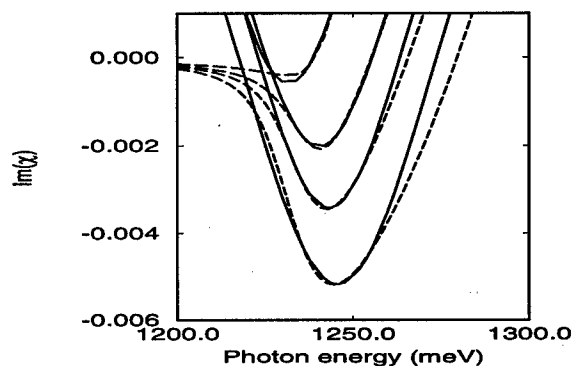
Fig. 2. Computed gain spectra (dashed lines) and parameter gain spectra (solid lines)

Large Second-Order Susceptibility in Poled ZF$_7$ Lead Silica for Sum-Frequency Generation

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Second harmonic generation from a poled glass causes a wide attention due to its application prospect and its mechanism. By poling the second order susceptibility (SOS) in the surface layer of glass at anode side can be comparable with that in a KDP crystal.[1-4] There is also another method for changing the glass surface layer into the second order of nonlinear material is by scanning electron beam on it.[5]

We report the SOS in poled ZF$_7$ lead silica is as large as to 7 pm/V by calibrating with a quartz plate, which is seven times of $\chi^{(2)}_{123}$ in KDP crystal, the largest value obtained in the poled glass. As we know, it is the first time to use a poled glass in generating the sum-frequency at 355 nm, with the pumping lights at 1.064 μm and 0.532 nm. The SOS in lead silica glass has an exponential relation with the lead percentage.
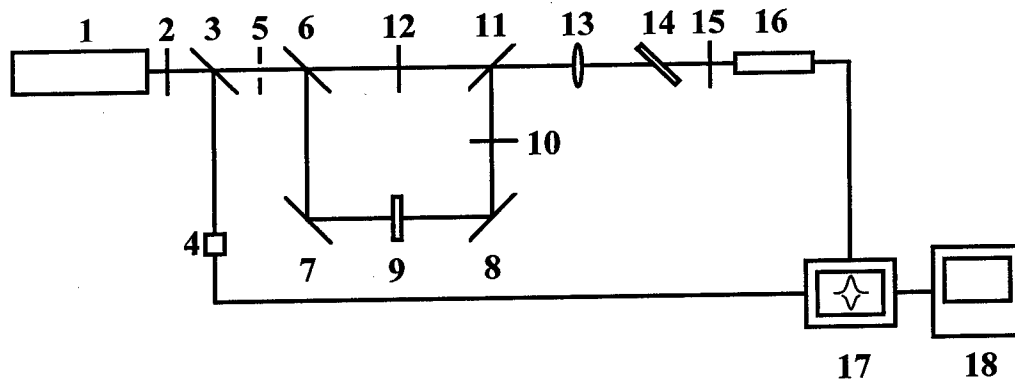
Fig. 1 The experimental arrangement for sum-frequency generation

The method for poling a glass was described in the many previous papers such as Ref.1- 3. The poling temperature, voltage and duration in our experiments are 350° C, 3.5 kV and 15 min respectively. In sum-frequency generation (SFG) the pumping lights are 1.064 μm from a Nd^{3+}: YAG laser with the pulse width of 33 ns in 10 Hz, and its frequency doubling, 0.532 nm. Fig. 1 is the diagram of the experimental arrangement for measuring SFG and that for detecting SHG is the same equipment except that there is no output at 0.532 nm from the Nd^{3+}:YAG laser by switching the laser into another operational mode. (1) is Nd^{3+}: YAG laser, (2) glass filters, (3) beam splitter, (4) photodiode, (5) aperture, (6) and (11) are dichromatic beam splitters, (7) and (8) mirrors, (9) is half-wave plate at 0.532 nm, (10) and (12) are attenuation plates, (13) is lens, (15) filters, (16) photomultiplier (PMT), (17) storage oscilloscope, (18) computer, (14) sample.

By splitting the green light from the Nd^{3+}:YAG laser beam with a dichromatic mirror (6),

through which the pumping light at 1.064 μm transmits with the transparency of 90 % and on which the pumping light at 0.532 nm is reflected with a reflectivity 99 %. Then the green light is reflected from a mirror (7) to pass through a half-wave plate (9) for rotating its polarization direction into the horizontal direction for getting the maximum output of sum-frequency. The polarization direction of infrared light does not change, which is parallel to horizontal direction. At last two pumping lights are mixed into one single beam again by another dichromatic mirror (11), and the lens (13) with focus length of 10 cm focusses the pumping lights onto the sample.

The incident angle of light beam on a sample is about 60 degree. The focus point is adjusted according to the infrared light, where the size of 1.064 μm light is about 40 μm. It is a distance away from the focus point of green light for enlarging its spot size. Before the entrance of PMT there are three pieces of filters (15). Two are glass filters for blocking up the pumping lights at 1.064 μm and at 0.532 nm and one interference filter at wavelength of 0.355 nm with the band width of 10 nm is near the PMT (16).
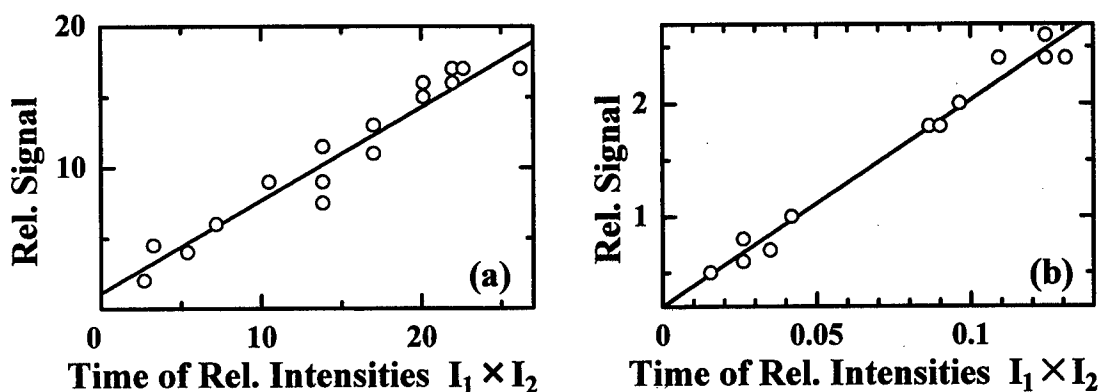
Fig. 2 The relations between the sum-frequency signal and the multiplier between the light intensities at 1.064 μm and 0.532 nm in silica (a) and in ZF$_7$ glass(b).

The SFG is described in many books.[6] The relation between the sum frequency signal at 0.355 nm and the multiplier between the intensities of the pumping light at 1.064 μm and at 0.532 nm in a pure silica, Fig.2a, and in a ZF$_7$ glass, Fig. 2b. The SFG in pure silica is for a comparison. They should be linear functions from the equation below.[7] The pure silica used in our experiment is Chinese silica made by gas-fusing, the transmittance band of which, to 160 nm, is close to that of Suprasil silica by Schott Glass in Germany and its poled SOS is 0.2 pm/V at the poling condition indicated above.

$$I_s = \frac{512\pi^2 I_1 I_2 (\chi L)^2}{n_1 n_2 n_s \lambda_s^2 c}\left(\frac{\sin\frac{2\Delta kL}{2}}{\frac{2\Delta kL}{2}}\right)^2 \qquad (1)$$

where I_s is the intensity of sum-frequency, and I_1, I_2 are those of the pumping lights, n_s is the refractive index of sum-frequency, and n_1, n_2 are those of the pumping lights in the material, L is the active depth, λ_s the wavelength of sum-frequency, c the light velocity in vacuum, χ the SOS of the sample, $\Delta k L$ is the phase missing in sum-frequency process. $(\chi L)_Z$ and $(\chi L)_S$ are proportional to the square root of the line slopes in Fig. 2 and their ratio can be got by an approximation of neglecting the phase factor for the active distance within the coherent length.

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$$\frac{(\chi L)_Z}{(\chi L)_S} = (\frac{n_{1Z}n_{2Z}n_{sZ}}{n_{1S}n_{2S}n_{sS}})^{1/2} \frac{\lambda_{sZ}}{\lambda_{sS}}[(\frac{I_{sZ}}{I_{1Z}I_{2Z}})^{1/2} (\frac{I_{sS}}{I_{1S}I_{2S}})^{-1/2}] \qquad (2)$$

where Z, S denote ZF_7 glass and pure silica respectively.

There are two sets of attenuation plates for changing the light intensities in either route.   The intensity of the sum-frequency is detected by the PMT (16) and its signal is stored in a storage oscilloscope (17) and then a computer (18) treats it.   The maximum intensities of the green and infrared lights in pure silica can reach 1.1 and 1.3 mJ respectively. The damage threshold of ZF_7 glass is much lower than that of pure silica so that the maximum light intensities are reduced to 1/5 and 1/40 of those in pure silica respectively.   By etching in a dilute solution of HF, 0.5 % in weight, the glass surface can maintain the original optical quality.   The layer depth in the poled ZF_7 glass is 2.8 μm and that in the poled pure silica 12 μm. The coherent lengths calculated are 1.8 μm in ZF_7 glass and 10 μm in pure silica for SFG, and those for SHG are 7 μm in ZF_7 glass and 22 μm in pure silica.   The coefficients before square bracket at the right side of Eq.2 are 0.93 and 0.91 for SFG and for SHG respectively.   From Fig. 2 and Eq. 2 we have $(\chi L)_Z$ / $(\chi L)_S = 5.3$, and we get $\chi_Z = 29 \chi_S$ for SFG by considering the active distances of 1.8 μm in ZF_7 glass and 10 μm in pure silica.   The signal ratio in both materials from SHG is 49, and so $(\chi L)_Z$ / $(\chi L)_S = 6.4$.   Thus, we have $\chi_Z = 27 \chi_S$ by considering that the active distances are 2.8 μm in ZF_7 glass and 12 μm on pure silica glass.   The differences of χ_Z and χ_S from SFG and SHG are within the measurement errors.

By scanning the electron beam on the lead silica we obtained that their SOS are proportional to the lead percentage and the maximum value of SOS is 4 pm/V for ZF_7 glass,[8] but from the poling results the relation between SOS and the lead percentage is exponential.   By poling we obtained a large SOS, 7 pm/V from ZF_7 glass for the lead percentage of 70.93 %.   The SOS in a poled ZF_6 lead silica under the same poling condition is only 0.2 pm/V, or 3 % of that of the poled ZF_7 glass, for the lead percentage of 56.66 %.   The SOS in a poled SF_2 lead silica even drops to 0.02 pm/V, or 0.3 % of the poled ZF_7 glass, for the lead percentage of 51.18%.   The SOS in a poled F_2 lead silica becomes zero, which has the lead percentage of 46.65 %.   If $\chi = 7$ exp [- (0.7093 - ρ)α], from the values of ZF_6, $\alpha = 25$ and from that of SF_2, $\alpha = 29.5$.   It is reasonable for the value got in poled F_2 glass, which is under the testing noise.

The mechanism of poling process is still not very clear yet.   It was supposed that the negative permanent particles are related with the radical of Si-O.[9]   The SOS in ZF_7 glass are larger both in poling and in scanning and this may connect with its high percentage of lead oxide.

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Quasi-Phase-Matched Backward Second-Harmonic and Sum-frequency Generation in Periodically-Poled Lithium Niobate

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Since quasi-phase-matching (QPM) was introduced in 1962 [1], it has become an important scheme for achieving efficient second-harmonic generation (SHG) in various nonlinear optical materials [2]. Most of the results obtained so far are based on the forward configuration. Recently, it was shown in Ref. [3] that backward SHG is also possible, i.e. SH beam can propagate in a direction opposite to the fundamental beam. Most recently, we observed backward SHG in periodically-poled LiNbO$_3$ (PPLN) using subpicosecond laser pulses [4]. However, due to the severe temporal walk-off between the fundamental and SH pulses, the maximum conversion efficiency was only about 0.02%. Here we present our new results on the backward SHG and sum-frequency generation (SFG) in PPLN using nanosecond laser pulses. Three PPLN samples with different length (L = 3 mm, 4 mm, and 5.5 mm) were used in the study of backward SHG and another PPLN sample with L = 4.2 mm was used to generate backward sum-frequency (SF). All the PPLNs have the same spatial period (Λ = 3.3 μm), and were prepared on the 6-mm-long z-cut LiNbO$_3$ with a thickness of 230 μm. A 3.3-μm-period Al grating was patterned upon the +c face, and an Al ground plane was patterned upon the –c face. The poling was done by applying eight 300-μs electric pulses at 25.6 kV/mm. The backward SH and SF beams were generated by using the idler from an optical parametric oscillator (MOPO-730, Spectra-Physics Lasers) as a pump beam with a pulse width of 9 ns and repetition rate of 10 Hz. A dichroic mirror was placed before the sample to pick off the backward propagating SH and SF beams. We first measured the backward SH (BSH) intensities for pump wavelength range of 1.4 - 1.8 μm. From the wavelength
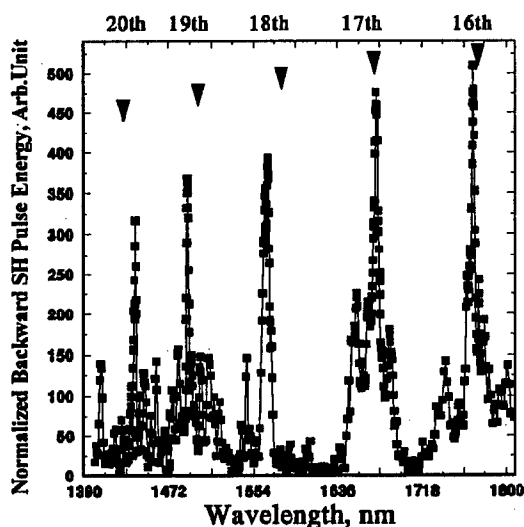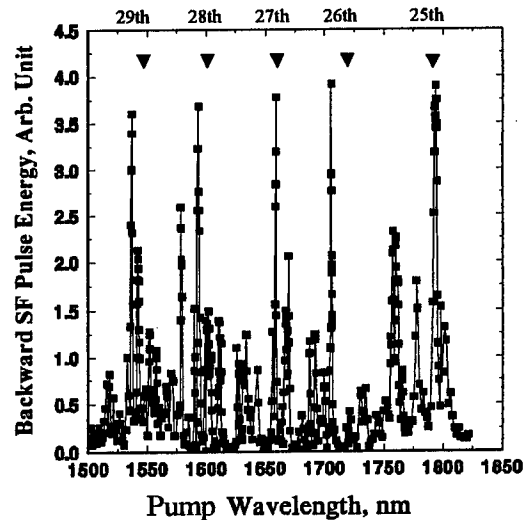

Fig. 1 Measurement of backward SH phase-matching spectrum approximately normalized by the square of the pump intensity for the PPLN sample with L = 5.5 nm. Arrows mark the positions of our theoretical results.
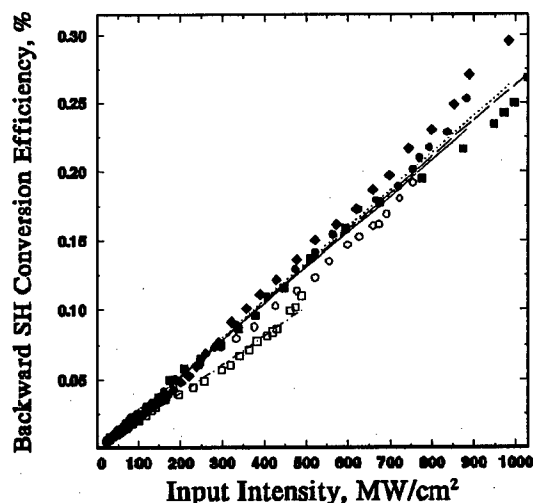
Fig. 2 Conversion efficiencies were measured vs. pump intensity for the order of 16th (filled diamonds), 17th (open squares), 18th (filled squares). 19th (open circles), and 20th (filled circles). Each type of lines corresponds to our theoretical result for the respective order.

scan, we have identified five phase-matching BSH peaks located around 1768.1 nm, 1673.5 nm, 1569.2 nm, 1490.2 nm, and 1436.0 nm, as shown in Fig. 1. These results are consistent with the theoretical prediction (marked by arrows in Fig. 1) based on quasi-phase-matching condition:

$$k_{2\omega} + 2k_{\omega} = 2\pi m/\Lambda \qquad (1)$$

where m is the order of the domain gratings used to achieve QPM for the backward SHG, and equals 16, 17, 18, 19, and 20, respectively.

Second, we measured the BSH pulse energy vs. the pump intensity at each peak wavelength. The dependence of BSH pulse energy on the pump intensity was found to be nearly quadratic which is consistent with our theoretical result. We have then determined the conversion efficiencies and plotted them vs. the pump intensity in Fig. 2 for all the five orders. One can see that for the fixed pump intensity, the conversion efficiencies are more or less the same for all five orders. The maximum conversion efficiency is ~ 0.3% at the pump intensity of 984 MW/cm^2 for the 16th order with L = 4 mm, which is about one order of magnitude larger than that achieved by using subpicosecond laser pulses [4]. Fig. 3 shows the measured dependences of conversion efficiency on the sample length, which can be well fitted by quadratic dependences.
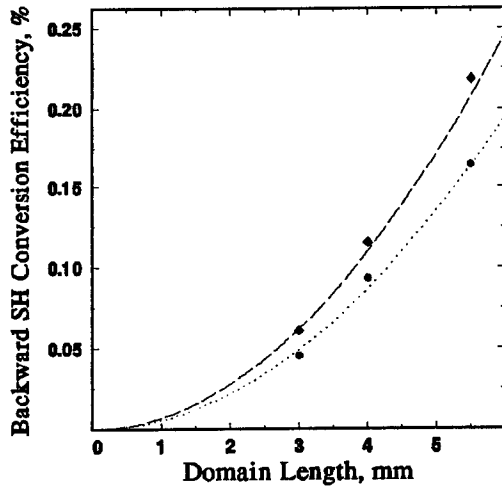
Fig. 3 BSH Conversion efficiency vs. sample length for the 16th order at the pump intensity of 325 MW/cm^2 (pump wavelength: 1768.1 nm, filled circles) and the 18th order at the pump intensity of 415 MW/cm^2 (pump wavelength: 1569.2 nm, filled diamonds). The dashed lines correspond to our theoretical results.

Fig. 4 Measurement of backward sum-frequency phase-matching spectrum approximately normalized by the cube of pump intensity for the PPLN sample with L = 4.2 mm. Arrows mark the positions predicted by our theory.

While generating backward SH beam, the pump beam also generates unphase-matched forward SH beam. This forward SH beam can interact with the fundamental beam and can generate backward sum-frequency beam. We have measured the phase-matching spectrum for this process within the pump wavelength range of 1.5 - 1.8 μm in the PPLN with L = 4.2 mm. As shown in Fig. 4, we have also observed five dominant peaks located at 1793.9 nm, 1706.1 nm, 1659 nm, 1593.5 nm, and 1536.7 nm for this process. The configuration for this process is shown in Fig. 5. Note that the backward sum-frequency generation is phase-matched, even though the

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forward SHG is not phase-matched. It can be readily shown that the phase-matching condition for the backward sum-frequency generation is:

$$3k_\omega + k_{3\omega} = 2\pi(m'-1) / \Lambda \qquad (2)$$

where m' is the order of the domain gratings used for achieving QPM for backward SFG. Based on Eq.(2), we have determined the positions of the phase-matching peaks for m' = 25, 26, 27, 28, and 29, as marked by arrows in Fig. 4. Therefore, the measured phase-matching peak wavelengths are quite consistent with our theoretical results.
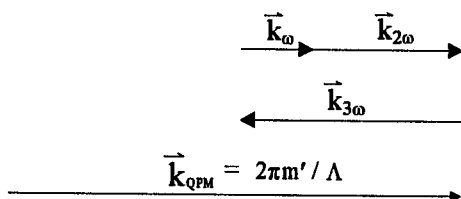
Fig. 5 Configuration for backward sum-frequency generation.
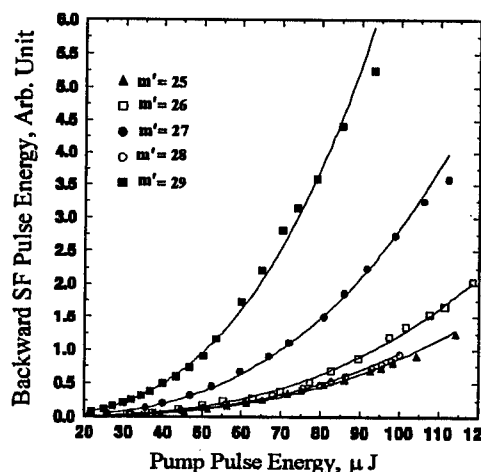
Fig. 6 Backward sum-frequency pulse-energy vs. pump pulse energy for the order of m' = 25, 26, 27, 28, and 29, respectively. Each solid curve corresponds to cubic fit to the data at the respective order.

We have also measured the backward sum-frequency pulse energy at each peak wavelength vs. the pump energy per pulse, as shown in Fig. 6. Each set of the data at each order can be well fitted by cubic dependence, which is characteristic for this process since the second-harmonic pulse energy is proportional to the square of the pump pulse energy for the process of forward SHG.

This work has been supported by AFOSR and ONR.

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Near-field Optical Second-harmonic Generation

in Semiconductor Quantum Dots

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In a conventional nonlinear optical experiment such as second-harmonic generation (SHG), the nonlinear materials are usually illuminated by a far field (laser beam) and the second-harmonic (SH) signal is also collected in the far-field region. In such a situation, it is well known that the second-order optical nonlinear effects are absent in the electric dipole approximation in materials exhibiting central inversion symmetry. For a quantum dot (QD) structure with a rectangular potential profile in three dimensions excited by a far field, the SH nonlinear susceptibility arising from interband and intersubband transitions vanishes because of the definite parity of the wave functions. In order to observe the second-order nonlinearity in such a system, one has to break the symmetry by means of the driving field. Here we show that, if the QD is excited by a near field produced by a fiber tip of a near-field scanning microscope, a second-order nonlinear response is generated in a QD system because the tip field (driving field) varies rapidly over the QD domain.

We consider a GaAs/Al$_x$Ga$_{1-x}$As QD structure having GaAs layer widths of L_x, L_y and L_z along the x-, y- and z-directions, respectively. In the one-band effective mass approximation, the wave function of electrons (e), heavy holes (hh), and light holes (lh) can be written as

$$\Psi_{b\alpha}(\vec{r}) = u_b(\vec{r})F_\alpha(\vec{r}) , \qquad b = e,\ hh,\ lh , \tag{1}$$

where $u(\vec{r})$ and $F(\vec{r})$ are the periodic Bloch and envelope parts of the wave functions. We use the infinite-barrier model to calculate the eigenenergy (E_α) and the corresponding envelope function of electrons and holes, neglecting the band mixing and electron-hole correlation

effects. In calculating hole states, we include the anisotropy of the effective masses for both the heavy and light holes. When the QD is excited by a tip field of angular frequency of ω, the near field $[\vec{\mathcal{E}}(\vec{r})]$ induces a SH nonlinear current density across the QD system. The nonlinear current density stemming from combined interband and intersubband transitions is given by

$$
\vec{j}^{NL}(2\omega,\vec{r}) = \frac{1}{\omega^2} \sum_{\sigma,\alpha,\beta,\gamma} \frac{\vec{j}_{\alpha\beta}(\vec{r})[\int \vec{j}_{\beta\gamma}(\vec{r})\cdot\vec{\mathcal{E}}(\vec{r})d^3r][\int \vec{j}_{\gamma\alpha}(\vec{r})\cdot\vec{\mathcal{E}}(\vec{r})d^3r]}{\hbar(2\omega+i/\tau)-E_{\alpha\beta}}
$$

$$
\times \left[\frac{f_0(E_\gamma)-f_0(E_\alpha)}{\hbar(\omega+i/\tau)-E_{\alpha\gamma}} - \frac{f_0(E_\beta)-f_0(E_\gamma)}{\hbar(\omega+i/\tau)-E_{\gamma\beta}} \right] , \tag{2}
$$

where $\vec{j}_{\alpha\beta}(\vec{r})$ is the transition current density between state α and state β, σ represents the spin, f_0 is the Fermi-Dirac distribution function, τ is the relaxation time, and $E_{\alpha\beta} \equiv E_\alpha - E_\beta$. If we are interested in the SH signal observed in the far-field region that is excited by the near-field of a tip and neglect local-field effects at the SH frequency, the radiation strength of the SHG is proportional to the square of the integrated nonlinear current density or polarization. Therefore it is physically meaningful to define an effective susceptibility tensor $(\overset{\leftrightarrow}{\chi})$ via

$$
\frac{i}{2\omega}\frac{1}{L_x L_y L_z} \int \vec{j}^{NL}(2\omega,\vec{r})d^3r = \epsilon_0 \overset{\leftrightarrow}{\chi} : \vec{E}_0\vec{E}_0 , \tag{3}
$$

where $\vec{E}_0$ denotes the incident field that *drives* the fiber tip. In this work we have used a single dipole model and the Bethe-Bouwkamp model to describe the tip field. For a GaAs QD with L_x=50 nm, L_y=10 nm, and L_z=5 nm, we have performed numerical calculations of the effective SH susceptibility by varying the frequency and by scanning the tip over the QD. Typical results are shown in Figs. 1 and 2. For a given frequency, the magnitude of the SH susceptibility is strongly dependent on the tip position. The overlap of the local tip field and the QD wave functions, which determines the radiation strength of the SHG, changes drastically as the tip is scanned. Using near-field microscopy to generate nonlinear optical response should provide an effective new probe of quantum nanostructures.
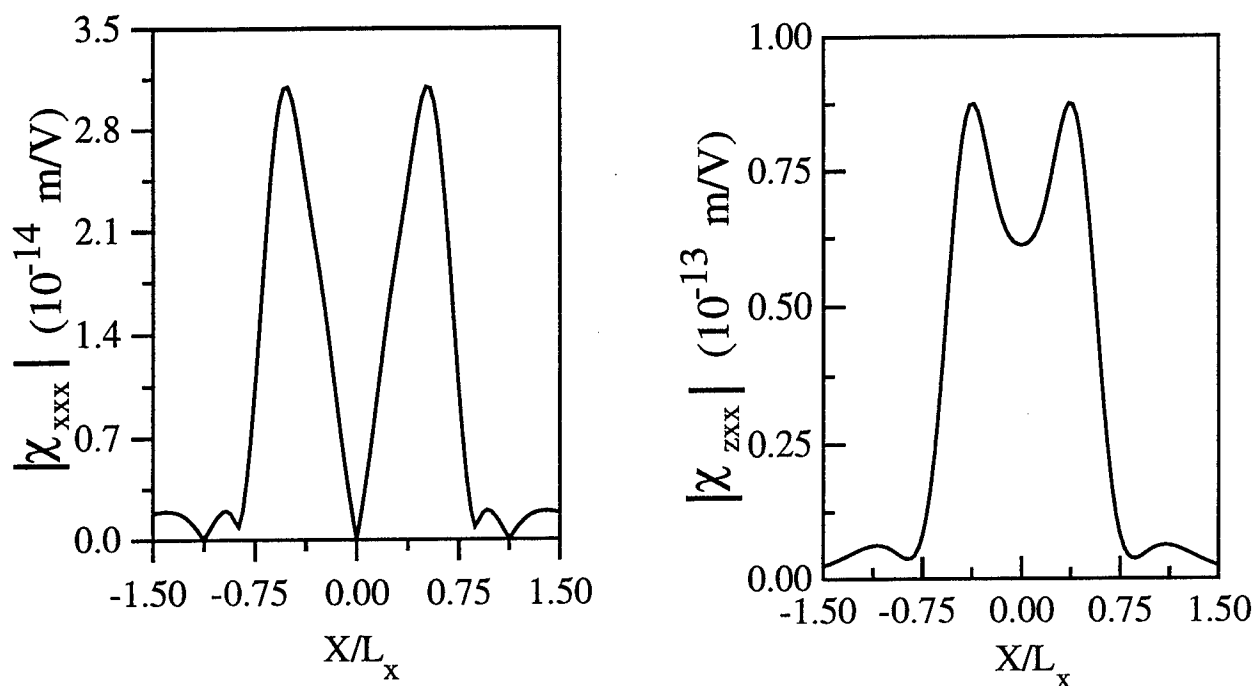
Fig. 1. Magnitude of the xxx-component of the effective SH susceptibility tensor of a QD with L_x=50 nm, L_y=10 nm, and L_z=5 nm when a tip (a dielectric sphere) is scanned along the x direction over the QD at a height of 20 nm. The tip field is calculated based on a single dipole model. The radius of the sphere is 10 nm, and the index of refraction is 1.5. The photon energy is $\hbar\omega$ = 0.93 eV. The polarization of the incident field is along the x axis.

Fig. 2. Magnitude of the zxx-component of the effective SH susceptibility tensor of a QD with L_x=50 nm, L_y=10 nm, and L_z=5 nm when a tip (a dielectric sphere) is scanned along the x direction over the QD at a height of 20 nm. The tip field is calculated based on a single dipole model. The radius of the sphere is 10 nm, and the index of refraction is 1.5. The photon energy is $\hbar\omega$ = 0.96 eV. The polarization of the incident field is along the x axis.

Dye-Doped Glasses: Nonlinear Optical Material
for Spatial Soliton Applications

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Spatial solitons are light beams that propagate with a constant transverse profile as a consequence of an exact balance between diffraction and self focusing effects. Such beams hold great promise for applications [1-3] such as optical interconnects, optical switching, and steerable optical waveguides. However, the generation of spatial optical solitons places especially stringent constraints on the material properties of the nonlinear optical material used to support the propagation of the solitons. In addition to the usual requirements that the nonlinear optical material possess a large nonlinear susceptibility and low attenuation losses, materials suitable for the propagation of spatial solitons in two transverse spatial dimensions must possess additional properties to render the soliton stable against collapse. Several methods have been proposed to render the propagation of solitons stable. These include the use of a saturating optical nonlinearity, the use of photorefractive materials, or the use of cascaded optical nonlinearities. In our work, we have concentrated on the development of materials with a saturating optical nonlinearity, that is, a material for which the change in refractive index induced by a material of intensity I is described by the equation

$$\Delta n = \frac{n_2 I}{1 + I/I_{sat}}.$$

(1)

We have developed a material system that is uniquely suited to supporting the propagation of spatial solitons. This material system is based on the use of lead tin fluorophosphate glass [4] as the host material. This material possesses a low melting temperature in the range 100 to 1500 C (depending on the glass concentration), is relatively stable in a laboratory environment, and is available in samples of good optical quality. Because of its low melting temperature, we are able to embed a variety of organic molecules into this glass as dopants [5]. In this paper, we report our use of acridine orange in concentrations of the order of 1 to 10 parts per million (by weight) as the dopant that imparts a nonlinear optical response to the material. We have found that such a material can exhibit a saturation intensity of 75 mW/cm^2 and an extremely large third order susceptibility of order of 0.01 esu.

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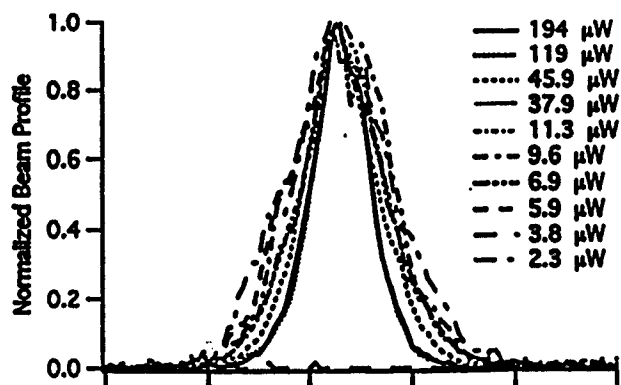
Fig. 1. Beam profile after propagating through 1 cm of the nonlinear optical material, for varying beam power. The spacing between tick marks on the horizontal axis is 120 μm.
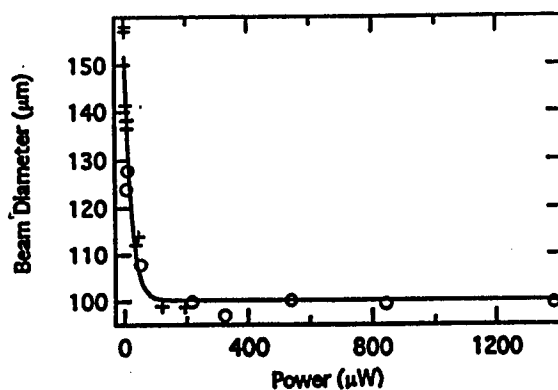
Fig. 2. Variation of output beam diameter with the incident laser power.

We have recently performed a series of measurements to demonstrate the potential of these materials for the propagation of spatial solitons. A laser beam is brought to a focus near the entrance plane of the material sample, and the beam profile after propagating through the 1-cm-thick sample is measured with a beam profiler. The results of these measurements are shown in Figs. 1 and 2. As the incident power is increased, the output beam first shrinks (as expected of any self focusing process) and then stabilizes at a value of approximately 100 μm. This region of constant output diameter with increasing incident intensity is suggestive of the formation of a stable spatial soliton. Experiments aimed at corroborating this conclusion are currently in progress.

In summary, we report that acridine-doped lead tin fluorophosphate glass is a promising nonlinear optical material for use in the study of spatial solitons.

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Two Wavelength KGd(WO₄)₂ and PbWO₄ Raman Lasers in the IR and Visible

under efficient picosecond excitation

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Anisotropic $\chi^{(3)}$-active crystals are promising laser materials for the generation of pico- and nanosecond Raman shifted Stokes-and anti-Stokes-emission [1-3], which is of great interest for lidar techniques, applications in fiber optics, as pump source for upconversion fiber experiments and in $\chi^{(3)}$-active fibers for the generation of white light continuums. Two promising candidates KGd(WO₄)₂ and PbWO₄ are examined in a detailed way concerning their suitibility as Raman laser medium. Single pump pulses of $E_p = 1 - 10$ mJ and $\tau_p = 110$ ps were provided by a flashlamp pumped Nd:YAG cavity dumping laser system to excite SRS activity (see fig. 1).

For internal cavity Raman laser experiments we took the cavity dumper (i.e. Pockels cell and thin film polarizer) out of the master oscillator and put in the Raman active crystal with the quasi plane parallel polished end surfaces ($\beta \leq 0.25°$ in Table 1). The reflectivity of the HR@1064 nm mirrors at $\lambda = 1180$ nm was 92% and at $\lambda = 1160$ nm 94%. KGd(WO₄)₂ and PbWO₄ showed stable intracavity picosecond laser action at $\lambda = 1177$ nm, $\lambda = 1159$ nm and $\lambda = 1176$ nm, respectively.

Fig. 1: Experimental setup

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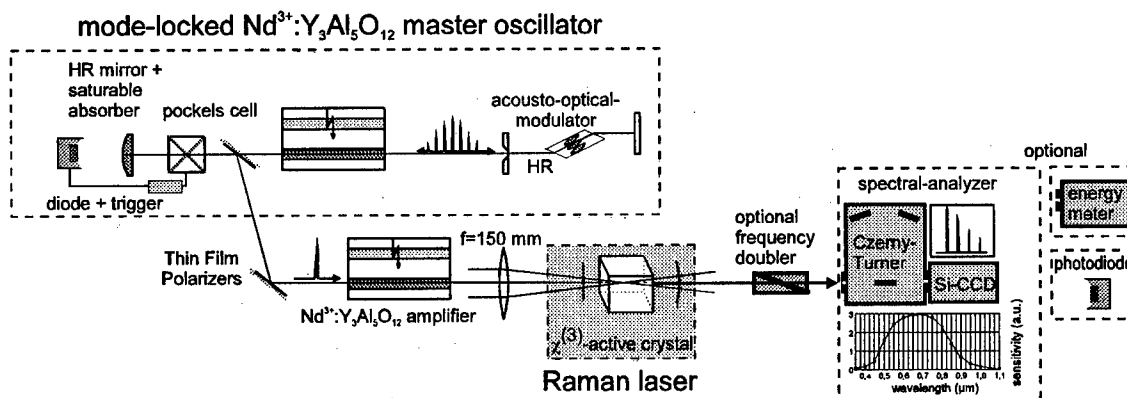
External cavity Raman laser action was realized in the longitudinally pumped setup shown in fig. 1. The laser beam is focussed into the Raman active crystal inside a plane concave resonator with a length $l = 200$ mm by a lens with a focal length of 12 mm, resulting in a beam waist of approximately 25 µm. The flat mirror has an AR-coating to couple in the pump wavelength of 1064 nm and a HR-coating for the first Stokes wavelengths of 1159 and 1177 nm. As outcoupler for these Raman laser lines we used a mirror with $r = 500$ mm, $R = 78\%$ @1177 nm, $R = 91\%$ @1159 nm and HR@1064 nm.

We realized a good overlap of the pump and Raman laser mode inside the $KGd(WO_4)_2$- and $PbWO_4$ rods. The intensity of several 100 GW/cm^2 is high enough to generate efficient scattering into the first Stokes component. We obtained the best laser activity of all samples with external cavity Raman laser action.

Crystal	size [mm] axis: a,b,c	Optical axis	E_{thr} [mJ]	E_{out} [mJ]	η [%]	E_{out} [mJ] (SHG)
$KGd(WO_4)_2$	4 x 47 x 5	b-axis	1	2.1	70 @1177 nm	0,3
				1.7	50 @1159 nm	0,2
$PbWO_4$	6 x 9 x 30	c-axis	2	1	40 @1176 nm	0,1

Table 1: Raman active laser crystals and laser characteristics in the external cavity configuration

Energies of slightly more than 2 mJ in $KGdWO_4)_2$ were reached. Under normal steady-state conditions and moderate pump intensities the parametric four wave mixing gain is lower than the stimulated Stokes emission gain. Stokes emission is additionally supported by the sharp wavelength cutoff of the high quality dielectric mirrors developed especially for this purpose. The two different vibrational modes of the optical phonons $\varpi_{v1} = 901$ cm^{-1} with pump beam polarization $E_p \perp$ c-axis and $\varpi_{v2} = 768$ cm^{-1} with $E_p \perp$ a-axis in $KGd(WO_4)_2$ are generated seperately from each other due to the selection rules.

The intensity corresponding to the Raman threshold pump pulse energy of $E_{thr}=1$ mJ with $\tau_p=110$ ps and a pump beam diameter of 60 µm at the flat end surfaces ensures that the Raman gain is *exp[$G_{ss} I_p(0) l$]* $\approx$ **exp[30]** with $G_{ss} \approx 6$ cm/GW required as lower limit for amplification of the spontaneous Stokes scattering. Raman laser action in 30 mm long $PbWO_4$ generates pulses with an output energy of 1 mJ. As in $KGd(WO_4)_2$ we received also better results with external cavity Raman laser action than with intracavity Raman laser action. This is in general due to the lower intensities of the laser mode inside the master oscillator compared to the external cavity arrangement. In this crystal only one vibrational mode with an energy of $\varpi_v=895$ cm^{-1} interacts in the SRS process. As the Raman gain G_{ss} is comparable to $KGd(WO_4)_2$, the reduced laser performance is due to the small interaction length. We suppose, that the crystal length considering normal values for the Resonator losses should be at least 60 mm as used in [4]or longer for efficient Raman laser action if the resonator design is optimized.

The beam cross sections of the external cavity $KGd(WO_4)_2$ and the $PbWO_4$ Raman laser show Gaussian profiles. Both of the $KGd(WO_4)_2$ and the $PbWO_4$ Stokes emissions were frequency doubled by non-critical phase matching with a 12 mm long LBO sample. Thus, Raman lines were converted preserving the good beam profile to 580 nm and 589 nm generated from the Stokes emission of $KGd(WO_4)_2$ and to 588 nm generated from the Stokes emission of $PbWO_4$. Raman pulses showed high polarization parallel to the pump polarization.

Pumped by a single external pulse, Raman laser action is generated by the circulating pump pulse in the in the steady state limit $T_2 \ll \tau_p$ with T_2 = Raman transition response time. In total four Raman Pulses are emitted with a time separation equal to the round trip in the resonator with the geometrical length L_R = 200 mm. The time separation τ_e between the Raman pulses satisfies the following formula for $\tau_p \ll \tau_e$.

$$\tau_e = \frac{2 \cdot [L_r + (n-1) \cdot l_c]}{c}, \tag{1}$$

where n is refractive index and l_c the length of the laser crystal. The duration of the four emmitted Raman pulses with a distance of τ_e = 1.33 ns is then approximately 4 ns [5] calculated by $\tau_e \cdot (r-1) + \tau_p$ ($r \ni \{1,2,3,....\}$ equals the number of emitted pulses).

The very short life times of the Raman levels can be estimated from the linewidth of the different vibration modes measured in the spontaneous Raman scattering experiments [6] according to $T_2 \geq (2 \cdot \pi \cdot c \cdot \Delta \varpi_R)^{-1}$ ($\Delta \varpi_R$: spectral width of Raman line in wave numbers). For $KGd(WO_4)_2$ we obtain $T_2 \geq 1.5$ ps for ϖ_{v1} = 768 cm^{-1} and $\Delta \varpi$ = 3.5 cm^{-1} as well as $T_2 \geq 1.2$ ps for ϖ_{v1} = 901 cm^{-1} and $\Delta \varpi$ = 4.5 cm^{-1}. For $PbWO_4$ we obtain $T_2 \geq 1.3$ ps for ϖ_{v1} = 895 cm^{-1} and $\Delta \varpi$ = 4.0 cm^{-1}.

This study shows, that $PbWO_4$, a new presented material is a very promising candidate for efficient Raman lasers having shown good laser results with respect to its crystal length, which is very short in comparison to usual solid state Raman lasers [4]. First tunable multiwavelength picosecond Raman laser action in anisotropic $KGd(WO_4)_2$ emphasizes the potentiality of Raman lasers in the visible.

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Electrostatic Effects in the Dynamics of Wall Defects in Liquid Crystal Optical Devices

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In optical devices with a complex electrode geometry generating inhomogeneous electric fields, wall defects and disclinations form. The wall defects separate the domains having distinctly different orientations of the LC molecules. Lindquist et al.[1] have experimentally studied such wall defects in connection with the IC vision project at the University of Alabama in Huntsville. However, there is a complete lack of theory and modeling for the formation and dynamics of the defects. We have performed simulations on the formation and dynamics for the geometry of a LC cell shown in Fig. 1. We solve the problem for the homogeneous orientation. The bottom electrode ($y = 0$) is at the potential $V = 0$, while at the top ($y = d$) the electrode is segmented having potentials $V_2 = 0$ and $V_1 = 5.4$ V. This sets up inhomogeneous electric fields inside the cell having both E_x and E_y components. Under the influence of these fields, the nematic molecules polarize. There are two consequences of this polarization; one is the torques on the molecules given by $\underline{P} \times \underline{E}$, which is the torque on the material in terms of the polarization vector $\underline{P}$. The other consequence is the generation of polarization charge in the material given by $\rho_b = -\nabla \cdot \underline{P}$, which generates its own electric field and modifies the electric fields set up by the electrodes. We demonstrate here that the dynamics of the wall defects is critically influenced by the perturbation in the electric field generated by ρ_b.

In order to simulate the dynamics of the LC material we divide the material into a large number of particles, each of which represent a fairly large number of nematic molecules. Each particle represents the local behavior of the material averaged over the molecules enclosed in an effective volume associate with them. Knowing the permittivities $\varepsilon_\parallel$ and $\varepsilon_\perp$ parallel and perpendicular to the long molecular axis in a nematic material, the polarization vector $\underline{P}$ is easily calculated: $P_x = (\varepsilon_\parallel \cos^2 \theta_i + \varepsilon_\perp \sin^2 \theta_i - 1)E_x + (\varepsilon_\parallel - \varepsilon_\perp) \sin \theta_i \cos \theta_i E_y$ and $P_y = (\varepsilon_\parallel - \varepsilon_\perp)\sin \theta_i \cos \theta_i E_x + (\varepsilon_\parallel \sin^2 \theta_i + \varepsilon_\perp \cos^2 \theta_i - 1)E_y$, where θ_i is the orientation of a particle. Note that θ_i is essentially the angle of director field $\hat{n}(x,y)$ commonly used in theoretical treatments of liquid crystals. The orientation of the particles are calculated using the torque balance equation[2]

$$\gamma (\partial \theta_i / \partial t) = \tau_{ela} + \tau_{ele}$$

where γ is the viscosity coefficient, electric torque $\tau_{ele} = \underline{P} \times \underline{E}$, and the elastic torque is determined as follows. The orientations of the particles θ_i are averaged over a cell of size $\Delta x \times \Delta y$ giving $\theta_{j,k}$ at the grid points (j,k). The elastic torque is found by differencing $\tau_{ela} = K(\partial^2 \theta / \partial x^2 + \partial^2 \theta / \partial y^2)$, where K is the elastic constant and it is assumed that elastic constants for the splay and bend deformation are the same. This gives the torques on the grid points; the torque at the location of a particle is found by interpolating from the neighboring grid points to the particle's location using standard interpolation technique.[3] The electric field inside the cell is obtained by solving the Poisson equation

$$\nabla^2 V = -\rho_b / \varepsilon_o = \nabla \cdot \underline{P} / \varepsilon_o$$

and $\underline{E} = -\nabla V$. In previous dynamic models of LC materials the Laplace equation $\nabla \cdot \underline{\underline{\varepsilon}} \cdot \nabla V = 0$ was solved.[4] The Poisson formulation used here is analogous to the use of Laplace equation. However, the Poisson formulation is found to be numerically superior to the Laplace one because the latter contains large gradients of the permittivity tensor ε near a defect wall. On the other hand, in the Poisson equation the gradients in $\underline{P}$, namely $\partial P_x / \partial x$ and $\partial P_y / \partial y$, appear in the source term, which are integrated to determine $V(\underline{x},y)$. The details of the numerical technique used here can be found in a recent Ph.D. thesis.[5] The simulation method was validated by accurately reproducing the threshold effect for the Fredericksz transition.[5,6]

Figs. 2a to 2c show the evolution of the angular orientation leading to the formation of a defect wall for a pretilt angle $\theta_p = 0$. Note that the wall forms near the midplane $x = L_x / 2 = 6.4 \mu m$, and it stays there separating the two domains of angular deformations having $\theta > 0$ and $\theta < 0$ on the left and right hand sides of the wall, respectively. The two domains, separated by a defect wall, are the consequence of Fredericksz transition.[6] Fig. 2d shows the steady state distribution of the electric fields $E_x(x)$ and $E_y(x)$ along the line $y = 0.5 \mu m$. The noteworthy features of the electric field distributions are the appearance of the perturbations centered at $x = 6.4 \mu m$ colocated with the wall in Fig. 2c. The perturbation in E_x is bipolar. This perturbation is generated by the polarization charge $\rho_b = -\nabla \cdot P \cong \partial P_x / \partial x$. As the wall steepens (see Figs. 2b and 2c), the x-component of $\underline{P}$

develops a relatively large gradient near $x = 6.4\mu m$. The evolution leading to the build-up of ρ_b with the steepening of the wall is shown in Fig. 2e.

For a non-zero pretilt angle θ_p, the wall forms away from the midplane. For $\theta_p < 0°$ and $\theta_p > 0°$, the wall forms on the left- and right-hand sides of the midplane ($x = 6.4\mu m$), respectively. Figs. 3a to 3b show the evolution of the wall for a positive pretilt angle $\theta_p = 2°$, for which a moving wall forms, as shown by the snapshots of the particle orientations represented by the bars. The arrows indicate the location of the wall at the respective times indicated in the figures. The motion of the wall is accompanied by the motion of an electric field perturbation, as shown in Fig. 3c on an expanded horizontal scale. After its formation before $t = 3$ ms, the perturbation moves with ever decreasing velocity and eventually it stops when it penetrates into the large horizontal electric fields set up by the electrodes. The velocity of the wall at early times is about 20 $\mu m/s$.

For $\theta_p = 0°$, the perturbation in E_x has a mirror symmetry with respect to the midplane $x = 6.4\mu m$ (Fig. 2d). When $\theta_p \neq 0°$, the mirror symmetry in the bipolar perturbation is lost (Fig. 3c). We find that for $\theta_p \neq 0°$ the wall motion is driven by this loss of the mirror symmetry in the bipolar perturbation in E_x. The mirror symmetry is destroyed because of the superposition of the bipolar electric field perturbation on top of the horizontal electric field for $x > 6.4\mu m$ set up by the electrodes. Fig. 3d shows the torque balance near the wall. The curve for θ shows the deformation angle $\theta(x)$ along the line $y = 0.5\mu m$; the wall is centered at $\theta = 0$ (marked by the diamond symbol). The dotted and solid line curves give the electric and elastic torques, respectively. The net torque $\tau_{ela} + \tau_{ele}$ is plotted by the dashed curve. On the left of the wall, the electric torque dominates giving a net positive torque which rotates molecules anticlockwise increasing θ. On the right hand side near the wall, elastic torque dominates and the net torque remains positive on this side of the wall too. This reduces the negative angles, and close to the wall it rotates the molecules and makes them even positive. The electric field perturbation plays the key role in generating the surplus torques on either side of the wall. On the left side, the positive perturbation in $\underline{E}_x$ in combination with the induced polarization $\underline{P}$ generates a positive torque perturbation. On the other hand, on the right side the perturbation reduces the net electric field E_x, thus reducing the electric torque and making the positive elastic torque to dominate.

In summary, the main purpose of this paper is to show that the self-consistently generated electric field perturbations play a significant role in the formation and dynamics of defect walls in LC devices operating in strongly nonuniform electric fields. Normally in the literature on liquid crystals the location of the wall defect is obtained by minimizing the total free energy in the steady state. In our time-dependent simulations, the formation, motion and the steady state structure containing the wall defect are obtained by self-consistently solving the equation of rotation for the nematic material and the Poisson equation.

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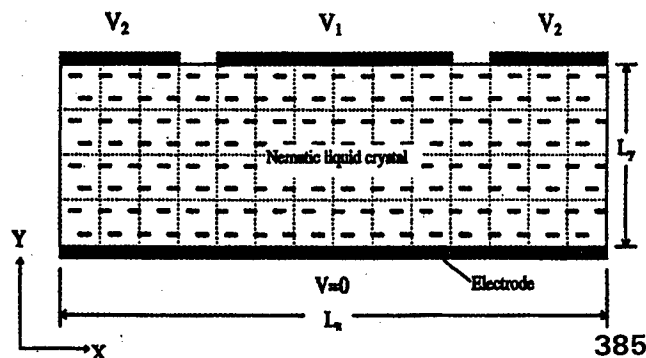
Fig. 1. Geometry of simulation. The bottom electrode at $y=0$ is at the zero potential. The top electrode is segmented to generate inhomogeneous electric fields. The bars show the initial orientation of the particles, which represent a volume element of the liquid crystal material. We used $L_x=12.8$ μm and $L_y=1$ μm in our calculations.
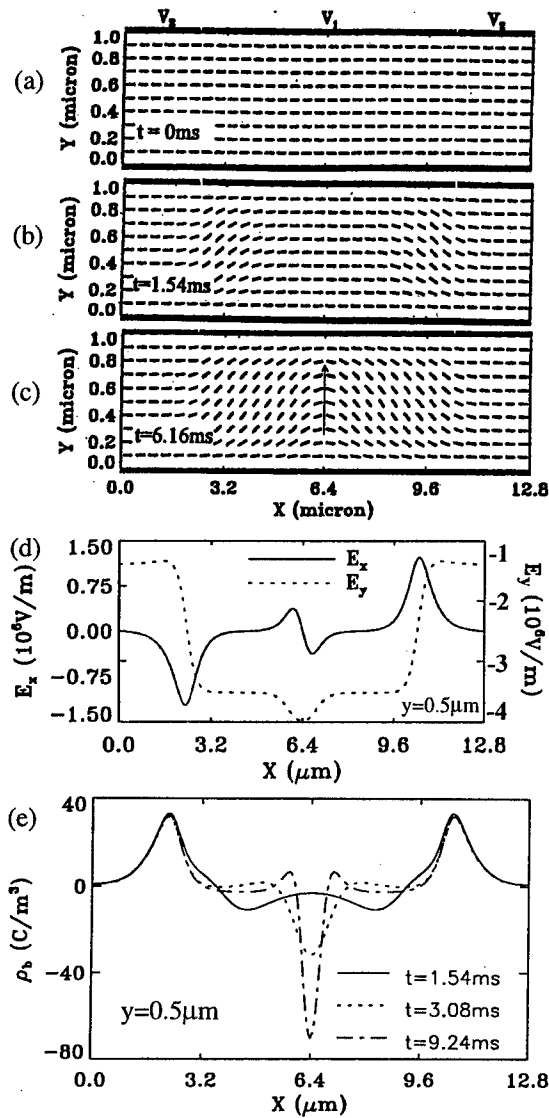
Fig. 2. Evolution of the angular deformation in the liquid crystal material: (a) t=0, (b) t=1.54ms, (c) t=6.16ms; the wall is indicated by a vertical arrow at x=6.4μm. (d) Steady state perturbations in the horizontal (E_x) and vertical (E_y) components of the electric fields. The perturbation associated with the wall defect in Fig. 2c is centered at x=6.4μm. (e) Evolution of the bound charge generating the perturbations in E_x and E_y. Note that as the defect wall steepens as shown in Figs. (2a) to (2c), the waveform of the perturbation in charge centered at x=6.4μm narrows.
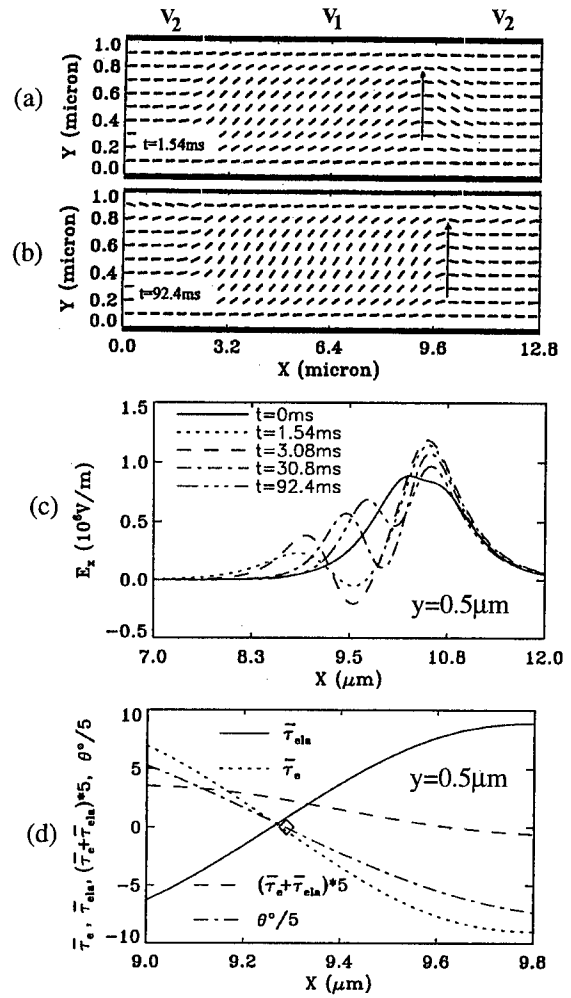
Fig3. The angular deformations for pretilt angle $\theta_p=2°$: at (a) early time t=1.54ms and (b) late time t=92.4ms. The wall is marked by an arrow. (c) The moving electric field perturbation in Ex associated with the evolution of the wall from that shown in Fig 3a to that in Fig. 3b. Note that the perturbation is shown on an expanded horizontal scale for x>7μm. The perturbation forms before t=3.08ms and then it sets into motion. (d) The torque balance near the wall: The location of the wall is indicated by the diamond symbol on the curve for $(\theta°/5)$. The electric torque is positive on the left hand side of the wall and it is negative on the right. On the other hand, the elastic torque has the reverse signs. The electric torque dominates over the elastic torque on the left side of the wall while the latter dominates on the right-hand side. This leads to the counterclockwise rotation of the molecules in the vicinity of the wall, making it to move to the right.

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Scattering noise reduction in phase conjugators
via photo-induced density redistribution in atomic vapors

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1 Introduction Low noise phase conjugators require nonlinear optical materials with a large field-induced index change, fast response time at reasonable operation power. Photorefractive crystals are one of the most efficient materials for optical phase conjugation. However, most photorefractive crystals are relatively slow [1] at low intensity operations. To improve the response time, atomic vapors of alkali metals like Na have been considered as candidate materials. These atomic media have fast response time ranging from sub-microseconds to nanoseconds. Alkali metal vapors have been studied in self-focusing, self-trapping [2], self-phase modulation [3], and related topics.

In this paper, we investigate the noise due to Rayleigh scattering and its reduction via the spatial redistribution of atoms due to the standing wave pattern of the pump beams. For operation near the resonance, the interaction of the induced atomic dipole with the optical field ($U = -\gamma_r |E|^2/2$, where γ_r is the real part of the polarizability) leads to a periodic potential for the atoms due to the interference standing wave pattern. An assumption of Boltzmann distribution yields a periodic distribution of the number density of atoms. In this paper, we investigate the effect of detuning and saturation on the noise due to Rayleigh scattering and address the issue of signal to noise ratio in nonlinear optical phase conjugation. We also investigate the minimum incident intensity needed to achieve a signal to noise ratio of one (S/N =1).

2 Rayleigh scattered power by atomic vapors For nonlinear optical four wave mixing in atomic vapors as shown in Fig. 1, the intensities of the pump waves are often larger than those of probe and conjugate wave in general. So, the main source of noise is due to Rayleigh scattering of the pump waves by the atomic vapor. The two pump waves interfere with each other to form a periodic intensity pattern. This leads to a periodic variation of the number density of atoms. In what follows, Rayleigh scattering reduction due to redistribution of atoms is considered.

We consider the situation where the atomic beam is along the y-axis and the optical pump beams propagate along the z-axis. The atoms are driven to regions of minimum optical intensity for blue-detuning in some laser cooling configuration. Based on this assumption, the number density of atoms follows a Boltzmann distribution given by

$$N(z) = N_0 \exp\left\{-\frac{1}{2}\frac{\gamma_r |\mathbf{E}|^2}{k_B T}\right\} \tag{1}$$

where N_0 is a normalization constant. k_B and T are the Boltzmann constant and the absolute temperature. γ_r is the real part of the polarizability γ of the atom (or molecule) which is related to the electric susceptibility χ [4][5]:

$$\gamma = \frac{\varepsilon_0 \chi}{N_A} \equiv \gamma_r - j\gamma_i \ , \qquad \chi = \frac{\alpha_0}{k_0}\frac{-j + \Delta}{1 + \Delta^2 + |\mathbf{E}|^2/E_s^2} \tag{2}$$

where N_A is the total number of the atoms, E_s is the saturation electric field, α_0 is the unsaturated absorption coefficient, and $\Delta = (\omega_0 - \omega)T_2$ (T_2 is the dipole-dephasing time) is the normalized frequency detuning from line center. ω is the frequency of light and ω_0 is the resonance frequency of the atom.

We note that, according to Eq. (2), the atomic polarizability depends on the optical intensity. This is known as the saturation. In a standing wave pattern with periodic intensity variation, the atomic

polarizability depends on the location of the atoms as a result of the saturation. In a cell of atomic vapors, the scattered power per solid angle is given by

$$\frac{dP_R}{d\Omega} = \int \left(\frac{d\sigma}{d\Omega}\right) N(z)I(z)dV \tag{3}$$

where $d\sigma/d\Omega$ is the differential scattering cross section and the intensity $I(z)$ is given by

$$I(z) = I_1 + I_2 + 2\sqrt{I_1 I_2}\cos Kz \tag{4}$$

where $K = 2k_0$. Note, in the case $I_1 = I_2$, Eq. (4) yields $I(z) \propto 4\cos^2 k_0 z$. We obtain the following expression for the total scattered power,

$$P_R = \frac{k_0^4}{6\pi N_A \varepsilon_0^2} < |\gamma|^2 N(z)I(z) > \mathcal{F}(\mathbf{q})V \tag{5}$$

where $V = AL$ is the volume of the interaction region and the bracket $<>$ represents a spatial average over a period. $\mathcal{F}(\mathbf{q})$ is the structure factor given by

$$\mathcal{F}(\mathbf{q}) = 4A \int_0^L N(z)\cos^2 k_0 z dz \tag{6}$$

In this paper, the averaged value is calculated numerically, because it is hard to evaluate the integration analytically.

When we consider the reduction of the scattering noise due to the redistribution of atoms by the pump intensity, it is convenient to define the following ratio η as $\eta = P_2/P_1$ where P_1 is the scattered power in the absence of beam interference and P_2 is the scattered power in the presence of beam interference.

The parameter η is a measure of the reduction of the total scattered power due to the interference of the pump waves. The effect of the interference on the scattered power will be examined in the following section.

3 Numerical results In this section, the effect of the pump intensity and normalized frequency detuning on the scattering noise reduction ratio η is examined numerically. And then the minimum signal intensity needed to obtain S/N = 1 is estimated by using the results. As a numerical example, we consider Na atomic vapor with equal pump intensities ($I_1 = I_2 = I$) and the following parameters [6]: $\alpha_0 = 0.02$ [cm^{-1}], $N_A = 10^{10}$ [cm^{-3}], $\lambda = 589$ [nm], $I_{so} = 49$ [W/cm^2] and $V = 1$ [cm^3].

Figures 2(a) and (b) show that the scattering ratio η as a function of the normalized frequency detuning from line center Δ for the temperature 1°K at various pump intensities I. We note that Rayleigh scattering can indeed be reduced in some frequency detuning regions. For low pump intensities, η is almost independent of the temperature, since the dipole potential energy of the atoms is near zero. At very low intensities (near zero), there is no reduction in the scattering ($\eta \simeq 1$). As the intensity increases near the saturation intensity, χ starts to decrease at high intensity regions. This leads to a decrease in the total scattering ($\eta < 1$). We also note that at even higher intensities, η becomes greater than 1 in the region near small Δ. It is important to note that χ depends on the pump intensity as well due to saturation, regardless of interference. When the normalized frequency Δ is small, the atom number density is almost uniform due to $\gamma_r = 0$. The average value of $|\chi|^2$ is lower due to the saturation. The beam interference leads to an enhanced $|\chi|^2$ in the low intensity regions. This results in an enhanced Rayleigh scattering, leading to $1 < \eta$ near small Δ.

By using Rayleigh scattered noise power, we can estimate the signal intensity needed to achieve a signal to noise ratio of 1. The power of the phase conjugate signal wave P_{PC} for degenerate four wave mixing is given by [4]

$$P_{PC} = P_s R \tag{7}$$

where R is the power reflection coefficient and P_s is the signal power. The signal intensity per unit solid angle $dI_{S/N=1}/d\Omega$ for S/N = 1 is defined as follows:

$$\frac{dI_{S/N=1}}{d\Omega} = \frac{dP_N}{d\Omega}\frac{1}{RA} \tag{8}$$

where

$$\frac{dP_N}{d\Omega} = \frac{k_0^4}{32\pi^2 N_A \varepsilon_0^2} < |\gamma|^2 N(z)I(z) > \mathcal{F}(\mathbf{q})V \tag{9}$$

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$dP_N/d\Omega$ is the noise power per unit solid angle for the perpendicular polarization, which is related to the differential scattered power with perpendicular polarization. The intensity for the perpendicular polarization is uniform in all direction in the plane of incidence and larger than that of the parallel polarization. So, $dI_{S/N=1}/d\Omega$ for the parallel polarization is less than that of perpendicular polarization.

Figure 3 is $dI_{S/N=1}/d\Omega$ as a function of the pump intensity I for the normalized frequency detuning $\Delta = 10$. The solid line is $dI_{S/N=1}/d\Omega$ with interference and the circle dots are that without interference. The absolute temperature is 1°K. We can see that the signal intensity per unit solid angle $dI_{S/N=1}/d\Omega$ has a minimum value for certain Δ. This dependency is originated from the power reflection coefficient R. $dI_{S/N=1}/d\Omega$ can be small for the resonant frequency while it is larger for the high pump intensity. These results are useful for the design of low-noise phase conjugators using atomic vapors.

4 Conclusion We have investigated the reduction of the Rayleigh scattering noise in atomic vapors due to the redistribution of atoms by the interference of the pump wave. The numerical results show that the scattering ratio has an optimum value for certain normalized frequency detuning regions. This means that the background noise can be reduced by choosing the suitable normalized frequency detuning. By using the scattered power, the signal intensity per solid angle $dI_{S/N=1}/d\Omega$ for large pump intensities and at the resonant frequency can be minimized. This work is supported, in part, by a grant from US Office of Naval Research (ONR).

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Fig. 1 Four-wave mixing in atomic vapor.

(a)

(b)
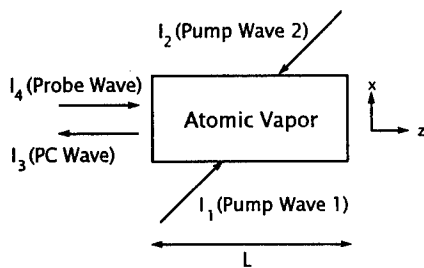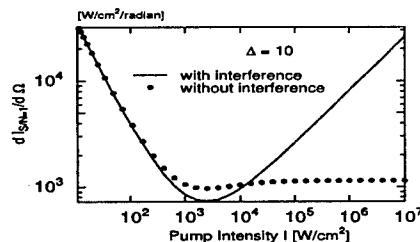
Fig. 3 Minimum detectable intensity per unit solid angle $dI_{S/N=1}/d\Omega$ as a function of pump intensity I.
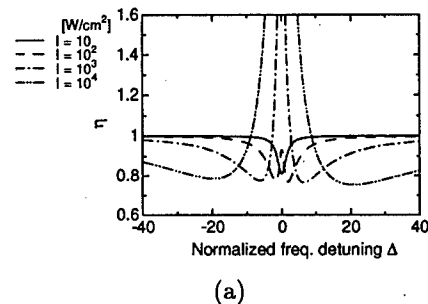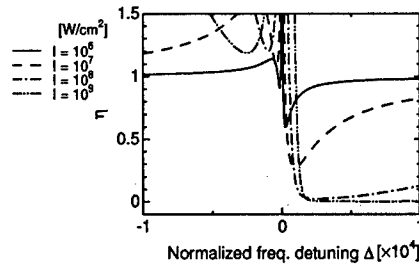
Fig. 2 Reduction factor as a function of the normalized frequency detuning Δ for various pump intensities I.

A New Bifunctional Chromophore Working at Short Wavelength in Photorefractive Polymer Composite

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Great progress has been achieved in the investigations on organic photorefractive (PR) materials in the past few years[1,2]. In 1994, a low glass transition temperature polymer composite has been proved to exhibit steady-state diffraction efficiency close to 100% , and tow-beam coupling gain as high as $220cm^{-1}$, was reported by K.Meerholz et al[2]. Since then, a lot electro-optic (EO) chromophores have been synthesized and their behaviors in polymeric PR composites have been investigated widely. However, most of the work was performed at wavelength longer than 632.8nm. Up to now, few materials have been reported to work at short wavelength[3,4]. In this report, we synthesized a new EO chromophore β,β-diacetyl-4-methoxylstyrene (DAMST), the absorption peak of which is 320nm, as shown in Fig.1 together with its chemical structure. From the absorption spectrum, we note that the absorption cut-off wavelength is around 380nm. Thus, this chromophore is fit for working at short wavelength. Meanwhile, DAMST is a bifunctional chromophore. It can not only perform EO effect, but also serve as a plasticizer. A three-component PR composite was formed by using ploy(N-vinylcarbazole) (PVK) as photoconducting matrix, 2,4,7-trinitro-9-fluorenone (TNF) as photosensitizer and doped with the bifunctional chromophore DAMST. The T_g of the composite was measured to be $20^{\circ}C$ by Shimadzu DSC-50. A 100μm-thickness film sandwiched between two ITO coated glass plates was fabricated and its photorefractive properties were studied at wavelengths 543nm, 593nm and 612nm,

respectively. The two-beam coupling gain (Γ_p) at the three wavelengths were shown in fig.2. A value as large as 31 cm^{-1} for Γ_p at 543nm was obtained. It was larger than previous reports at short wavelength.
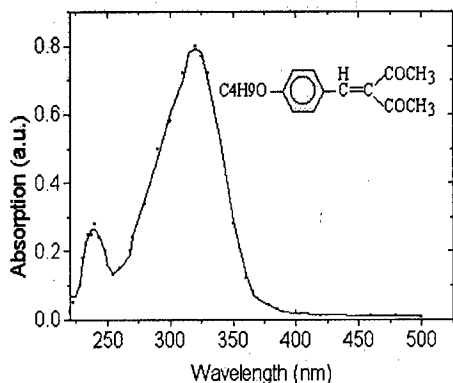
Fig.1 The chemical structure and absorption spectrum of DAMST
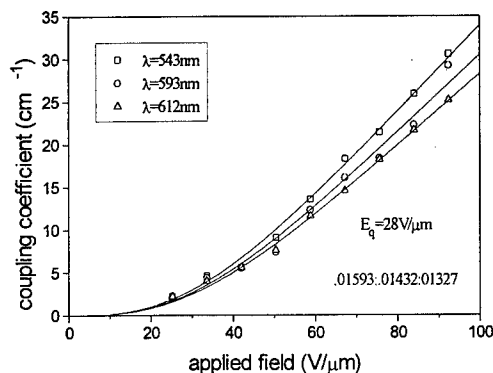
Fig.2 Two-beam coupling gain at three wavelengths

In conclusion, we has successfully synthesized a new bifunctional chromophore DAMST and fabricated the photorefractive polymer film doped with this chromophore. This composite was proved to possess good photorefractive properties on short wavelength. A research at 440nm wavelength is on its progress.

The work was supported by National Natural Science Foundation of China and State Science and Technology Commission of China.

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Extreme Large Enhancement on Optical Nonlinearity of Fullerene by Forming Charge Transfer Complex

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These years, fullerene is an arguable material on its third order optical nonlinearity. A discrepancy of several orders of magnitude for its second-order hyperpolarizability γ was found in various measurements[1-5] with different wavelength and laser pulse duration. Recently, Geng and Wright [1] offered a value of 3.7×10^{-35} esu for the upper bounder of γ by non-degenerate four-wave mixing experiment in C_{60} solution with an excimer laser at 308nm. This result was consistent with recent theoretical calculation given by Gisbergen et al.[6], in which a chosen value 6.67×10^{-36} esu was reported for γ of C_{60}. While, Couris et al.[2] reported a value of 2.3×10^{-32} esu for C_{60} employing transient degenerate four-wave-mixing using 0.5ps laser pulses at wavelength of 497nm.

In this report, we measured the third-order optical nonlinearity of pure C_{60} solution and its derivative C_{60}-polyaminonitrile at 810nm wavelength. The light source was a femtosecond Ti:Sapphire laser. The light beam from the laser was split into two beams,

strong one and weak one. Their intensity ratio was about 10:1. The strong one was used as pump beam, which was polarized at 45° with respect to the weak one. The weak beam was acted as a probe beam. Two beams were focused to overlap in the sample filled in a 1mm-thickness cell. After going through a delay-line, the optical length of strong beam is variable, so that the relative delay time between the two pulsed laser beams can be adjusted. The zero delay point was determined by the signal of autocorrelation by replacing the sample cell with a BBO crystal. The pulse duration of the laser beams was also obtained to be 120fs.The probe beam , after interaction, passed through a polarizer, the polarization of which is 90° with respect to polarization of the probe beam. The generated cross-polarized component, OKE signal, was then detected by the photodiode, which was placed behind the polarizer. The signal from photodiode was extracted by a Lock-in amplifier. To improve the ratio of signal to noise, two beams were chopped with different frequencies simultaneously. All of the data was recorded by a personal computer that was also used to control the delay line .

In the experiment, we found that the optical nonlinearity contributed from C_{60} was too low to be detected as shown in Fig.1. And thus an up-limit for electronic contributed γ of C_{60}
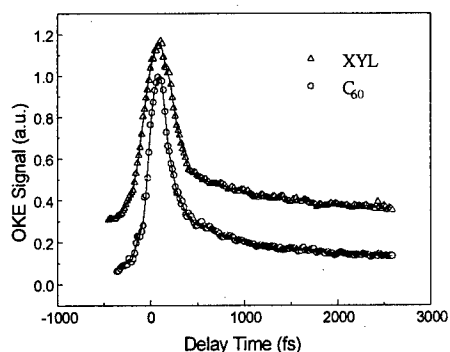
Fig. 1 The OKE signals of C_{60} solution in o-xylene and o-xylene. Two curves exhibit same OKE response
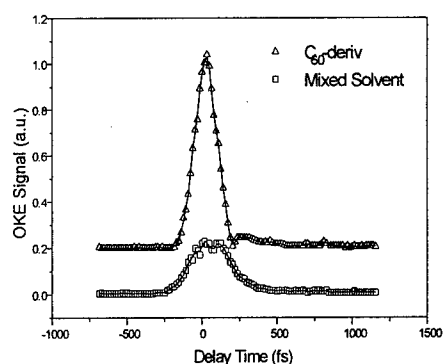
Fig.2 The OKE signals of C_{60} derivative solution and solvent.

was obtained as 9.0×10^{-35} esu. This is consistent with both the experimental value reported by Geng and Wright and the latest theoretical calculation of Gisbergen et al.. On the other hand, we synthesized and studied the nonlinearity of C_{60}-poly-aminitrile simultaneously. A significantly large OKE signal contributed from C_{60}-poly-aminonitrile was experimentally observed as shown in Fig.2 and a value of $\gamma=3.2 \times 10^{-33}$ esu was deduced for the derivative molecule. This value, in some degree, agrees with the latest results reported by Lascola and Wright[7], in which the γ for the fullerene anion C_{60}^- was obtained to be 2.4×10^{-33} esu. This great enhancement was in good agreement with the previous reports that large optical nonlinearity could be obtained by forming a charge transfer complexes[8-10].

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photogeneration quantum efficiency of C_{60}:poly(*N*-vinylcarbazole) photoconductive composite

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For the performance of the PR effect, materials must exhibit the photoconductivity (PC) and the linear electrooptic response (EO) simultaneously[1]. To supply the PC property in PR composites, the photosensitive molecule and the transport agent are necessary. Up to now, several photosensitizers have been used such as TNF[2], C_{60}[3,4], BDK[5], TCNQ[6], PDCI[6], TPY and others. As early as in 1992, Wang has suggested that C_{60} is a excellent hole generator for a polymeric photoconductor, especially doped in PVK [PVK stands for poly(*N*-vinylcarbazole)][7]. Almost at the same time, Zhang et al.[3] and Silence et al.[4] used it as the PR potosensitizer in different composites, in which they both implied that C_{60} is an excellent PR photosensitizer.

The photogeneration quantum efficiency (PGQE) ϕ is an important parameter in photoconductivity property of a material, which has been regarded to follow Onsager model[8] or Braun modified Onsager model[9]. However, because of the assumptions in Ref. 9 including i) ϕ is independent of the illumination intensity and ii) the mobility μ is temperature-independent, the latter is also not a perfect model.

In this paper, we presented the experimental demonstration of the PGQE in the composite of C_{60}:PVK. 4 mg C_{60} was dissolved in 2 ml chlorobezene, then 90mg PVK was added in the filtered solution while stirred and heated. The solution was spin coated onto indium-tin-oxide (ITO) covered slide with a set of circular silver electrodes vacuum deposited on the top of the polymer film. The film thickness was measured to be ~4.25 μm, dielectric constant 2.43 at 1.5KHz, and glass transition temperature (T_g) in the scale of 80~90 °C.

The studies of the PGQE was carried out via the photoconductivity by measuring the photocurrent through the sample as used in Ref. 10. Applied field dependence of the PGQE in C_{60}:PVK system with a weight ratio 4:90 was carried out at room temperature (20 °C) with the illumination intensity of 5 mW/cm². The applied field varied from 50 V to 100V between the ITO and silver electrodes (with a distance of 4.25 μm). Experimental data were fitted by both Onsager model as shown in Fig. 1, the values of ϕ_0=0.9 and r_0=1.625 nm were estimated, which were in excellent agreement with ϕ_0=0.91 and r_0=1.6 nm obtained by Wang[7].

The intensity-dependence was demonstrated at an applied field of 12 MV/m at 20 °C, and the result was represented in Fig. 2. Two different behaviors were exhibited in different intensity levels. At extent of weak illumination intensity, ($I<I_0$=13mW/cm²), ϕ behaved intensity independently with a value of ~2.5×10⁻⁴. For $I>I_0$=13mW/cm², a decrease appeared along with the increasing of the illumination intensity, which could be fitted in form of a sublinear variation of $\phi=\phi_0"I^\zeta$. The solid line in Fig. 3 was calculated with $\phi_0"$=4.9×10⁻⁴ and ζ=0.28. Tamura et al. reported also the decreasing trend, but they did not mention the phenomenon of intensity-independence [11].

In both Onsager theory[8] and Braun theory[9,12], the intensity-independent assumption of the PGQE was employed. However, this was not consistent with our experimental

observation in C_{60}:PVK polymeric composite. Thus both the two theory are valid only at weak illumination intensity region, where the PGQE does not depend on the illuminating intensity. Furthermore, the sublinear dependence has been observed in PR crystal $BaTiO_3$[13], and was reported widely in PR polymeric composites[4,14,15], which was usually attributed to the presence of shallow traps (or acceptors). Considering the number density of the photogenerated carrier n as $\sim I\phi(I)$ we obtained that $n\sim I^{0.72}$, which was same as the intensity dependence in PR composite of PVK:DEANST:TPY[14].
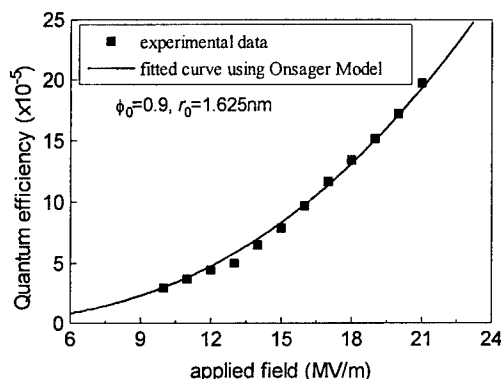
Fig. 1. PGQE as function of applied field. Solid line is the theoretical fitting by (a).Onsager model of Eq. (2) with ϕ_0=0.9, r_0=1.625 nm;
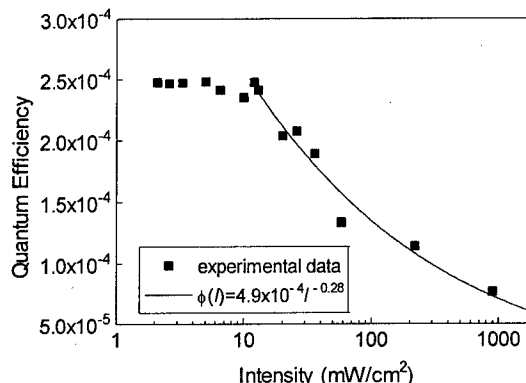
Fig. 2. PGQE as function of illumination intensity. At $I<I_0$=13mW/cm^2, ϕ is intensity independent; for $I>I_0$=13mW/cm^2, a sublinearly decrease of ϕ appears along with the increasing of the illumination intensity. Solid line was fitted in form of ϕ=4.9×10$^{-4}I^{0.28}$.

The temperature dependence of the PGQE was measured in the range approximately 8 °C to 65 °C (below the T_g) at an applied field of 12MV/m with the illumination intensity of 5 mW/cm^2. The result was presented in Fig. 3. However, experimental data could not be fitted by Onsager model with the primary quantum yield ϕ_0 as a constant. Considering ϕ_0 to be a temperature dependent parameter in form of $\phi_0=\phi\exp[-\beta(1/T-1/T_0)]$, we fitted it well. The best fitted result was obtained as ϕ=0.9, r_0=1.6 nm, β=2.05×10^3, and T_0=310K. However, as shown in Fig. 3, when the temperature was high than 44 °C, ϕ_0 was larger than 1. This can not occur physically. It can be attributed to the increase of thermal-induced ionization at high temperature due to the existence of shallow traps. We supposed that a great number of traps whose energy levels are around kT blow the ionization level, so thermal ionization increase distinctly along with the increase of the temperature. Similar behaviors has also been reported in PVK by Borsenberger[16]. He gave an activation energy of 0.05 eV. Based on this manner, from our measurements the activation energy was calculated to be 0.18 eV, a much high value. The difference should lie in the existence of traps, especial shallow traps in our sample owing the doping of C_{60}.
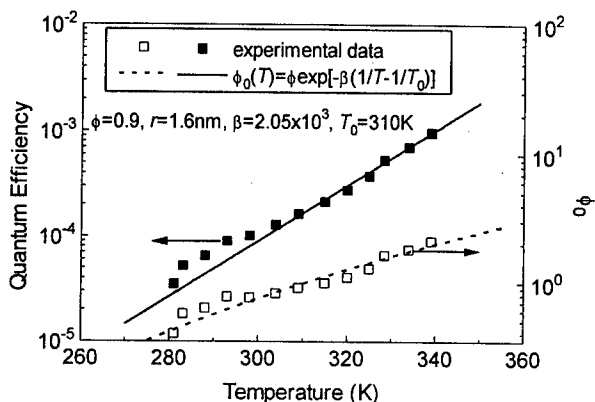
Fig. 3. PGQE and ϕ_0 as functions of temperature. The theoretical fit was based on Onsager model but ϕ_0 was modified to be temperature-dependent in form of $\phi_0 = \phi\exp[-\beta(1/T-1/T_0)]$. The best fitted result is obtained as $\phi=0.9$, $r_0=1.6$ nm, $\beta=2.05\times10^3$, $T_0=310$K.

With the system of Lexan:TPA:DPBDK, Goliber and Perlstein declared that Braun model predicted a more consistent temperature dependence than Onsager model[12]. However, since the assumption of temperature-independent mobility in Braun model, which is not conformable in our system, it was not a undoubted conclusion for C_{60}:PVK.

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Squeezing enhancement for the light interacting
with a polarizable confined system

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With the development of crystal growth technologies, it has become possible to investigate cavity embedded interacting electron systems [1]. The quantum well exciton-cavity system has some fundamental differences with respect to the much simpler two level atom-single mode cavity system; however, coherent linear dynamics of the two systems is very similar dispite the complexity of the electronic states of the semiconductor. In fact, a weak light beam of a given wave vector can excite only one-exciton states, and, owing to the conservation of the in-plane momentum **k**, only the exciton state with the same wave vector of incident light interacts. Instead, nonlinear dynamics does not mantain the simple picture of two (linearly) coupled fields. However, a simplified model could account the excitonic nonlinearity, coming from Coulomb interaction between electrons, by introducing a Kerr-type term for the exciton mode in the Hamiltonian [2]. Hence, denoting with a the radiation mode and with b the exciton mode, the Hamiltonian we wish to consider is

$$
\begin{aligned}
H &= \hbar\omega_a a^\dagger a + \hbar\omega_b b^\dagger b + \hbar\kappa \left(a^\dagger b + ab^\dagger \right) \\
&+ \hbar\chi \left(b^\dagger b \right)^2 + \hbar \left(\epsilon e^{-i\omega_p t} a^\dagger + \epsilon^* e^{i\omega_p t} a \right),
\end{aligned}
\tag{1}
$$

where κ and χ are respectively, the coupling constant, and the strength of the exciton nonlinearity. The last term in Eq. (1) represents the pump effect.

We expect that the light could be squeezed due to the nonlinearity present on the exciton mode.

In the realistic case one should include the losses on both modes, though of different nature; then the equations of motion will be

$$
\dot{a} = -i\Omega a - \frac{\gamma_a}{2} a - i\kappa b - i\epsilon + \sqrt{\gamma_a} a_{in},
\tag{2}
$$

$$
\dot{b} = -\frac{\gamma_b}{2} b - i\kappa a + i\chi \left(b + 2b^\dagger b^2 \right) + \sqrt{\gamma_b} b_{in},
\tag{3}
$$

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where $\Omega = \omega_a - \omega_b = \omega_a - \omega_p$, while γ_a, γ_b are the damping constants, and a_{in}, b_{in} the input noise operators with correlations assumed as

$$\langle a_{in}^\dagger(t)a_{in}(t')\rangle = n_a\delta(t-t'), \quad \langle a_{in}(t)a_{in}(t')\rangle = 0; \tag{4}$$

$$\langle b_{in}^\dagger(t)b_{in}(t')\rangle = n_b\delta(t-t'), \quad \langle b_{in}(t)b_{in}(t')\rangle = 0. \tag{5}$$

It is now possible to linearize the system of equations (2), (3) around the steady state and calculate the output quadrature correlation for the radiation mode, which is measurable by the homodyne detection. A noise level below the vacuum is expected. However, as a consequence of the environmental effects the squeeezing on the radiation mode will be degradated (as well as other eventual nonclassical features). In particular, if the strength χ, of the exciton nonlinearity determining the squeezing, is very small, as usually occours, the noise reduction on the output light becomes not visible.

To recognize this nonclassical effect, we propose [3] to feed back into the cavity, part of the homodyne output signal [4]. Then, by considering the feedback action as a driving [5] on the radiation quadrature characterized by a phase φ and having a gain g, Eqs. (2) and (3) should be rewritten as

$$\dot{a} = -i\Omega a - i\delta\Omega a - \frac{\Gamma_a}{2}a - i\kappa b - i\epsilon - 2i\tilde{g}^*a^\dagger + \sqrt{\Gamma_a}A_{in} \tag{6}$$

$$\dot{b} = -\frac{\gamma_b}{2}b - i\kappa a + i\chi\left(b + 2b^\dagger b^2\right) + \sqrt{\gamma_b}b_{in} \tag{7}$$

where $\Gamma_a = \gamma_a(1 - g\sin\varphi)$, $\delta\Omega = (\gamma_a/2)g\cos\varphi$, and $\tilde{g} = (\gamma_a/4)ge^{-i\varphi}$. Furthermore Eq. (4) should be replaced by

$$\langle A_{in}^\dagger(t)A_{in}(t')\rangle = N_a\delta(t-t'), \quad \langle A_{in}(t)A_{in}(t')\rangle = M_a\delta(t-t'), \tag{8}$$

with

$$N_a = \frac{\gamma_a}{\Gamma_a}n_a + \frac{\gamma_a g^2}{4\Gamma_a}, \quad M_a = -\gamma_a g e^{i\varphi}\frac{(g/2)e^{i\varphi} - i}{2\Gamma_a}. \tag{9}$$

It becomes clear from the above equations that the feedback mechanism mimics the effects of a squeezed bath [6] for the radiation mode. Thus, with an appropriate choice

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of φ, it can reduce the deteriorating noisy effects on the squeezing. Along this line we will analyze several situations.

In conclusion we propose a model, which could be achieved, e.g. by tailoring the (micro)cavity with a variable transmittivity driven by the homodyne photocurrent, to prevent weak nonlinear effects from the action of environmental correlations. That practically corresponds to the enhancement of the squeezing in the outgoing light. The method could be also useful to evidence eventual nonclassical features in semiconductor laser light.

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Mid-Infrared THz Pulse

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Summary

Recently, generation of broadband mid-IR radiation through optical rectification has been demonstrated [1-3]. Free-space electro-optic sampling, as a coherent detection technique, on the other hand, can provide ultrafast response from DC to mid-IR [4,5]. In this presentation, we demonstrate the coherent detection of mid-infrared THz pulses (as short as 30 fs) with a spectrum from near DC to 40 THz. We also present the results from different sensor materials.

Figure 1 schematically illustrates the experimental setup for mid-infrared spectroscopy. Optical beam from a 12 fs Ti:sapphire laser is divided into two: one is incident upon an electro-optic crystal as a pump beam, another is used as the probe beam. The pump beam is focused to 10 micron by a parabolic mirror with an effective focal length of 2 inches. We use a pellicle to collinearly combine the optical and THz beam, then focus them on a 27-μm thick ZnTe by another parabolic mirror. The electro-optic modulation induced by the Pockels effect is detected by a pair of balanced photodiodes and a lock-in amplifier.
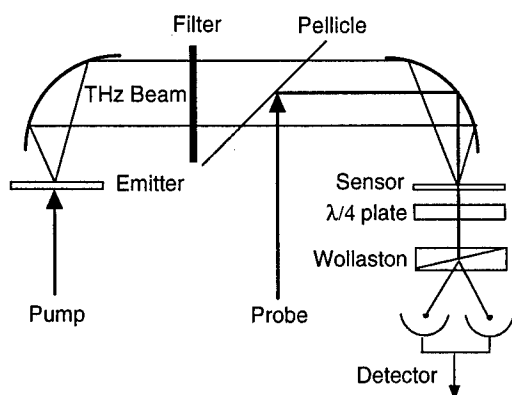
Fig. 1: Setup for generation and detection of mid-infrared THz pulses. The laser pump and probe beams have 10-15 fs optical pulse duration.
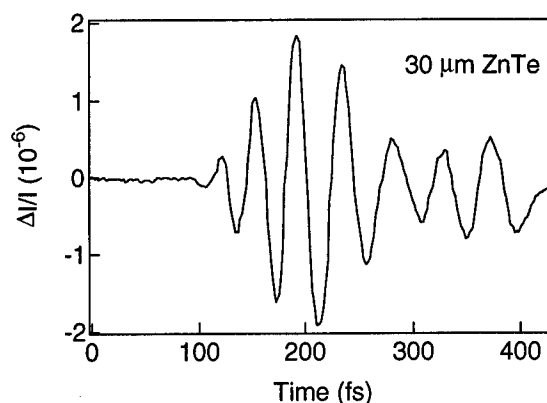
Fig. 2: Temporal waveform of the THz radiation measured by a 30-μm ZnTe sensor. The shortest oscillation period is 31 fs.

Figure 2 is a plot of mid-infrared THz pulses emitted from a 450-μm oriented <111> GaAs and measured by a 30-μm thick <110> ZnTe. Period as short as 31 fs can be resolved.
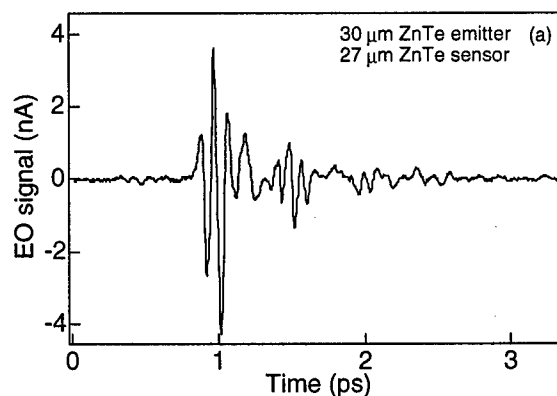
Fig. 3(a): Mid-infrared radiation from thin ZnTe.
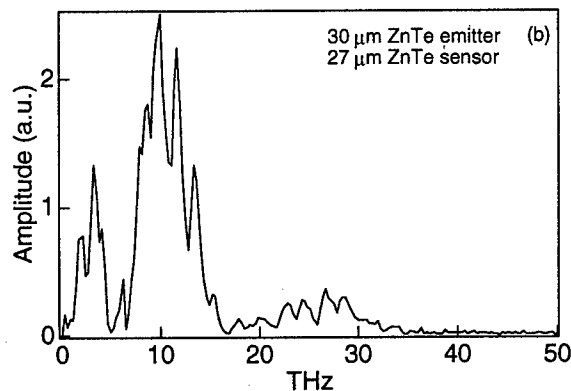
Fig. 3(b): Amplitude spectrum of Fig. 2(a)

Figure 3(a) is a plot of temporal waveform, emitted from a 30-μm ZnTe and measured by a 27-μm thick ZnTe, and Figure 3(b) is the amplitude spectrum. Since emitter and sensor are the same material, and there is only one phonon band at 5.3 THz. Also emitter and sensor are very thin, the propagation dispersion is weaker.
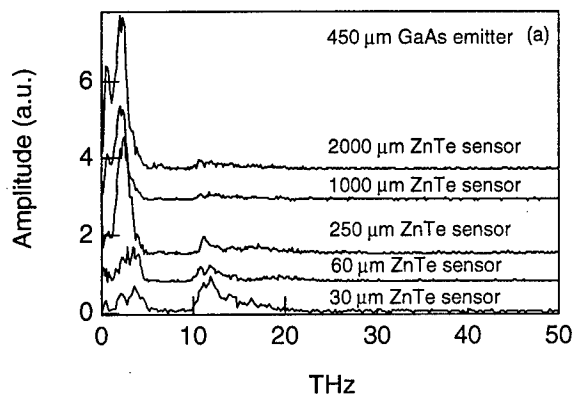
Fig. 4(a): Spectrum amplitude versus sensor thickness.   Fig. 4(b): Spectrum amplitude versus emitter thickness.
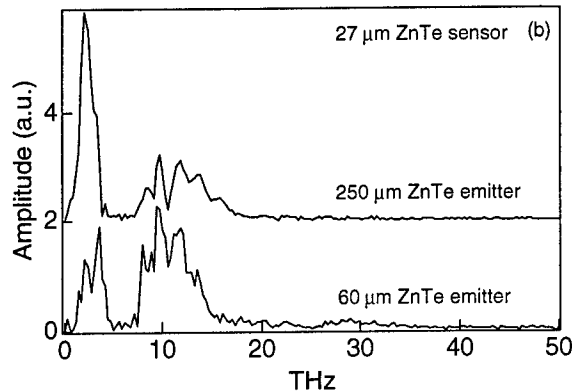
Figures 4(a) and 4(b) plot spectrum amplitude versus thickness of emitters and sensors, respectively. It is clear that thin emitters and sensors are essential. Theoretical calculation indicates a 10-μm thick ZnTe is the optimal condition for a 30 THz sensor with 820-nm optical probe beam [6].
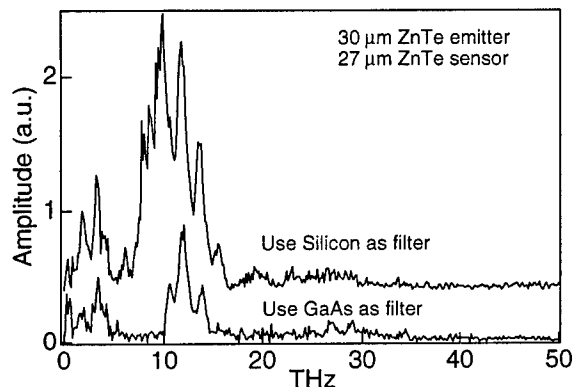
Fig. 5: Transmission after a Si or GaAs wafer placed in the mid-infrared beam path. Curve (with Si) is offset.

Finally, we will report our preliminary application of this system in mid-infrared spectroscopy. Figure 5 shows spectral amplitude after a high resistively silicon wafer or a semi-insulating GaAs wafer placed in the mid-infrared beam path. It is clear that the silicon is more transparent than GaAs in this frequency range.

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Four-wave mixing with partially coherent waves in photorefractive crystals: (I) transmission grating approximation

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Wave mixing in photorefractive crystals is an important and useful nonlinear optical phenomenon for many applications[1]. For reasons of mathematical simplicity, the theoretical study in this area has been focused on wave mixing with monochromatic waves, or waves with full coherence. However, for some applications, the effect of grating formation due to partial coherence must be included in the coupling processing. Two wave mixing in photorefractive crystal with partially coherent waves has been thoroughly studied by some researchers[2-6]. But as of to date, there is no reported work on the four wave mixing with partially coherent waves except some papers which deal with mutually pump phase conjugation without taking into account the propagation of mutual coherence[7-10]. In this paper, we consider four wave mixing with partially coherent wave in photorefractive crystals with transmission grating approximation.

The interaction configuration of four wave mixing is shown schematically in Fig. 1. We assume that all the waves are extraordinarily polarized and all the wave vectors are in the same plane with $k_3 = -k_2, k_4 = -k_1$. The coupled wave equations for the slowly varying amplitudes in a purely diffusive photorefractive medium can be written as

$$\frac{\partial A_1(z,t)}{\partial z} + \frac{1}{v}\frac{\partial A_1(z,t)}{\partial t} = -\frac{\gamma}{2}\frac{Q A_2(z,t)}{I_0} \tag{1-1}$$

$$\frac{\partial A_2(z,t)}{\partial z} + \frac{1}{v}\frac{\partial A_2(z,t)}{\partial t} = \frac{\gamma}{2}\frac{Q^* A_1(z,t)}{I_0} \tag{1-2}$$

$$\frac{\partial A_3(z,t)}{\partial z} - \frac{1}{v}\frac{\partial A_3(z,t)}{\partial t} = \frac{\gamma}{2}\frac{Q A_4(z,t)}{I_0} \tag{1-3}$$

$$\frac{\partial A_4(z,t)}{\partial z} - \frac{1}{v}\frac{\partial A_4(z,t)}{\partial t} = -\frac{\gamma}{2}\frac{Q^* A_3(z,t)}{I_0} \tag{1-4}$$

Where A_1, A_2, A_3, A_4 are the amplitude of the waves, γ is the intensity coupling constant, v is the group velocity, Q is a measure of the index grating. Consider a stationary random process with the coherence time $\delta\omega^{-1}$ being substantially less than the relaxation time of the material (i.e. $1 << \delta\omega\tau_p$)[11]. The profile of the dynamic index grating Q can be assumed to be temporally stationary. In this approximation, we can replace Q with its ensemble average $Q = \langle Q \rangle = \langle A_1 A_2^* + A_3 A_4^* \rangle$[3].

We define the self coherence and mutual coherence as $\Gamma_{mn}(z,\tau) = \langle A_m(z,t_1)A_n^*(z,t_2) \rangle$, where m,n=1,2,3,4, τ is time delay, $\tau = t_1 - t_2$. With these definitions, we obtain: the index grating $Q = \Gamma_{12}(z,0) + \Gamma_{34}(z,0)$, and the beam intensities $I_1 = \Gamma_{11}(z,0), I_2 = \Gamma_{22}(z,0)$, $I_3 = \Gamma_{33}(z,0), I_4 = \Gamma_{44}(z,0)$. The differential equations describing the propagation of the self-coherence and the mutual coherence can be obtained, according to Eqs. (1-1)-(1-4),

$$\frac{\partial \Gamma_{12}(z,\tau)}{\partial z} = \frac{\gamma}{2I_0} Q[\Gamma_{11}(z,\tau) - \Gamma_{22}(z,\tau)] \tag{2-1}$$

$$\frac{\partial \Gamma_{11}(z,\tau)}{\partial z} = -\frac{\gamma}{2I_0} Q\Gamma_{12}^*(z,-\tau) - \frac{\gamma}{2I_0} Q^*\Gamma_{12}(z,\tau)] \tag{2-2}$$

$$\frac{\partial \Gamma_{22}(z,\tau)}{\partial z} = \frac{\gamma}{2I_0} Q\Gamma_{12}^*(z,-\tau) + \frac{\gamma}{2I_0} Q^*\Gamma_{12}(z,\tau)] \tag{2-3}$$

$$\frac{\partial \Gamma_{34}(z,\tau)}{\partial z} = \frac{\gamma}{2I_0} Q[\Gamma_{44}(z,\tau) - \Gamma_{33}(z,\tau)] \tag{2-4}$$

$$\frac{\partial \Gamma_{33}(z,\tau)}{\partial z} = \frac{\gamma}{2I_0} Q\Gamma_{34}^*(z,-\tau) + \frac{\gamma}{2I_0} Q^*\Gamma_{34}(z,\tau) \tag{2-5}$$

$$\frac{\partial \Gamma_{44}(z,\tau)}{\partial z} = -\frac{\gamma}{2I_0} Q\Gamma_{34}^*(z,-\tau) - \frac{\gamma}{2I_0} Q^*\Gamma_{34}(z,\tau) \tag{2-6}$$

where we keep only the six relevant equations.

With two wave mixing approximation[1] and non-depleted approximation, $\langle A_3 A_4^* \rangle << \langle A_1 A_2^* \rangle$ $\langle A_1 A_1^* \rangle, \langle A_4 A_4^* \rangle << \langle A_2 A_2^* \rangle, \langle A_3 A_3^* \rangle$, and assuming the incident angles of the four beams are very small, the solutions of the above set of equations can be written,

$$\Gamma_{12}(z,0) = \Gamma_{12}(0,0)e^{-\frac{\gamma}{2}z} \tag{3-1}$$

$$\Gamma_{11}(z,0) = \frac{1}{I_0}\Gamma_{12}(0,0)\Gamma_{12}^*(0,0)(e^{-\gamma z} - 1) + \Gamma_{11}(0,0) \tag{3-2}$$

$$\Gamma_{22}(z,0) = \Gamma_{22}(0,0) \tag{3-3}$$

$$\Gamma_{33}(z,0) = \Gamma_{33}(L,0) \tag{3-4}$$

$$\Gamma_{44}(z,0) = \frac{1}{I_0^2}\Gamma_{12}(0,0)\Gamma_{12}^*(0,0)\Gamma_{33}(L,0)(e^{-\frac{\gamma}{2}z} - e^{-\frac{\gamma}{2}L})^2 \tag{3-5}$$

$$\Gamma_{34}(z,0) = \frac{1}{I_0}\Gamma_{12}(0,0)\Gamma_{33}(L,0)(e^{-\frac{\gamma}{2}z} - e^{-\frac{\gamma}{2}L}) \tag{3-6}$$

In addition, the normalized mutually coherence functions are

$$\Gamma_{12n}(z,0) = \frac{|\Gamma_{12}(z,0)|}{\sqrt{\Gamma_{11}(z,0)\Gamma_{22}(z,0)}} = \frac{1}{\sqrt{1 + (\frac{1}{\Gamma_{12n}^2(0,0)} - 1)e^{\gamma z}}} \tag{4-1}$$

$$\Gamma_{34n}(z,0) = \frac{|\Gamma_{34}(z,0)|}{\sqrt{\Gamma_{33}(z,0)\Gamma_{44}(z,0)}} = 1 \tag{4-2}$$

and the phase conjugation reflectivity is given by

$$|\rho|^2 = \frac{\Gamma_{44}(0,0)}{\Gamma_{11}(0,0)} = \frac{1}{I_0^2}\frac{\Gamma_{33}(L,0)}{\Gamma_{11}(0,0)}\Gamma_{12}(0,0)\Gamma_{12}^*(0,0)(1 - e^{-\frac{\gamma}{2}L})^2 \propto \Gamma_{12n}^2(0,0)(1 - e^{-\frac{\gamma}{2}L})^2 \tag{5}$$

In the above, we assume a boundary condition of $A_4(L) = 0$.

We also obtain numerical solution of the coupled equations by using the shooting method. Fig. 2 shows the dependence of phase conjugation reflectivity on the mutual coherence. For small coupling constants, the results are identical to those of Eq.(5). We note that the phase conjugation reflectivity is an increasing function of the initial mutual coherence $\Gamma_{12n}(0,0)$. Fig.3 shows the phase conjugation reflectivity as a function of γL at various beam ratios. As a result of the coupling, the mutual coherence functions $\Gamma_{mn}(z,\tau)$ are now dependent on z. The mutual coherence may be increased or decreased depending on the interaction configurations. Generally speaking, the partial coherence of the waves will weaken the wave mixing. However, in some special cases, one still can get a very high phase conjugation reflectivity.

In conclusion, we have studied the four wave mixing with partially coherent waves in photorefractive crystals with transmission grating approximation theoretically and numerically. The phase conjugation reflectivity depends much on the initial mutual coherence of the signal beam and the pump beam. The beam intensity and mutual coherence of the interacting waves are also obtained.

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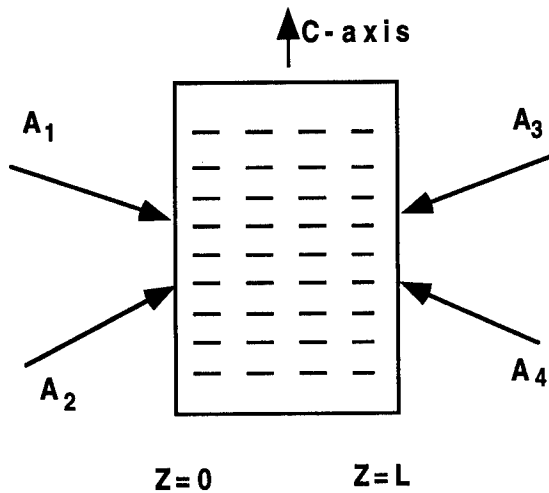
Fig.1. Four wave mixing in photorefractive media via transmission gratings approximation. The gratings are formed by beam pair(A_1,A_2) and/or pair(A_3,A_4)
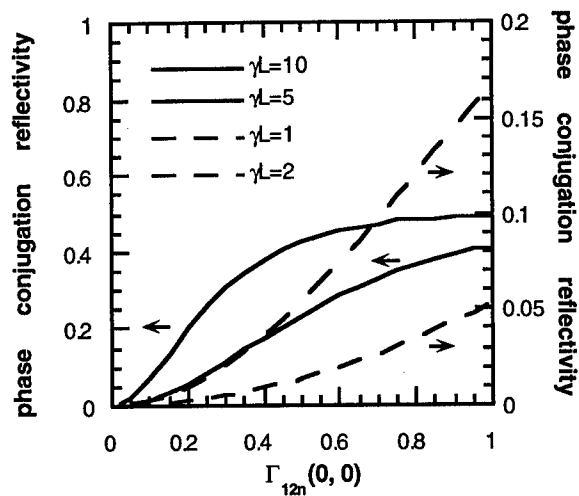
Fig2. The dependence of the phase conjugation on the initial mutual coherence of the signal beam and pump beam. I_1=I_2=10.0,I_3=1.0
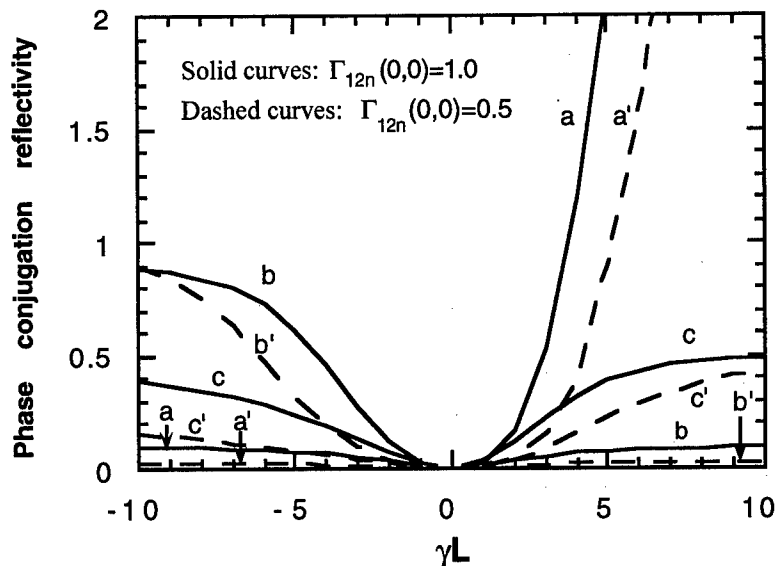
Fig3. Phase conjugation reflectivity versus γL with different intensity ratios
a(a'): I_1=I_2=1.0,I_3=10.0; b(b'):I_1=I_3=1.0, I_2=10.0; c(c'): I_1=I_2=I_3=1.0

Photorefractive and charge transport properties of the organic crystal 4-N,N-dimethylamino-4'-N'-methylstilbazolium toluene-p-sulfonate

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4-N,N-dimethylamino-4'-N'-methylstilbazolium toluene-p-sulfonate (DAST) is an organic salt that consists of the nonlinear optical stilbazolium cation and the tosylate anion needed to induce a non centrosymmetric packing (Fig.1) [1]. It shows an enormous potential for electro-optical [2] applications in the near infrared range due to an almost parallel alignment of the highly nonlinear chromophores along the polar a-axis (the angle between the molecular charge transfer axis and the polar axis is of about 20°). In spite of the constant improvement of the photorefractive sensitivity of organic crystals since 1990 [3], the obtained values are still far smaller than the theoretical expectation for optimized organic molecular crystals, which predicts a gain of several tens per centimeter for a response time of less than a second at an illumination intensity of 1 W/cm^2. In order to improve the photorefractive sensitivity of organic crystals, the photogeneration and charge transport properties of the charge carriers are of prime importance and are investigated in this contribution for the case of the organic salt DAST.
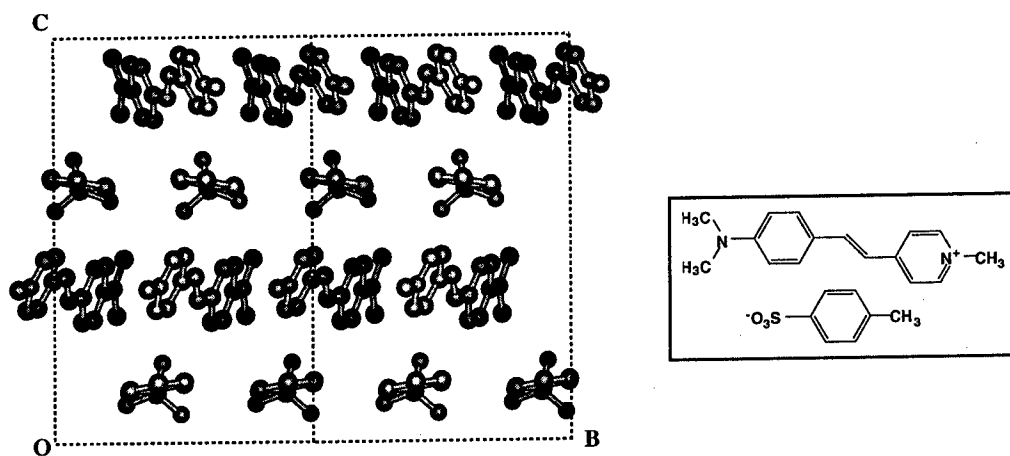
Figure 1: Crystal packing and molecular structure of DAST. The projection of two unit cells along the crystallographic a-axis reveals the perfect alignement of the chromophores with respect to the polar axis. The stilbazolium cations and tosylate anions are packed in alternating planar layers.

We report on the photorefractive properties of the organic salt DAST over a large spectral range of incident light (from $\lambda=632.8$ nm up to $\lambda=1064$ nm). From the polarization dependence of the absorption and the wavelength dependence of the coupling, we identify the photogeneration process in the salt with the chromophore species. The charge carrier transport is investigated in DC photoconductivity as well as transient photocurrent experiments in large size DAST crystals and in a-platelets polished down to thicknesses of less than 200 μm. The results are correlated to the microscopic intermolecular binding forces and to the layer structure of the tosylate and stilbazolium molecules. The strong anisotropy revealed in the crystal packing is directly related to the dark conductivity of the crystal ($\sigma_{dark,a} > \sigma_{dark,b,c}$). Moreover, the sublinear dependence of the photoconductivity versus intensity is in agreement with the intensity dependence of the photorefractive writing times (Fig. 2).

The charge transport results are combined with the microscopic parameters obtained in photorefractive two-beam coupling experiments leading to values of the recombination time of the charge carriers of $\tau=4\pm2$ ns, of the mobility of the order of 1 cm^2/Vs and of the quantum efficiency of less than 10^{-3} at $\lambda=750$ nm. Combining these material parameters, we obtain an experimental value of the gain of 2.2 cm^{-1} at light intensities of 300 mW/cm^2 at a wavelength of $\lambda=750$ nm for grating buildup times below 1s [4], comparable to some of the best inorganic crystals.
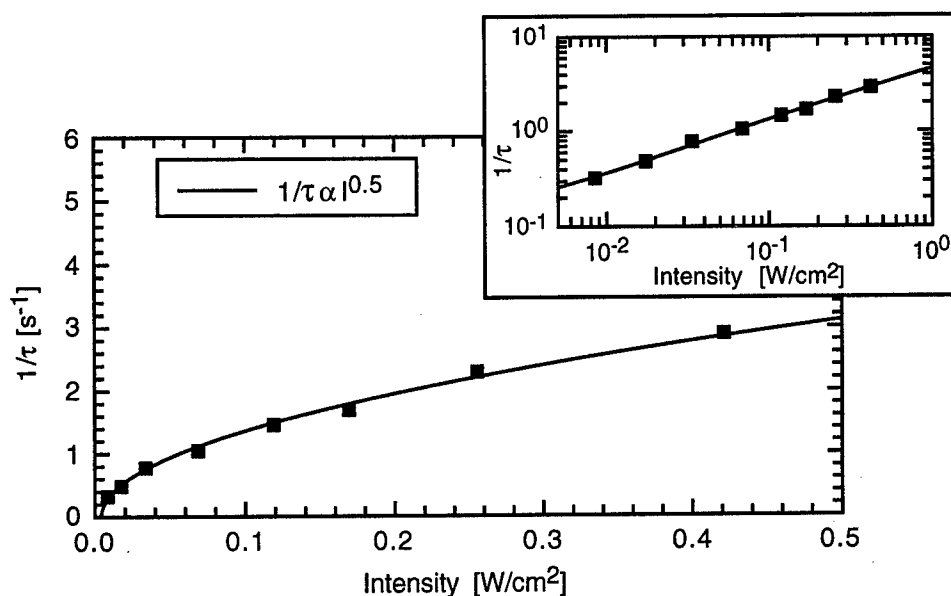
Figure 2: Intensity dependence of the grating buildup time in DAST. The inset shows a log-log plot to determine the power exponent of $\tau^{-1}=I^n$ (n=0.5).

Taking advantage of the good mechanical stability of the organic salt in a wide range of temperatures without observable deterioration of its quality, temperature dependent photo-

and dark conductivity were performed between 300 and 5 K. Preliminary results in the temperature range between 200 and 300 K hint towards a thermally activated conduction process (Fig. 3).
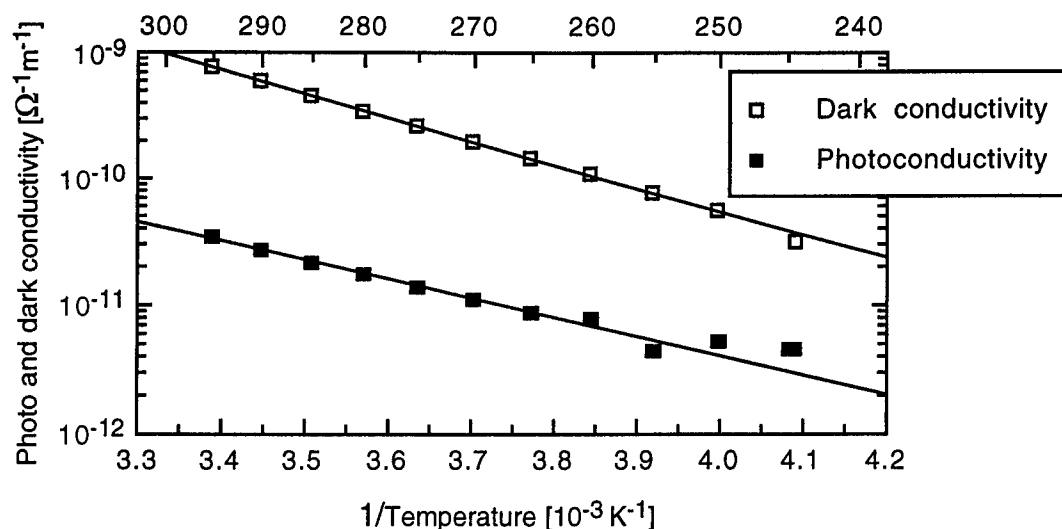
Figure 3: Dark- and photoconductivity as a function of the inverse of the temperature measured for light polarised along the polar axis of the crystal (at a wavelength λ=710 nm), in a 2.25 mm thick polished DAST sample with electrodes on the (100) surfaces (electrode distance of 4.2 mm). The curves represent an exponentially activated process.

Our results show that mobility and lifetime of the photoexcited carriers are comparable to those of the best inorganic crystals such as $BaTiO_3$, $KNbO_3$ or $Bi_{12}SiO_{20}$. Thus, the most important factor limiting the photorefractive sensitivity in DAST is the small efficiency of charge carrier photogeneration, described by a quantum efficiency smaller than 10^{-3}. Improvement of this parameter by appropriate doping of DAST could lead to orders of magnitude improvement of its photorefractive sensitivity.

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Multifunctional Carbazole Oligomers and Polymers
for Photorefractive Applications

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In the future optical information processing technologies, the physics of photorefractive effect gives attractive clues to the development of self-diffraction, phase conjugation, dynamic holography, holographic memory, optical image amplification, adaptive optical processors and so forth. The basic nature of photorefractive effect is simply described by an interaction between optical interference patterns and photoexcited charge carriers generated in the material which exhibits the second-order optical nonlinearity (Pockels effect). Therefore the requirement for photorefractive materials is to have both photoconductive properties and Pockels effect at the same time. Typical organic photorefractive materials developed in the past are multicomponent systems such as charge-transfer (CT) complex crystals and poled polymers. These poled polymers consist of three components: the first is a nonlinear optically active chromophore to provide an electro-optic response, the second is a hole transporting molecule and the third is a photosensitizer which exhibits photoconductive properties. Although these multi-component systems have good film-forming properties, they have multistep carrier hopping processes which reduce the carrier mobility due to various traps. In order to create effective space charge modulation, efficient photocarrier generation and large drift mobilities are key factors. There is a limitation of the maximum concentration of chromophores due to phase separation in multi-component systems, which leads to the reduction of optical quality. Therefore the multifunctional chromophores with both photoconductive and electro-optic properties, so called 'monolithic' photorefractive molecules should be developed. Among various kinds of materials developed in our laboratory, carbazole derivatives are most promising for photorefractice application. In this paper, we will describe molecular design of carbazole derivatives (oligomers and polymers) and their photorefractive properties.

Carbazole compounds are well-known to exhibit good hole transporting properties and their photocarrier generation efficiency can be sensitized by formation of charge transfer complexes. Recently we synthesized a cyclic carbazole oligomer as well as head-to-tail carbazole dimers, trimers and main-chain polymers. Acceptor-introduced carbazoles appeared to be very promising for second-order nonlinear optical chromophores. We also synthesized carbazole dendrimers, conjugated trimers and polymers as a multifunctional chromophore. Though functionalized polymers have been developed as a macroscopic material, they have distributions of molecular weight, amount and size of free volume, and molecular structures. On the other hand carbazole oligomers can be considered as a perfectly defined structure. We obtained efficient photorefractive effects using conjugated carbazole trimers. Electric field-induced alignment and thermal relaxation of supramolecules can be controlled by the molecular-level tuning of the size, shape, surface chemistry and topology.

Second-order nonlinear optical responses were
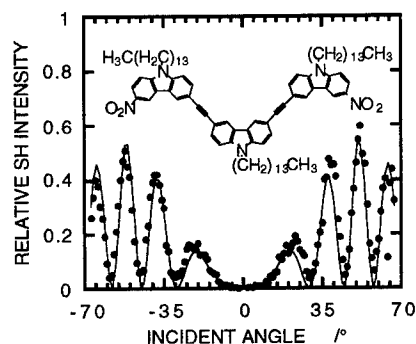
Figure 1. SH Maker fringe pattern for conjugated carbazole trimer.
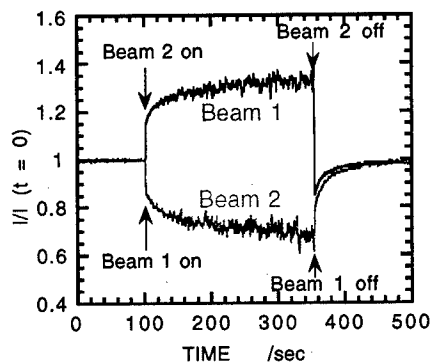
Figure 2. Intensity of beam 1 monitored as beam 2 is switched on at time t=0 sec and off at t=255. E=33 V/μm.
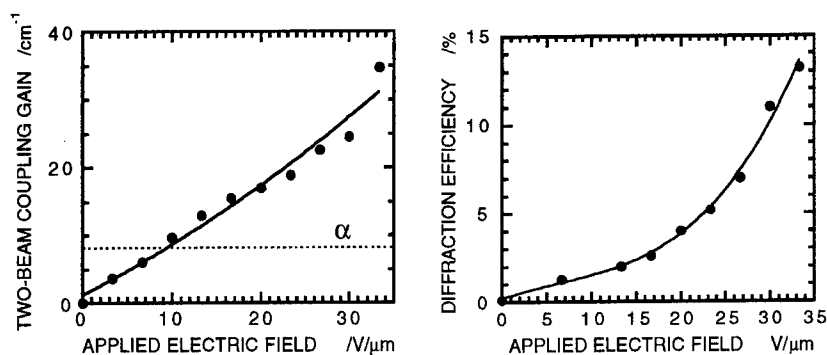
Figure 3. Field dependences of two-beam coupling gain (a) and diffraction efficiency (b) in carbazole trimer derivative.

examined on these thin films by spectroscopic method and second-harmonic generation (SHG) (For example, Figure 1 for a conjugated carbazole trimer film; excitation wavelength = 1064 nm, poling electric field = 23 V/μm). Their SHG signal decay consists of two components: a fast one corresponding to a local rotation of individual chromophores around the chain direction and a slow one resulting from the gross micro-Brownian motion of the main chain. The values of the second-order nonlinear optical coefficients (d_{ij}) changed depending on the acceptor groups. Although the optimal poling was not achieved, large d_{ij} values (*ca.* 50 pm/V) were obtained due to the high density of nonlinear optical chromophores.

The photorefractive properties of the carbazole films were characterized by a two-beam coupling and a four-wave mixing. In th two-beam coupling experiment, an asymmetric energy transfer between the two beams was observed when an electric field applied. Figure 2 shows a typical asymmetric behavior for monolithic carbazole trimer at an applied electric field of 33 V/μm. The two-beam coupling gain coefficient could be estimated from the asymmetric energy transfer. It increases monotonously with the applied field as shown in Figure 3 (a). At 33 V/μm, the photorefractive gain of 35.0 cm^{-1} was obtained. Since the absorption coefficient for this trimer was 8.2 cm^{-1}, the net gain coefficient became 26.8 cm^{-1}. As shown in Figure 3 (b), the diffraction efficiency reaches 13.2 % at 33.3 V/μm.

We have demonstrated the optical image reconstruction of distorted images due to phase conjugation using a four-wave mixing setup, the optical associative memory based on phase conjugation and the angle multiplexed holographic recording. Figure 4 indicates an example of the optical associative momory using a conjugated carbazole trimer film. The film stores the hologram images A by multiple exposure in bulk. When the imperfect image is introduced to the film, the beam is diffracted to the direction of strongest interaction with the perfect image in A and behaves as a probe beam. The reference beam B is reflected by the phase conjugation (PC) mirror and produces the perfect image (conjugated image A*).
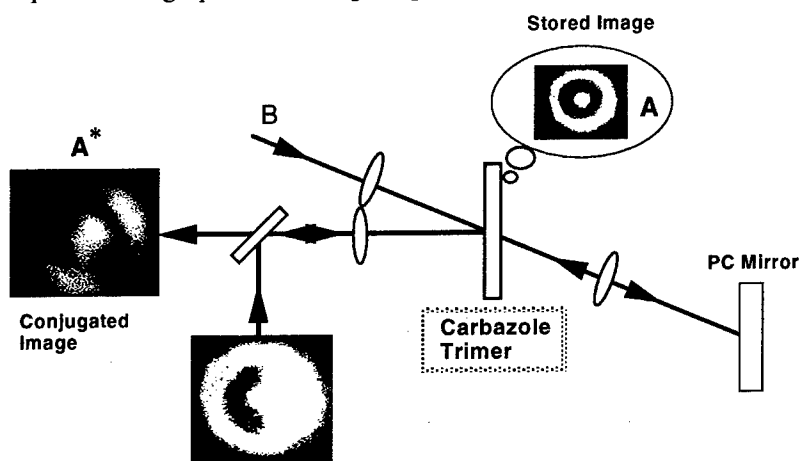
Figure 4. Demonstration of optical associative memory.

Photorefractive Diffusion-Driven Self-Focusing and Self-Trapping in Near-Transition Paraelectric Crystals

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In the last few years, nonlinear optical propagation in photorefractive (PR) crystals has been the object of an extensive research effort which has eventually demonstrated the possibility of achieving self-focusing and self-trapping of optical beams[1]. Among the intriguing phenomena observed, two dimensional self-trapping, white-light self-trapping, and soliton-soliton spiraling have aroused much attention. To this date, all steady-state spatial soliton and soliton-like behavior in PR crystals has been obtained making use of the so-called "screening nonlinearity". An external field applied to the crystal (or, for some samples, the photovoltaic field) is screened by the local light-induced charge separation giving rise to a point dependent internal electric field that, by means of the linear electro-optic effect, generates a "guiding" index of refraction pattern. Most recently, self-confinement in biased centrosymmetric PR crystals exhibiting quadratic (and not linear) electro-optic effect has been investigated both theoretically and experimentally[2,3]. These solitons have been observed in the typical screening configuration relatively far from the ferroelectric-paraelectric phase transition. In this paper we report the observation of self-focusing and self-trapping in KLTN in the very-near-transition paraelectric phase *without* any external applied field and *without* any sort of artificial background illumination. We show that this behavior depends only on the temperature T of the sample and is independent on beam intensity and beam size. In order to explain this peculiar phenomenon we make use of the PR paraelectric diffusion nonlinearity, obtaining solutions that describe self-focusing and that eventually lead to the formation of "diffusion-driven solitons".

In our experiments we focus a λ=515nm laser beam from an Ar-ion laser by means of a cylindrical lens onto the input facet of a zero-cut sample of $(3.7^{(x)} \times 4.6^{(y)} \times 2.4^{(z)})$ mm potassium lithium tantalate niobate (KLTN) kept at a constant temperature T by means of a current controlled Peltier junction. The crystal has a first-order ferroelectric-paraelectric phase transition at $T_c \cong 11°C$. The laser beam confined and polarized in the x direction is made to propagate in the z direction. The crystal has a measured value of index of refraction $n \cong 2.5$ and an effective quadratic electro-optic coefficient (considering the self-generated internal field approximately parallel to the x axis) $g \cong 0.15 m^4 C^{-2}$. We screen the crystal from spurious light beams and image the input and output facets onto the sensitive area of a CCD camera. Given a beam size at the input facet, we monitor its diffraction at the output facet as a function of temperature. In Fig.1 we show input and diffraction photographs at different temperatures T. The input beam has an intensity Full-Width-Half-Maximum (FWHM) of 11 μm and diffracts to 23 μm at $T \cong 36°C$, as expected from linear Gaussian diffraction. As the

temperature decreases towards T_c diffraction decreases until $T_{sol}\cong12°C$ is reached, at which point the output beam width is the same as the input. At this point diffraction has been compensated and we observe a spatial solitary wave. For $T<T_{sol}$ we observe beam breakup similar to that observed when excessive external voltage is applied in the screening soliton case. For $T\cong T_c$ the crystal begins to scatter due to quasi-domain formation and no clear beam dynamics is observable.

In order to get some insight as to the nature of this diffraction compensating process, similar experiments have been performed changing the size of the input beam width, obtaining similar results. Finally we studied the effect of background illumination. When illuminating the entire crystal with a y polarized uniform beam of the same intensity as the focused beam we observed a diminished diffraction compensation with a resultant FWHM of 14 μm at $T\cong12°C$. Background illumination is filtered out by means of a polarizer placed in front of the camera.
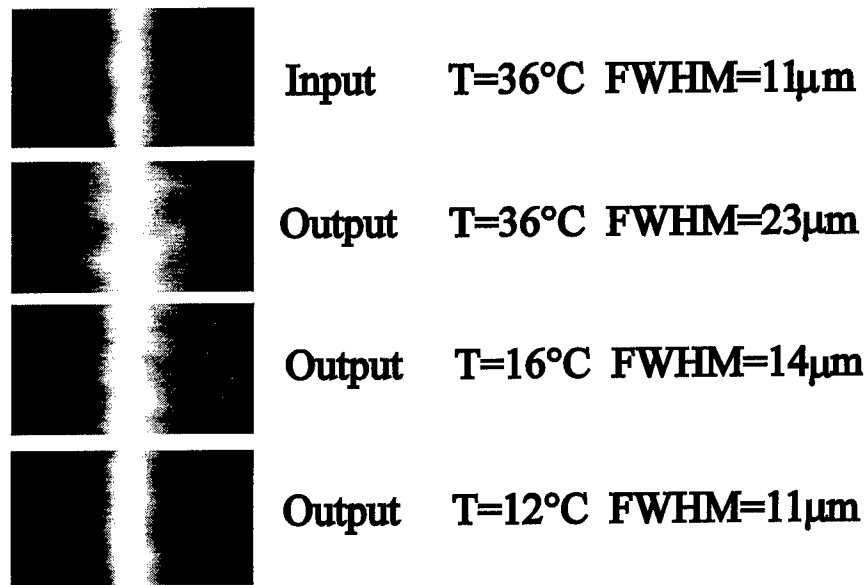
Figure 1: Photographs and profiles of input and output light intensity distribution for various crystal temperatures.

Because the crystal is in the centrosymmetric paraelectric phase, no bulk photovoltaic effect is present. The only standard PR mechanism present is driven by the light-induced diffusion field $E_{sc}=-(K_bT/e)\nabla[\ln(I+I_d)/I_d]$, where I is the optical intensity and I_d is the dark irradiance of the crystal. This field, that in the standard noncentrosymmetric screening-soliton formation process plays a negligible role and can at most give rise to self-bending nondiffracting planar propagation[4] being inherently asymmetric, in the paraelectric phase gives rise to symmetric index modulation (being proportional to E_{sc}^2) and can possibly lead to diffraction compensation. In centrosymmetric media, the photoinduced change of refractive index is given by[2] $\Delta n=-(1/2)n^3g\varepsilon_0^2(\varepsilon_r-1)^2 E_{sc}^2$, where ε_r is the low frequency dielectric constant. Inserting this expression into the parabolic wave equation for the one transverse dimensional case[1], describing the evolution of the slowly-varying amplitude A of the propagating optical field $E_{opt}=A(x,z)\exp(ikz-i\omega t)+c.c.$, where $k=n\omega/c$, we obtain

$$\left(i\frac{\partial}{\partial\zeta}+\frac{\partial^2}{\partial\xi^2}\right)A+\gamma\frac{\left(\partial|A|^2/\partial\xi\right)^2}{|A|^4}A=0, \tag{1}$$

where $\zeta = kz$, $\xi = \sqrt{2}kx$, and $\gamma = -n^2 k^2 g \varepsilon_0^2 (\varepsilon_r - 1)^2 (K_b T / e)^2$ (<0). This model equation applies if we neglect the dark irradiance I_d with respect to the intensity $I = |A|^2$, a condition which requires the peak intensity I_0 to be much larger than I_d. Because of the structure of Eq.(1), the influence of the loss term $i\alpha A$, which has been omitted, can always be accounted for by multiplying its solutions by $\exp(-\alpha\zeta)$. Moreover, if $A(\xi,\zeta)$ is a solution, then also $aA(p(\xi-\xi_0),p^2(\zeta-\zeta_0))$ solves Eq.(1) for arbitrary real p, ξ_0, and ζ_0 and complex a. This property implies that localised self-guiding solutions (when they exists, see below) will not obey a peak amplitude width relation.

In order to solve Eq.(1), it is expedient to introduce the new independent variable B through the transformation $A = B^\mu$, where $\mu = 1/(1+4\gamma)$. Different types of solutions can be associated with the sign of parameter μ. Let us consider the case of $\mu > 0$, i.e. $\gamma > -1/4$. A particular solution of Eq.(1) reads

$$A(\xi,\zeta) = \frac{A_0 \exp\left[i\phi(\xi,\zeta) - \dfrac{\xi^2}{\delta^2(1 + 16\zeta^2/\mu\delta^4)} \right]}{\left(1 + 16\zeta^2/\mu\delta^4\right)^{1/4}} \cdot \left(H_n\left[(\frac{2}{\mu})^{1/2} \frac{\xi}{\delta(1 + 16\zeta^2/\mu\delta^4)^{1/2}} \right] \right)^\mu, \quad (2)$$

where A_0 and δ are arbitrary parameters fixed by the $\zeta = 0$ boundary beam profile and H_n are the Hermite polynomials and

$$\phi(\xi,\zeta) = \frac{4\zeta\xi^2}{\mu\delta^4(1 + 16\zeta^2/\mu\delta^4)} - \mu^{1/2}(n + 1/2)\,\text{arctg}\left(4\zeta/\mu^{1/2}\delta^2\right). \quad (3)$$

In our experimental configuration the boundary condition has a Gaussian form, therefore $n=0$ and δ is the normalised Gaussian beam width. For $\mu = 1$ ($\gamma = 0$) Eq.(2) describes linear Gaussian diffraction. For positive values of μ ($-1/4 < \gamma$) it leads to partial diffraction compensation, and for $\gamma = -1/4$ leads to the formation of diffusion-driven solitons. Near the phase transition, γ is a strong function of T (through ε_r), that can take very high values close to T_c. In particular for decreasing values of T towards T_c, the value of γ takes on decreasing values and therefore Eq.(2) qualitatively explains the behavior observed and reported in Fig.1. Quantitatively, we can predict the value of T_{sol} that in our theoretical description coincides with the temperature at which $\gamma = -1/4$. Using the values of the crystal parameters we obtain a critical value of $\varepsilon_r^{theor} \cong 7.6 \times 10^4$. Measured values of ε_r as a function T give for our sample at most $\varepsilon_r^{exp} \cong 3 \times 10^4$, *however* this value is obtained by measuring the capacitance of the crystal as a whole at a given temperature. Our crystal thermalization system cannot eliminate a transverse temperature gradient across the crystal of a fraction of a degree. Therefore, whereas the nonlinear interaction for a limited crystal area can be interpreted as happening at a given T, the measurement of ε_r will be inevitably smeared-out, and therefore drastically reduced, close to T_c. Finally the presence of a background illumination increases the value of I_b and makes the model of Eq.(1) fail. Numerical integration of the "full" equation shows that this essentially decreases the value of γ at which quasi-soliton like propagation occurs (in this case strictly speaking *no* solitons exist) thus qualitatively explaining the decreased focusing observed.

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Multiple birth of nonlinear optical vortices

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The spontaneous formation of optical vortex quadrupoles in an initially smooth elliptical Gaussian beam was observed in the near-field regime within a self-defocusing medium.   Two characteristically different nonlinear phenomena were observed, namely the appearance of large-core vortices on the periphery of the beam for a weakly nonlinear system (see Fig. 1), and small soliton-like vortices in the beam interior for a high power beam (see Fig. 2).   The former case is attributed to nonlinear lensing, and we attribute the latter to a Kelvin-Helmholtz type optical instability.

The spontaneous formation of optical vortices in the near-field region for a beam having no initial vorticity in the phase structure was discovered by destabilizing dark soliton stripes [1].   The basis for this instability, namely the intensity dependent velocity of dark solitons, was first described using a linearized instability analysis of the nonlinear Schrodinger equation [2], and later a numerical nonlinear analysis [3].   To our knowledge, all experimental observations of this instability introduced perturbed beams containing, for example, a dark stripe or stripes across the beam to initiate the process.   Here we describe means of achieving this instability on a <u>smooth</u> beam.   The observed phenomenon may occur in self-defocusing medium such as slightly absorbing liquid or gas, and thus may be important in the control of laser propagation through the air or sea.

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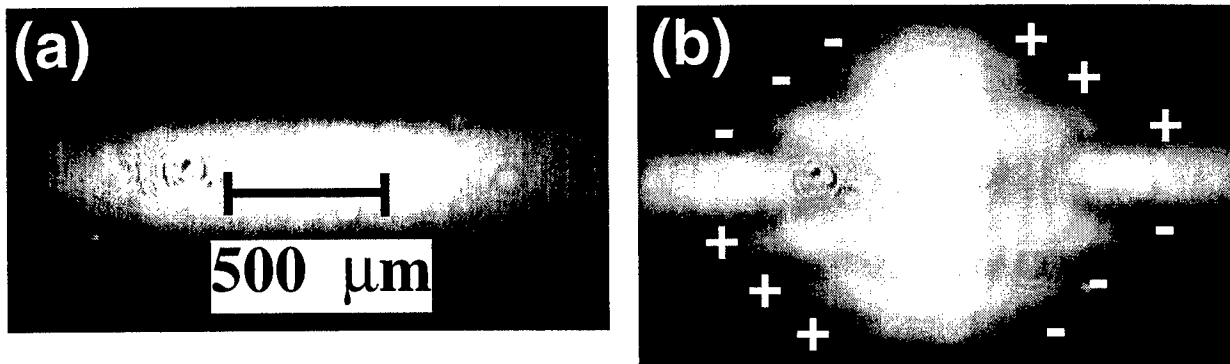
Figure 1 An elliptical laser beam in (a) self-defocuses at different rates, resulting in a aberrated self-induced lens which produces deflects, or vortices, on the perimeter of the output beam in (b) when the system is weakly nonlinear.
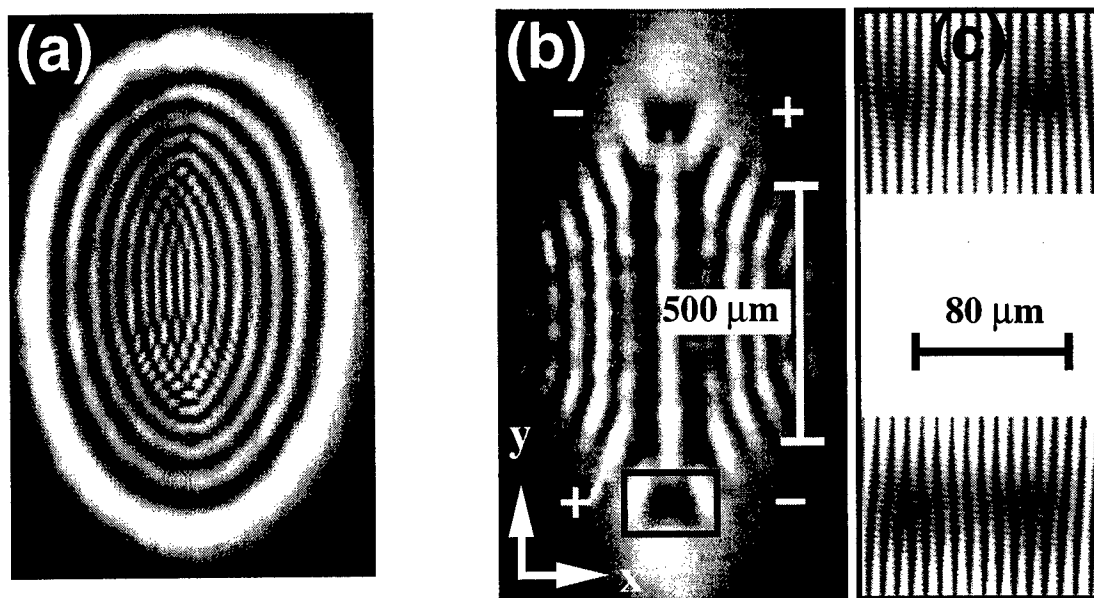
Figure 2 At roughly four times the power used in Fig. 1(b), the near-field beam exhibits elliptical rings which interfere and create pairs of nodes in the interior of the beam. As the beam propagates, these node evolve into solitary optical vortices. The interferograms in (c) show that the four vortices in (b) form a quadrupole structure.

The Optical Whistle: A Novel Transverse Oscillation in Nonlinear Optical Cavities

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1   Introduction

In the 1980's a great deal of work concentrated on transverse structure and dynamics in nonlinear cavities, in order to incorporate the phenomena of self-(de)focusing and diffraction. In particular, McLaughlin *et al* demonstrated in 1985 that a finite-width Gaussian input beam incident on a cavity with a single transverse dimension gives rise to a transverse instability in the cavity field; this process is a form of modulational instability.

However, their linearized analysis leaves an important question unanswered: What happens when these perturbations grow large? What type of asymptotic state does the field profile approach? We have found that a number of solutions are possible: The system can approach a steady state, it can exhibit intermittency and chaos, or it can undergo periodic oscillations. We term a cavity driven into the latter solution an *optical whistle*. Within this periodic mode the oscillation frequency is roughly proportional to the input field amplitude; hence the nonlinear cavity acts here as a light-controlled oscillator. Experiments are underway to observe this effect.

2   The Nonlinear Cavity Equation

For concreteness consider a *hemicylindrical* Fabry-Perot cavity consisting of two mirrors, one planar and the other concave and cylindrical. Let x lie along the cylindrical mirror axis, z along the cavity longitudinal axis (normal to the plane mirror), and y along the other transverse direction. The equation governing the evolution of the cavity's internal field is (in CGS units)

$$\frac{\partial \mathcal{E}}{\partial t} = \frac{ic}{2k}\frac{\partial^2 \mathcal{E}}{\partial x^2} - \Gamma'\mathcal{E} + i(\Delta\omega')\mathcal{E} + \Gamma'\mathcal{E}_{drive} + i\omega n_2 |\mathcal{E}|^2 \mathcal{E}\,, \tag{1}$$

where $\mathcal{E}$ is the internal cavity field amplitude, k is the longitudinal wavenumber, $\Gamma' = cT/L$ is the amplitude decay rate from the cavity (T is the amplitude transmission at each mirror, assumed equal, and L is the cavity length), ω is the field angular frequency, $\Delta\omega' = \omega - \omega_{cav}$ is the detuning of the laser from linear cavity resonance, and n_2 is the nonlinear index inside the cavity. This equation is the time-dependent version of that found by Haelterman *et al* (1990) from their modal theory.

We now make this equation dimensionless by choosing an arbitrary distance scale x_0 and relating the time and field scales t_0 and $|\mathcal{E}_0|$ to it using $t_0 = kx_0^2/c$ and $|\mathcal{E}_0| = 1/kx_0\sqrt{|n_2|}$. After rescaling the cavity equation takes on the dimensionless form

$$\dot{\Psi} = \frac{i}{2}\nabla^2 \Psi + i\sigma|\Psi|^2\Psi + i\Delta\omega\Psi - \Gamma(\Psi - \Psi_{drive})\,, \tag{2}$$
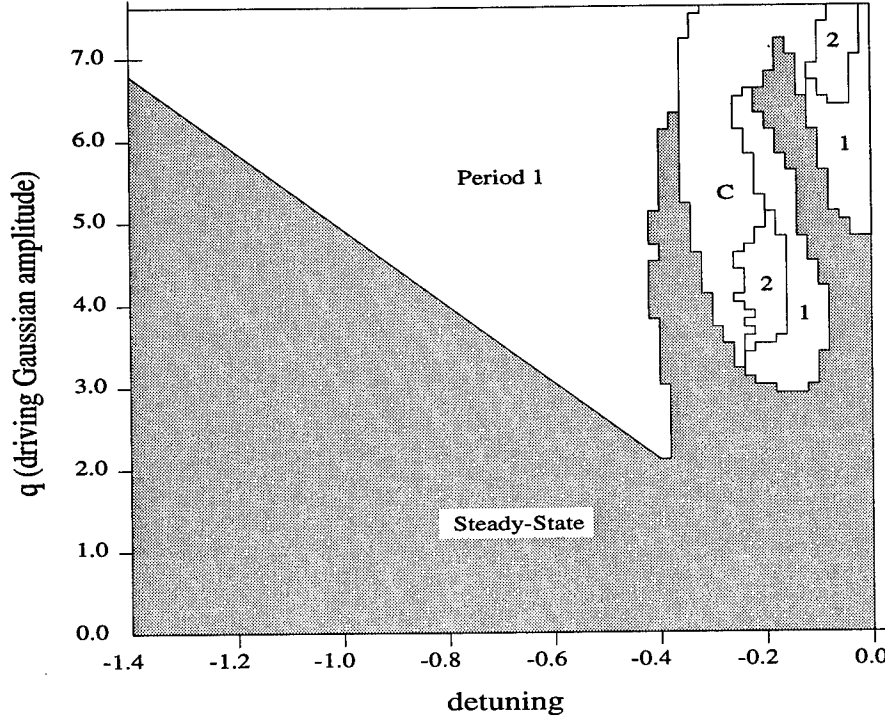
Figure 1: Asymptotic behavior of cavity field, for $\sigma = +1$, $\Gamma = 0.14$, and an initially empty cavity. Labels indicate regions of steady-state, period 1 oscillation (1), period 2 oscillation (2), and chaos (C).

where $\sigma = +1(-1)$ for self-focusing (-defocusing). This equation is similar in structure to the nonlinear Schrodinger equation (NLSE). We solve it numerically using a modified split-step Fourier method, which does the ∇^2 diffraction term in Fourier space and the nonlinear $|\Psi|^2$ term in real space.

3 The Optical Whistle

For a Gaussian driving field recall that the choice of distance scale x_0 is arbitrary, so we can choose it to be the waist size of the input beam. Then we have $\Psi_{drive} = q \exp\left(-x^2\right)$ where q is a real, dimensionless driving amplitude. Our model is then characterized by the four dimensionless quantities σ, Γ, $\Delta\omega$, and q. All of the numerical results presented below assume $\sigma = +1$ and $\Gamma = 0.14$, and all assume an initially empty cavity.

The asymptotic solutions are summarized in Figure 1. The regions have complex boundaries and indicate the following behaviors: (1) steady-state solutions, (2) period 1 oscillations, (3) period 2 oscillations, and (4) chaotic (intermittent) oscillations. Points near the borders of the "chaotic" regions show particularly interesting behaviors: At the borders with steady-state regions lie oscillations of very long period, and at the borders with the normal oscillating regions there is evidence of period-doubling. Period 3 oscillations have also been observed. Time series of examples are shown in Figure 2. Snapshots of the cavity field amplitude for the period 1 oscillation are shown in Figure 3; the "breathing" appearance of the field profile is reminiscent of the breather soliton.

Experiments are currently underway to observe the optical whistle and test its feasibility as a light-controlled microwave oscillator.
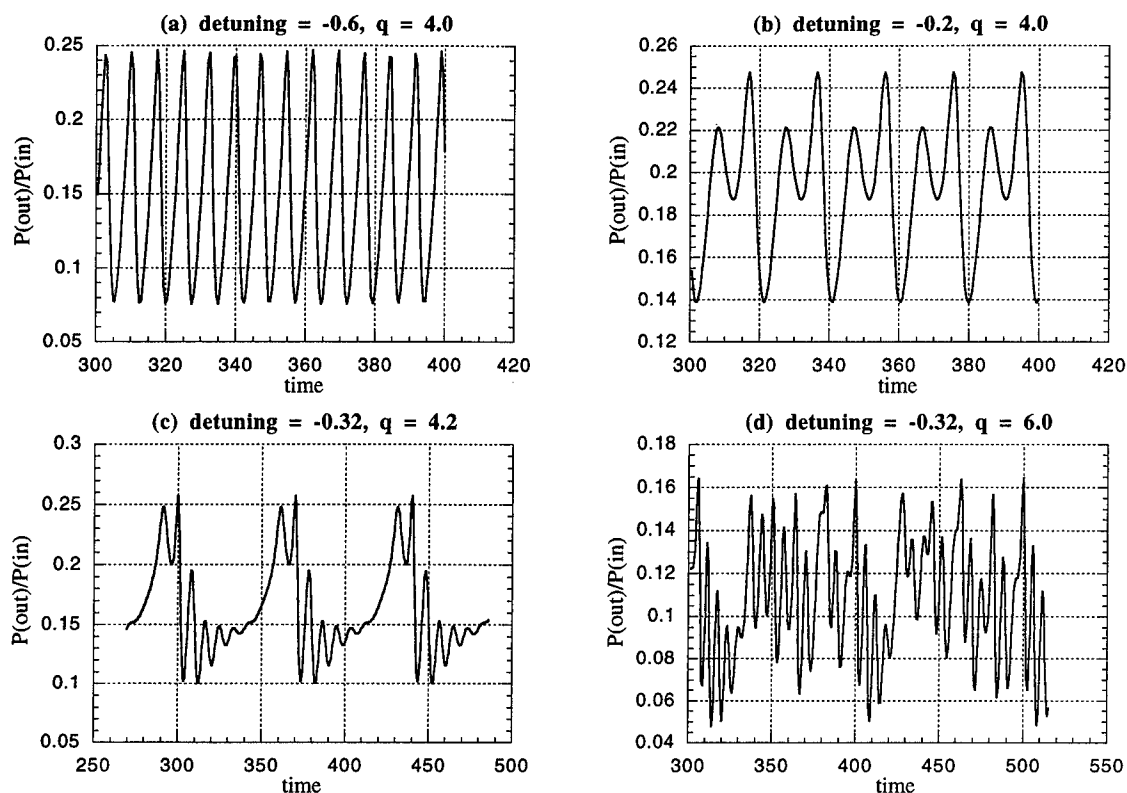
Figure 2: Time series of total power output for specified parameters.
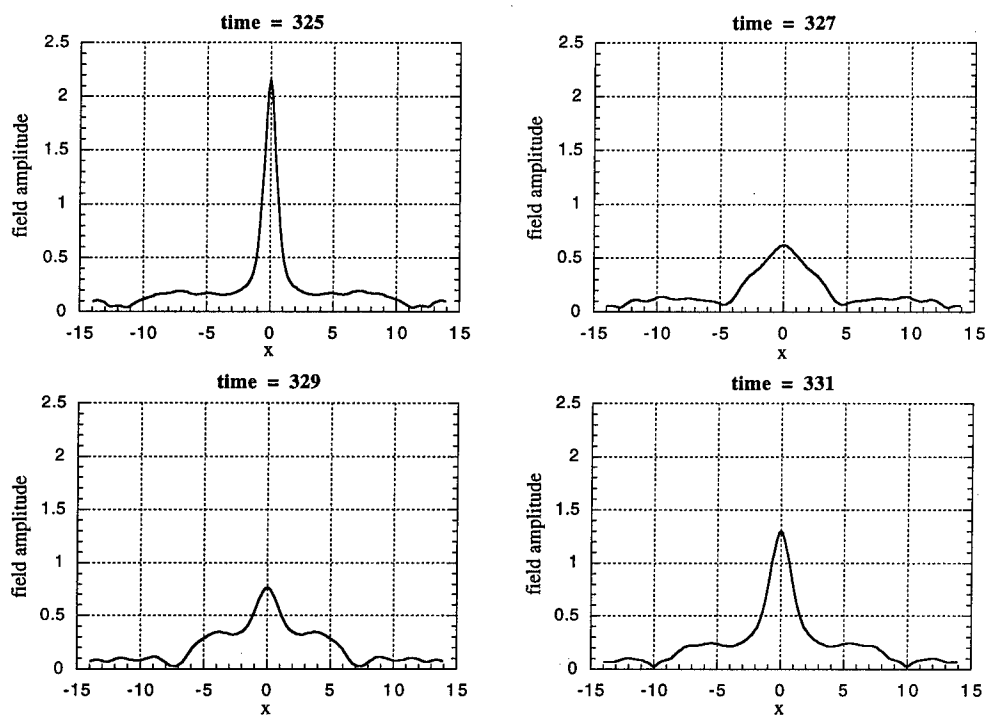
Figure 3: Cavity field amplitude profiles for the period 1 oscillation shown in Figure 2.

Self-focusing and limiting at nanowatt laser power and image conversion with μWatt/cm^2 optical intensity using nematic liquid crystal films

Nematic liquid crystal films containing photo-excitable dopants exhibit numerous interesting nonlinear optical phenomena [1-2]. In particular, Rudenko, and Khoo et al [2] observed an effective refractive index change coefficient n_2 on the order of 10^{-3} to 10^{-2} cm^2/Watt in nematic liquid crystal films under a small dc bias voltage.However, the necessity of using dc bias voltages close to the threshold for dynamic scattering is an undesirable feature, especially for practical application.

Here we report the observation of an extraordinarily large purely optically induced refractive index change mechanism in methyl-red dye doped nematic liquid crystal film. A refractive index change coefficient n_2 of $6\,$cm^2/Watt is obtained. Visually observable self diffraction and holographic grating diffraction, incoherent->coherent image conversion and optical limiting effects can be generated with optical intensity as low as 40 μW/cm^2. The response times are measured to be in the milliseconds regime.

The liquid crystal used is 5CB, Pentyl Cyano Biphenyl with traces of the laser dye Methyl-red dissolved in it at a concentration of about 1 %. The liquid crystal cell is homeotropically aligned, i.e., the crystal's director axis is perpendicular to the cell wall. The transmission of the 25 μm thick film is over 85 %, with most of the losses coming from the ITO electrode coating and interface reflection. For grating diffraction effect, a linearly polarized Argon laser is divided into two equal power writing beams. These beams, propagating in the in the y-z plane, are overlapped on the liquid crystal film at an angle α, as shown in **Figure 1**. A linearly polarized 5 mW He-Ne laser is used to probe the grating.
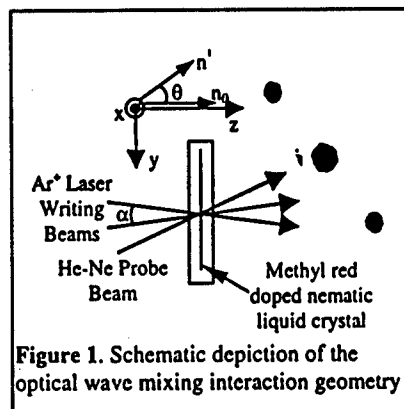
Figure 1. Schematic depiction of the optical wave mixing interaction geometry

In general, easily observable grating diffractions are generated with total input power as low as 2 μWatts [intensity of 40μW/cm^2]. We have ruled out thermal effects accompanying photo-absorption by the polarization dependence and response times of the diffraction effect. The effect is attributed to nematic director axis reorientation by the optically induced space charge fields [2], although other mechanism such as laser induced reorientation of the dye molecules, and their subsequent action on the surface alignment of the nematic liquid crystal axis has not yet been ruled out [3].

The dynamics of the grating formation depend on the writing beam intensity. At an intensity of 2 mW/cm^2, the writing time (to achieve 50% of maximum) is found to be 150 ms. The writing time is reduced with higher intensities; at 20 mW/cm^2, the writing time is 10 ms.These response times are found to correlate well with the dynamics of the photovoltage created by the incident optical field [3].

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Such reorientational effect can be enhanced with the application of an ac field across the ITO electrodes. **Figure 2** shows the first order probe diffraction power as a function of the ac frequency for various ac voltages, from a 25 μm thick film. At such low writing beam power, there is no diffraction when the ac voltage is off. For ac frequencies in the range of 10^2 -10^4 Hz, the diffraction increases as the applied ac voltage is raised; for frequency above 10^4 Hz, the diffraction vanishes. This dependence on the frequency of the AC applied voltage is attributed to the dispersion of the dielectric anisotropy $\Delta\varepsilon$. For low frequency [<10^4 Hz], the anisotropy of the dye-doped nematic film is negative, and so its reorientation by the optically induced space charge field is aided by the ac voltage across the ITO windows. At high frequency [>10^4 Hz], the dielectric anisotropy $\Delta\varepsilon$ is positive, and thus the ac voltage tends to realign the liquid crystal to the original direction, i.e. perpendicular to the cell walls. This therefore diminishes the grating diffraction effect.

An estimate of the effective refractive index change coefficient n_2 can be obtained from **Figure 2**. For this case, the grating spacing λ_g=30 μm [crossing angle ~ 1 degree] and the diffraction is in the Raman-Nath regime. The first order diffraction efficiency η is thus given by $\eta = J_1^2$ (phase shift) ~ $(\pi\Delta nd/\lambda)^2$. From Figure 2, we note that a diffraction efficiency $\eta = 10^{-3}$ is obtained for the case where ac voltage = 20 Vpp case. Inserting all the values for d, λ, and η in (1), we obtain Δn ~ 2x 10^{-4}. This gives n_2 = 6 cm^2/Watt since the intensity of the writing beam is ~ 44 μWatt/cm^2. In the following experiment on external self-phase modulation effect, the sign for n_2 obtained is determined to be negative.

Figure 2. Observed diffracted power versus applied ac frequency for different ac voltages. Argon [488 nm] writing beam power: 2 μWatt each; beam diameter: 3 mm. He-Ne probe beam power : 5 mWatt. All beams co-polarized along y-direction.

Such extraordinarily large nonlinearity enables us to observe nonlinear optical effects at unprecedented low threshold value. The insert in **Figure 3** shows a typical optical limiting set up using external self-defocusing effect. A linearly polarized laser beam is focused by a 15 cm focal length input lens to a spot diameter of 0.1 mm onto a 25 μm thick liquid crystal film placed just behind the focal plane of the input lens. The nematic film is tiled so that the incident beam makes an angle of 45 degrees with the normal to the cell; this enhances the nonlinear refractive index change experienced by the extraordinary incident ray [4]. An aperture of 5 mm diameter is placed at 40 cm behind the sample to monitor the central region of the transmitted beam. Above an input

power of ~ 70 nanoWatt, it is observed that the central region of the transmitted beam becomes progressively darkened, and the beam divergence increases dramatically.

Figure 3 shows an output versus input curve for cw input laser clearly demonstrating a typical limiting behavior. The threshold of 70 nanoWatt is among the lowest of all known materials. The response time is dependent on the beam intensity. We observed that the transmitted on-axis power decreases to almost vanishing value in 1.5 sec for an input laser power of 0.3 μWatt. In other words, less than 0.3 μJoule is transmitted through the limiter. At higher input power/intensity, the response time is correspondingly shortened. The net effect is that << 1 μJoule of the input laser energy will reach the detector/sensor. This is well below the sensor/eye damage level for long pulse-cw laser.
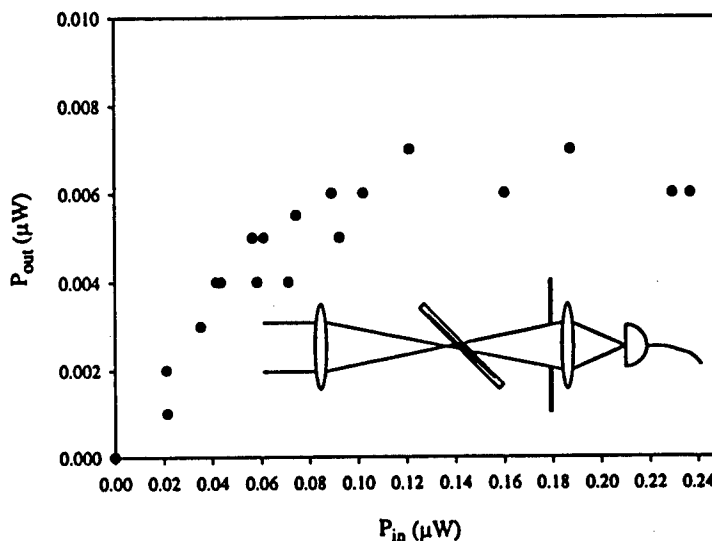
Figure 3. Plot of detected output power versus input laser power. Insert shows the experimental set up for optical limiting action using external self-defocusing effect.

Using these dye-doped films, we have demonstrated incoherent to coherent image conversion with laser intensities as low as 90 μWatt/cm^2, which is comparable to the intensity level required for the operation of commercial [and much more expensive] liquid crystal light valve. Since many dyes exist that will cover the entire visible spectrum, such dye-doped nematic films are highly promising candidates for application as very broadband optical modulator and limiters. The effect is also potentially useful for other adaptive optics and coherent wave mixing devices.

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Interactions of coherent or incoherent spatial soliton pairs in the viscidity of a non-linear interface

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Soliton switching due to soliton – soliton interaction was suggested before [1,2]. More efficient "digital" switching can be achieved when the soliton interaction is taking place in the viscidity of a non-linear interface. When a single spatial soliton is incident at an oblique angle on an interface separating two positive Kerr like media, it will be transmitted, reflected or trapped by the interface depending on its intensity. Particle-like model was developed [3] to yield an equation of motion for the soliton's average location while the interface acts as a potential barrier. Here we study the interactions of two solitons near a non-linear interface both by a numerical solution as well as by deriving a new potential for the combined two particle and interface interactions. The results predict efficient soliton-controlled soliton-switching.

First we examined numerically the interaction of two coherent/incoherent spatial solitons with a non-linear interface. The initial conditions - the separation between the solitons and their angle of incidence - were set to yield interactions only close to the interface. The interaction of non-equal amplitude spatial solitons – which are typical to the switching scenario - with an interface was studied. The presence of a second (control) soliton near the interface was found to have a remarkable effect on the first soliton. Although solitons with different amplitudes are known to have no or little interaction with each other while copropagating [4], in the presence of the interface we were able to control completely the transmission characteristics of a soliton by the other. The presence of the control soliton near the interface may cause the first soliton to be transmitted through the barrier even if by itself it would be reflected.

Figure 1a shows the reflection of a high power spatial soliton from a non-linear interface. Figure 1b shows the transmission of a lower power soliton through the same interface and incidence angle.

Figure 2 shows the result of the simultaneous incidence of the two non-equal-amplitudes incoherent spatial solitons of Fig. 1. The presence of the control soliton switched the main soliton trajectory from reflection to transmission. The control soliton can be either transmitted or reflected depending on the initial conditions and its amplitude. Higher amplitude control solitons will be reflected while lower power solitons may be reflected or transmitted. Since the control soliton can be marked (e.g. by a different optical frequency), its specific destiny is not relevant for the switch.

Incoherent spatial solitons interact through the mutual change of the medium index of refraction. The results of Fig. 2 were obtained by solving the coupled non-linear Schrödinger (NLS) evolution equations:

$$i\frac{\partial A}{\partial \tau} + \frac{\partial^2 A}{\partial x^2} + 2A \cdot |A|^2 = \left(V - |B|^2\right) \cdot A \tag{1}$$

$$i\frac{\partial B}{\partial \tau} + \frac{\partial^2 B}{\partial x^2} + 2B \cdot |B|^2 = \left(V - |A|^2\right) \cdot B$$

where A and B are the electrical fields of the solitons, τ is the normalized coordinate in the propagation direction and V is the interface – soliton potential[3]:

$$V = \begin{cases} n_{0_1}^2 - n_{0_2}^2 - 2\left(\dfrac{n_{0_2} \cdot n_{2_2}}{n_{0_1} \cdot n_{2_1}} - 1\right) \cdot |A|^2 & \text{medium 1} \\ \\ 0 & \text{medium 2} \end{cases} \tag{2}$$

n_{0i} and n_{2i} are the linear and non-linear indices of refraction respectively in medium i where i=1 is the medium of incidence. It is important to emphasize that Eq. 1 is different from the relevant equations in all references that we know of by a missing factor of 2 multiplying usually the A^2 and B^2 terms. This difference is due to a real incoherency (not only difference in wavelengths) that can be encountered only in spatial solitons and is going to be discussed further in the presentation.

We simulated also the interaction of two coherent solitons and an interface. In this case the coherent version of Eq. 1 was solved under similar conditions and the results obtained (Fig. 3) were similar to these of the incoherent case. The two solitons which are of different amplitudes are interacting almost solely by intensity related effects. The results were completely different when the 2 solitons were identical, and then real phase related interactions came into effect as will be discussed.

An analytical particle–like model was developed for the coupled NLS equations (1). The resulting equation of motion for solitons A, B are:

$$\frac{\partial^2 \overline{x}_A}{\partial \tau^2} = -\frac{2}{P_A} \cdot \int_{-\infty}^{\infty} \frac{\partial}{\partial x}\left(V - |B|^2\right) \cdot |A|^2 \, dx \tag{3}$$

$$\frac{\partial^2 \overline{x}_B}{\partial \tau^2} = -\frac{2}{P_B} \cdot \int_{-\infty}^{\infty} \frac{\partial}{\partial x}\left(V - |A|^2\right) \cdot |B|^2 \, dx$$

where $\overline{x}$ is the soliton's position, $A = 2\eta_0 \cdot \text{Sech}(2\eta_0(x - \overline{x}))$, P – the soliton's power. From Eq. (3) we derived the forces between the two incoherent spatial solitons. The force, on a soliton located in $\overline{x}_A$, due to a similar soliton located in $\overline{x}_B$ is:

$$F = 8\eta^3 \cdot \left\{ \frac{3\text{Cosh}[2\eta \cdot (\overline{x}_B - \overline{x}_A)]}{\text{Sinh}^3[2\eta \cdot (\overline{x}_B - \overline{x}_A)]} - 2\eta \cdot (\overline{x}_B - \overline{x}_A) \cdot \frac{\text{Cosh}[4\eta \cdot (\overline{x}_B - \overline{x}_A)] + 2}{\text{Sinh}^4[2\eta \cdot (\overline{x}_B - \overline{x}_A)]} \right\} \tag{4}$$

This force is always attracting and decays to zero when the distance between the solitons is very large. For medium distance $F \propto -2x \cdot e^{-x}$, which agrees with the results of reference [5]. Integrating Eq.(4) yields the potential between the two solitons as a function of the distance $x = \overline{x}_B - \overline{x}_A$:

$$\widetilde{V} = -\frac{4\eta^2}{\text{Sinh}^3[2\eta \cdot x]} \cdot \left\{ 2\eta \cdot \text{Cosh}[2\eta \cdot x] \cdot x - \text{Sinh}[2\eta \cdot x] \right\} \tag{5}$$

The potential function $\widetilde{V}$ for the $\eta = 1/2$ case is depicted in Fig. 4. Adding this potential to the interface potential given by Eq. (2) yields an analytic set of equations that describe the interaction of the two incoherent solitons in the vicinity of the interface.

The effect of the control soliton is to reduce the effective barrier potential such that a low kinetic energy soliton can overcome the barrier. The detailed results of the analytical results and similarity to the simulation will be presented.

The above effects may be used to construct an all-optical switching device that controls the transmission or reflection of a spatial soliton beam. The advantage of this

mechanism is that it is based on incoherent interactions, enhanced by the interface and enables two distinct soliton sources to effect each other.

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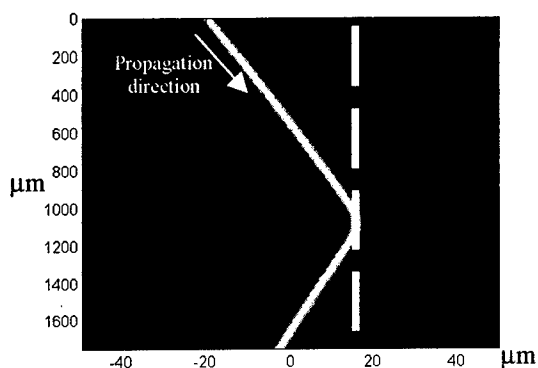
Figure 1a - soliton reflection; A=0.8, n_{01}=1.45, n_{02}=1.449 n_2=0.0625, θ=2.18°
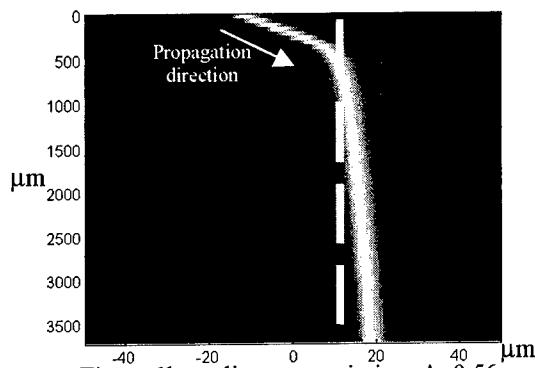
Figure 1b - soliton transmission; A=0.56, other parameters - same as in Fig. 1a
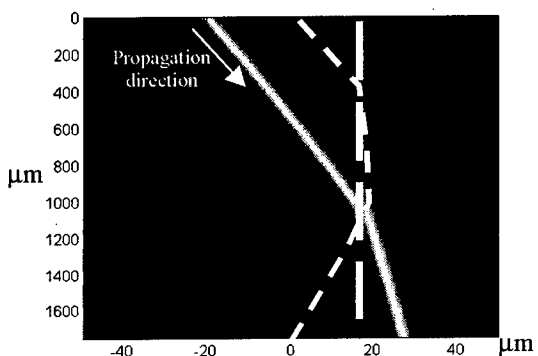
Figure 2a - simultaneous incoherent solitons of Fig. 1. The control soliton (dashed line) is detailed in Fig. 2b
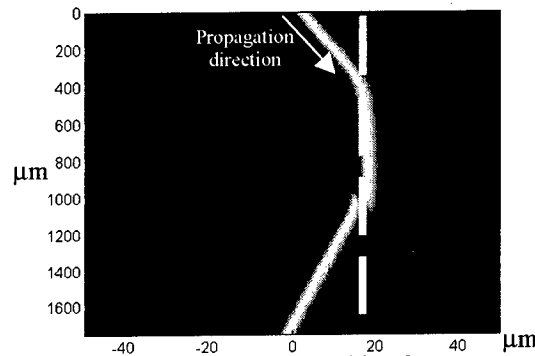
Figure 2b - The control incoherent soliton; parameters of Fig. 1b
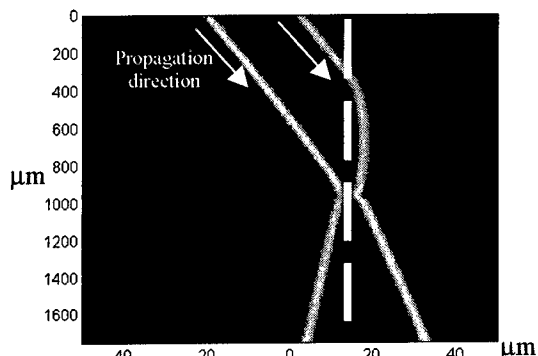
Figure 3 - simultaneous two coherent solitons of Fig. 1
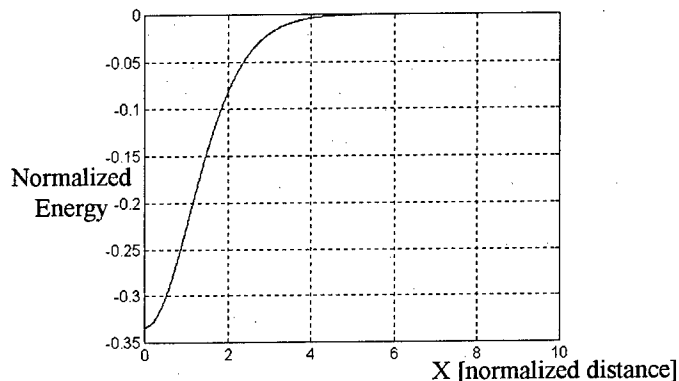
Figure 4 - the potential well between two identical incoherent solitons; η=0.5

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FRIDAY, 14 August

FA Applications II
FB Semiconductors II

Life at 10^{10} W/cm^2: Low-damage microscopy in living specimens using multi-photon microscopy

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Summary

Multi-photon excitation microscopy (Denk, Strickler et al. 1990) provides optical sectioning by excitation confinement alone and therefore allows fluorescence imaging of biological samples with minial photodamage. Generation of fluorescence requires molecular excitation, which for organic chromophores is always accompanied by the possibility of photochemical side reactions. Such reactions can lead to the destruction of the fluorophore itself (photobleaching) or to damage to surrounding biological molecules (photodynamic damage). Photobleaching and photodynamic damage can often be reduced by the removal of oxygen, which is, however, often incompatble with biological viability.Because only molecules in the focal plane are excited with in the multi-photon microscope no spatial discrimination is necessary during the detection process leading to efficient utilization of fluorescence photons. This is different from the confocal microscope, which is very wasteful with fluorescence photons and, in particular for thicker samples, uses only a small fraction of the total fluorescence generated.

We have used multi-photon excitation microsopy to investigate a number of biological samples where low-damage high-resolution imaging was crucial to the question at hand. In cultured cell we imaged the distribution of neurotransmitter-gated ion channels by two-photon scanning photochemical microscopy (Denk 1994; (Denk, Piston et al. 1995; (Denk 1996; (Denk and Svoboda 1997). In hippocampal and cerebellar brain slices we examined chemical information processing in synaptic spines (Denk, Sugimori et al. 1995; (Yuste and Denk 1995; (Svoboda, Tank et al. 1996). In mechano-sensory hair cells of the inner ear we examined the location of the transduction channels (Denk, Holt et al. 1995). In living rats we studied the [Ca^{++}] dynamics in the dendrites of various sub-types of cortical pyramidal neurons during sensory stimulation. In zebrafish embryos we followed the [Ca^{++}] dynamics in three spatial dimensions continuously during the first 20h of development (Denk, Aksay, Baker, Tank, *unpublished data*).

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FLUORESCENT TWO-PHOTON 2.5 D OPTICAL DATA STORAGE: A «REAL WORLD» APPLICATION OF FEMTOSECOND NONLINEAR OPTICS

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Three-dimensional optical data storage offers the potential for large recording capacity.

Digital three-dimensional (3D) holographic data storage has received a great deal of attention recently [1], thus opening up the use of optical phase recording in 3D memory devices.

The alternative - «amplitude»- attitude to the creation of 3D optical memory devices has received incomparably less attention so far [2, 3]. However, in spite of the significant progress, nearly 30 years of intensive research in «phase», or holographic digital 3D optical memory has not resulted yet in the development of practical, competitive, compact, high-information-capacity optical data storage devices able to replace conventional 2D magnetic, magneto-optical or optical memory devices (magnetic types and disks, optical compact disks etc.).

That is why we have attempted in the development of a working prototype of a quasi-3D (multilayered) digital «amplitude» optical data storage system. We have used a tightly focused single beam of femtosecond laser radiation to stimulate the two-photon-induced «coloration» of a photochromic substance in the vicinity of beam's waist to write 1's as volumetric pixel, or «voxel», of colored form of photochromic material. The 0's has been presented by the same voxel filled with uncolored form of the material. The readout has been performed via forming fluorescence images of pre-recorded two-dimensional arrays, or pages, of binary voxels, onto a receptor of a CCD camera, taking the advantage of well-pronounced difference in fluorescence spectra of colored and uncolored forms of a photochromic material.

The similar attitude has been developed earlier by P. Rentzepis's group [2].

Though there are attractive features in such method of page-by-page information recording, it requires rearrangement in modern computer architecture. Besides, the method developed in [2] provides the volume density of information ($1.4 \cdot 10^7$ bit/cm^3), which is many orders of magnitude below the principal diffraction limit ($\sim 10^{12}$ bit/cm^3). Data arrangement in the page density for systems with parallel recording (~10^4 bit/cm^2) is also several orders of magnitude less than the theoretical diffraction limit.

The use of single beam single-frequency bit-by-bit sequential recording the information into multilayered structures with photoactive layers alternated by non-photoactive inert polymer layers is a promising alternative method of reaching the goal of creating optical data storage with enhanced information capacity [3]. Localization of bits of information is provided by both the non-linearity of two-photon light absorption and by a small thickness of photoactive informative layers. The page of binary information in such media may be recorded with the diffraction limit density and the volumetric density of information may be set closely to the mentioned above limit by decreasing the distance between informative layers. Hereafter we will call multilayer data storage media 2.5-dimensional ones. This class of memory devices may be constructed to be compatible with CD and DVD technologies and they are certainly enter-capable into the architecture of existing digital computers. That is why we call our attitude a «real world» application of nonlinear optics.

Diffraction limited tightly focused beam containing the continuous trains of ultra-short light pulses from KLM mode-locked Ti:Sapphire laser are used in our single-beam writing 2.5D optical memory device. This allows us to approach the diffraction limit of digital optical information density and to use the maximally compressed power of femtosecond light pulses to enhance the efficiency of non-linear photocoloration process.

Information readout is performed by the registration of fluorescence of colored form with single- or two-photon excitation. With single-photon excitation, the parallel (page-by-page) reading is realized.

The prototype created makes it possible to test photosensitive materials and to simulate the processes of recording, reading and erasing the information in the devices of 2.5D optical data storage.

The prototype is a table top bench. It's basic elements are as follows: lasers for recording, erasing and reading the information, optical gates, an universal unit used for writing and fluorescence registration (universal head), precision 3D platen with multilayer media sample holder and a computer for control and information processing. In Fig.1 the schematics of prototype is depicted.

An average power of a femtosecond Titanium-doped sapphire laser ($\lambda = 800 - 860$ nm) is ~ 150 mW, pulse duration is 100 fs, pulse repetition rate is 100 MHz. The laser is pumped

by a 5.6 W cw argon-ion laser («Innova-316» from «Coherent»). Writing beam is
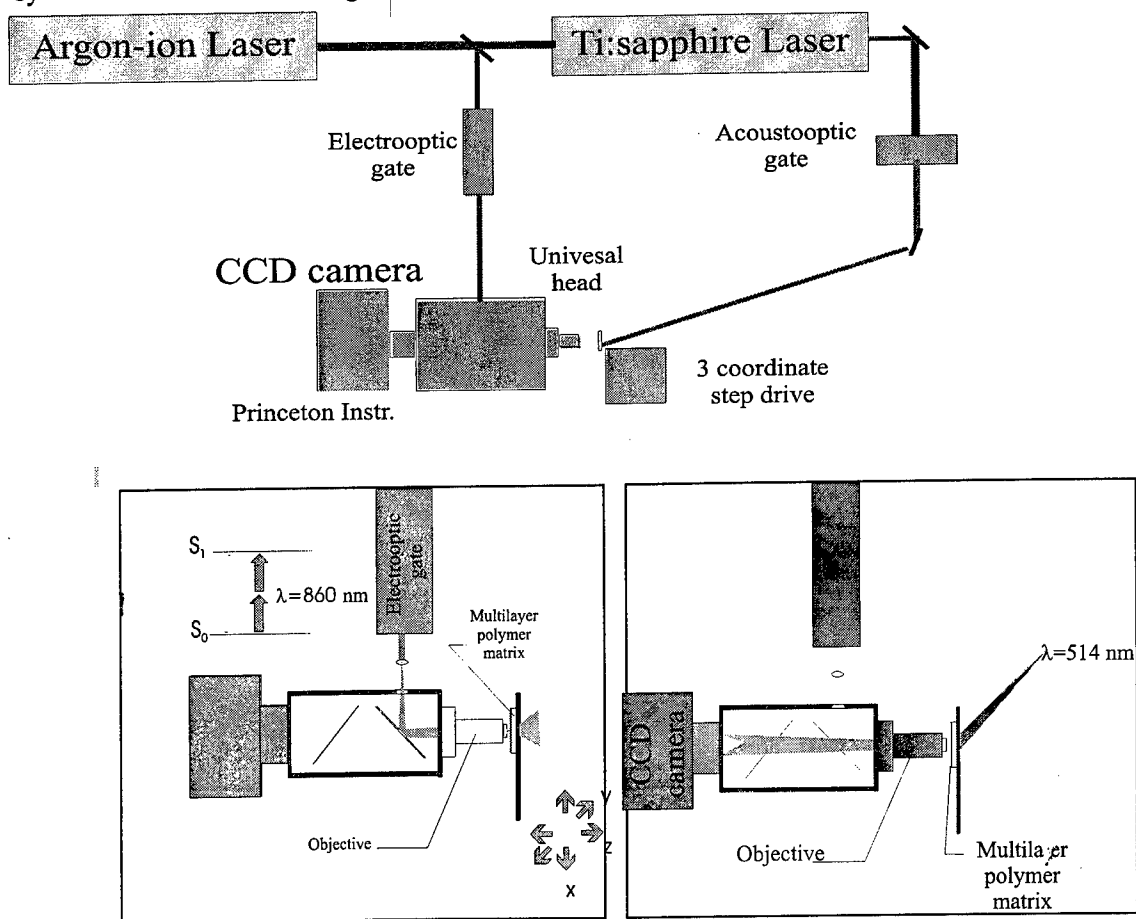
Fig.1. The scheme of setup (up). Universal head in writing regime (left) and in readout regime (right).

focused by a microscopic objective (x40; N.A. = 0.65). In the left inserted panel in Fig.1 the schematics of universal head action in writing regime is given. Here the radiation of writing laser beam after electro-optical gate is formed by lens system into a beam that fill the major part of objective aperture and possess the divergence corresponding to a minimum of objective's aberrations. In the universal head unit this beam is reflected by a dichroic mirror and is directed into the objective to irradiate the sample.

The measured waist diameter at the half of maximum of light intensity is approximately 1.5 μm. Thus the writing parameters are close to the CD standard. The light pulse duration, that was measured with the help of autocorrelator after beam propagation through electro-optical gate and objective, is approximately 200 fs.

The energy of the writing pulses has been varied in the interval 10-500 pJ per pulse, so the radiation flux density in the photochromic layer may be varied from 2 to 100 GW/cm^2. The duration of pulse trains varied, in their turn, in the range 1-10000 μs that corresponds to the change of number of pulses in train from 100 to 1000000.

The fluorescent image readout from various layers of the sample is performed by focusing chosen layer image onto the surface of a CCD camera receptor. This image transfer is done with the help of the same microscopic objective that has been used for the writing beam focusing. The schematics of the universal head operation in the readout regime is depicted in the right inserted panel in Fig.1.

Information reading (under single-photon excitation of pohotochromic material colored form fluorescence) is performed with the use of the radiation of the argon-ion laser at wavelength 514 nm. This radiation is directed onto the sample at Brewster angle from the opposite side of the microscopic objective position through the transparent quartz substrate (page dimensions are 110x160 μm^2).

Writing beam scanning and choice of page for readout is performed by moving the sample in 3 dimensions. Sample movement is fulfilled with the help of precision 3D step motor driven platen under the computer control. The displacement accuracy is 0.22 μm.

The samples used for data storage are transparent multilayer polymeric structures with photochromic substance introduced into thin informative layers (naphthacenepyridone (NP) derivatives [4] in PMMA and other

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polymers). The structures are produced by multilayer spin coating of round quartz substrates with 20 mm diameter and 5 mm thickness.

At Fig.2 the results are shown of page-by-page fluorescence reading of two and three consecutive layers in an optical matrix pre-recorded in the same bit-by-bit manner described above, with the according variation of the depth of focus of the recording objective. The conditions of recording and readout were the same as described above. The ultimate information capacity of the created 5-layer optical matrix is limited by the dimensions of a single binary voxel which in our experiment has a diameter of 1.5 μm. These results in the surface density of the stored information in binary code in the order of 0.2 G bit/ cm^2. Due to relatively high contrast ratio of the fluorescence images, there is a possibility of using gray level encoding which may result in higher information density of the stored optical data.

a)   b)
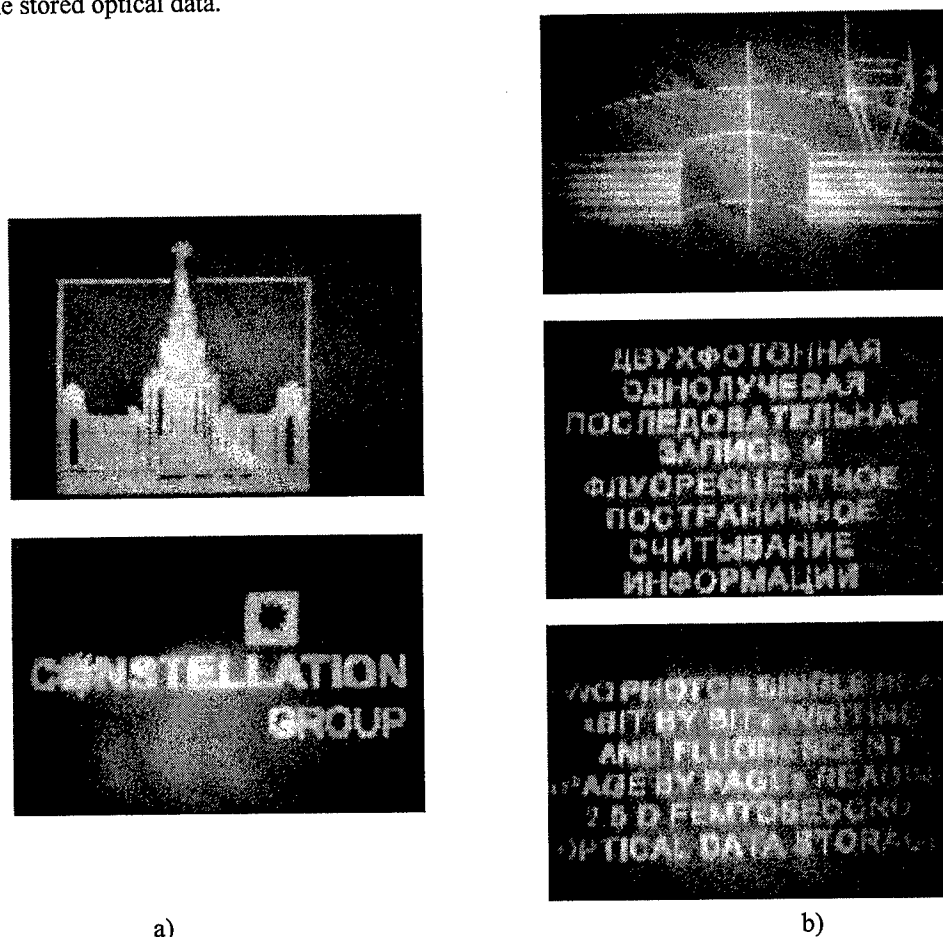
Fig. 2. Examples of fluorescent images obtained from matrices containing two (a) and three (b) informative layers. Actual sizes of recorded pages inside multilayered matrices are 110x160 μm^2.

In conclusion, we have demonstrated the reliable operation of the designed prototype of the device for multilayered digital rewritable optical data storage . Writing of optical data in each of up to five consecutive layers of photochromic-doped polymer matrix with the equivalent information density of 0.2 G bit/ cm^2 was performed point-by-point with tightly focused beam of a quasi-cw (rep. rate 100 MHz) femtosecondTi:Sapphire laser.

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ENHANCING THE DETECTABILITY OF BALLISTIC PHOTONS TRAVELLING THROUGH HIGHLY SCATTERING MEDIA BY FREQUENCY-DOUBLING THEIR FAR-FIELD PATTERN

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The quality of an image of an object immersed in a scattering medium is generally degraded because light signals in such a medium split into a coherent (ballistic) and an incoherent components, of which only the former one brings information about the object. Different techniques have been proposed to enhance the detection of the ballistic signal by selecting it in time, first by picosecond Kerr gating [1] and, till recently, by cross-correlation time gating, with femtosecond resolution, *via* non-linear optical interactions (namely, second harmonic generation [2] and parametric amplification [3]). We made experiments in which the part of a Nd laser pulse (1 mm spot size, either 1.2 ps at 1.055 μm or 19 ps at 1.064 μm) emerging undeviated from a cell (1-cm path) containing micellar suspensions of Intralipid (Pharmacia, Italy) at different concentrations was cross-correlated with the incident pulse in a non-collinearly phase-matched BBO type I crystal. The set-up is sketched in Fig. 1. Thus the transmitted pulse collected under an angle of about 9 mrad, was frequency doubled and detected with a fast photomultiplier (PMT). We obtained cross-correlated SH pulses of duration virtually identical to that of the auto-correlated incident pulses. The absence of signal at greater delays, at which the diffuse component is expected, suggests that only ballistic photons contribute to the detected SH signal. To further prove this, we inserted a needle of 0.78 mm in diameter into the cell and cross-correlated the diffracted pulse as above. By using
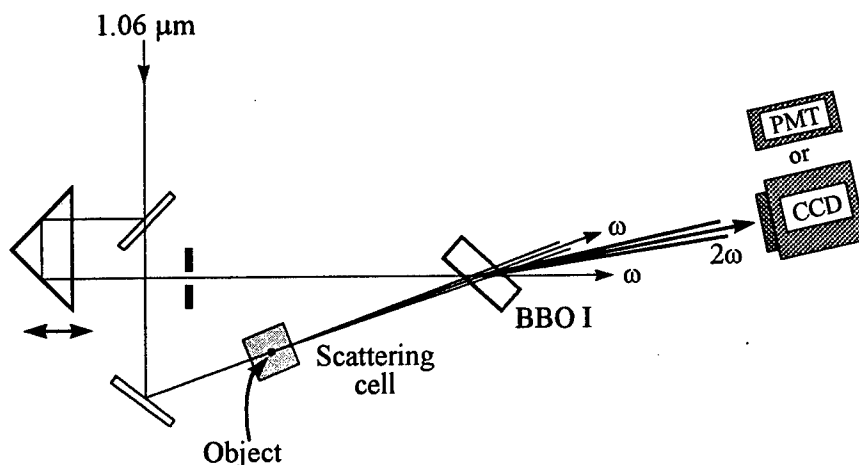
Fig. 1 Experimental set-up.

the same geometry as in Fig. 1 and the CCD camera as detector, frequency-doubled patterns of the diffracted-beam far-field distribution were obtained for different Intralipid concentrations. The camera displayed diffraction patterns of the needle (see Fig. 2), perfectly matching the calculated ones, up to relatively high concentration values, namely, of up 1.25% (transmission $<10^{-5}$ [4]), for incident pulses of 1.2 ps duration, and 0.25%, for those of 19 ps duration. The diffraction pattern at the fundamental wavelength was only detectable for concentrations $< 0.6\%$ in the former case. Moreover, these images had a background significantly higher than that of the frequency-doubled diffraction patterns.
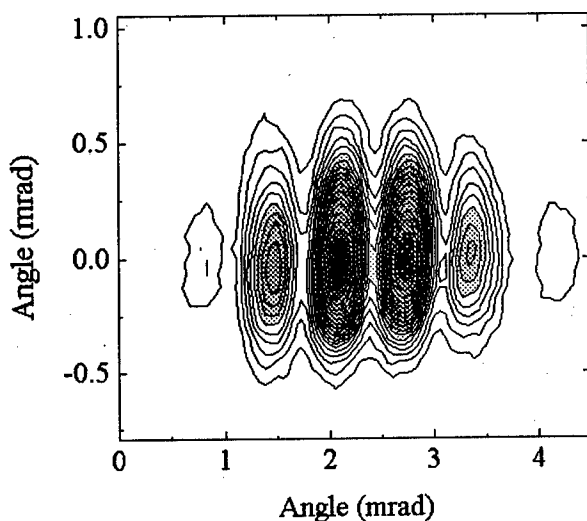
Fig. 2 Frequency-doubled diffraction pattern of a 0.78 mm diameter needle in 0.6% Intralipid suspension.

Though in the experiments performed with the shorter pulse we used intensity values just below the threshold of self-focusing in the optical components, again the signals were detectable only for time delays within the incident pulse duration. The absence of detectable tails in the forward-scattered light [5] and the improvement in signal-to-noise ratio seem to suggest that the angular selection we operated on the *k*-vectors of the diffracted IR pulse is more relevant than time-gating is to distinguish ballistic from diffuse photons. Experiments with 10 ns pulses are in progress to substantiate this idea.

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Surface Second Harmonic Generation as a Probe of Anodic Oxidation of Si(001).

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SUMMARY

Anodic oxidation of Si(001) has been well studied by traditional electrochemical techniques.[1] A detailed description of the process is however lacking due to insufficient knowledge of the state of the silicon surface during the course of the reaction. In the present work, we employ surface second harmonic generation (SHG) to monitor the oxidation process *in situ*. Our recent work[2] has clearly shown that the variation of the SHG signal during oxidation is largely determined by the evolution of the space charge field in the silicon. This result is consistent with the experiments of previous workers that demonstrate that the nonlinear optical response of Si/SiO_2 interfaces is sensitive to the presence of electric fields in the space charge region (SCR) of silicon.[3-7] By enabling us to monitor the surface potential in the Si during oxide growth, these *in situ* optical experiments reveal details of the oxidation mechanism that are difficult or impossible to study by using purely electrochemical methods.

The experimental arrangement for *in situ* monitoring of anodic oxidation by surface SHG is shown in Figure 1. Anodic oxide growths were carried out in a three electrode electrochemical cell containing 0.1 M HCl electrolyte. The potential between the p-doped (7×10^{14} cm^{-3}) Si(001) wafer and a saturated calomel electrode (SCE) was varied by using a computer controlled potentiostat. Prior to each experiment, the Si wafer was etched in 1% HF to remove the existing oxide and leave a H-terminated surface.
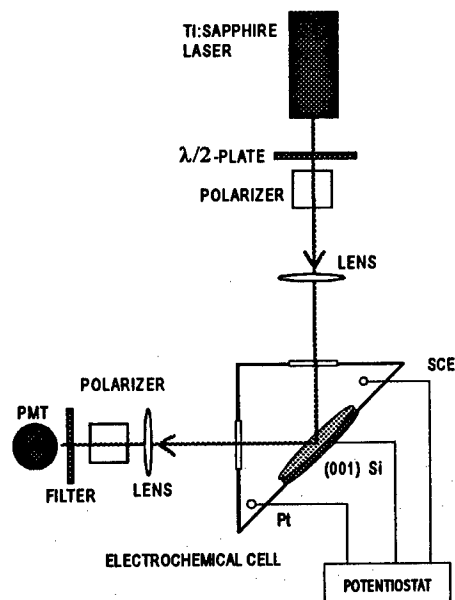
Figure 1. Experimental arrangement for *in situ* monitoring of anodic oxidation by surface second harmonic generation.

The incident light source was a mode-locked Ti:Sapphire laser tunable in the wavelength range 720 - 1000 nm. A p-polarized probe beam was incident on the Si surface at 45 degree incidence, and the p-polarized component of the second harmonic light radiated from the surface was measured by using a photomultiplier tube. The Si wafer was oriented with the (100) axis parallel to the plane of incidence. In this configuration the bulk, interface and space charge region will contribute to the total second harmonic response.[3]

We recorded the SHG intensity for freshly prepared and electrochemically treated H-terminated silicon surfaces as the applied potential was scanned from -1 to +2 V_{SCE} (potential in volts relative to the SCE). Figure 2 shows the resulting data for a series of different electrochemical treatments. Immediately prior to each scan the fresh H-terminated Si wafer was held for ~15 minutes at various potentials in the range -1 to +2 V_{SCE}. At strongly cathodic potentials, this conditioning treatment produces no change in the H-terminated Si surface. On the other hand, treatment at anodic potentials produces a stable oxide layer. The thickness of this oxide varies linearly with potential from 10 Å at +1 V_{SCE} to 35 Å at +4 V_{SCE}.[1] The oxide growth is also self-limiting, the thickness being approximately independent of time for anodic growth intervals between 1 and 30 minutes.[1] The scan rate was ~2 mV/sec, which ensured that the limiting oxide thickness was continuously maintained during the scan. As shown in Figure 2, the prior oxide growth caused large changes in the variation of the SHG response with potential. The individual traces are offset vertically in Figure 2 for clarity. The zero of SHG intensity is indicated for each trace by a short horizontal line near 0 V.
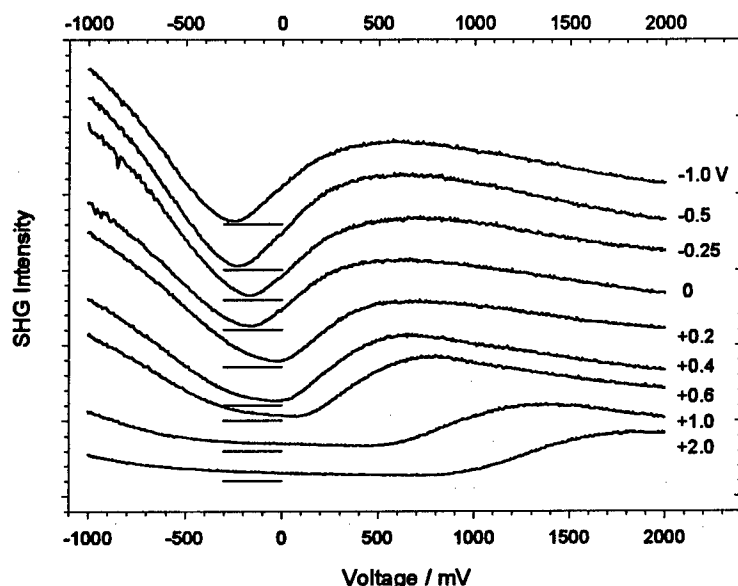
Figure 2. SHG intensity as a function of applied potential following conditioning of the sample for 15 min. at the indicated potentials. The zeros of intensity for the various traces are indicated. The applied potential is relative to SCE. The fundamental wavelength was 785 nm.

Previous spectroscopic studies[2] on this system indicate that the SHG signals shown in Figure 2 are dominated by the electric field induced SHG from the silicon SCR adjacent to the Si/oxide or Si/electrolyte interface. The qualitative features of the curves in Figure 2 can

therefore be interpreted in terms of the evolution of the Si surface potential V_s as the applied electrochemical potential is varied. The approximately quadratic dependence of the SHG signal on potential for potentials less than $+0.5$ V_{SCE} is consistent with a simple electric field induced SH (EFISH) response as described in references 3 and 4. The quadratic response arises because the EFISH susceptibility is linear in applied electric field. Obvious deviations from this quadratic behavior occur for samples conditioned at potentials greater than 0.5 V_{SCE}, and for all samples when the scanned potential exceeds 0.5 V_{SCE}. The slow decrease of the SH intensity at anodic potentials in excess of $+0.5$ V_{SCE} has already been attributed to the screening of the Si surface by mobile charges in the oxide layer.[2] As the conditioning potential exceeds $+0.2$ V_{SCE}, the SH intensity (and hence V_s) becomes increasingly independent of applied potential near 0 V_{SCE}. This suggests that pinning of V_s by interface states is significant for these anodically grown oxides. A closer analysis of the data in Figure 2 at potentials below 0.5 V_{SCE} reveals subtle deviations from the approximately quadratic behavior predicted by EFISH theory.[3,4] A careful examination of these anomalies is of particular importance in terms of understanding the fundamental electrochemical processes, since they allow us to identify the electrochemical potentials for H desorption and the onset of oxide growth, and the transition from depletion to accumulation in the Si SCR.

From the results in Figure 2, we can therefore identify three regimes of applied potential U: i) H-terminated surface for U < -0.5 V_{SCE}; ii) initial onset of oxide growth at U = -0.3 V_{SCE}, possibly involving OH-termination of the surface; and iii) irreversible oxide growth for U > -0.25 V_{SCE}. Further details of the interpretation of the SHG results will be described in the contributed paper.

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Femtosecond incoherent second-order nonlinear light scattering: opportunities for molecular and device characterization

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SUMMARY:

Incoherent second-order nonlinear optical scattering, also known as hyper-Rayleigh scattering (HRS)[1], has become widely accepted as an experimental technique for the determination of the molecular second-order nonlinear optical polarizability (first hyperpolarizability). The generic laser type used for this experiment is a Q-switched nanosecond pulse laser,[2] due to the low quantum efficiency of the process. Hyper-Rayleigh scattering is not only simpler than the previously developed Electric-Field-Induced Second-Harmonic Generation (EFISHG) technique (no need for knowledge of dipole moment, local field correction factors, third-order nonlinear polarizability), it is also complementary to it, providing values for individual elements of the third-rank second-order hyperpolarizability tensor.[3,4] Moreover, it is the only experimental technique applicable to ionic or apolar species. Hence, HRS has provided a wealth of information on the tensor properties of the hyperpolarizability of molecules of all types of symmetry, it has proven indispensable for the experimental verification of the octopolar paradigm in second-order nonlinear optics,[5] and it has been instrumental in relating the bulk susceptibility of ionic materials to the molecular hyperpolarizability of the constituting ions.[6]

In this field of molecular characterization by incoherent second-order nonlinear light scattering, the multiphoton fluorescence contribution to the hyper-Rayleigh scattering signal has become recognized as an experimental problem.[7] A number of very large hyperpolarizabilities have been suspected to be caused by fluorescence contribution to the incoherently scattered second-harmonic intensity. A very elegant solution to this problem had been proposed based on the temporal difference between the immediate scattering and the time-delayed fluorescence.[8] Only the photons detected in an early and narrow time window are regarded as due to scattering. We now demonstrate a novel technique in the frequency-domain for the suppression of the multi-photon fluorescence contribution in hyper-Rayleigh scattering experiments. The technique takes advantages of the demodulation and the phase-shift in the frequency-domain of the time-delayed

(multi-photon) fluorescence in the time-domain. For this technique, we use the improved temporal resolution offered by the femtosecond pulse versus the nanosecond pulse. We demonstrate the effectiveness of the demodulation of the fluorescence at high modulation frequencies of the fundamental laser beam by determining the molecular second-order nonlinear polarizability for a reference molecule under fluorescent conditions. The value that was obtained for crystal-violet in methanol with 9,10-diphenylanthracene added as a centrosymmetric fluorophore ($338 \pm 80 \times 10^{-30}$ esu), compares very well with the values that were previously obtained. The frequency-dependence of the retrieved value shows both the overestimation at DC and low modulation frequencies and the convergence towards the fluorescence-free value at high modulation frequencies. Experimental results on fluorescent non-centrosymmetric mono-, bi-, and terchromophoric compounds will be discussed. The possibility of complete suppression of all fluorescence, based on phase-sensitive measurement in quadrature with the fluorescence, is also proposed.

By adding the high-frequency demodulation for suppression of the multiphoton fluorescence contribution in hyper-Rayleigh scattering, we have further improved this experimentally simple, yet widely applicable technique, to be capable of measuring the first hyperpolarizability of fluorescent molecules also. Therefore, femtosecond incoherent second-order nonlinear scattering has become an invaluable tool for the experimental verification of the engineering guidelines in molecular second-order nonlinear optics.

Apart from this opportunity offered by femtosecond incoherent scattering for molecular characterization, relating to the temporal resolution offered by a shorter pulse, another possibility is offered through the high peak power available from a moderate energy content is such a short pulse.[9] It is now possible to measure incoherent hyper-Rayleigh scattering from solid samples. This allows us to probe the orientational correlation between individual chromophores in a bulk arrangement. An attractive format for nonlinear optics is a thin film waveguide. We have used femtosecond pulses (10 nanoJoule energy, but 100 kilowatt peak power in a 100 femtosecond pulse) to study the spatial orientational correlation length between chromophores as a function of poling and relaxation in a polymer matrix. The positive influence of the degree of poling is clearly evidenced as an increase in the orientational correlation length between the chromophores.[10] Due to temporal relaxation in the polymer film, the correlation length decreases after the poling.[11] The relaxation time, retrieved from this incoherent scattering experiment, has been compared with the relaxation time from coherent second-harmonic generation.[12] The difference in relaxation time has now been rationalized in terms of translational diffusion of the local free volume in the polymer matrix and the rotational diffusion of the chromophores in this free volume. This rationalization is based on the ratio of the relaxation times, that is equal to the ratio of the characteristic length in the two types of experiment. For the incoherent scattering experiment, the only characteristic

length is the wavelength (800 nm for a Titanium-sapphire laser), while for coherent second-harmonic generation, the relevant length is the coherence length (10 μm for polymethylmethacrylaat at this wavelength). The ratio of the relaxation times was 0.006, the square of the ratio the characteristic lengths. This points unequivocally to translational diffusion, governed by relation between diffusion length x, time interval t and translational diffusion coefficient D, $<x^2> = 2Dt$. However, due to symmetry requirements for second-order effects, a rotational relaxation needs to be invoked at the molecular level. The combination can be understood as the molecular reorientation in the free volume, that exhibits translation diffusion with diffusion coefficient D that is, off course, independent of measurement technique. What is specific for the experiment, is the characteristic length of the experiment, leading to different relaxation times.

This second opportunity for second-order nonlinear femtosecond incoherent scattering is on the level of the bulk arrangement of chromophores. The correlation experiments provides guidelines for the optimal arrangement of chromophores, and for techniques to provide for this arrangement. In combination with the opportunity at the molecular level, providing guidelines for molecular engineering, femtosecond incoherent nonlinear scattering is an invaluable experimental tool in second-order nonlinear optics.

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Efficient second-harmonic generation for generating ultrafast blue light in

periodically-poled bulk and waveguide potassium titanyl phosphate

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Recently, periodically-poled $LiNbO_3$ (PPLN) crystals were intensively used for efficient second-harmonic generation (SHG) [1,2]. On the other hand, there are less reports on SHG in periodically-poled $KTiOPO_4$ (KTP). This material has certain advantages over PPLN for SHG. Since PPLN may be damaged due to photorefractive effect, periodically-poled KTP may have a higher damage threshold over PPLN. In addition, the electric field required to produce domain inversion in KTP is substantially lower than that in $LiNbO_3$. Here, we report the first results of SHG in short-period periodically-poled KTP for efficiently generating blue light using subpicosecond laser pulses. *The uniqueness of our results lies in the combination of short-period periodically-poled KTP crystals and an ultrafast pump beam for efficient generation of blue light.* The hydrothermally-grown KTP substrate was poled by applying several 2.1 kV pulses of 400 μs duration with the poling period of 4.0 μm. Channel waveguides (perpendicular to the domain-inverted grating) with the width of ~ 4 μm were fabricated on the original +z face of the substrate by the standard ion-exchange process in a $RbNO_3/Ba(NO_3)_2$ melt. Periodically-poled KTP crystals with the same spatial period and dimensions were used to achieve SHG in bulk [3] and waveguide [4] using a CW laser. For our SHG experiments, we used mode-locked Ti:Sapphire laser with a pulse width of ~ 136 fs. For the sample length of 3.6mm, Fig. 1 shows our measured phase-matching spectra for SHG in bulk and waveguide KTP crystals. The full width at half maximum (FWHM) in bulk and waveguide is ~ 85 Å and ~ 90 Å, respectively, due to large linewidth of our subpicosecond laser. The respective peak wavelength determined from Fig. 1 is 8421 Å and 8472 Å for the bulk and waveguide KTP, respectively. The 51-Å difference between the peak wavelengths for the bulk and waveguide KTP is mainly due to the difference in dispersions between KTP and RTP. At the peak wavelength of 8421 Å or 8472 Å , we measured the average SH output power vs. the average pump power, see Figs. 2 and 3. For the average

pump power of ~ 720 mW in the bulk, the maximum conversion efficiency is about ~ 5.5%, *which is about two orders of magnitude higher than that in Ref. [3].* On the other hand, for the average pump power of 48 mW in the waveguide, the maximum conversion efficiency is ~ 32%, *which is about a factor of four higher than that in Ref. [4].* As we increase the average pump power further for another waveguide of the shorter length (~ 2.6 mm), we observed a severe saturation of the conversion efficiency, see inset of Fig. 3. This is probably due to the depletion and absorption of the pump and/or SH beams by the waveguide. If the peak wavelength (λ_0) is quasi-phase-matched and the spatial depletion of the pump is neglected, the conversion efficiency defined in terms of the average pump and SH powers can be shown to be [2,5]

$$\eta = \frac{\pi^2 c d_{33}^2 J_p \eta_0 L}{128 n_1^2 n_2 f_n \lambda_0^2 A_{eff}} \tag{1}$$

where J_p is the laser energy per pulse, A_{eff} is the effective laser beam area, L = 3.6 mm or 2.6 mm, η_0 is the vacuum impedance, and f_n is the dispersion factor: $f_n = n_2 - n_1 + (\lambda dn/d\lambda)_{\lambda_0} - (\lambda dn/d\lambda)_{\lambda_0/2}$ with n index of refraction. To obtain Eq.(1), we have assumed loose focusing for bulk interaction, sinc pulse shape for simplicity, and L >> L_W, where $L_w = \tau c/f_n$ is the group-velocity walk-off length with τ pulse width. Using $d_{33} \approx 18$ pm/V, and the effective laser beam area of ~ 2.5×10^{-5} cm^2 (bulk) or ~ 2.4×10^{-7} cm^2 (waveguide), we have plotted the conversion efficiency vs. the average pump power ($P_{ave} = J_p R$ where R = 76 MHz is the laser repetition rate) based on Eq.(1) in Figs. 2 and 3. Our theoretical results are in excellent agreement with our measurements for the bulk KTP. But, there are noticeable differences between two for the waveguide KTP. They are probably due to neglected spatial depletion, approximated spatial profiles of the beams in the waveguide (modes), and existence of waveguide multi-modes.

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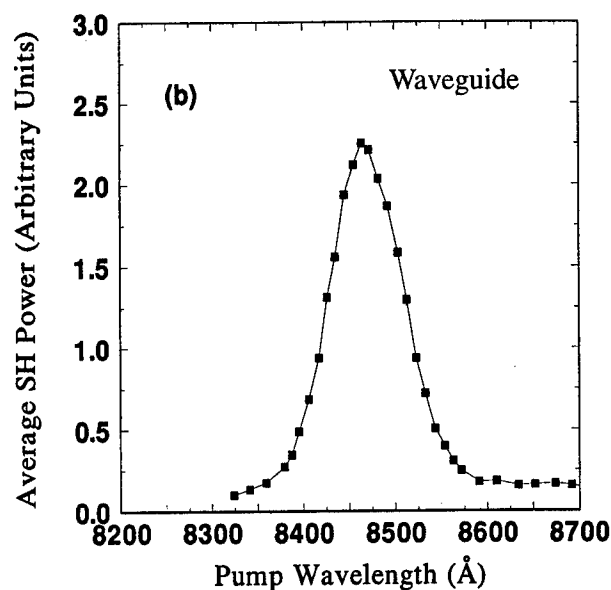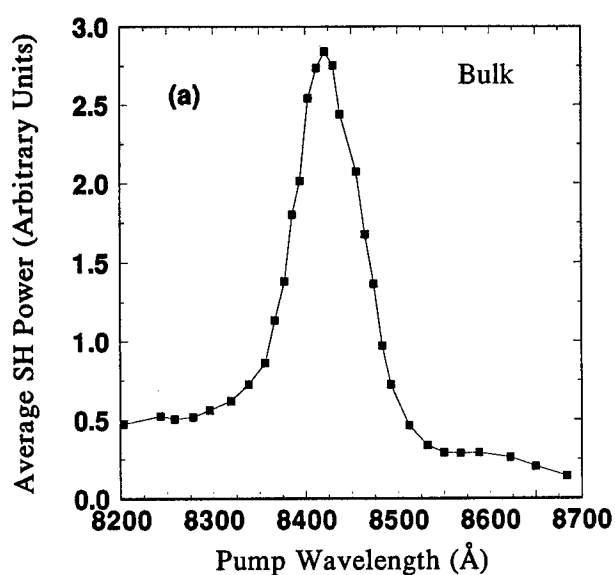
Fig. 1 Phase-matching spectrum for SHG in (a) bulk and (b) waveguide periodically-poled KTP using a subpicosecond laser.
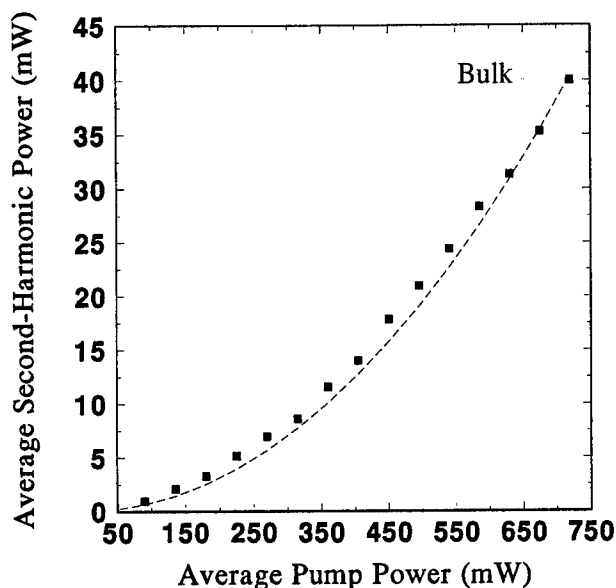
Fig. 2 At the peak wavelength determined in Fig. 1(a), average SH power vs. average pump power for the bulk KTP (filled squares). The dashed line corresponds to our theoretical result.
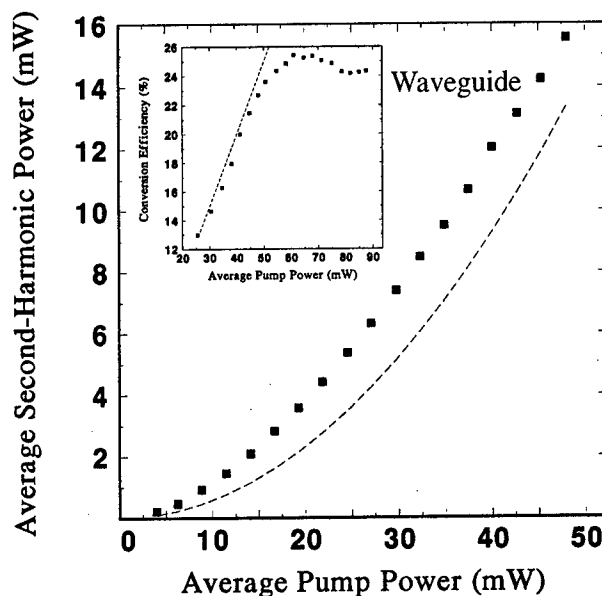
Fig. 3 At the peak wavelength determined in Fig. 1(b), average SH power vs. average pump power for the waveguide KTP of the length of ~ 3.6 mm (filled squares). The dashed line corresponds to our theoretical result. Inset: at the pump wavelength of 8472 Å in another KTP waveguide of the length of ~ 2.6 mm, the conversion efficiency is measured vs. the average pump power. The dashed line corresponds to our theoretical result.

"Nonlinear optical adaptive photodetectors for remote sensing: Application to ultrasound detection"

by

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Summary

In recent years, there has been a need for improved basic materials evaluation during and after processing, as well as a growing industrial market pull for improved quality assurance and process control in the flexible manufacturing arena. In particular, there is a need for nondestructive, noncontact characterization of critical materials without mechanical loading, inspection of welds, surface treatments of metals, as well as in-process evaluation of composites and microelectronics components for elastic properties, material flaws and delamination. We will highlight a novel all-optical technology in this regard: laser-based ultrasonic inspection of critical materials, bonds, and processes. One means

by which to make laser-based ultrasound practical is to augment the basic architecture with nonlinear optical techniques to enable precision laboratory measurements to be made under in-factory conditions, including high temperatures, vacuum, relative platform motion, and speckle from scattering off rough-cut objects with complex surfaces. Finally, by probing the surface of objects at several locations with multiple laser beams, the possibility exists for phased-array precision imaging of internal features in otherwise opaque materials. We discuss results of simulations on the spatial resolution of phased array detection of localized buried acoustic sources using this optical detection scheme.

We will discuss the use of semiconductor-based nonsteady-state photo-emf sensors (photo-emf) and two-wave mixers for compensated laser-ultrasonic vibrometry, and present results of experiments that prove the feasibility of this noncontact, nondestructive inspection diagnostic to function under in-process and manufacturing conditions. The photo-emf sensor performs the operations of wavefront compensation and detection in a single element. Real-time compensation is realized via the formation of space-charge fields in response to a dynamic optical interference pattern, in a manner similar to that of the conventional photorefractive effect. In the presence of a transient lateral movement of this optical pattern, a finite photocurrent is generated, which can be detected by surrounding the crystal with electrodes and coupled into a high-gain transimpedance amplifier. Thus, the operations of compensation and detection are achieved in a single element. We report on the results of experimental investigations of this novel sensor, including its sensitivity, detection bandwidth, linearity, and compensation bandwidth. In the latter case, we have quantified the performance of the sensor via the simultaneous application of a dithering interference pattern using an E-O modulator (to simulate a given ultrasonic signal) and a globally moving pattern via a pair of frequency offset A-O modulators (to simulate relative platform motion to be "tracked" by the sensor). Results indicate a detection bandwidth in excess of 80 MHz, and a compensation bandwidth which is consistent with that of the space-charge formation time in a photorefractive crystal, which has an inverse intensity dependence. For these measurements, bulk and GaAs-based multiple quantum well

structures were employed as detector elements. Discrete as well as hybridized electronic packages were used to study the effects of stray impedances on the detector performance.

In another set of experiments, a GaAs-based multiple quantum well structure was used as a two-wave mixer to realize an adaptive beam coupling element. This element was used to dynamically wavefront-match a signal beam (which has been phase modulated) to that of a coherent local oscillator. The wavefront-matched beams were then directed to a conventional photodetector for demodulation. Although this basic geometry has been known for some time, we report on the ability of using the frequency dependence of the two-wave mixing process at a near-MQW resonance feature to realize a controllable phase-shift "bias" between the two incident beams to the MQW. In this manner, one can "tune" the system to be operating under optimal coherent detection conditions: that is, the local oscillator and phase-modulated signal beams can be placed into near-quadrature for maximum detection sensitivity. Using this basic approach, we have achieved near shot-noise-limited detection performance, with a noise-equivalent surface displacement sensitivity of 9.4×10^{-7} Å $\sqrt{(W/Hz)}$.

In this presentation, we will also compare and contrast the performance of these two classes of adaptive photodetectors, against each other, as well as against other nonlinear optical schemes (phase conjugation) and conventional laser ultrasonic sensors (e.g., Fabry-Perot time-delay interferometric detectors).

Femtosecond Optical Parametric Oscillator in the Mid-Infrared and the Dynamics of Holes in GaAs

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In this talk recent results on broadly tunable mid-IR femtosecond optical parametric oscillators will first be reviewed[1,2]. This will be followed by a report on the most recent results on the use of such sources in studying the ultrafast relaxation dynamics of light- and -heavy-holes by probing these holes from the split-off band following femtosecond excitations from the valence to conduction band.

The study of ultrafast relaxation dynamics of free carriers in semiconductors is a subject of fundamental importance and is being investigated extensively. Until recently most studies have focused on the investigation of the dynamics of electrons, while information about hole thermalization has remained scarce.

In typical ultrafast experiments, a pump pulse excites electrons and holes by valence-to-conduction band transitions. Either the resulting conduction-to-valence band luminescence is time-resolved or transmission of a second pulse, which probes the valence-to-conduction band transition, is measured as a function of time. In either case, the task of deducing the hole dynamics is not simple because the measured ultrafast signals are influenced by the time-evolving distribution functions of both the holes and the electrons, unless specific measures are taken to eliminate the electron contribution. A variety of methods have been used in previous studies to reduce or eliminate the electron contribution[3-5], but these methods have had limitations such as severely restricting the hole energies that can be probed or requiring highly doped samples. The use of doped samples limits measurements to low carrier concentrations and is also undesirable because the analysis of the carrier dynamics is complicated by the interaction of the excited carriers with the background cold plasma of doped carriers.

This paper reports results on ultrafast dynamics of holes in GaAs measured using well synchronized femtosecond pulses at near-infrared and mid-infrared wavelengths which are available from a recently developed broadly tunable femtosecond optical parametric oscillator (OPO) pumped by a mode-locked Ti:sapphire laser[1]. With this source, it is possible to pump valence-to-conduction band transitions with near-IR pulses and probe the generated holes with mid-IR pulses (see Fig. 1) which can be tuned to resonance transitions between the heavy- or light-hole (HH, LH) bands and the split-off (SO) band. The clear advantage of this scheme is that, with suitably chosen pump and probe wavelengths, only carriers in the heavy- and light-hole bands interact with both pump and probe photons. Thus, the measured signal is completely free from contributions from the pump-photon-excited electrons. The differential absorption of the probe photons through the sample
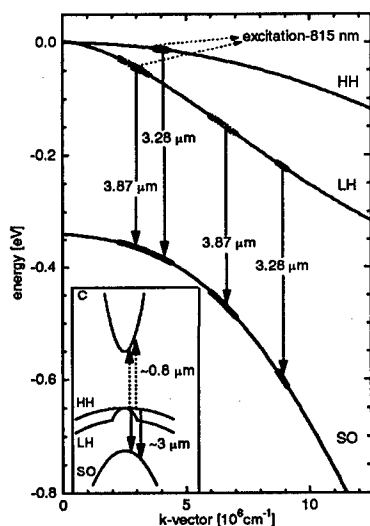
Fig. 1 Calculated valence band structure of GaAs. Bold areas show excited and probed k-states for different probe wavelengths. Inset schematically depicts band structure of GaAs and quantum diagram of excitation and probe photons.

following pump-pulse excitation is given by:

$$\Delta\alpha(h\upsilon_{pr}) = \overline{\alpha}_{hh\text{-}so}(h\upsilon_{pr})\times\Delta f_{hh} + \overline{\alpha}_{lh\text{-}so}(h\upsilon_{pr})\times\Delta f_{lh} \quad (1)$$

where $\overline{\alpha}_{hh\text{-}so}(h\upsilon_{pr})$, $\overline{\alpha}_{lh\text{-}so}(h\upsilon_{pr})$ are proportional to the corres-ponding transition probabilities for the HH-to-SO and LH-to-SO transitions at the probe photon energy $h\upsilon_{pr}$; f_{hh}, f_{lh} are HH and LH distribution functions, respectively.

We used a 400 μm-thick bulk pure GaAs sample ($n_e < 10^{14}$ cm^{-3}) which was antireflection coated in the mid-IR (3-4 μm range). The sample was pumped by pulses at 815 nm from the Ti:sapphire laser, and probed by pulses in the 3-4 μm range from the OPO. The pump pulse excites holes in the HH and LH bands with excess energies of ~12 meV and ~44 meV, respectively. For short probe wavelengths ($\lambda_{pr} < 3.6$ μm) the band filling factor due to LH (Δf_{lh}) in eqn. (1) is relatively small because of the large separation in k-space between the excitation and the probing points in the LH band (Fig. 1), and therefore the HH contribution to the differential absorption signal is dominant. For long probe wavelengths ($\lambda_{pr} > 3.6$ μm) the HH contribution vanishes because the probe photon energy moves off resonance for direct HH-to-SO transitions. Thus, there are two distinct probe wavelength regions in which it is possible to measure a response primarily from holes in only one band (LH or HH). The transient spectra at different delay times and differential transmission signals ($\Delta T/T$) for different probe wavelengths are shown in Fig. 2 and 3, respectively, for a total hole concentration level of ~3×10^{17} cm^{-3}. The ratio of carrier density in the HH and LH bands is estimated to be 2:1 for our pump wavelength. The data in Fig. 2 show a rapid hole burning effect followed by the establishment of a quasi-equilibrium in less than 300-400 fs. The quasi-equilibrium level in the transient signal shown in Fig. 3 is on the
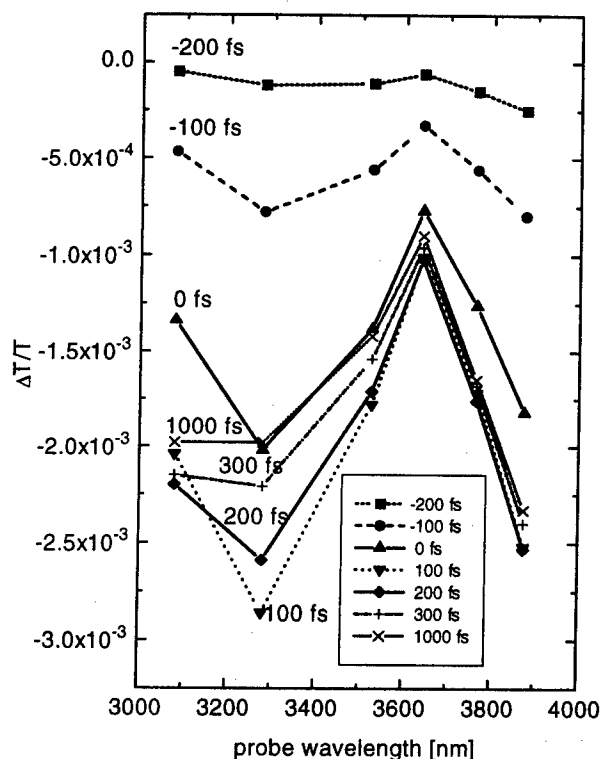
Fig. 2 Transient spectra plotted for different probe pulse delays.
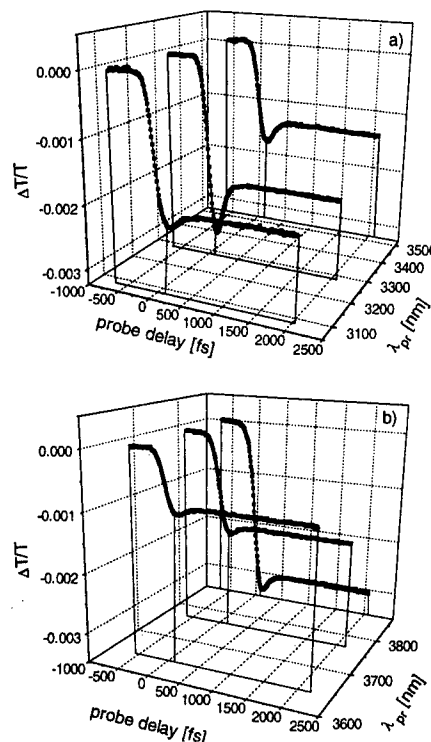
Fig. 3 Differential transmission signals at probe wavelengths corresponding to probing primarily (a) heavy- and (b) light-hole bands.

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order of $\sim 2\times 10^{-3}$ at ~ 3 µm probe wavelength, which is in good agreement with estimates using the absorption coefficient data reported in Ref. [6] for p-doped GaAs at approximately the same carrier density. The clear minimum in the amplitude of $\Delta T/T$ at $\lambda_{pr}\sim 3.6$ µm for all delay times is an indication of significantly reduced probabilities for HH-to-SO and LH-to-SO transitions close to the zone center where intravalence transitions are forbidden by selection rules. The corresponding $\Delta T/T$ amplitude of 1×10^{-3} at this wavelength is an integrated contribution of all HH and LH states with a k-vector value from near the zone center up to 1.8×10^{6} cm^{-1} due to the wide spectrum (>150 nm) of the femtosecond probe pulse. At wavelengths longer than 3.6 µm (light hole probe) the transient signal amplitude increases, reaching a level of $\sim 2.5\times 10^{-3}$ at $\lambda_{pr}\sim 3.8$ µm. This increase in the light hole signal, which results in a larger amplitude than for the heavy-hole signal (despite a factor of two lower hole concentration in the LH band compared to the HH band), is explained by the enhanced transition probability for LH-to-SO band transitions. Using calculated valence band wavefunctions based on Kane's $\mathbf{k \cdot p}$ model, we calculate an enhancement factor $\bar{\alpha}_{lh\text{-}so}/\bar{\alpha}_{hhso}\sim 3.5 - 3.7$ for k-vectors ranging up to 1×10^{7} cm^{-1}.[7]
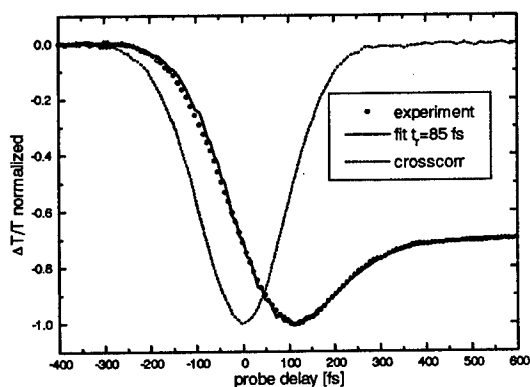
Fig. 4 Example of experimental differential transmission data and fitting curve with model function ($t_r = 85$ fs).

Turning to the initial ultrafast part of the signals, for the data shown in Fig. 3, a simple fitting process which consists of convolving the experimentally measured crosscorrelation with a model single exponential decay function of the form $[-A_0-A_1\times\exp(-t/t_r)]$ results in a time constant t_r in the range of 80-90 fs. An example of the fit is shown in Fig. 4. The magnitude of the peak in the transient signal is clearly dependent on the wavelength of the probe and reaches a maximum when the probe wavelength matches the point of initial excitation in k-space (Fig. 1). Numerical simulations show that a smaller relative decrease in amplitude from its peak for the case of the LH band probe is explained by the combined effects of a higher quasi-equilibrium level and a faster initial decay for the light holes. The first effect is due to a narrower spread of initially injected light holes in k-space compared to the heavy holes because of differences in the band structure of the LH and HH band near the zone center. The second effect is caused by LH-to-HH carrier scattering by phonon emission, which was calculated to be extremely fast (<50 fs) by a model accounting for band nonparabolicity.[8] We have taken data for different excited carrier densities ($2\times 10^{16} - 4.5\times 10^{17}$ cm^{-3}) which also will be discussed in the talk.

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Coherent Dynamics of Excitons in Radiatively Coupled Multiple Quantum Well Structures and Microcavities

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The linear and nonlinear optical properties as well as the dephasing dynamics of coherent excitons in multiple quantum well (MQW) Bragg samples which consist of a large number N of identical quantum wells (QW) spaced by d= λ/2 (λ is the exciton transition wavelength) are remarkably different from those of single QW (SQW) excitons since photon coupling between excitons which are spatially separated by integer multiples of λ/2 leads to collective relaxation phenomena. In a perfect Bragg sample, the exciton/photon coupling would create one superradiant mode with an N time enhanced radiative decay rate whereas the residual N-1 modes are optically inactive [1,2]. Due to the disorder present even in high-quality real-world samples, a finite part of the oscillator strength is transfered to these „dark" modes the radiative decay of which is even slower than in a SQW. We present a comprehensive experimental and theoretical study which utilizes time-integrated, time-resolved or spectrally resolved degenerate four-wave mixing (DFWM) experiments as well as linear and nonlinear transmission and reflection measurements to explore this novel phenomenon.

All experiments were performed with 700 fs pulses from a Kerr lens mode-locked Ti:sapphire laser. We used two different N=10 GaAs/Al$_x$Ga$_{1-x}$As Bragg samples with 20 nm well width and x=0.3, and two (In,Ga)As/GaAs Bragg samples with 10 and 30 QWs with a width of 8 nm and an In content of 3%. For identification of the exciton/photon coupling induced dynamical and spectral features, the measured signals are compared to corresponding curves taken on several high-quality GaAs SQWs. The (In,Ga)As were intentionally wedge shaped so that the barrier width varied continously with sample position thus enabling systematic studies of the coupled exciton/photon modes in dependence on the mismatch between d and λ/2.

DFWM on the GaAs SQW and MQW samples is performed in the backward diffraction geometry because of the absorbing substrate. The time-integrated curves reveal a double exponential decay [3]. The initial rapid drop with a decay time of 2.5 ps reflects the depletion of the superradiant mode. The remaining signal due to the subradiant modes decays with a time constant of 9 ps which gives an upper limit of 40 μeV for disorder-induced scattering to the homogeneous linewidth. The interwell exciton photon coupling is a pronounced low excitation phenomenon and rapidly disappears with increasing excitation intensity because of efficient phase coherence loss due to intrawell exciton/exciton Coulomb scattering.

Our investigations prove that the radiative lifetime of 2D excitons in SQW is approximately 10-15 ps in GaAs/(Al,Ga)As SQWs and is distinctly shortened to a few ps or even less in Bragg structures with N= 10 Qws. Thus radiative recombination provides the dominating contribution to exciton dephasing in high-quality samples in contrast to previous interpretations which attributed the dephasing rates observed at low temperature and low excitation density to scattering by defects , interface roughness and alloy fluctuations.

Time-resolved DFWM traces measured on the GaAs MQW Bragg samples display a complex transition from a free polarization decay to a photon echo in real time. As long as the response is dominated by the superradiant mode (approximately 2 ps), the exciton transition is homogeneously broadened due to ultrafast radiative recombination. After depletion of the superradiant mode, the surviving subradiant modes create a photon echo since their radiative linewidth is small compared to the inhomogeneous broadening associated with their mode energy splitting.

DFWM with linearly polarized laser pulses on a GaAs SQW and the corresponding Bragg structure in a Brewster angle geometry reveal significant changes of the signal shape if the electric field polarization is switched from parallel to perpendicular to the plane of incidence This effect may most likely originate from radiative coupling due to partial reflection of the coherent emission at the sample air interface. Therefore τ_{rad} for identical SQWs is expected to vary with the thickness of the upper cladding layer.

Intensity dependent transmission and reflection experiments on the wedge-shaped (In,Ga)As samples reveal strong variations of the exciton linewidth with sample position in the low density regime which disappear at higher excitation intensities. The line width data are in accordance with the dephasing rates measured in TI-DFWM at the same sample positions. For the N= 30 QW sample, the superradiant state decays at the resonance position with a typical time as fast as 0.65 ps over more than 1 order of magnitude followed by the decay of the subradiant modes (decay time 4 ps). Comparison of DFWM signals measured in backward and forward diffraction geometry show remarkable differences for both the temporal evolution as well as the spectral distribution of the intensity if d deviates from $\lambda/2$ by only 1-2 %.

Because of wavevector conservation the superradiant emission is confined to the transmission and reflection direction of the incident beam. It has been analyzed in time-resolved linear reflection [4]. At low intensity, the GaAs MQW Bragg structure exhibits an enhancement of the reflectivity as compared to a single QW by approximately 20 % which is due to the suparradiant emission. In pump-probe experiments a gradually increasing suppression of the supperadiant state has been observed for pump pulse energies in the range of 1- 25 pJ. Such a pump-induced contrast of more than 20% in low intensity reflection from MQW Bragg samples may have potential for future all optical switching devices.

Theoretically the optical response of a Bragg structure to excitation by short light pulses tuned to resonance with the lowest exciton transition in the QWs is modeled by calculating the interband polarization from the semicondcutor Bloch equations and solving Maxwell's equations to determine the self-consistent local field which contains besides the incident laser field the retarded time derivatives of the induced interband polarizations in the different QW's. Sample disorder is taken into account by averaging the signals calculated for a large number of parallel channels with stochastically varying QW and barrier thicknesses. Finally we included reflections at the sample air interface that modify the radiative recombination dynamics similarly as the mirrors uin microcavity samples. All our experimental data are fairly well reproduced by solutions of the SMBE.

Investigations of the changed exciton/exciton and exciton/phonon coupling for Qws inserted in microcavities in dependence on the exciton/photon coupling strength are currently underway.

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Polarization Dynamics of the Nonlinear Coherent Emission from Uniaxially-Strained Quantum Wells

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Conventional nonlinear techniques, such as four-wave-mixing (FWM), have provided considerable information about the spectral and temporal *amplitudes* of ultrafast coherent radiation emitted by semiconductors and multiple quantum wells (MQW's), but they typically provide no information about the temporal or spectral *phases* of the nonlinear signal. In addition, conventional techniques have been used to address the dependence of the magnitude of the FWM signal (or its spectrum) on the relative polarizations of the incident pulses, but there have been few attempts to measure the polarization state of the FWM signal itself.

Recently, however, we have used direct time-resolved ellipsometric[1,2] and spectrally-resolved interferometric techniques[3,4] to characterize the *amplitude, phase* and *polarization state* of the weak coherent nonlinear FWM emission from GaAs/AlGaAs MQW's. From these studies, a self consistent picture of the temporal dynamics of the amplitude, phase and polarization state of the nonlinear signal is obtained that delineates the roles of many body effects, such as local field corrections, excitation-induced dephasing and biexcitons, in determining the excitonic dynamics. Such studies have established the sensitivity of polarization-based nonlinear techniques for studying many body and biexcitonic processes. Most recently, we also have reported the observation of dramatic time-resolved light-hole-heavy-hole quantum beats in each of the parameters that describes the polarization state of the nonlinear signal. For example, during each beat period (in the strong quantum beat regime), the ellipticity is found to oscillate twice between linear and almost circular polarization, the orientation of the polarization ellipse rotates through a complete 180°, and the sense of rotation changes from left to right circular polarization.

To date, however, our experiments have been performed in conventional [001]-oriented unstrained GaAs/AlGaAs MQW's. MQW structures grown along the [001]-direction possess no intrinsic in-plane anisotropy in their linear absorptive or refractive properties. Even though quantum confinement breaks the symmetry along the growth direction, the in-plane linear optical properties remain isotropic. It has been shown[5], however, that the application of a uniaxial stress in the plane of the wells will reduce the in-plane symmetry by mixing the heavy-hole and light-hole valence band states to produce large linear and nonlinear optical anisotropies. Here, we describe the first measurements of the dynamics of the *amplitude, phase* and *polarization state* of the coherent nonlinear emission from an anisotropic uniaxially-strained GaAs-AlGaAs MQW. We use time-resolved polarimetry to identify and to study two different links between the macroscopic changes in the vectorial nature of the emitted nonlinear signal and the microscopic materials processes. One source of the polarization changes is the anisotropy in the bandstructure introduced by the noncubic uniaxial strain. The other is the microscopic dynamics of many body processes.

In our experiments, we divide each ~150 fs pulse from our laser into three parts. Two of the pulses with wavevectors k_1 and k_2 are used to produce the third-order FWM signal in the direction $2k_2-k_1$ in the usual manner. We then use a dual-channel spectral interferometric technique (which has been described elsewhere[3]) to obtain the spectral amplitudes and phases of the x- and y-components of the nonlinear FWM signal. The temporal amplitude and the temporal phase of each component is then obtained by inverse Fourier transformation. Using this technique, we have time-resolved the amplitude, phase and polarization state of the nonlinear FWM emission as the polarization states of the two incident pulses and the sample orientation were systematically varied.

The sample used in this study consists of 10 periods of ~10-nm-wide GaAs wells alternating with 17-nm-thick $Al_{0.3}Ga_{0.7}As$ barriers. The uniaxial in-plane strain was obtained following growth by

bonding the MQW structure to a transparent uniaxial lithium tantalate (LiTaO$_3$) substrate and subsequently removing the semiconductor substrate on which the sample was grown by selective etching. The LiTaO$_3$ substrate was cut such that the thermal expansion coefficient along one direction approximately matched that of the MQW, but along the orthogonal direction it did not. A thermally-induced uniaxial strain was then obtained by operating the device at a temperature (~100 K) different from the bonding temperature (~300 K). The linear absorption coefficient for light polarized along the strained axis is measured to be more than twice that for light polarized along the unstrained axis (when the wavelength is tuned to the peak of the exciton).

Typical measurements of the parameters that directly determine the time-resolved polarization state of the FWM emission from the uniaxially-strained MQW are shown in Fig. 1 and Fig. 2 for selected angles θ_{12} between the two linearly polarized input pulses. For the measurements shown in Fig. 1, the sample was oriented so that the strong absorption axis (denoted by the vector **a** in the inset) was aligned parallel to the fixed polarization of the E_2-pulse, while for Fig. 2, the sample was rotated by 90° so that **a** was orthogonal to the E_2-polarization.
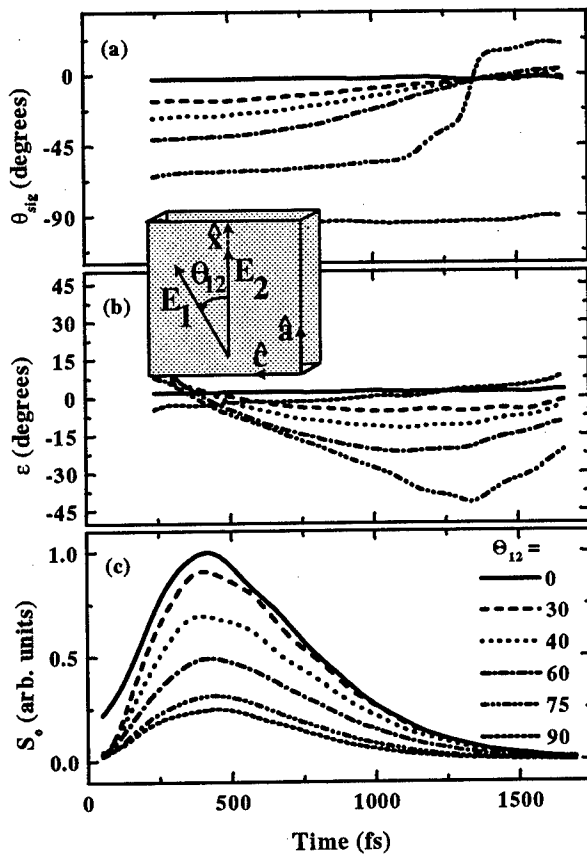
Fig. 1. The azimuthal angle θ_{sig}, ellipticity angle ε, and the total intensity S_0 as a function of time for selected angles θ_{12} between the input polarizations. The inset shows the sample orientation and the polarizations of the incident fields.
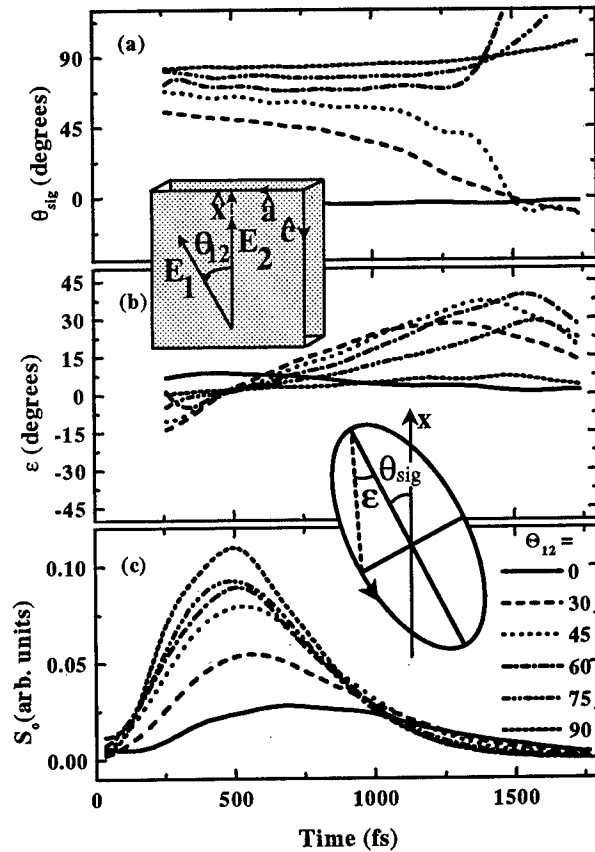
Fig. 2. The same measurements as in Fig. 1 except that the sample has been rotated by 90° as shown in the inset. The second inset indicates the notation used to specify the polarization ellipse for the emitted FWM signal.

Notice that both the ellipticity and the orientation of the polarization ellipse vary substantially with time in both figures. This is in contrast to what one would expect for either an isotropic or

anisotropic sample in the absence of many body effects. The FWM emission from a sample that is *isotropic* is expected to be linearly polarized with an orientation that is constant in time (without the inclusion of many body effects). By comparison, for a sample with a static *anisotropy* (for example, as the result of uniaxial strain), the orientation θ_{sig} of the polarization ellipse and the ellipticity angle ε are expected to vary with the sample orientation and input polarization; however, for fixed sample orientation and input polarizations the orientation of the ellipse and the ellipticity are expected to remain constant in time. Consequently, the time-varying ellipticity and orientation shown in Figs. 1 and 2 indicate the presence of a dynamic induced anisotropy. In fact, they are definitive signatures of many body effects and indicate that such must be taken into account.

The dramatic influences of the static strain-induced anisotropy are also apparent in these figures (and others not shown). For the sample orientation of Fig. 1, when E_1 is rotated counter-clockwise to a positive angle θ_{sig} with respect to E_2, notice that the FWM polarization is initially oriented at a negative angle, then it proceeds to rotate counter-clockwise towards more positive angles. For this sample orientation (Fig. 1), the magnitude of the ellipticity increases then decreases with time, but is always negative (corresponding to a clockwise, or left circular, rotation). When the sample is rotated by 90°, these features are reversed. Namely, the orientation of the polarization begins at positive angles then rotates to more negative angles, and the ellipticity is positive (right circular).

The influence of the static anisotropy is also apparent in the temporal evolution of the total intensity S_0. When the strong absorption axis is oriented parallel to the fixed E_2-polarization (Fig. 1), the nonlinear FWM intensity is largest when the two input polarizations are parallel and the smallest when they are orthogonal. When the sample is rotated so that the weak axis is parallel to E_2 (Fig. 2), S_0 is largest when the two input polarizations are orthogonal and smallest when they are parallel. By comparison, when the measurements are repeated in an identical unstrained control sample, the maximum value for S_0 is independent of sample orientation and is roughly independent of the angle between the linear input polarizations.

Taken together, Fig. 1 and 2 illustrate that the vectorial dynamics are determined by (and therefore contain information about) both static and induced dynamic anisotropies. In this case, the former is associated with the strain-induced band mixing, and the latter with many body effects. Finally, we emphasize that a knowledge of the temporal phases of both the x and y components of the radiation are necessary for determining the vectorial dynamics (i.e., the polarization state) portrayed in Fig. 1 and 2 and that this information is not provided by conventional techniques.

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Electron-Phonon Quantum Kinetics in Semiconductors

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Summary

Scattering processes in semiconductors, such as electron-phonon scattering, are often thought of as being inherently irreversible. Here we discuss experiments on a 10 fs timescale which show that scattering is reversible for early times.

In order to get an intuitive understanding for the electron-phonon interaction, it is useful to consider the analogy with the electron-photon interaction. When a short optical pulse excites a semiconductor at $t = 0$ a coherent oscillation of the interband transition amplitude is triggered. As long as the quantum mechanical phase of the associated polarization has not been destroyed, the "absorption" process is not completed. Thus an interference with a second time-delayed and phase-locked pulse can reverse (destructive interference) or enhance (constructive interference) the uncompleted absorption process. This technique, called coherent control of the electron (or exciton) density, has indeed been used[1,2]. In the Quantum Kinetics regime[3-7] one does not only expect a coherent oscillation of the transition amplitude, but also a coherent oscillation of the scattering amplitude containing the thermal bath degrees of freedom. Its decay determines the memory time of the sub-system τ_m. For times $t < \tau_m$ it should be possible to adjust the phase of the two components of the scattering amplitude, generated by the two phase-locked pulses, such that they interfere destructively

(constructively). This would reverse (enhance) a scattering process that has already started after the first pulse.

How do we realize the pair of copropagating phase-locked pulses? On one hand the relative timing has to be kept constant to better than, say $\lambda/30$, equivalent to 100 attoseconds time delay or equivalent to a movement of one of the mirrors of $\approx 10\,\mathrm{nm}$ in real space. On the other hand we want to be able to scan this time delay. These two somewhat conflicting requirements can be met by an apparatus that can be considered as an optical screw[8]. It takes advantage of the geometrical Pancharatnam phase, the optical analogue to Berry's phase for electrons.

Coherent control experiments within a four-wave mixing (FWM) geometry are performed on bulk GaAs[3,7], with blue $10.0\,\mathrm{fs}$ pulses[9] on bulk ZnSe[10] and on GaAs quantum wells[11]. For GaAs one is within the weak coupling limit, for ZnSe the electron-phonon coupling is about an order of magnitude larger and we expect effects of multiple-phonon scattering events. Furthermore coherent control allows to distinguish between electron-phonon quantum kinetics and effects of coherent phonons. In a pure quantum kinetics process phonon oscilations occur even if the phonons are in thermal equilibrium. Nonequilibrium coherent phonons also can modulate electronic degrees of freedom even in the absence of correlations between electrons and phonons. These two processes can be distinguished experimentally by the phase of the modulation of the phonon visibility with respect to the FWM-signal strength[11]. This is relevant in quantum wells where coherent phonons can not be ruled out by symmetry reasons.

The experiments are compard with microscopic theories[3] and with a simple model of electron-phonon quantum kinetics[7].

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Coherent Wavepackets and Phonons in Superlattices

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Semiconductor superlattices exhibit zone folding of the electronic and phononic dispersion relations along the growth direction of the artificial periodic structure. Within the so-called mini-Brillouin zone distinct minibands for electrons and holes are formed with energetic widths tunable by the coupling between the wells of the superlattice. In the presence of a static electric field along the growth direction, the minibands brake up into distinct Wannier-Stark states. A coherent superposition of several Wannier-Stark states with a fs laser pulse leads to the formation of Bloch oscillations. They offer the potential to be tunable sources of THz radiation and exhibit dephasing times in the ps range at low temperatures [1]. Superlattices with miniband widths larger than the LO phonon energy (36 meV in GaAs) allow to tune the Bloch frequency in resonance with the phonon, where coupled Bloch-LO phonon modes are observed. The coupling leads to a stabilization of the electronic coherence in resonance, enabling the observation of coupled modes even at room temperature.

In unbiased superlattices, coherent acoustic phonons are generated via resonant impulsive Raman scattering. These low-energy excitations are of great relevance as the final states of energy relaxation in these systems. In contrast to the rapid electronic dephasing their dephasing times are in excess of 100 ps at room temperature.

Coupled Bloch-phonon modes are investigated in GaAs/$Al_{0.3}Ga_{0.7}As$ superlattices with electronic miniband widths larger than the GaAs LO phonon energy of 36 meV. Bloch oscillations are excited by a 50 fs laser pulse from a Ti:sapphire laser with the excitation energy tuned in resonance with the lower branches of the Wannier-Stark ladder [2]. The dynamics of the coherent motion of the Bloch wavepackets is traced in time-resolved electro-optic transmission [3] and reflectivity experiments.

Figure 1 depicts the oscillatory traces of the electro-optic transmission changes versus applied reverse bias voltage at 10 K. The frequency increase of the oscillations with increasing external bias is clearly visible. A decrease of the oscillation amplitude stems from the increased Stark localization of the wavefunctions at higher fields leading to a reduced dipole moment associated with the Bloch oscillations. Figure 2 shows Fourier transforms of the data of Fig. 1. Only a single frequency maximum occurs at fields, where the oscillation frequency is below 8 THz (TO frequency of GaAs). In this field range the frequency obeys the Bloch relation $v=eFd/h$, with d the superlattice period and F the applied electric field. At higher fields, a mode splitting occurs with the higher frequency above the LO frequency. In addition, in resonance the dephasing time of the coupled modes increase instead of decreasing due to LO phonon assisted relaxation between the Wannier-Stark states. Above the resonance

condition, no Bloch oscillations are resolved anymor, in agreement with a microscopic theory on electron-phonon interaction in superlattices [4]. At higher excitation densities, coherent LO phonons are driven by the coupling, which exhibit dephasing times > 10 ps at low temperatures. The observed coupling gives clear evidence for the macroscopic longitudinal electric field associated with the Bloch oscillations.

In GaAs/AlAs superlattices coherent zone-folded acoustic phonons can be driven by resonant excitation with a fs laser pulse [5]. Here we present the first observation of several back-folded modes. The observed frequencies allow the clear identification of the excitation process, i.e. resonant impulsive stimulated Raman scattering. Forward and backward scattering leads to modes with wavevectors $q=0$ and $q=2\times q_{laser}$, respectively.

The experiments are performed on $(GaAs)_m/(AlAs)_n$ superlattices, with m and n the number of monolayers. The detection of the coherent acoustic modes is accomplished by the detection of time-resolved reflectivity changes. The detection process is based on the acoustic deformation potential-exciton coupling. Fig. 3 depicts the time resolved reflectivity changes from coherent acoustic modes from a superlattice with $m=24$ and $n=6$ at room temperature. The exponentially decaying electronic background of the order 10^{-3} $\Delta R/R_0$ has been subtracted. The frequencies observed are 517 GHz and 547 GHz which corresponds to the calculated $q=0$ and $q=2\times q_{laser}$ frequencies of the first-order backfolded LA phonon of A_1 symmetry. The linewidths of the Fourier transforms are only 4.5 GHz, which is beyond linewidths achieved in high-resolution CW Raman spectroscopy. The decay of the coherent amplitude is non-exponential, since it is influenced by the transient changes of the excitonic resonances, which are broadened and bleached by the excited carriers within the first 50 ps.
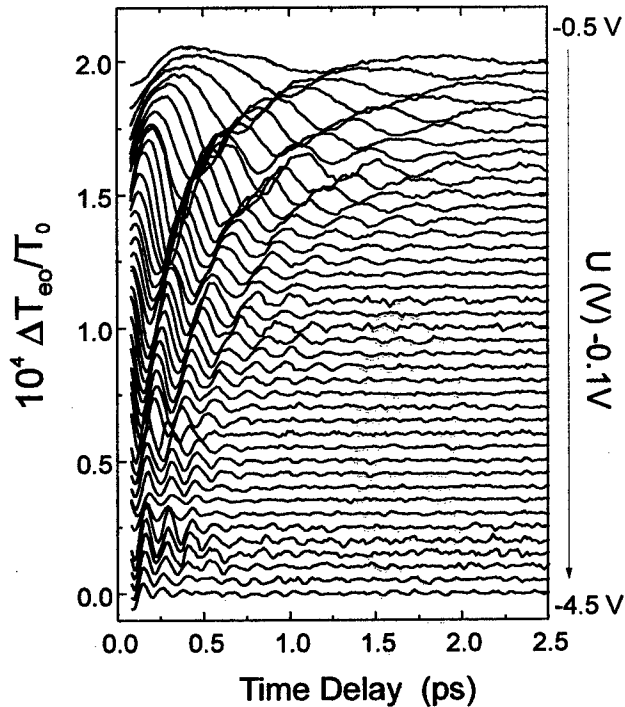
Fig.1: Time-resolved traces of electro-optic transmission changes at different reverse bias voltages applied to the superlattice. The lattice temperature is 10 K.
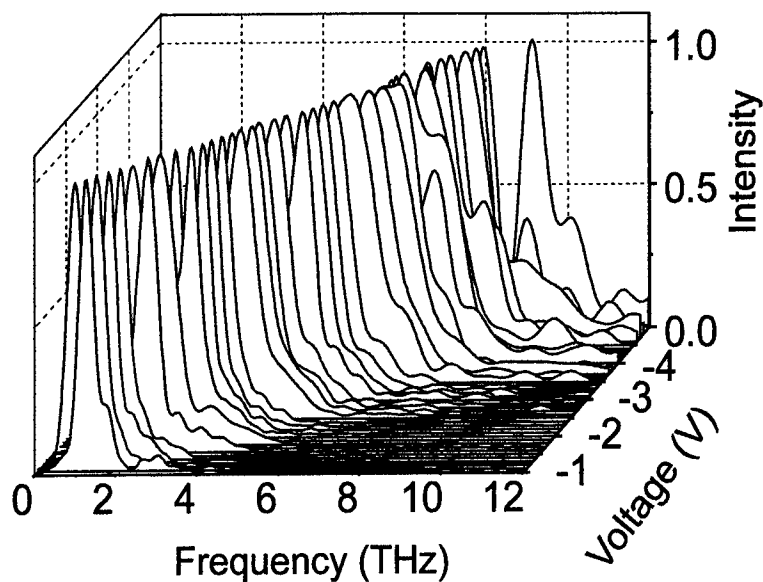
Fig. 2: Numerical Fourier transform of the time-resolved data in Fig. 1.
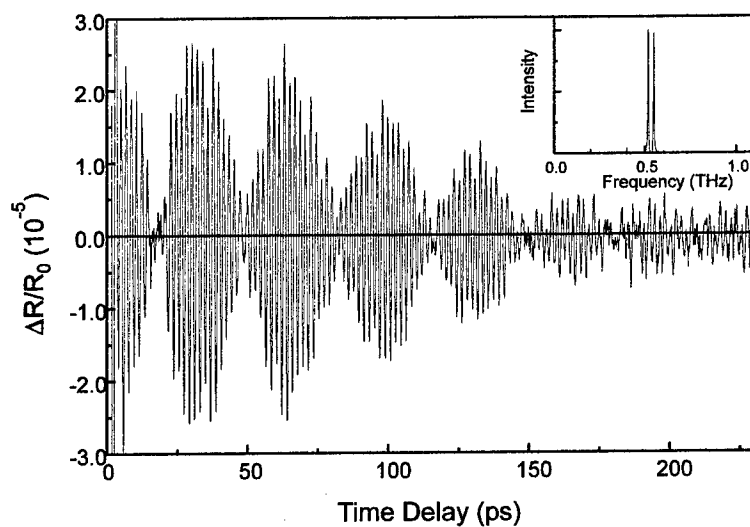
Fig. 3: Extracted acoustic phonon oscillations from a $(GaAs)_{24}(AlAs)_6$ superlattice at room temperature. The insert shows the numerical Fourier transform of the time domain data.

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Anisotropic Electron-Hole Wavepackets in Quantum Wells for

Multiple-Harmonic-Generation in the Terahertz Regime

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Recently, teraherz (THz) pulses have proven useful for a wide variety of applications, including FIR/time-domain spectroscopy, study and control of Rydberg atoms [1], and T–ray imaging of optical materials. In this talk, we investigate theoretically the simultaneous exposure of a quantum well (QW) to a broadband ($\tau_p = 50$ fs) optical pulse — to excite both free and Coulombically bound electron-hole (e-h) pairs (excitons) from the crystal ground state — and a $\mathcal{E}_0 \sim 1$ kVcm^{-1}, $\omega_0 \sim 1$ THz driving field to enable the generation of sub-ps $\sim$ 1-20 THz (4-80 meV) electromagnetic (EM) transients. The double excitation scenario produces quantum beating, e-h relative motion wavepackets whose anisotropic structure can be coherently controlled to manifest in unique spectral and time-dependent features in both the optical and THz regimes of the EM spectrum. Our theoretical approach is based on a unified, numerical solution of the 2D–anisotropic semiconductor Bloch equations in the presense of both an optical pulse and a THz driving field. We study QW excitation by realistic driving frequencies with arbitrary polarisations. New and intriguing carrier dynamics emerge, and a series of relative motion wavepackets will be depicted and analysed.

In the optical regime, we verify the existence of a dynamical Franz–Keldysh effect [2] whose experimental signature appears via oscillations in the absorption above the semiconductor bandgap and a series of sideband frequencies resulting from non-linear wavemixing. In the THz regime (see Fig. 1), the emitted field exibits a strong peak at around the 1S-excitonic binding energy of the semiconductor. In addition, a rich variety of harmonic spectra also appears — depending on the relative phase (at t=0: centre of the optical pulse) and frequency of the driving field: $\mathcal{E}^{\mathrm{D}}(x,t) = \mathcal{E}_0 \cos(2\pi\nu t + \phi)\,\hat{\mathrm{X}}$; note that $\phi = 0$ and $\phi = \pi/2$ are shown here for a linear-polarised driving field with $\nu = 1.5$ THz. Fig. 1(a) shows the emitted THz field (absolute value) verses energy, and Fig. 1(b) displays the corresponding time-dependent field. Terahertz driving fields have recently been employed from the free-electron laser to generate somewhat similar harmonic-generation from confined magnetoexcitons [3].

Fig. 1

(a) $|E|_{THz}$ (arb. u.) vs Energy (eV); (b) E_{THz} (arb. u.) vs Time (fs); curves for $\phi = \pi/2$ and $\phi = 0$.
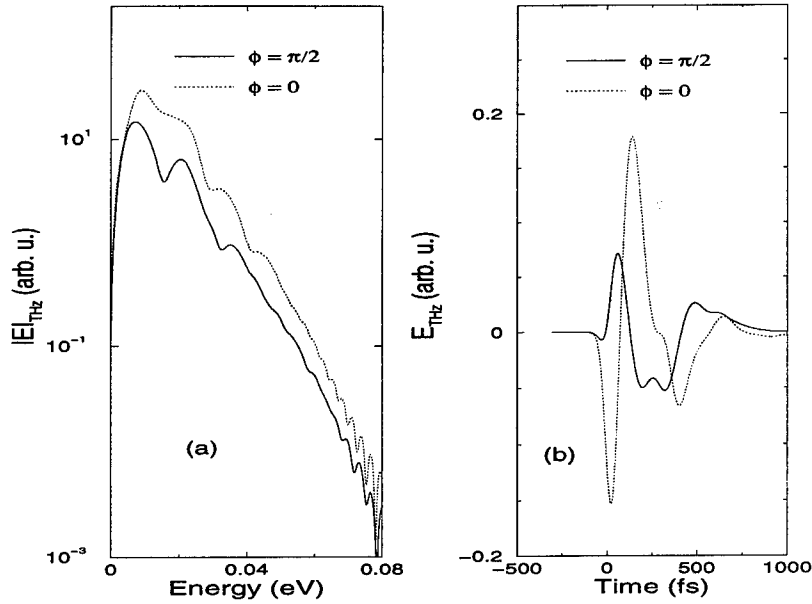
In Fig. 2 we show examples of the e-h wavepacket (probability density) at several snap-shots in time corresponding to Fig. 1 ($\phi = \pi/2$ scenario); the driving field was chosen to be linearly polarised in the X-direction. Shortly after the optical pulse has gone ($t = 90$ fs) the probability density is concentrated near the centre (where there is a high probability of finding the electron and hole at the same relative position). Sometime later ($t = 230$ fs), the quantum beating between the excitonic and free–carrier wavepacket can be recognized; more importantly the quantum beating wavepacket is highly anisotropic because of the applied field, and, consequently, there is a net dipole moment which results in the THz transients shown in Fig. 1. At later times ($t = 360, 620$ fs), side lobes can be seen in the wavepacket; these are formed by the combination of slow transverse spreading, the relatively fast field driven motion in the polarisation direction, and the excitonic attraction (Coulombic rescattering). The influence of the ellipticity of the driving field on the emitted high harmonic generation (HHG) will be shown pictorially; and as will be demonstrated, efficient HHG is impossible for high degrees of ellipticity since the required Coulombic recollisions are reduced. These results are in agreement with similar work performed for the atomic case using quantum tunneling interferences and classical dodging [4,5].

These initial studies have a wide range of applications including the investigation and application of interplaying THz (intraband) and optical (interband) carrier dynamics, polarisation-sensitive, intraband optical stark effect [6], and the generation of upshifted THz transients (coherently controlled) whose peak dipole moments are estimated to be about an order of magnitude larger than standard schemes for THz generation in QWs (charge oscillations and optical rectification); this stems from the fact that the wavefunctions can be displaced by much larger distances in the quantum well plane (see Fig. 2) — in comparison to a displacement in the growth direction (~ 10 nm maximum as limited by the QW width.). Other applications will be discussed.

Fig. 2

Probability density (x 100), t = 90 fs

Probability density (x 100), t = 230 fs

Probability density (x 100), t = 360 fs

Probability density (x 100), t = 620 fs
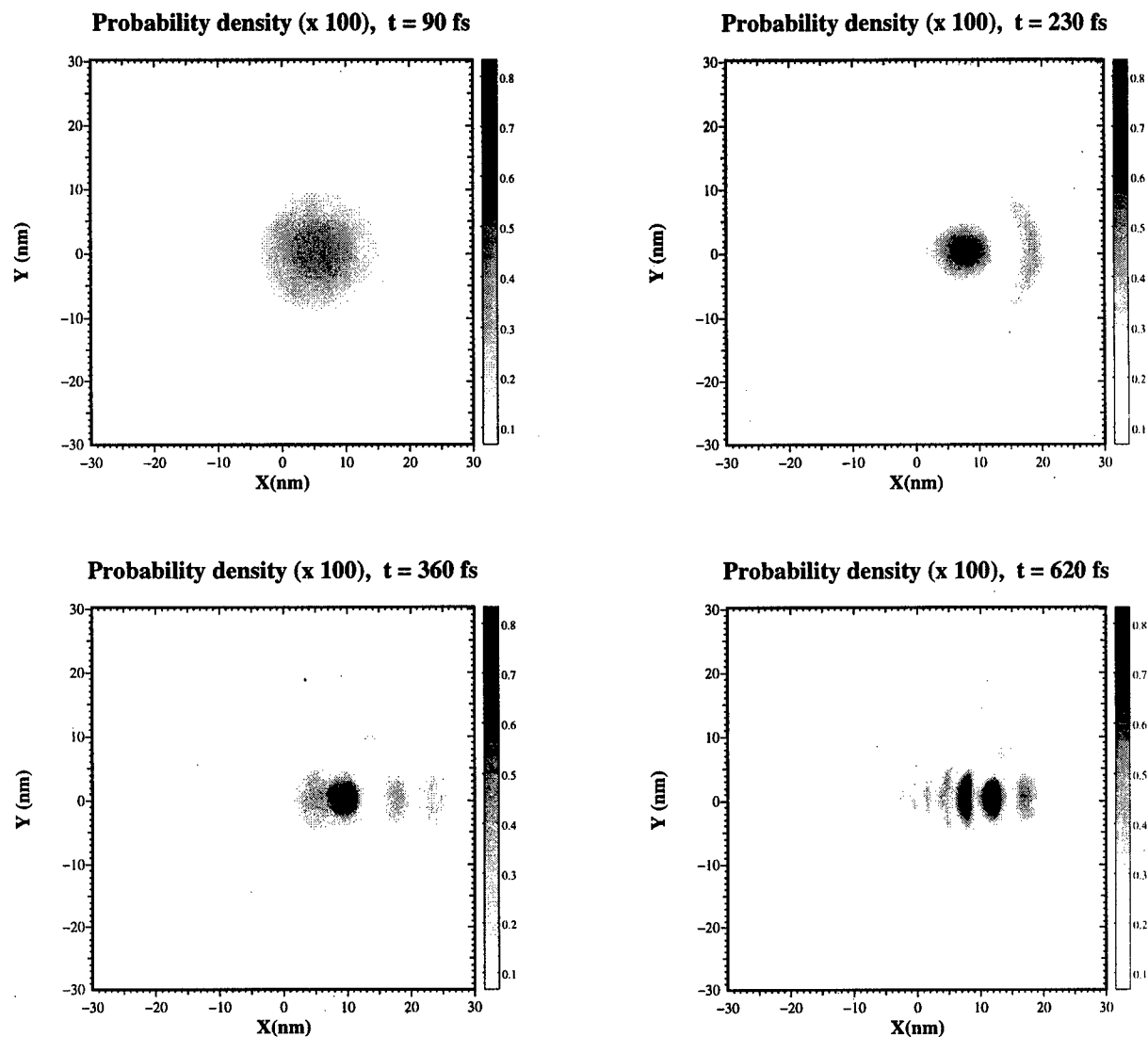
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